

Basics of QCD

Lecture 2: higher orders, divergences

Gavin Salam

CERN Theory Unit

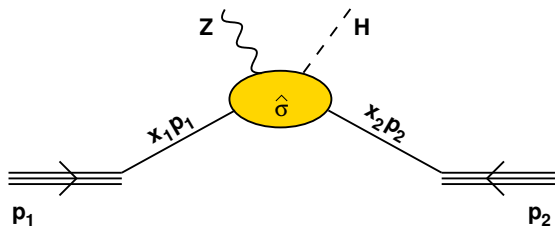
ICTP-SAIFR school on QCD and LHC physics
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Neither lattice QCD nor perturbative QCD can offer a full solution to using QCD at colliders

What the community has settled on is

- 1) **factorisation** of initial state non-perturbative problem
from
- 2) the “**hard process**,” calculated perturbatively
supplemented with
- 3) non-perturbative modelling of final-state hadronic-scale processes
(“**hadronisation**”).

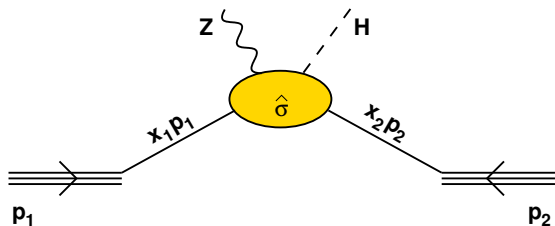
Cross section for some hard process in hadron-hadron collisions



$$\sigma = \int dx_1 f_{q/p}(x_1, \mu^2) \int dx_2 f_{\bar{q}/p}(x_2, \mu^2) \hat{\sigma}(x_1 p_1, x_2 p_2, \mu^2), \quad \hat{s} = x_1 x_2 s$$

- ▶ Total X-section is *factorized* into a 'hard part' $\hat{\sigma}(x_1 p_1, x_2 p_2, \mu^2)$
 Calculated, e.g. with methods discussed in many of the other courses
- ▶ and parton distribution functions (PDFs): $f_{q/p}(x, \mu^2)$ is the probability of finding a quark q inside a proton p , and carrying a fraction x of its momentum.
 Determined experimentally, cf. later
 [For now, don't worry about μ^2 "factorisation scale" argument]

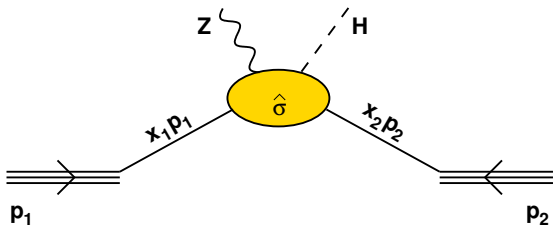
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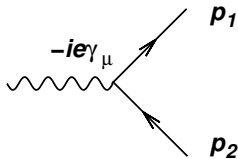
Factorisation is a term that has several related meanings in QCD.

Intimately connected with **infrared divergences**

We can start understanding those by studying a process that's simpler than hadron collisions: e^+e^- collisions with hadronic final states.

Start with $\gamma^* \rightarrow q\bar{q}$:

$$\mathcal{M}_{q\bar{q}} = -\bar{u}(p_1) i e_q \gamma_\mu v(p_2)$$



Emit a gluon:

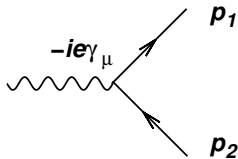
$$\begin{aligned} \mathcal{M}_{q\bar{q}g} &= \bar{u}(p_1) i g_s \not{\epsilon} t^A \frac{i}{\not{p}_1 + \not{k}} i e_q \gamma_\mu v(p_2) \\ &\quad - \bar{u}(p_1) i e_q \gamma_\mu \frac{i}{\not{p}_2 + \not{k}} i g_s \not{\epsilon} t^A v(p_2) \end{aligned}$$

