## Jets, our window on partons at the LHC

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#### Partons — quarks and gluons — are key concepts of QCD.

- Lagrangian is in terms of quark and gluon fields
- Perturbative QCD only deals with partons
- Concept of parton powerful even beyond perturbation theory

hadron classifications exotic states, e.g. colour glass condensate (high gluon densities)

## Yet it is surprisingly hard to give unambiguous meaning to partons.

- ▶ Not an asymptotic state of the theory because of confinemen
- But also even in perturbation theory

because of collinear divergences (in massless approx.)

### Despite this, there are two decent ways of "seeing" partons:

► Scatter some hard probe off them, e.g. a virtual photon  $\rightarrow$  DIS

 $\rightarrow$  jets

See traces of them in the final state

In each case ill-defined nature of a parton translates into ambiguity in the partonic interpretation of what you see richness of the physics



## Seeing v. defining jets



Jets are what we see. Clearly(?) 2 of them.

2 partons?  $E_{parton} = M_z/2?$  How many jets do you see? Do you really want to ask yourself this question for 10<sup>8</sup> events?



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A jet definition is a systematic procedure that **projects away the multiparticle dynamics**, so as to leave a simple picture of what happened in an event:



Jets are *as close as we can get to a physical single hard quark or gluon:* with good definitions their properties (multiplicity, energies, [flavour]) are

- finite at any order of perturbation theory
- $\blacktriangleright$  insensitive to the parton  $\rightarrow$  hadron transition

### NB: finiteness $\longleftrightarrow$ set of jets depends on jet def.



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Jets, our window on partons (p. 6) 1. Introduction 1. Seeing Partons



Jet (definitions) provide central link between expt., "theory" and theory



- Periodic key developments in jet definitions spurred by ever-increasing experimental/theoretical sophistication.
- Approach of LHC provides motivation for taking a new, fresh, systematic look at jets.
- This talk: some of the discoveries along the way







## What's new for jets @ LHC?

#### Number of particles:

Experiment	Ν
LEP, HERA	50
Tevatron	100-400
LHC low-lumi	800
LHC high-lumi	4000
LHC PbPb	30000

- Range & complexity of signatures (jets, tt̄, tj, Wj, Hj, tt̄j, WWj, Wjj, SUSY, etc.)
- e.g.  $\sim$  5 million  $t\overline{t}$  ightarrow 6 jet events/year
- Theory investment

 $\sim$  100 people imes 10 years 60 - 100 million \$

#### Physics scales:

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LHC	+ BSM	
	+ Pileup	

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Jets, our window on partons (p. 9) 1. Introduction 2. Jets at LHC

# Old issues? 1990 "standards"

Snowmass Accord (1990):

FERMILAB-Conf-90/249-E [E-741/CDF]

## Toward a Standardization of Jet Definitions ·

Several important properties that should be met by a jet definition are [3]:

- 1. Simple to implement in an experimental analysis;
- 2. Simple to implement in the theoretical calculation;
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- 4. Yields finite cross section at any order of perturbation theory;
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Without these, either the experiment won't use the jet-definition, or the theoretical calculations will be compromised Long satisfied in  $e^+e^-$  and DIS Satisfied in  $\lesssim 5\%$  of jet work at Tevatron Hardly discussed in LHC TDRs

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Sequential recombination	Cone
<i>k</i> <sub>t</sub> , Jade, Cam/Aachen,	UA1, JetClu, Midpoint,
Bottom-up: Cluster 'closest' particles repeat- edly until few left $\rightarrow$ jets.	Top-down: Find coarse regions of energy flow (cones), and call them jets.
Works because of mapping: <i>closeness</i> ⇔ <i>QCD divergence</i>	Works because <i>QCD only modifies</i> energy flow on small scales
Loved by $e^+e^-$ , $ep$ and theorists	Loved by <i>pp</i> and few(er) theorists

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- Recombine i, j (if  $iB: i \rightarrow jet$ )
- Repeat



NB: hadron collider variables ►  $\Delta R_{ij}^2 = (\phi_i - \phi_j)^2 + (y_i - y_j)^2$ ► rapidity  $y_i = \frac{1}{2} \ln \frac{E_i + p_{ij}}{E_i - p_{ij}}$ ►  $\Delta R_{ij}$  is boost invariant angle

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Why  $k_t$ ?

