
Preasymptotics in small- x splitting functions

Gavin Salam

LPTHE — Univ. Paris VI & VII and CNRS

In collaboration with M. Ciafaloni, D. Colferai and A. Stašto

HERA LHC Workshop
Geneva, January 2005

- Introduction
- Problem of convergence of small- x splitting functions.
- Quick overview of renorm. group improved small- x approach .

- Introduction
 - Problem of convergence of small- x splitting functions.
 - Quick overview of renorm. group improved small- x approach .
- Results for splitting functions
 - small- x growth
 - preasymptotics
 - explanation of ‘dip’

- Introduction
 - Problem of convergence of small- x splitting functions.
 - Quick overview of renorm. group improved small- x approach .
- Results for splitting functions
 - small- x growth
 - preasymptotics
 - explanation of ‘dip’
- [Slow] Progress towards phenomenology
 - Toy convolution, $P_{gg} \otimes g$
 - Difficulties with $\overline{\text{MS}}$ scheme

- Small- x gluon splitting function has logarithmic enhancements:

$$xP_{gg}(x) = \sum_{n=1} \alpha_s^n \ln^{n-1} \frac{1}{x}$$

$$+ \sum_{n=2} \alpha_s^n \ln^{n-2} \frac{1}{x} + \dots$$

Perturbative structure of P_{gg}

- Small- x gluon splitting function has logarithmic enhancements:

$$xP_{gg}(x) = \sum_{n=1}^{\infty} \alpha_s^n \ln^{n-1} \frac{1}{x} + \sum_{n=2}^{\infty} \alpha_s^n \ln^{n-2} \frac{1}{x} + \dots$$

Leading Logs (LLx):

$$\bar{\alpha}_s + \frac{\zeta(3)}{3} \bar{\alpha}_s^4 \ln^3 \frac{1}{x} + \frac{\zeta(5)}{60} \bar{\alpha}_s^6 \ln^5 \frac{1}{x} + \dots$$

Perturbative structure of P_{gg}

- Small- x gluon splitting function has logarithmic enhancements:

$$xP_{gg}(x) = \sum_{n=1} \alpha_s^n \ln^{n-1} \frac{1}{x}$$

$$+ \boxed{\sum_{n=2} \alpha_s^n \ln^{n-2} \frac{1}{x}} + \dots$$

Leading Logs (LLx):

$$\bar{\alpha}_s + \frac{\zeta(3)}{3} \bar{\alpha}_s^4 \ln^3 \frac{1}{x} + \frac{\zeta(5)}{60} \bar{\alpha}_s^6 \ln^5 \frac{1}{x} + \dots$$

Next-to-Leading Logs (NLLx):

$$A_{20} \bar{\alpha}_s^2 + A_{31} \bar{\alpha}_s^3 \ln \frac{1}{x} + A_{42} \bar{\alpha}_s^4 \ln^3 \frac{1}{x} + \dots$$

Fadin & Lipatov '98

Camici & Ciafaloni '98

Perturbative structure of P_{gg}

- Small- x gluon splitting function has logarithmic enhancements:

$$xP_{gg}(x) = \sum_{n=1} \alpha_s^n \ln^{n-1} \frac{1}{x}$$

$$+ \sum_{n=2} \alpha_s^n \ln^{n-2} \frac{1}{x} + \dots$$

- NNLO (α_s^3): first small- x enhancement in gluon splitting function.

Leading Logs (LLx):

$$\bar{\alpha}_s + \frac{\zeta(3)}{3} \bar{\alpha}_s^4 \ln^3 \frac{1}{x} + \frac{\zeta(5)}{60} \bar{\alpha}_s^6 \ln^5 \frac{1}{x} + \dots$$

Next-to-Leading Logs (NLLx):

$$A_{20} \bar{\alpha}_s^2 + A_{31} \bar{\alpha}_s^3 \ln \frac{1}{x} + A_{42} \bar{\alpha}_s^4 \ln^3 \frac{1}{x} + \dots$$

