## Phenomenology

Gavin P. Salam

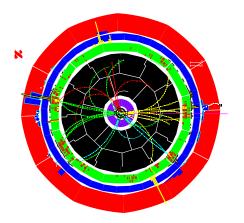
LPTHE, Universities of Paris VI and VII and CNRS

BUSSTEPP Ambleside, August 2005

# Phenomenology

Lecture 3 (QCD basics, jets)

## Quarks $\rightarrow$ jets of hadrons



#### Aleph Higgs event:

- Claim: it corresponds to  $ZH \rightarrow q\bar{q}b\bar{b}$ .
- But actually just bunches ('jets') of hadrons.
- Can they be related? How?
   NB: not just 'are they related?'

Need understanding of QCD (and not just for this!)

Degrees of freedom of Lagrangian (quarks, gluons)  $\neq$  physical particles  $(\pi, p, n, ...)$ .

Lattice is not powerful enough to reach high energies; perturbative QCD only good for talking about unphysical particles (quarks, gluons).

So: phenomenology with QCD objects (jets, incoming protons) has to

work around these problems

- Choose the right observables (to let us ignore our ignorance).
- Learn from experiments what we cannot (yet) calculate.
- Know how to quantify remaining ignorance. . .

## Lagrangian + colour

Quarks — 3 colours: 
$$\psi_a = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$$

Quark part of Lagrangian:

$$\mathcal{L}_{a} = \bar{\psi}_{a}(i\gamma^{\mu}\partial_{\mu}\delta_{ab} - g_{s}\gamma^{\mu}t_{ab}^{C}\mathcal{A}_{\mu}^{C} - m)\psi_{b}$$

$$\lambda^1 = \left(\begin{array}{ccc} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{array}\right), \; \lambda^2 = \left(\begin{array}{ccc} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{array}\right), \; \lambda^3 = \left(\begin{array}{ccc} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{array}\right), \; \lambda^4 = \left(\begin{array}{ccc} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{array}\right)$$

$$\lambda^{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \ \lambda^{6} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \ \lambda^{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \ \lambda^{8} = \begin{pmatrix} \frac{1}{\sqrt{3}} & 0 & 0 \\ 0 & \frac{1}{\sqrt{3}} & 0 \\ 0 & 0 & \frac{-2}{\sqrt{3}} \end{pmatrix}$$

Quarks — 3 colours: 
$$\psi_a = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$$

Quark part of Lagrangian:

$$\mathcal{L}_{q} = \bar{\psi}_{\text{a}} (i \gamma^{\mu} \partial_{\mu} \delta_{\text{ab}} - g_{\text{s}} \gamma^{\mu} t_{\text{ab}}^{\text{C}} \mathcal{A}_{\mu}^{\text{C}} - m) \psi_{\text{b}}$$

SU(3) local gauge symmetry  $\leftrightarrow$  8 (=  $3^2-1$ ) generators  $t^1_{ab} \dots t^8_{ab}$  corresponding to 8 gluons  $\mathcal{A}^1_{\mu} \dots \mathcal{A}^8_{\mu}$ .

A representation is:  $t^A = \frac{1}{2}\lambda^A$ ,

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Field tensor:

$$F_{\mu\nu}^{A} = \partial_{\mu}\mathcal{A}_{\nu}^{A} - \partial_{\nu}\mathcal{A}_{\nu}^{A} - g_{s} f_{ABC}\mathcal{A}_{\mu}^{B}\mathcal{A}_{\nu}^{C} \qquad [t^{A}, t^{B}] = if_{ABC}t^{C}$$

 $f_{ABC}$  are structure constants of SU(3) (antisymmetric in all indices — SU(2) equivalent was  $\epsilon^{ABC}$ ). Needed for gauge invariance of gluon part

$$\mathcal{L}_G = -\frac{1}{4} F_A^{\mu\nu} F^{A\mu\nu}$$

## Lagrangian + colour (cont.)

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Interaction vertices of Feynman rules:

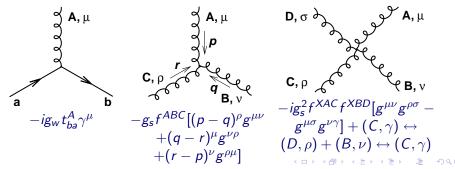
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Interaction vertices of Feynman rules:



$$\operatorname{Tr}(t^A t^B) = T_R \delta^{AB}, \quad T_R = \frac{1}{2}$$

$$\sum_{A} t_{ab}^{A} t_{bc}^{A} = C_{F} \delta_{ac} \,, \quad C_{F} = \frac{N_{c}^{2} - 1}{2N_{c}} = \frac{4}{3}$$

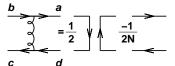
$$\sum_{C,D} f^{ACD} f^{BCD} = C_A \delta^{AB}$$
,  $C_A = N_c = 3$ 

$$t_{ab}^A t_{cd}^A = \frac{1}{2} \delta_{bc} \delta_{ad} - \frac{1}{2N_c} \delta_{ab} \delta_{cd}$$
 (Fierz)









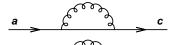
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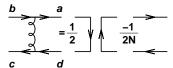
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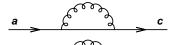
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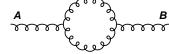
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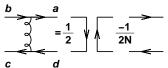
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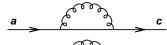
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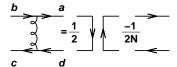
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$$Q^2 \frac{\partial \alpha_s}{\partial Q^2} = \beta(\alpha_s), \qquad \beta(\alpha_s) = -\alpha_s^2 (b_0 + b_1 \alpha_s + b_2 \alpha_s^2 + \ldots),$$

$$b_0 = \frac{11C_A - 2n_f}{12\pi}, \qquad b_1 = \frac{17C_A^2 - 5C_An_f - 3C_Fn_f}{24\pi^2} = \frac{153 - 19n_f}{24\pi^2}$$

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## Running coupling (cont.)

Solve 
$$Q^2 \frac{\partial \alpha_s}{\partial Q^2} = -b_0 \alpha_s^2 \quad \Rightarrow \quad \alpha_s(Q^2) = \frac{\alpha_s(Q_0^2)}{1 - b\alpha_s(Q_0^2) \ln \frac{Q^2}{Q_0^2}} = \frac{1}{b_0 \ln \frac{Q^2}{\Lambda^2}}$$

- A sets the scale for hadron masses
   (NB: A not unambiguously defined wrt higher orders)
- Perturbative calculations valid for scales  $Q \gg \Lambda$ .

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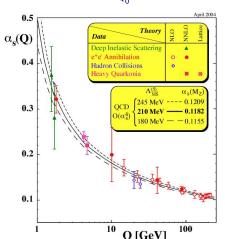
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$$V = C_0 + C_1 \cdot \alpha_s(\mu^2) + \left(C_2 + C_1 b_0 \ln \frac{\mu^2}{Q^2}\right) \cdot \alpha_s^2(\mu^2) + \dots$$

- Coupling depends on  $\mu^2$ ; so do higher order coefficients.
- Sum of full series should be independent of  $\mu$ .

But sum of truncated series *does* depend on  $\mu$ . What do we take? Various scales in problem:

- ullet centre of mass energy  $Q \rightarrow$  result is perturbative
- masses of produced hadrons → result is non-perturbative

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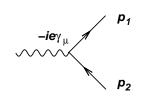
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#### Start with $\gamma^* \to q\bar{q}$ :

$$\mathcal{M}_{qar{q}}=-ar{u}(p_1)ie_q\gamma_\mu v(p_2)$$



#### Emit a gluon:

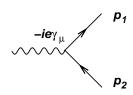
$$\begin{split} \mathcal{M}_{q\bar{q}g} &= \bar{u}(p_1)ig_s \not\in t^A \frac{i}{\not p_1 + \not k} ie_q \gamma_\mu v(p_2) \\ &- \bar{u}(p_1)ie_q \gamma_\mu \frac{i}{\not p_2 + \not k} ig_s \not\in t^A v(p_2) \end{split}$$

Make gluon  $soft \equiv k \ll p_{1,2}$ ; ignore terms suppressed by powers of k:

$$\mathcal{M}_{q\bar{q}g} \simeq \bar{u}(p_1)ie_q\gamma_{\mu}t^{A}v(p_2)g_s\left(\frac{p_1.\epsilon}{p_1.k} - \frac{p_2.\epsilon}{p_2.k}\right) \qquad \not pv(p) = 0, \\ \not p\not k + \not k\not p = 2p.k$$