Make gluon *soft* $\equiv k \ll p_{1,2}$; ignore terms suppressed by powers of k :

$$\mathcal{M}_{q\bar{q}g} \simeq \bar{u}(p_1) i e_q \gamma_\mu t^A v(p_2) g_s \left(\frac{p_1 \cdot \epsilon}{p_1 \cdot k} - \frac{p_2 \cdot \epsilon}{p_2 \cdot k} \right) \quad \left| \begin{array}{l} \not{p} v(p) = 0, \\ \not{p} k + k \not{p} = 2p \cdot k \end{array} \right.$$

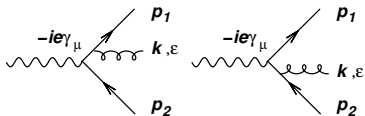
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Start with $\gamma^* \rightarrow q\bar{q}$:

$$\bar{u}(p_1) i g_s \not{\epsilon} t^A \frac{i}{\not{p}_1 + \not{k}} i e_q \gamma_\mu v(p_2) = -i g_s \bar{u}(p_1) \not{\epsilon} \frac{\not{p}_1 + \not{k}}{(\not{p}_1 + \not{k})^2} e_q \gamma_\mu t^A v(p_2)$$

Use $\not{A}\not{B} = 2A \cdot B - \not{B}\not{A}$:

$$= -i g_s \bar{u}(p_1) [2\epsilon \cdot (p_1 + k) - (\not{p}_1 + \not{k})\not{\epsilon}] \frac{1}{(\not{p}_1 + \not{k})^2} e_q \gamma_\mu t^A v(p_2)$$

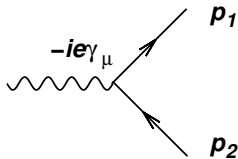
Use $\bar{u}(p_1)\not{p}_1 = 0$ and $k \ll p_1$ (p_1, k massless)

$$\simeq -i g_s \bar{u}(p_1) [2\epsilon \cdot p_1] \frac{1}{(\not{p}_1 + \not{k})^2} e_q \gamma_\mu t^A v(p_2)$$

$$= -i g_s \frac{p_1 \cdot \epsilon}{p_1 \cdot k} \underbrace{\bar{u}(p_1) e_q \gamma_\mu t^A v(p_2)}_{\text{pure QED spinor structure}}$$

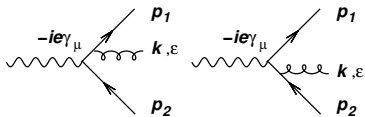
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$$\begin{aligned}
 |M_{q\bar{q}g}^2| &\simeq \sum_{A,\text{pol}} \left| \bar{u}(p_1) i e_q \gamma_\mu t^A v(p_2) g_s \left(\frac{p_1 \cdot \epsilon}{p_1 \cdot k} - \frac{p_2 \cdot \epsilon}{p_2 \cdot k} \right) \right|^2 \\
 &= -|M_{q\bar{q}}^2| C_F g_s^2 \left(\frac{p_1}{p_1 \cdot k} - \frac{p_2}{p_2 \cdot k} \right)^2 = |M_{q\bar{q}}^2| C_F g_s^2 \frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)}
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Include phase space:

$$d\Phi_{q\bar{q}g} |M_{q\bar{q}g}^2| \simeq (d\Phi_{q\bar{q}} |M_{q\bar{q}}^2|) \underbrace{\frac{d^3\vec{k}}{2E(2\pi)^3} C_F g_s^2 \frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)}}_{dS}$$

Note property of factorisation into hard $q\bar{q}$ piece and soft-gluon emission piece, dS .

$$dS = EdE d\cos\theta \frac{d\phi}{2\pi} \frac{2\alpha_s C_F}{\pi} \frac{2p_1 \cdot p_2}{(2p_1 \cdot k)(2p_2 \cdot k)}$$

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 \theta &\equiv \theta_{p_1 k} \\
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Take squared matrix element and rewrite in terms of $E, \theta,$

$$\frac{2p_1 \cdot p_2}{(2p_1 \cdot k)(2p_2 \cdot k)} = \frac{1}{E^2(1 - \cos^2 \theta)}$$