Fadin & Lipatov '98

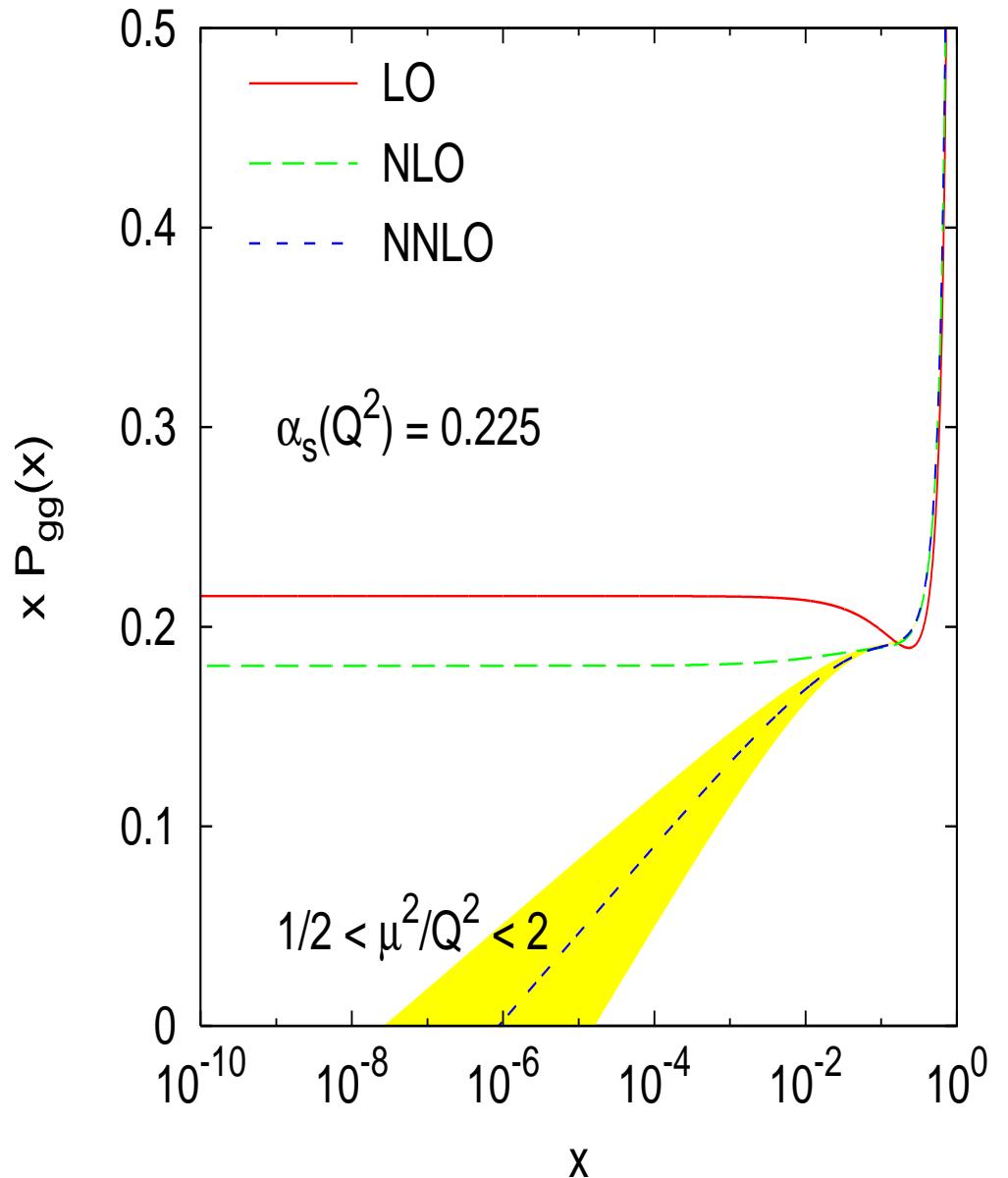
Camici & Ciafaloni '98

Perturbative structure of P_{gg}

- Small- x gluon splitting function has logarithmic enhancements:

$$xP_{gg}(x) = \sum_{n=1} \alpha_s^n \ln^{n-1} \frac{1}{x} + \sum_{n=2} \alpha_s^n \ln^{n-2} \frac{1}{x} + \dots$$