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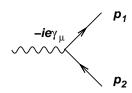
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Emission amplitude

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Include phase space

$$d\Phi_{q\bar{q}g}|M_{q\bar{q}g}^{2}| \simeq (d\Phi_{q\bar{q}}|M_{q\bar{q}}^{2}|) \frac{d^{3}k}{2\omega_{k}(2\pi)^{3}} C_{F}g_{s}^{2} \frac{2p_{1}.p_{2}}{(p_{1}.k)(p_{2}.k)}$$

Note property of factorisation into hard  $q\bar{q}$  piece and soft-gluon emission piece, dS.

$$dS = \omega_k d\omega_k d\cos\theta \frac{d\phi}{2\pi} \cdot \frac{2\alpha_s C_F}{\pi} \frac{2\rho_1 \cdot p_2}{(2\rho_1 \cdot k)(2\rho_2 \cdot k)}$$

 $\theta \equiv \theta_{p_1 k}$   $\phi = \operatorname{azimuth}$ 

$$\begin{split} |M_{q\bar{q}g}^2| &\simeq \sum_{\pmb{A},\text{pol}} \left| \bar{u}(p_1) i e_q \gamma_{\mu} \textbf{t}^{\pmb{A}} v(p_2) \; g_s \left( \frac{p_1.\epsilon}{p_1.k} - \frac{p_2.\epsilon}{p_2.k} \right) \right|^2 \\ &= -|M_{q\bar{q}}^2| \textit{C}_{\textit{F}} g_s^2 \left( \frac{p_1}{p_1.k} - \frac{p_2}{p_2.k} \right)^2 = |M_{q\bar{q}}^2| \textit{C}_{\textit{F}} g_s^2 \frac{2p_1.p_2}{(p_1.k)(p_2.k)} \end{split}$$

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$$d\Phi_{q\bar{q}g}|M_{q\bar{q}g}^2| \simeq (d\Phi_{q\bar{q}}|M_{q\bar{q}}^2|) \frac{d^3k}{2\omega_k(2\pi)^3} C_F g_s^2 \frac{2p_1.p_2}{(p_1.k)(p_2.k)}$$

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$$dS = \omega_k d\omega_k d\cos\theta \frac{d\phi}{2\pi} \cdot \frac{2\alpha_s C_F}{\pi} \frac{2p_1 \cdot p_2}{(2p_1 \cdot k)(2p_2 \cdot k)} \qquad \theta = 0$$

 $heta \equiv heta_{p_1 k} \ \phi = \operatorname{azimuth}$ 

$$\begin{split} |M_{q\bar{q}g}^{2}| &\simeq \sum_{A, \text{pol}} \left| \bar{u}(p_{1}) i e_{q} \gamma_{\mu} t^{A} v(p_{2}) \; g_{s} \left( \frac{p_{1}.\epsilon}{p_{1}.k} - \frac{p_{2}.\epsilon}{p_{2}.k} \right) \right|^{2} \\ &= -|M_{q\bar{q}}^{2}| C_{F} g_{s}^{2} \left( \frac{p_{1}}{p_{1}.k} - \frac{p_{2}}{p_{2}.k} \right)^{2} = |M_{q\bar{q}}^{2}| C_{F} g_{s}^{2} \frac{2p_{1}.p_{2}}{(p_{1}.k)(p_{2}.k)} \end{split}$$

Include phase space:

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So final expression for soft gluon emission is

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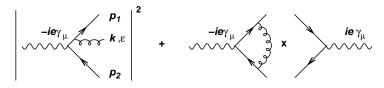
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# Total cross section: sum of all real and virtual diagrams



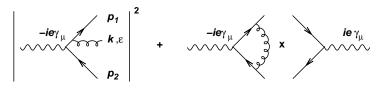
Total cross section must be *finite*. If real part has divergent integration, so must virtual part. (Unitarity, conservation of probability)

$$\begin{split} \sigma_{tot} &= \sigma_{q\bar{q}} \left( 1 + \frac{2\alpha_s C_F}{\pi} \int \frac{d\omega}{\omega} \int \frac{d\theta}{\sin \theta} \int \frac{d\phi}{2\pi} \, R(\omega/Q, \theta) \right. \\ &\left. - \frac{2\alpha_s C_F}{\pi} \int \frac{d\omega}{\omega} \int \frac{d\theta}{\sin \theta} \int \frac{d\phi}{2\pi} \, V(\omega/Q, \theta) \right) \end{split}$$