#### kt distance measures

$$d_{ij} = \min(k_{ti}^2, k_{tj}^2) \Delta R_{ij}^2, \qquad d_{iB} = k_{ti}^2$$

are closely related to structure of divergences for QCD emissions

$$[dk_j]|M^2_{\boldsymbol{g} \to \boldsymbol{g}_i \boldsymbol{g}_j}(k_j)| \sim \frac{\alpha_{\mathsf{s}} C_A}{2\pi} \frac{dk_{tj}}{\min(k_{ti}, k_{tj})} \frac{d\Delta R_{ij}}{\Delta R_{ij}}, \qquad (k_{tj} \ll k_{ti}, \ \Delta R_{ij} \ll 1)$$

and

$$[dk_i]|M^2_{\text{Beam}\to\text{Beam}+g_i}(k_i)| \sim \frac{\alpha_{\rm s}C_A}{\pi} \frac{dk_{ti}}{k_{ti}} \, d\eta_i \,, \qquad (k_{ti}^2 \ll \{\hat{s}, \hat{t}, \hat{u}\})$$

k<sub>t</sub> algorithm attempts approximate inversion of branching process

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Computing...

'Trivial' computational issue:

- ▶ for N particles:  $N^2 d_{ij}$  searched through N times =  $N^3$
- 4000 particles (or calo cells): 1 minute NB: often study 10<sup>7</sup> - 10<sup>8</sup> events (20-200 CPU years)
   Heavy lons: 30000 particles: 10 hours/event

As far as possible physics choices should not be limited by computing.

Even if we're clever about repeating the full search each time, we still have  $\mathcal{O}(N^2) \ d_{ij}$ 's to establish



## $k_t$ is a form of Hierarchical Clustering

Fast Hierarchical Clustering and Other Applications of Dynamic Closest Pairs

David Eppstein UC Irvine

We develop data structures for dynamic closest pair problems with arbitrary distance functions, that do not necessarily come from any geometric structure on the objects. Based on a technique previously used by the author for Euclidean closest pairs, we show how to insert and delete objects. With quadratic space, we can instead use a quadtree-like structure to achieve an optimal time bound, O(n) per update. We apply these data structures to hierarchical clustering, greedy matching, and TSP heuristics, and discuss other potential applications in machine learning. Gröbner bases, and local improvement algorithms for partition and placement problems. Experiments show our new methods to be faster in practice than previously used heuristics.

Categories and Subject Descriptors: F.2.2 [Analysis of Algorithms]: Nonnumeric Algorithms

General Terms: Closest Pair, Agglomerative Clustering

Additional Key Words and Phrases: TSP, matching, conga line data structure, quadtree, nearest neighbor heuristic

#### 1. INTRODUCTION

Hierarchical clustering has long been a mainstay of statistical analysis, and clustering based methods have attracted attention in other fields: computational biology (reconstruction of evolutionary trees; tree-based multiple sequence alignment), scientific simulation (n-body problems), theoretical computer science (network design and nearest neighbor searching) and of course the web (hierarchical indices such as Yahoo). Many clustering methods have been devised and used in these applications, but less effort has gone into algorithmic speedups of these methods.

In this paper we identify and demonstrate speedups for a key subroutine used in several clustering algorithms, that of maintaining closest pairs in a dynamic set of objects. We also describe several other applications or potential applications of the  $k_t$  alg. is so good it's used throughout science!

NB HEP is not only field to use brute-force...

For general distance measures problem reduces to  $\sim N^2$  (factor  $\sim 20$  for N = 1000).

Eppstein '99 20' ⊢ Cardinal

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Of these naive methods, brute force recomputation may be most commonly used, due to its low space requirements and ease of implementation. Three hierarchical clustering codes we examined, Zupan's [Zupan 1982], CLUSTAL W [Thompson et al. 1994], and PHYLIP [Felsenstein 1995] use brute force. (Indeed, they do not even save space by doing so, since they all store the distance matrix.) Pazzani's learning code [Pazzani 1997] also uses brute force (M. Pazzani, personal communication), as does *Mathematica*'s Gröbner basis code (D. Lichtblau, personal communication). *k*<sub>t</sub> alg. is so good it's used throughout science!