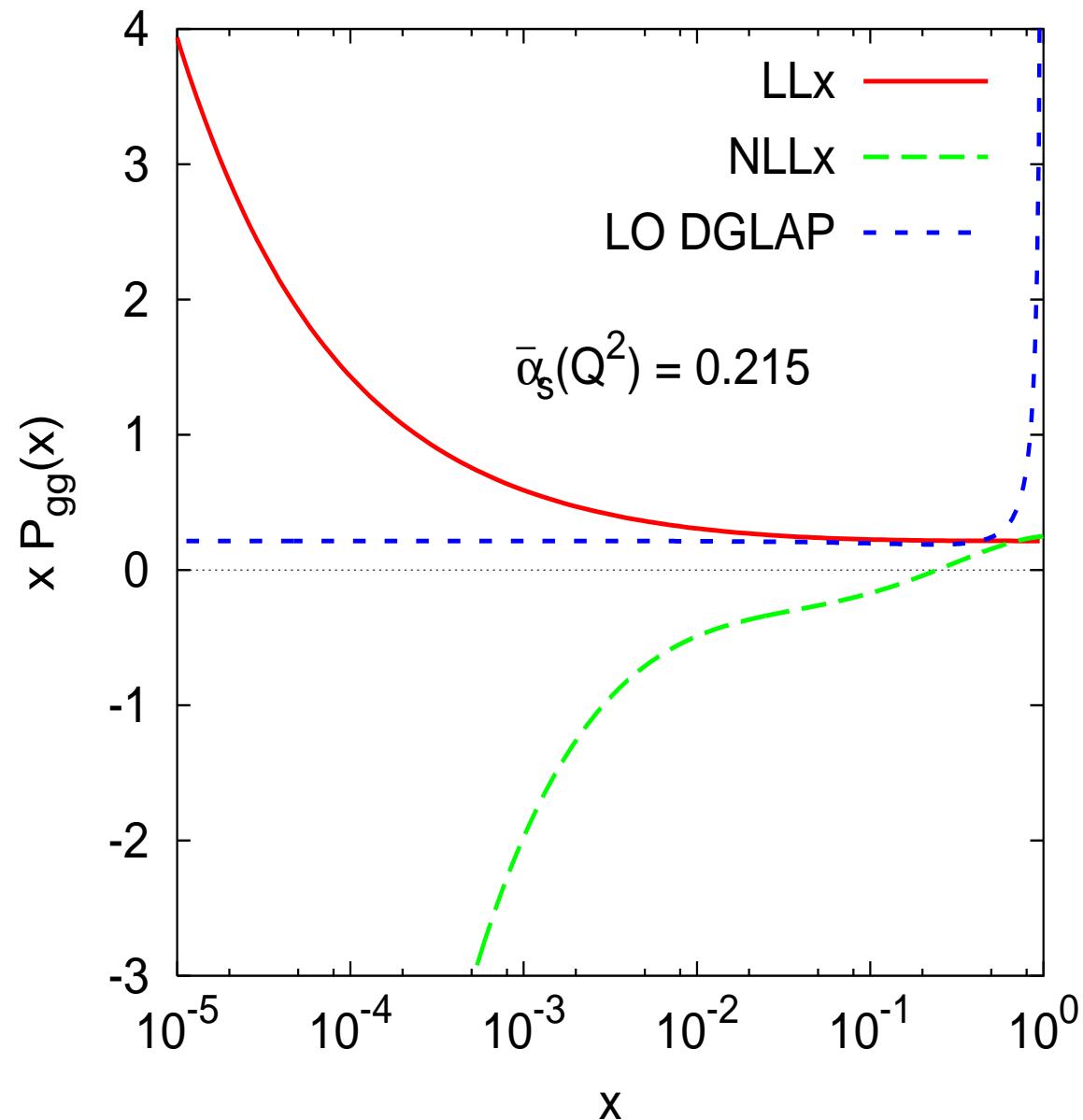
- NNLO (α_s^3): first small- x enhancement in gluon splitting function.