So final expression for soft gluon emission is

$$d\mathcal{S} = \frac{2\alpha_s C_F}{\pi} \frac{dE}{E} \frac{d\theta}{\sin \theta} \frac{d\phi}{2\pi}$$

NB:

- ▶ It *diverges* for $E \rightarrow 0$ — *infrared (or soft) divergence*
- ▶ It *diverges* for $\theta \rightarrow 0$ and $\theta \rightarrow \pi$ — *collinear divergence*

Soft, collinear divergences derived here in specific context of $e^+ e^- \rightarrow q\bar{q}$
But they are a very general property of QCD

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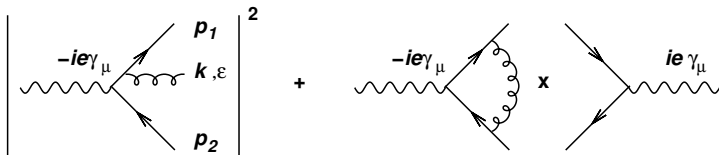
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If probability of gluon emission diverges, then how can you calculate anything beyond leading order?

Kinoshita-Lee-Nauenberg theorem tells us that if you sum over allowed states, then result must be finite.

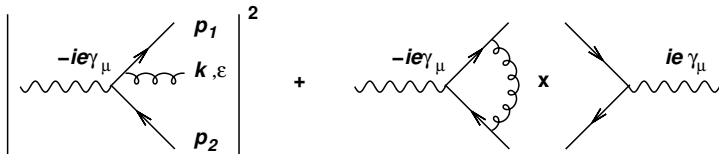
Total cross section: sum of all real and virtual diagrams



Total cross section must be *finite*. If real part has divergent integration, so must virtual part. (Unitarity, conservation of probability)

- ▶ $R(E/Q, \theta)$ parametrises real matrix element for hard emissions, $E \sim Q$.
- ▶ $V(E/Q, \theta)$ parametrises virtual corrections for all momenta (a “physical fudge” — exact way is to do calc. in dim. reg.)

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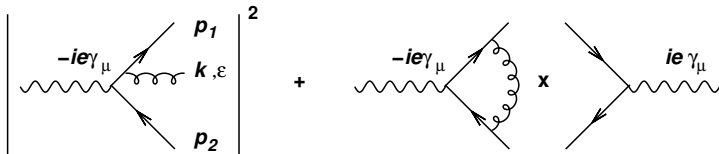


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$$\sigma_{tot} = \sigma_{q\bar{q}} \left(1 + \frac{2\alpha_s C_F}{\pi} \int \frac{dE}{E} \int \frac{d\theta}{\sin\theta} R(E/Q, \theta) \right)$$

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- ▶ From calculation: $\lim_{E \rightarrow 0} R(E/Q, \theta) = 1$.
- ▶ For every divergence $R(E/Q, \theta)$ and $V(E/Q, \theta)$ should cancel:

$$\lim_{E \rightarrow 0} (R - V) = 0, \quad \lim_{\theta \rightarrow 0, \pi} (R - V) = 0$$

Result:

- ▶ corrections to σ_{tot} come from hard ($E \sim Q$), large-angle gluons
- ▶ Soft gluons don't matter:

$$\sigma_{tot} = \sigma_{q\bar{q}} \left(1 + \frac{2\alpha_s C_F}{\pi} \int \frac{dE}{E} \int \frac{d\theta}{\sin\theta} (R(E/Q, \theta) - V(E/Q, \theta)) \right)$$

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 - ▶ Physics reason: soft gluons emitted on long timescale $\sim 1/(E\theta^2)$ relative to collision ($1/Q$) — cannot influence cross section.
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- ▶ Correct renorm. scale for α_s : $\mu \sim Q$ — perturbation theory valid.

$$\sigma_{tot} = \sigma_{q\bar{q}} \left(1 + \frac{2\alpha_s C_F}{\pi} \int \frac{dE}{E} \int \frac{d\theta}{\sin\theta} (R(E/Q, \theta) - V(E/Q, \theta)) \right)$$