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Eppstein '99 + Cardinal '03 There are N(N - 1)/2 distances  $d_{ij}$  — surely we have to calculate them all in order to find smallest?

kt distance measure is partly geometrical:

- Consider smallest  $d_{ij} = \min(k_{ti}^2, k_{tj}^2)R_{ij}^2$
- Suppose  $k_{ti} < k_{tj}$
- ▶ Then:  $R_{ij} \leq R_{i\ell}$  for any  $\ell \neq j$ . [If  $\exists \ \ell \ \text{s.t.} \ R_{i\ell} < R_{ij}$  then  $d_{i\ell} < d_{ij}$ ]

*In words:* if i, j form smallest  $d_{ij}$  then j is geometrical nearest neighbour (GNN) of i.

 $k_t$  distance need only be calculated between GNNs

Each point has 1 GNN  $\rightarrow$  need only calculate N  $d_{ij}$ 's

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## Finding Geom Nearest Neighbours



Given a set of vertices on plane (1...10) a *Voronoi diagram* partitions plane into cells containing all points closest to each vertex Dirichlet '1850. Voronoi '1908

A vertex's nearest other vertex is always in an adjacent cell.

#### E.g. GNN of point 7 will be found among 1,4,2,8,3 (it turns out to be 3)

Construction of Voronoi diagram for *N* points: *N* In *N* time Fortune '88 Update of 1 point in Voronoi diagram: In *N* time Devillers '99 [+ related work by other authors]

Convenient C++ package available: CGAL http://www.cgal.org Assemble with other comp. science methods: FastJet Cacciari & GPS, hep-ph/0512210 http://www.lpthe.jussieu.fr/~salam/fastjet/



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# FastJet performance



NB: for  $N < 10^4$ , FastJet switches to a related geometrical  $N^2$  alg. Conclusion: speed issues for  $k_t$  resolved



Cone basics

Modern cone algs have two main steps:

Find some/all stable cones

 $\equiv$  cone pointing in same direction as the momentum of its contents

Resolve cases of overlapping stable cones





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Find some/all stable cones

≡ cone pointing in same direction as the momentum of its contents
▶ Resolve cases of overlapping stable cones

By running a 'split-merge' procedure

Qu: How do you find the stable cones?

All experiments use iterative methods:

- use each particle as a starting direction for cone; use sum of contents as new starting direction; repeat.
- use additional 'midpoint' starting points between pairs of initial stable cones.

'Midpoint' algorithm





Extra soft particle adds new seed  $\rightarrow$  changes final jet configuration.

#### This is **IR unsafe**.

Divergences of real and virtual contributions do not cancel at  $\mathcal{O}\left(\alpha_{\rm s}^4\right)$ 

Kilgore & Giele '97

Solution: add extra seeds at midpoints of all pairs, triplets, ... of stable cones. Seymour '97 (?)



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Midpoint cone alg. misses some stable cones; extra soft particle  $\rightarrow$  extra starting point  $\rightarrow$  extra stable cone found **MIDPOINT IS INFRARED UNSAFE** 

Or collinear unsafe with seed threshold



 $\label{eq:misses} \begin{array}{l} \mbox{Midpoint cone alg. misses some stable cones; extra soft} \\ \mbox{particle} \rightarrow \mbox{extra starting point} \rightarrow \mbox{extra stable cone found} \\ \mbox{MIDPOINT IS INFRARED UNSAFE} \end{array}$ 

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Midpoint was supposed to solve *just this type of problem*. But worked only at lowest order.

IR/Collinear unsafety is a serious problem!

Invalidates theorems that ensure finiteness of perturbative QCD

Cancellation of real & virtual divergences

Destroys usefulness of (intuitive) partonic picture

you cannot think in terms of hard partons if adding a 1 GeV gluon changes 100 GeV jets

'Pragmatically:' limits accuracy to which it makes sense to calculate

Process	1st miss cones @	Last meaningful order
Inclusive jets	NNLO	NLO [NNLO being worked on]
W/Z + 1 jet	NNLO	NLO
3 jets	NLO	LO [NLO in nlojet++]
W/Z + 2 jets	NLO	LO [NLO in MCFM]
jet masses in $2j + X$	LO	

\$50 million worth of work for nothing?

Midpoint was supposed to solve *just this type of problem*. But worked only at lowest order.

IR/Collinear unsafety is a serious problem!