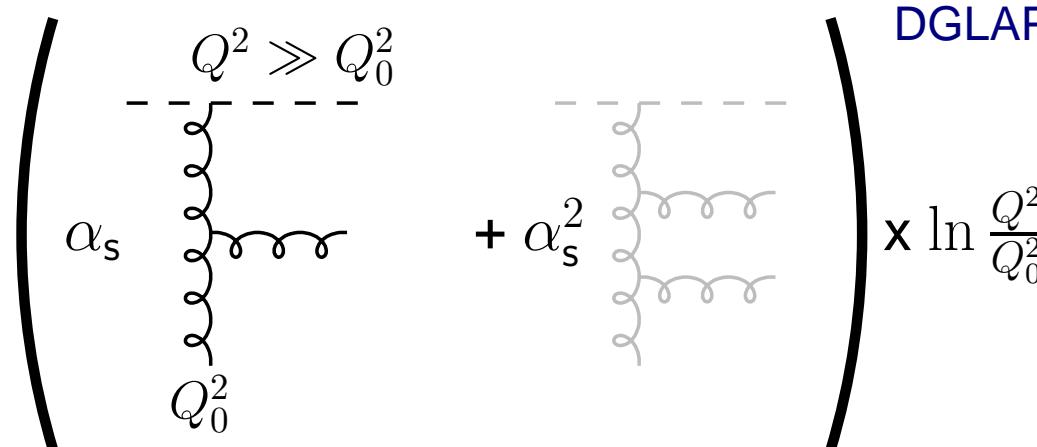
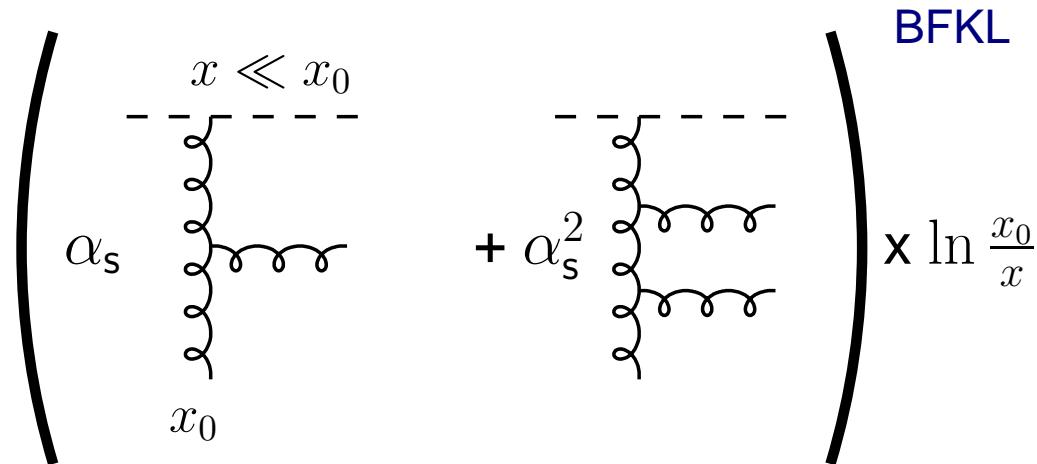
Reminder

- LL x terms rise very fast, $xP_{gg}(x) \sim x^{-0.5}$. Incompatible with data.
Ball & Forte '95
- NLL x terms go negative very fast.
No one's even tried fitting the data!

[NB: Taking NLL x terms of P_{gg} is almost the worst possible expansion]

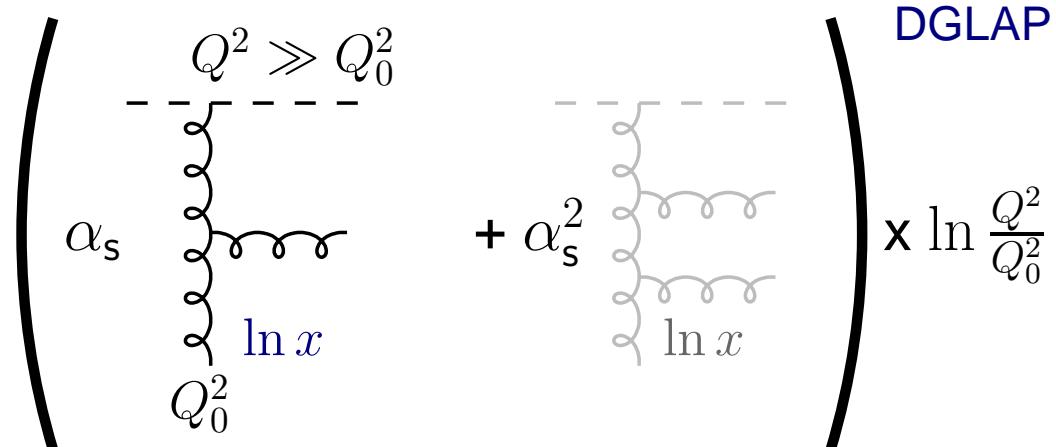
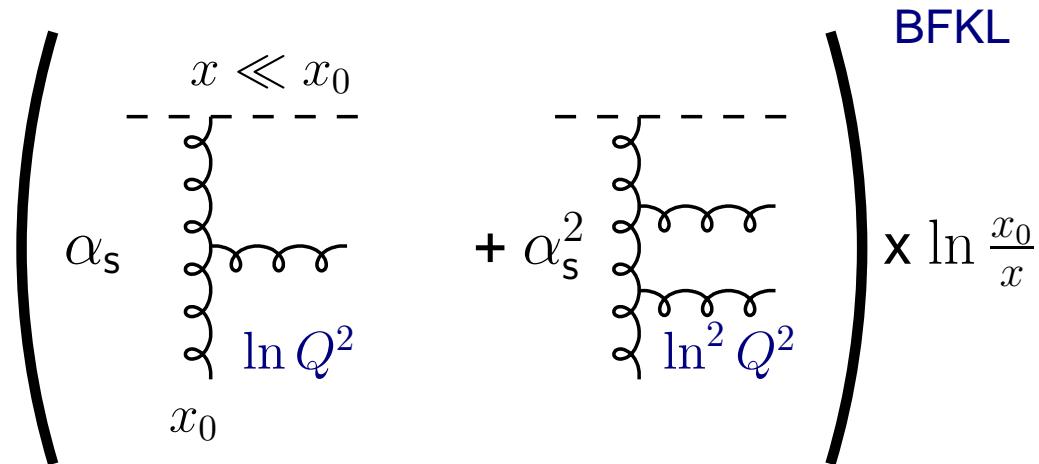