- ▶ From calculation: $\lim_{E \rightarrow 0} R(E/Q, \theta) = 1$.
- ▶ For every divergence $R(E/Q, \theta)$ and $V(E/Q, \theta)$ should cancel:

$$\lim_{E \rightarrow 0} (R - V) = 0, \quad \lim_{\theta \rightarrow 0, \pi} (R - V) = 0$$

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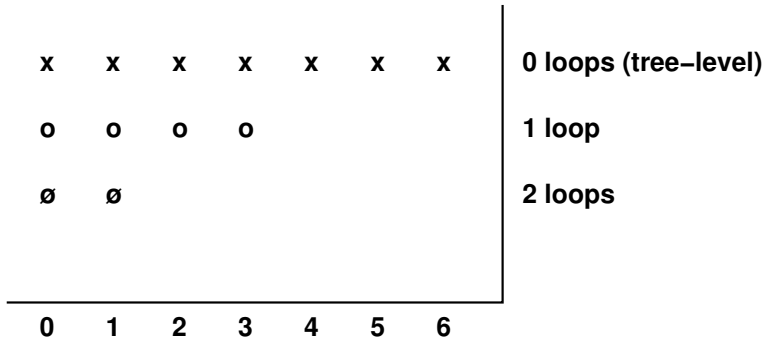
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Our treatment so far was a bit rough: designed to emphasize physical nature of divergences.

In practice calculations will be done in $4 + \epsilon$ dimensions and infrared divergences translate to powers of $1/\epsilon$.

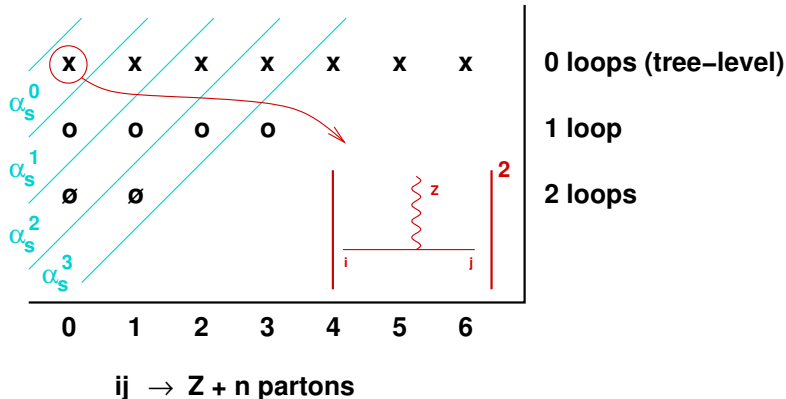
Full final answer for σ_{tot} at next-to-leading order (NLO) is, for massless quarks,

$$\sigma_{\text{tot}} = \sigma_{q\bar{q}} \left(1 + \frac{3}{4} \frac{\alpha_s C_F}{\pi} + \mathcal{O}(\alpha_s^2) \right)$$