- $R(\omega/Q, \theta)$  parametrises real matrix element for hard emissions,  $\omega \sim Q$ .
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# Real-virtual cancellations: total X-sctn

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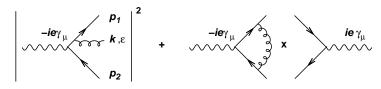


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Dependence of total cross section on only *hard* gluons is reflected in 'good behaviour' of perturbation series:

$$\sigma_{tot} = \sigma_{q\bar{q}} \left( 1 + 1.045 \frac{\alpha_s(Q)}{\pi} + 0.94 \left( \frac{\alpha_s(Q)}{\pi} \right)^2 - 15 \left( \frac{\alpha_s(Q)}{\pi} \right)^3 + \cdots \right)$$

(Coefficients given for  $Q=M_Z$ )

Arguments say  $\mu \sim Q$ .

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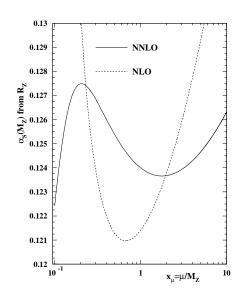
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In lecture 2 we associated each parton with a 'jet'  $(HZ \to q\bar{q}b\bar{b})$ . So let's calculate X-section for 3 jets, as being that for 3 partons:

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Result diverges (for  $\omega \to 0, \theta \to 0$ ):

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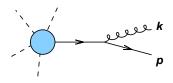
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Take an n-parton amplitude and emit a soft collinear gluon k from parton p.



Combination of propagator and vertex give:

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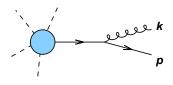
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If an observable is to be calculable in perturbative QCD, soft-collinear divergent contributions from real branching and the virtual (loop) correction must cancel *at all orders*.

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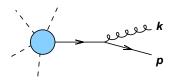
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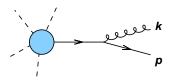
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terms.

To understand principles for defining a jet, first examine origin of divergence in general

Take an n-parton amplitude and emit a soft collinear gluon k from parton p.



Combination of propagator and vertex give:

$$g_s t_p^A \frac{\epsilon \cdot p}{k \cdot p} \rightarrow^2 C_p \frac{g_s^2}{\omega_k^2 \theta^2}$$

There are soft and collinear divergences (real & virtual) for emission of a gluon off *any* coloured parton

If an observable is to be calculable in perturbative QCD, soft-collinear divergent contributions from real branching and the virtual (loop) correction must cancel *at all orders*.

→The observable should be unaffected by any soft or collinear branching.

let cross sections

For an observable's distribution to be calculable in perturbation theory, the observable should be infra-red safe, i.e. insensitive to the emission of soft or collinear gluons. In particular if  $\vec{p}_i$  is any momentum occurring in its definition, it must be invariant under the branching

$$\vec{p}_i \rightarrow \vec{p}_j + \vec{p}_k$$

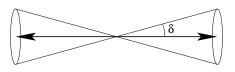
whenever  $\vec{p}_j$  and  $\vec{p}_k$  are parallel [collinear] or one of them is small [infrared].

[QCD and Collider Physics (Ellis, Stirling & Webber)]

Let cross sections

# The original (finite) jet definition

An event has 2 jets if at least a fraction  $(1-\epsilon)$  of event energy is contained in two cones of half-angle  $\delta$ .