Improved NLL x ? Start with kernel...



$+ Q^2 \Leftrightarrow Q_0^2$ anti-DGLAP

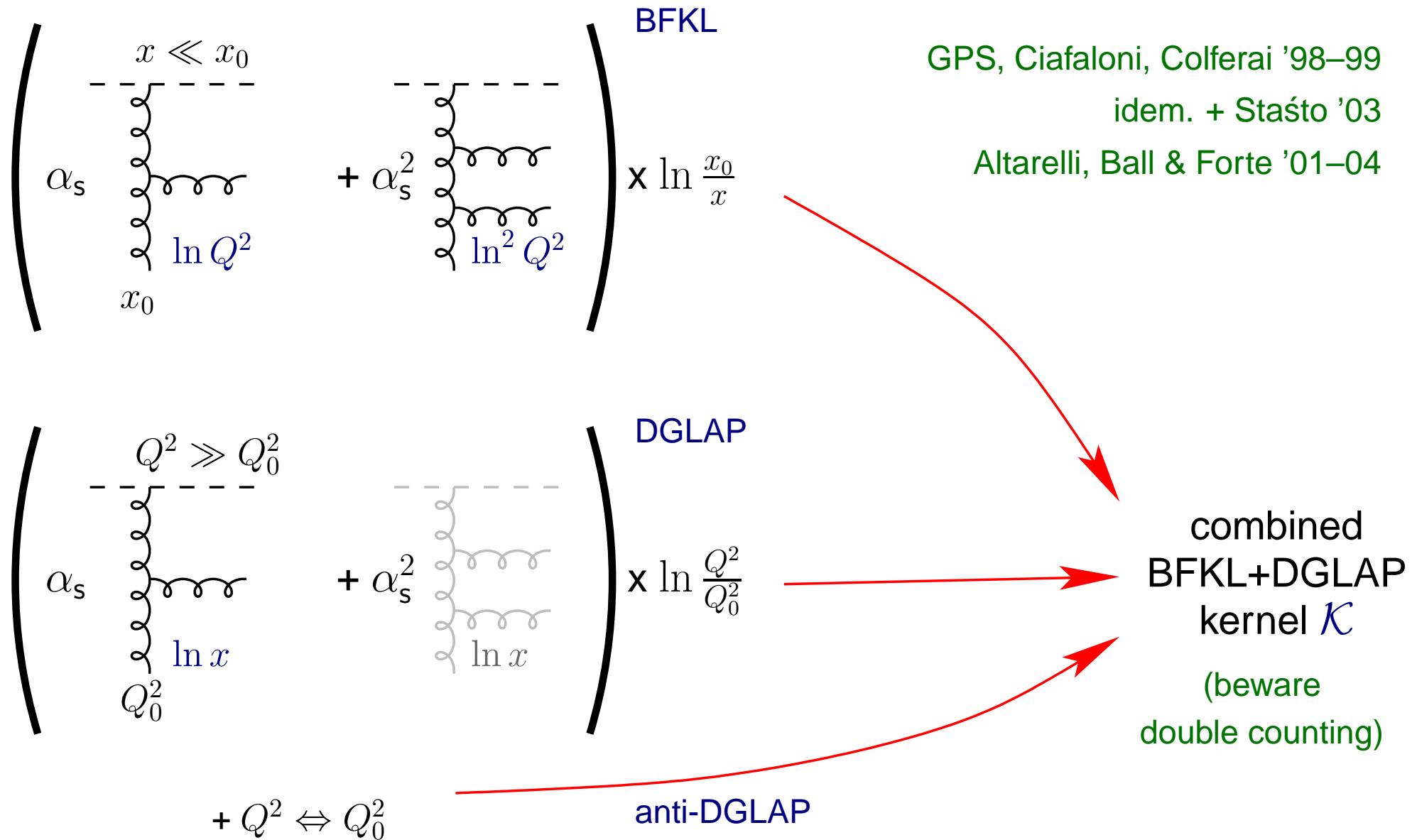
Improved NLL x ? Start with kernel...