Invalidates theorems that ensure finiteness of perturbative QCD

Cancellation of real & virtual divergences

Destroys usefulness of (intuitive) partonic picture

you cannot think in terms of hard partons if

adding a 1 GeV gluon changes 100 GeV jets

'Pragmatically:' limits accuracy to which it makes sense to calculate

Process	1st miss cones @	Last meaningful order
Inclusive jets	NNLO	NLO [NNLO being worked on]
W/Z + 1 jet	NNLO	NLO
3 jets	NLO	LO [NLO in nlojet++]
W/Z + 2 jets	NLO	LO [NLO in MCFM]
jet masses in $2j + X$	LO	none

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Rather than define the cone alg. through the *procedure* you use to find cones, define it by the *result you want:* 

A cone algorithm should find all stable cones

### First advocated: Kidonakis, Oderda & Sterman '97 Guarantees IR safety of the set of stable cones

Only issue: you still need to find the stable cones in practice.

One known exact approach:

 Take each possible subset of particles and see if it forms a stable cone. Tevatron Run II workshop, '00 (for fixed-order calcs.)
There are 2<sup>N</sup> subsets for N particles. Computing time ~ N2<sup>N</sup>. 10<sup>17</sup> years for an event with 100 particles Rather than define the cone alg. through the *procedure* you use to find cones, define it by the *result you want:* 

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- 1. Find all distinct ways of enclosing a subset of particles in a  $y \phi$  circle
- 2. Check, for each enclosure, if it corresponds to a stable cone

Finding all distinct circular enclosures of a set of points is geometry:



Any enclosure can be moved until a pair of points lies on its edge.

Polynomial time recipe for finding all distinct enclosures:

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Polynomial time recipe for finding all distinct enclosures:

 $N^2$  pairs of points, pay N for each pair to check stability  $N^3$  is also time taken by midpoint codes (smaller coeff.)

With some thought, this reduces to N<sup>2</sup> In N time. Traversal order, stability check checkxor GPS & Soyez '07

- Much faster than midpoint with no seed threshold IR unsafe
- Same speed as midpoint codes with seeds > 1 GeV Collinear unsafe









# MC cross check of IR safety

- Generate event with 2 < N < 10 hard particles, find jets
- Add 1 < N<sub>soft</sub> < 5 soft particles, find jets again [repeatedly]
- If the jets are different, algorithm is IR unsafe.

Unsafety level	failure rate
2 hard + 1 soft	
3 hard + 1 soft	

Be careful with split-merge too



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Unsafety level	failure rate
2 hard + 1 soft	$\sim 50\%$
3 hard + 1 soft	$\sim 15\%$
SISCone	IR safe !

Be careful with split-merge too


Complementary set of IR/Collinear safe jet algs  $\longrightarrow$  flexbility in studying complex events.

Consider families of jet algs: e.g. sequential recombination with

 $d_{ij} = \min(k_{ti}^{2p}, k_{tj}^{2p}) \Delta R_{ij}^2 / R^2$ 

	Alg. name	Comp. Geometry problem	time
p = 1	k <sub>t</sub>	Dynamic Nearest Neighbour	
	CDOSTW '91-93; ES '93	CGAL (Devillers et al)	NIn N exp.
p = 0	Cambridge/Aachen	Dynamic Closest Pair	
	Dok, Leder, Moretti, Webber '97	T. Chan '02	N In N
	Wengler, Wobisch '98		
p = -1	anti- <i>k</i> t (cone-like)	Dynamic Nearest Neighbour	
	Cacciari, GPS, Soyez, in prep.	CGAL (worst case)	$N^{3/2}$
cone	SISCone	All circular enclosures	
	GPS Soyez '07 + Tevatron run II '00	previously unconsidered	$N^2 \ln N \exp$ .

All accessible in FastJet

FastJet in software of all (4) LHC collaborations

Once you have a decent set of jet algs, start asking questions about them.

- ▶ They share a common parameter *R* (angular reach). How do results depend on *R*?
- In what way do the various algorithms differ?
- ▶ How are they to be best used in the challenging LHC environment?

Try to answer questions with Monte Carlo? Gives little understanding of underlying principles.

Supplement with analytical approximations.