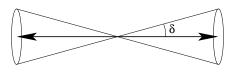


$$\begin{split} \sigma_{2-jet} &= \sigma_{q\bar{q}} \left( 1 + \frac{2\alpha_s C_F}{\pi} \int \frac{d\omega}{\omega} \frac{d\theta}{\sin \theta} \frac{d\phi}{2\pi} \left( R \left( \frac{\omega}{Q}, \theta \right) \times \right. \\ & \left. \times \left( 1 - \Theta \left( \frac{\omega}{Q} - \epsilon \right) \Theta(\theta - \delta) \right) - V \left( \frac{\omega}{Q}, \theta \right) \right) \right) \end{split}$$

- For small  $\omega$  or small  $\theta$  this is just like total cross section full cancellation of divergences between real and virtual terms.
- For large  $\omega$  and large  $\theta$  a *finite piece* of real emission cross section is *cut out*.
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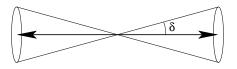


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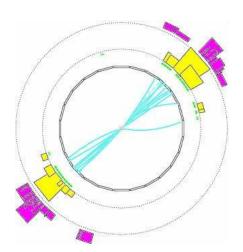
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# Near 'perfect' 2-jet event

2 well-collimated jets of particles.

All energy in two cones.

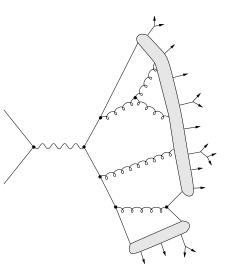
NB: picture of two quarks and a soft gluon does not reflect reality of event structure.

 Multiple QCD radiation has <u>nested</u> soft and collinear divergences.

Much of structure is calculable to all orders!

- Produce many soft and collinear gluons,  $q\bar{q}$  pairs
- Somehow there is a transition from partons → hadrons
   Can only be modelled
- These elements are encoded in Monte Carlo simulation programs

Extremely successful, ubiquitous e.g. Pythia, Herwig, Sherpa



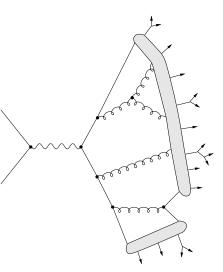
(picture from B.R. Webber)

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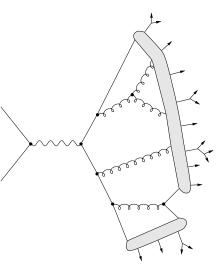
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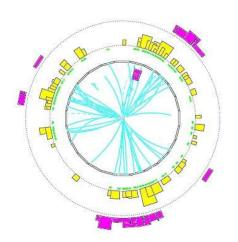
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# multi-jet event

How can we define jets for more complex events?

- Sterman-Weinberg ('cone') definition gets messy
- Jets may be broader than chosen cone
- Some of energy-momentum is outside jet cones (∑ jet energy ≠ total energy)

Need a more sophisticated tool to relate real events to an *idealised* hard event.

Based on idea of successive clusterings and resolution parameter  $(y_{\text{cut}})$ : Idea: try to undo multiple QCD branching and 'hadronisation'.

**①** Calculate the *distance*  $y_{ij}$  (according to some measure) between all current pairs of particles/pseudo-jets i, j:

$$y_{ij} = \min(E_i^2, E_j^2)(1 - \cos \theta_{ij})$$

' $k_t$ ' measure: closeness  $\Leftrightarrow$  structure of QCD divergences

- ② If all  $y_{ij} > y_{\text{cut}}$  stop.
- **3** Otherwise, select the i, j, with the smallest  $y_{ij}$  and *cluster* them to make a 'pseudojet'.
- Go back to step 1.

Number of jets depends on the resolution you choose

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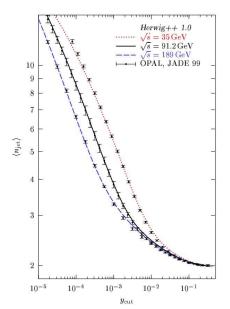
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# Number of jets v. resolution $(e^+e^-)$



- Gluons carry charge and couple to each other ⇒
  - asymptotic freedom (large Q)
  - confinement (low Q): quarks, gluon  $\neq$  physical d.o.f.
- ullet High-energy QCD processes involve whole range of scales  $(Q o \Lambda)$ 
  - spanned (logarithmically) by soft and collinear gluons
  - amenable to simulation by Monte Carlo event generators
- Choose *Infrared-Collinear Safe* observables for comparison to perturbation theory, *e.g.* 
  - total cross sections, jet cross sections
  - weakly sensitive to soft-collinear gluons, hadronisation
  - predictions have residual dependence on renormalisation scale
- ullet Jet eq parton, but rather cluster of partons
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Processes with incoming protons