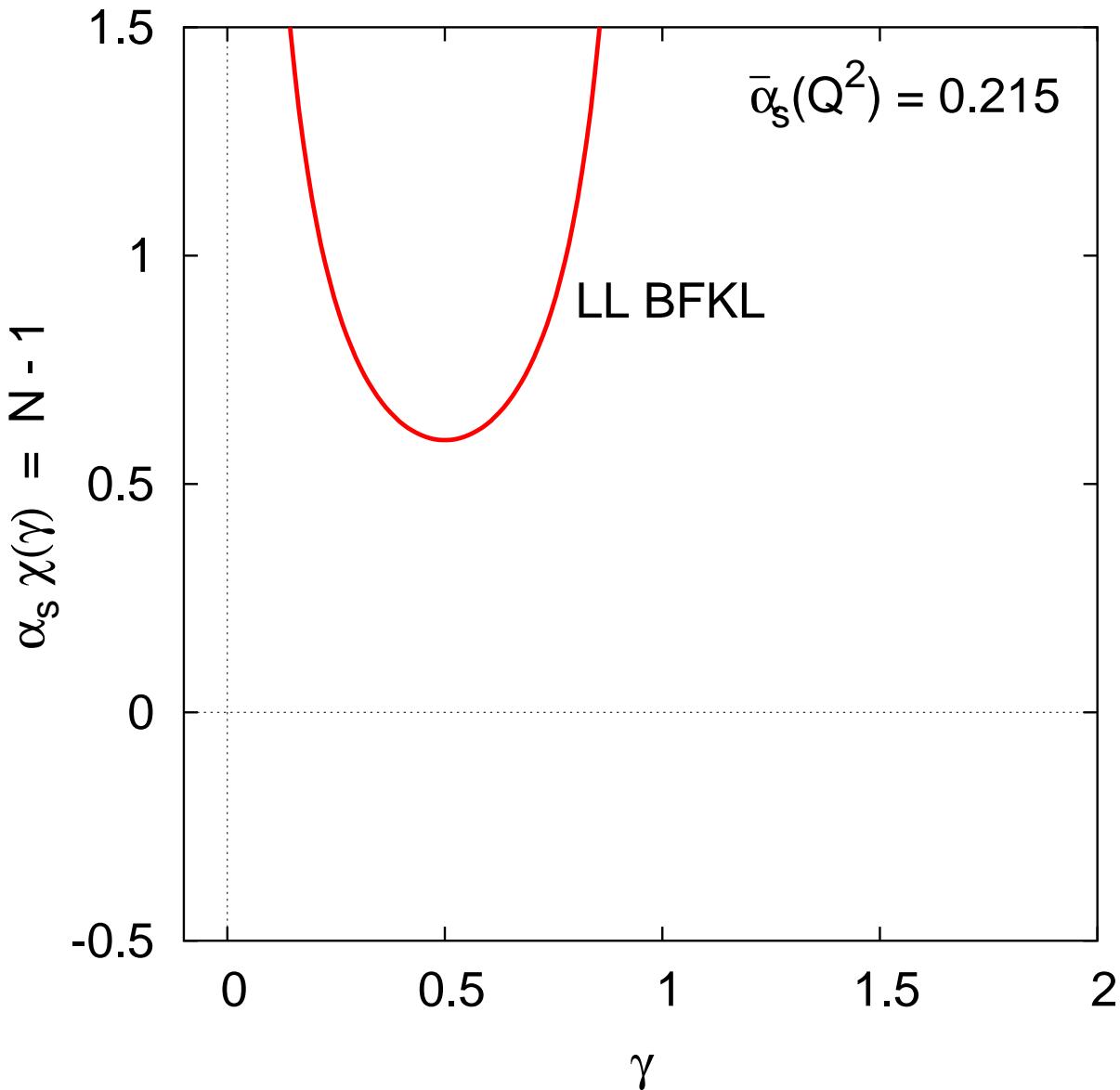
$$+ Q^2 \Leftrightarrow Q_0^2$$

anti-DGLAP

Improved NLL x ? Start with kernel...