Perturbative

#### Start with quark with transverse momentum $p_t$

$$\begin{split} \langle \delta p_t \rangle_{PT} &\simeq \frac{1}{\sigma_0} \int d\Phi |M^2| \, \alpha_{\rm s}(k_{t,rel}) \left( p_{t,jet} - p_t \right) \\ &\simeq \frac{\alpha_{\rm s} C_F}{\pi} \int_R^{\mathcal{O}(1)} \frac{d\theta}{\theta} \int dz \, p_{gq}(z) \cdot \left( (1-z) p_t - p_t \right) \\ &\simeq -1.01 \frac{\alpha_{\rm s} C_F}{\pi} \, p_t \, \ln \frac{1}{R} + \mathcal{O} \left( \alpha_{\rm s} p_t \right) \qquad C_F = 4/3 \end{split}$$

Similarly for gluon:

$$\langle \delta p_t \rangle_{PT} \simeq -\left(0.94C_A + 0.15n_fT_R\right) \frac{lpha_s}{\pi} p_t \ln \frac{1}{R} + \mathcal{O}\left(lpha_s p_t\right) \qquad C_A = 3$$

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Simplest form of a trick developed ~ 1995: to establish non-perturbative contribution, replace  $\alpha_{s}(k_{t,rel}) \rightarrow \delta \alpha_{s}(k_{t,rel})$ , with support only near  $\Lambda_{QCD}$ . Dokshitzer & Webber; Korchemsky & Sterman Akhoury & Zakharov; Beneke & Braun

E.g.:  

$$\frac{2}{\pi}\delta\alpha_{s}(k_{t,rel}) = \Lambda\delta(k_{t,rel} - \Lambda)$$

 $\Lambda = \int dk_{t,rel} \delta \alpha_{s}(k_{t,rel})$ , should be 'universal'.

Tested for  $\sim$  10 observables in  $e^+e^-$  and DIS.

 $\alpha_0 \simeq 0.5 \leftrightarrow \Lambda \simeq 0.4 \text{ GeV}$ 

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H1 data; Dasgupta & GPS '02



Hadronisation for quarks:

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Dasgupta, Magnea & GPS '07 Deducible from Korchemsky & Sterman '94 Seymour '97; but lost in mists of time.

If underlying event had similar mechanism, we'd get:

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Test NP results v. MC



MC hadr. agrees with calc.

- to varying degrees for range of algs
- also in larger gluonic channels

#### MC UE $\gg$ naive expectation

- models tuned on same data behave differently
- ► UE is huge at LHC
- largely indep. of scattering channel

Scale for (non-perturbative!) UE is  $\sim$  10 GeV





















Optimal R?

	Jet $\langle \delta p_t  angle$ given by product of dependence on			
	scale	colour factor	R	$\sqrt{s}$
pert. radiation	$\sim rac{lpha_{\sf s}({\sf p}_t)}{\pi}{\sf p}_t$	Ci	$\ln R + \mathcal{O}\left(1 ight)$	-
hadronisation	$\Lambda_h$	Ci	$-1/R + \mathcal{O}\left(R ight)$	-
UE	$\Lambda_{UE}$	-	$R^{2}/2 + O(R^{4})$	$s^{\omega}$

To get best experimental resolutions, minimise contributions from all 3 components.

> Here: sum of squared means Better still: calculate flucts

NB: this is rough picture details of  $p_t$  scaling wrong

But can still be used to understand general principles.

-3. Understanding jet algs

-2. Optimising parameters

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Jets, our window on partons (p. 34) 3. Understanding jet algs 2. Optimising parameters

# Optimal $R \vee p_t$ , proc., collider



This kind of information is the start of what might go into "auto-focus for jetography" Jets, our window on partons (p. 34) 3. Understanding jet algs 2. Optimising parameters

# Optimal $R \vee p_t$ , proc., collider



This kind of information is the start of what might go into "auto-focus for jetography"
This last part of talk was an overview of 1 of several recent jet topics

Others include

- Subtraction of pileup
- ▶ Jet areas ↔ sensitivity to UE/pileup
- "Optimising R" cross checking with MC

Cacciari, Rojo, GPS & Soyez, for Les Houches

Jet flavour — e.g. reducing *b*-jet theory uncertainties from 40 – 60% to 10 – 20%. Banfi, GPS & Zanderighi '06, '07

Cacciari & GPS '07

Cacciari, GPS & Soyez prelim

Jets are the closest we can get to seeing and giving meaning to partons

- Play a pivotal role in experimental analyses, comparisons to QCD calculations
- Significant progress in past 2 years towards making them *consistent* (IR/Collinear safe) and *practical* Link with computational geometry All tools are made public: http://www.lpthe.jussieu.fr/~salam/fastjet/
- The physics of how jets behave in a hadron-collider environment is a rich subject — much to be understood, and potential for significant impact in how jets are used at LHC