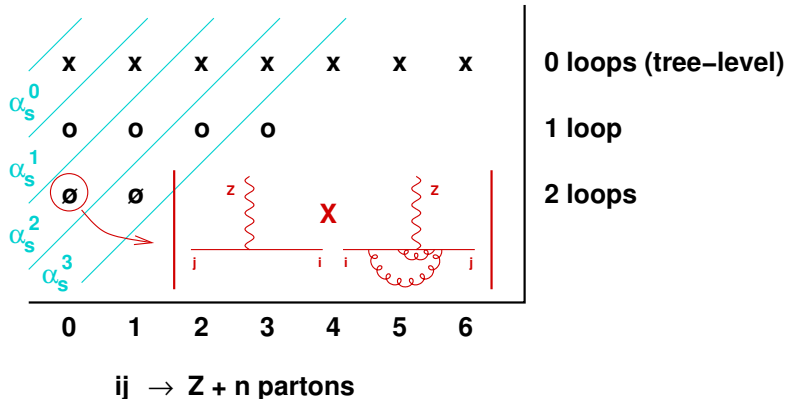


ij → Z + n partons

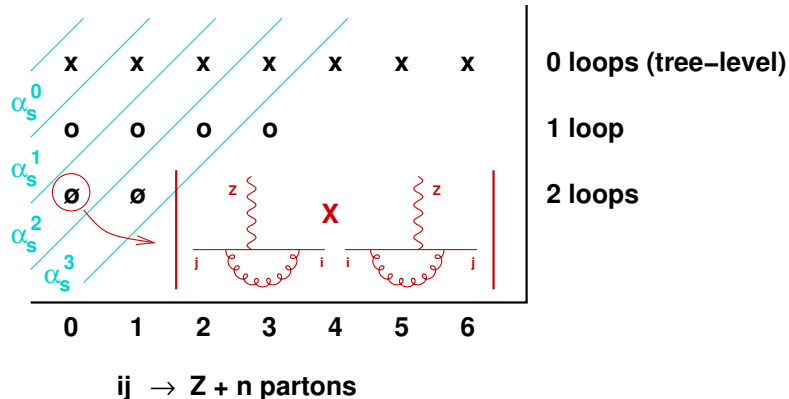
To get $N^p\text{LO}$ you need the Born (LO) diagram supplemented with all combination of n loops and $p - n$ extra emissions, with $0 \leq n \leq p$.