Building up the kernel...

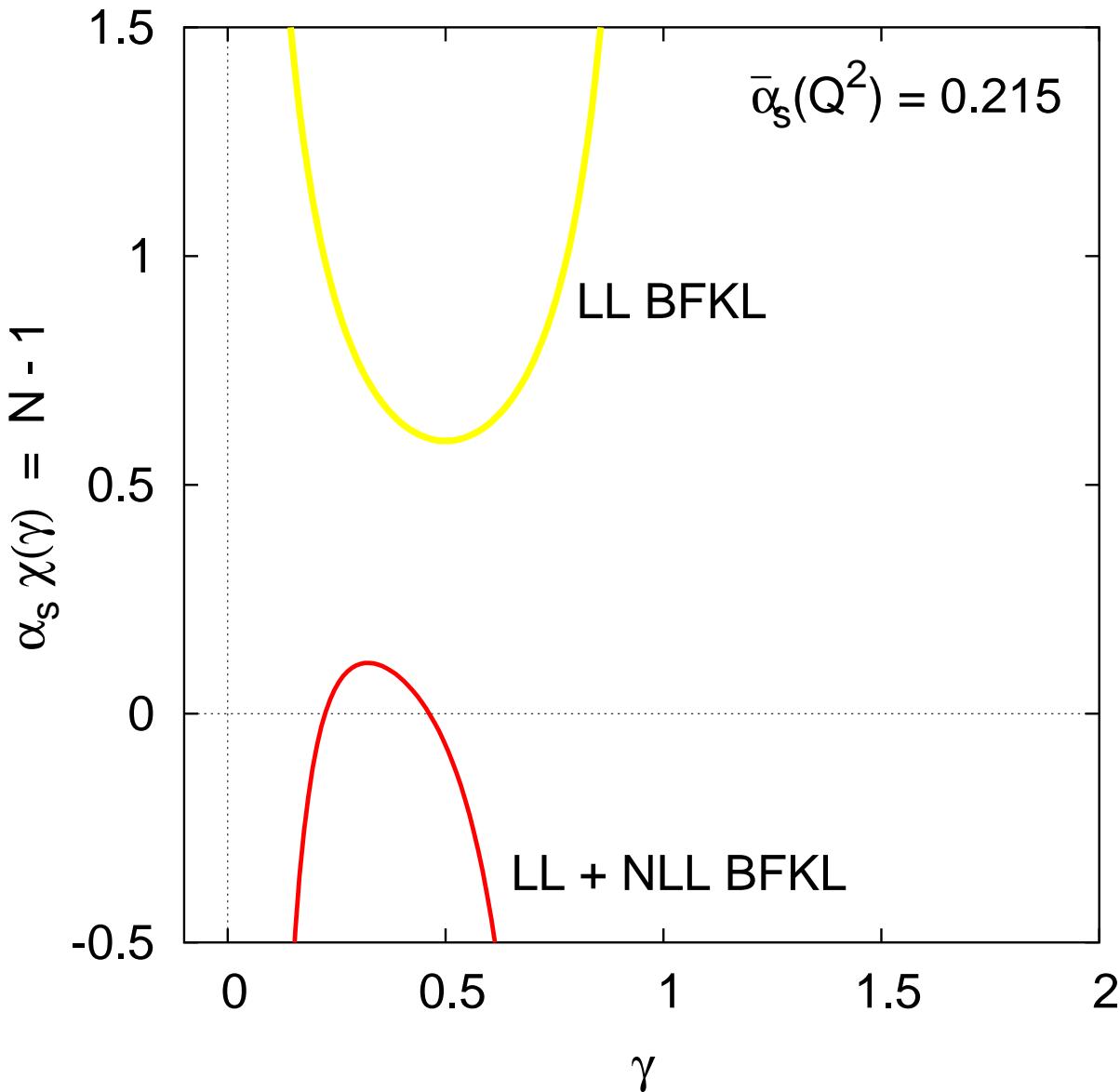


Build up *characteristic function*, i.e. the Mellin transform of kernel (fixed coupling)

$$\begin{aligned}\bar{\alpha}_s \chi(\gamma) &= \\ &= \int \frac{dk^2}{k^2} \left(\frac{k^2}{k_0^2} \right)^\gamma \mathcal{K}(k, k_0)\end{aligned}$$

Height of minimum is ‘BFKL power’

Building up the kernel...