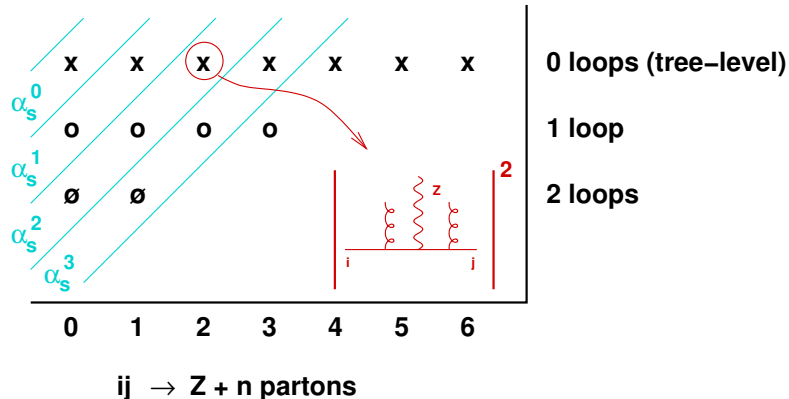
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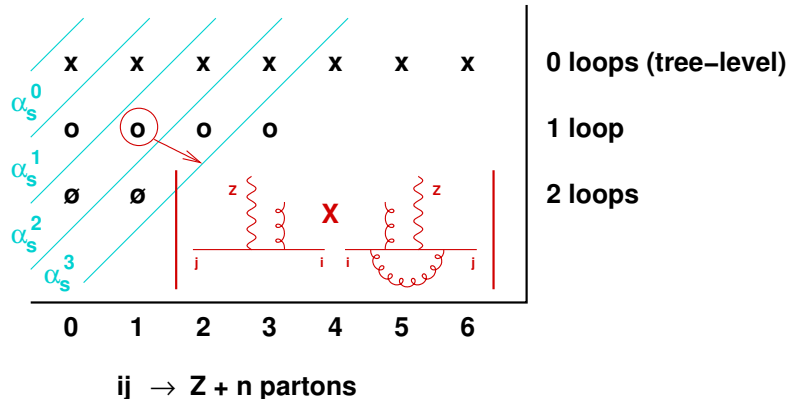
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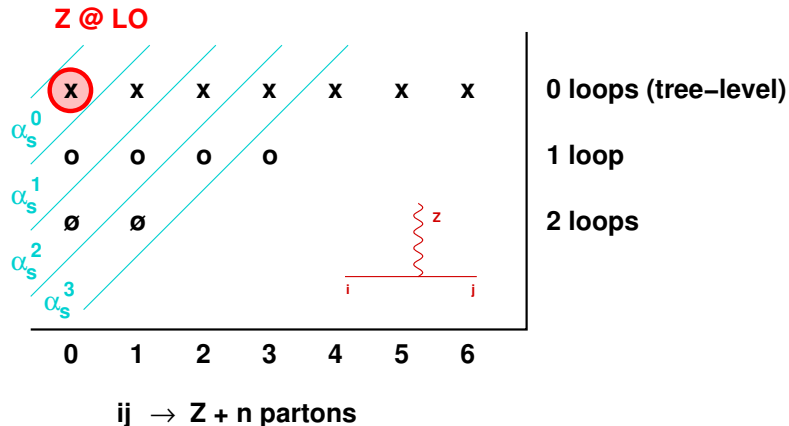
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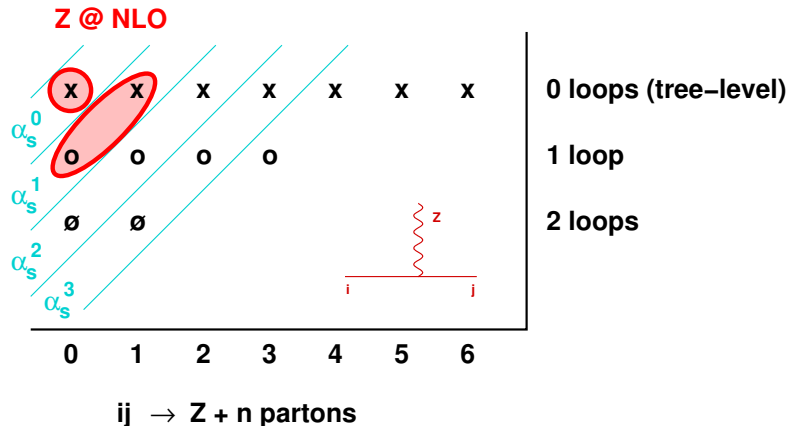
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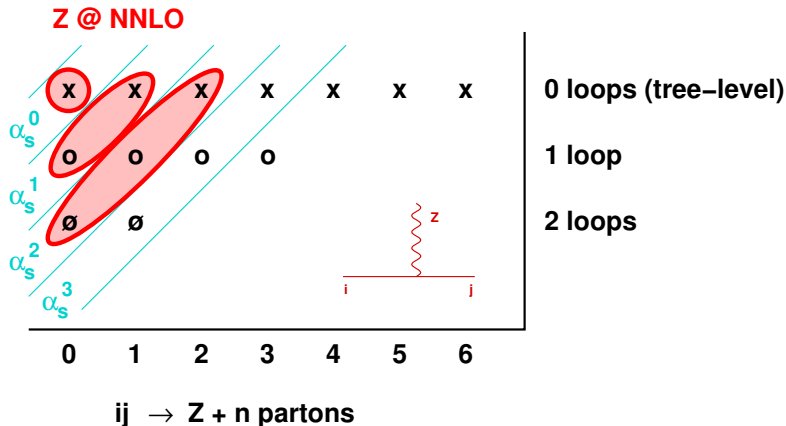
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Dependence of total cross section on only *hard* gluons is reflected in 'good behaviour' of perturbation series:

$$\sigma_{tot} = \sigma_{q\bar{q}} \left(1 + 1.045 \frac{\alpha_s(Q)}{\pi} + 0.94 \left(\frac{\alpha_s(Q)}{\pi} \right)^2 - 15 \left(\frac{\alpha_s(Q)}{\pi} \right)^3 + \right. \\ \left. + \mathcal{O}(\alpha_s^4) + \mathcal{O}\left(\frac{\Lambda^4}{Q^4}\right) \right)$$

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Exercise: substitute $\alpha_s(M_Z) = 0.118$ to get a feel for the quality of the expansion.

Question: did we have to write the result as a function of $\alpha_s(Q)$?

Actually, it is standard to write results as a function of $\alpha_s(\mu_R)$, where μ_R is the **renormalisation scale**, to be taken $\mu_R \sim Q$.

Let's express NLO results for arbitrary μ_R in terms of $\alpha_s(Q)$:

$$\begin{aligned}\sigma^{\text{NLO}}(\mu_R) &= \sigma_{q\bar{q}} \left(1 + c_1 \alpha_s(\mu_R) \right) \\ &= \sigma_{q\bar{q}} \left(1 + c_1 \alpha_s(Q) - 2c_1 b_0 \ln \frac{\mu_R}{Q} \alpha_s^2(Q) + \mathcal{O}(\alpha_s^3) \right)\end{aligned}$$

As we vary the renormalisation scale μ_R , we introduce $\mathcal{O}(\alpha_s^2)$ pieces into the X-section. I.e. generate some set of NNLO terms \sim uncertainty on X-section from missing NNLO calculation.

If we now calculate the full NNLO correction, then it will be structured so as to cancel the $\mathcal{O}(\alpha_s^2)$ scale variation

$$\begin{aligned}\sigma^{\text{NNLO}}(\mu_R) &= \sigma_{q\bar{q}} \left[1 + c_1 \alpha_s(\mu_R) + c_2(\mu_R) \alpha_s^2(\mu_R) \right] \\ c_2(\mu_R) &= c_2(Q) + 2c_1 b_0 \ln \frac{\mu_R}{Q}\end{aligned}$$

Remaining uncertainty is now $\mathcal{O}(\alpha_s^3)$.

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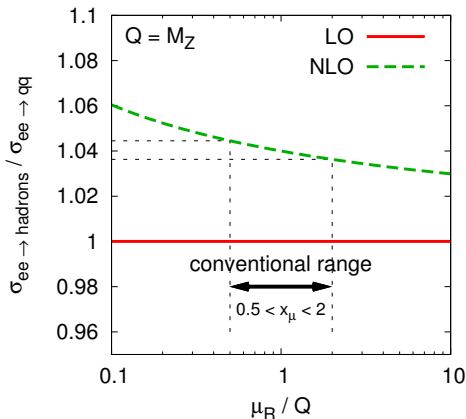
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See how at NNLO, scale dependence is much flatter, final uncertainty much smaller.

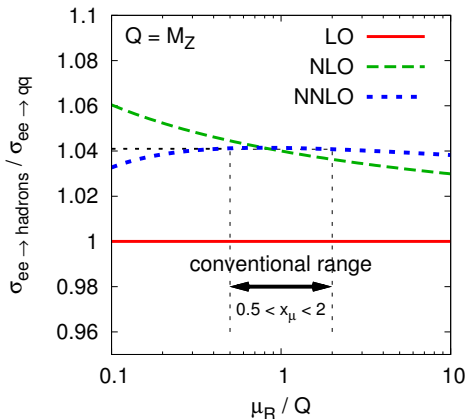
Because now we neglect only α_s^3 instead of α_s^2

Moral: not knowing exactly how to set scale \rightarrow blessing in disguise, since it gives us handle on uncertainty.

Scale variation \equiv standard procedure
 Beyond LO, often a good guide
 But not foolproof!

NB: if we had a large number of orders of perturbation theory, scale dependence would just disappear.

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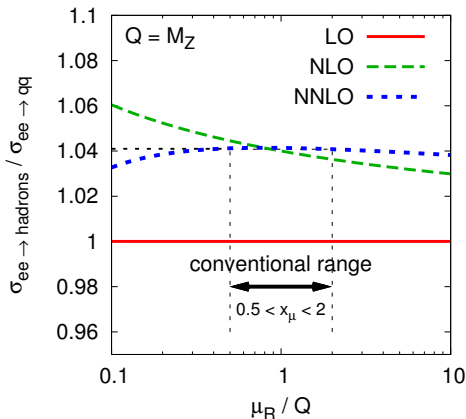
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Suppose you have a geometric perturbative series,

$$\sigma = \sigma_0 \sum_{i=0}^{\infty} c^i \alpha_s^i$$

Working in a limit where $\alpha_s \ll 1$, $c \gg 1$ and $c\alpha_s < 1$, evaluate the scale dependence on the estimate for σ obtained when the series is truncated at order n .

Is that scale dependence a good indication of the size of missing higher order terms?

Where to now?

There are two directions we can explore

1. what happens with a more complicated initial state
2. what happens when we look in more detail at the final state