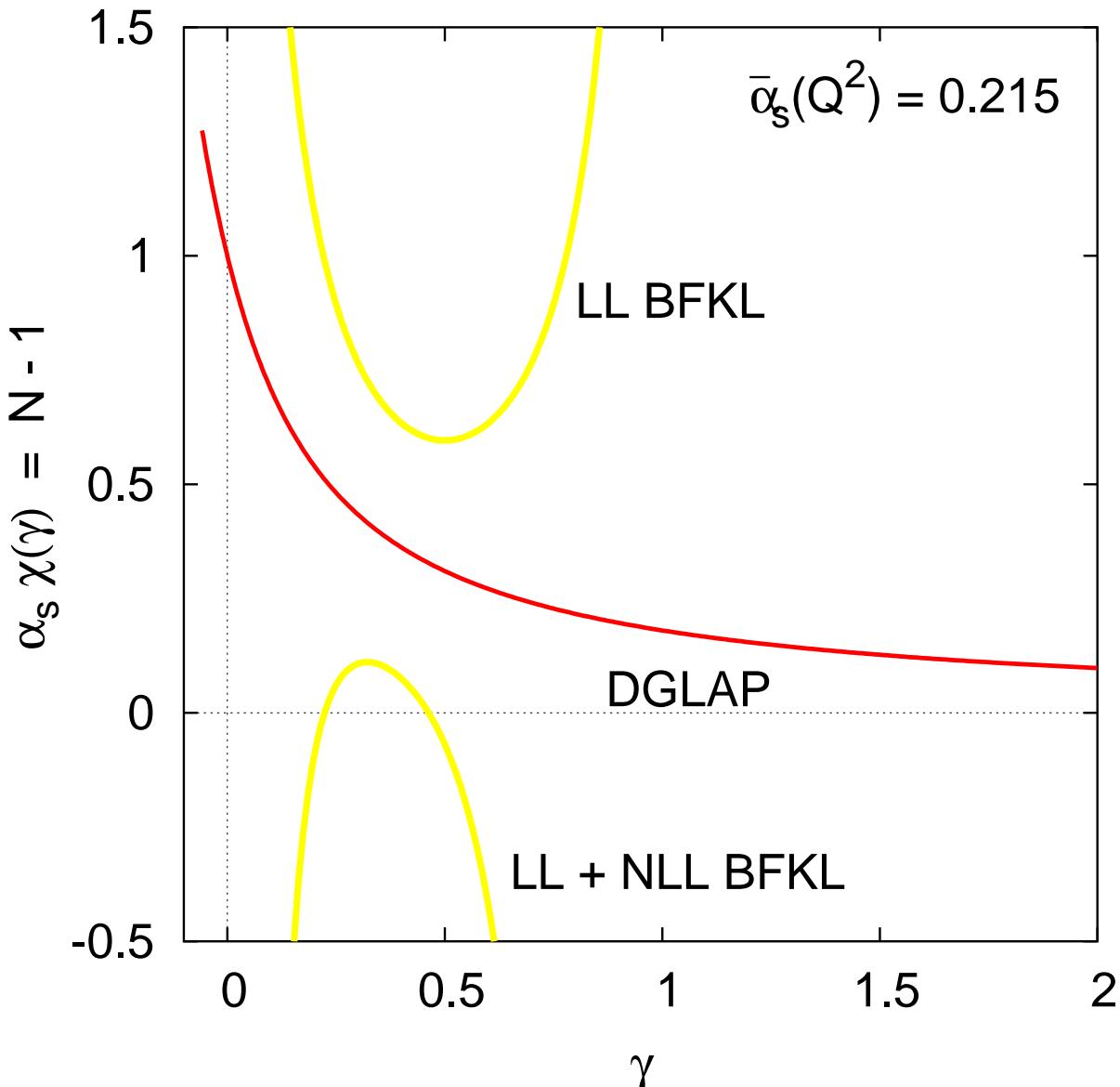


Build up *characteristic function*, i.e. the Mellin transform of kernel (fixed coupling)

$$\begin{aligned}\bar{\alpha}_s \chi(\gamma) &= \\ &= \int \frac{dk^2}{k^2} \left(\frac{k^2}{k_0^2} \right)^\gamma \mathcal{K}(k, k_0)\end{aligned}$$

Height of minimum is ‘BFKL power’

Building up the kernel...



Build up *characteristic function*, i.e. the Mellin transform of kernel (fixed coupling)

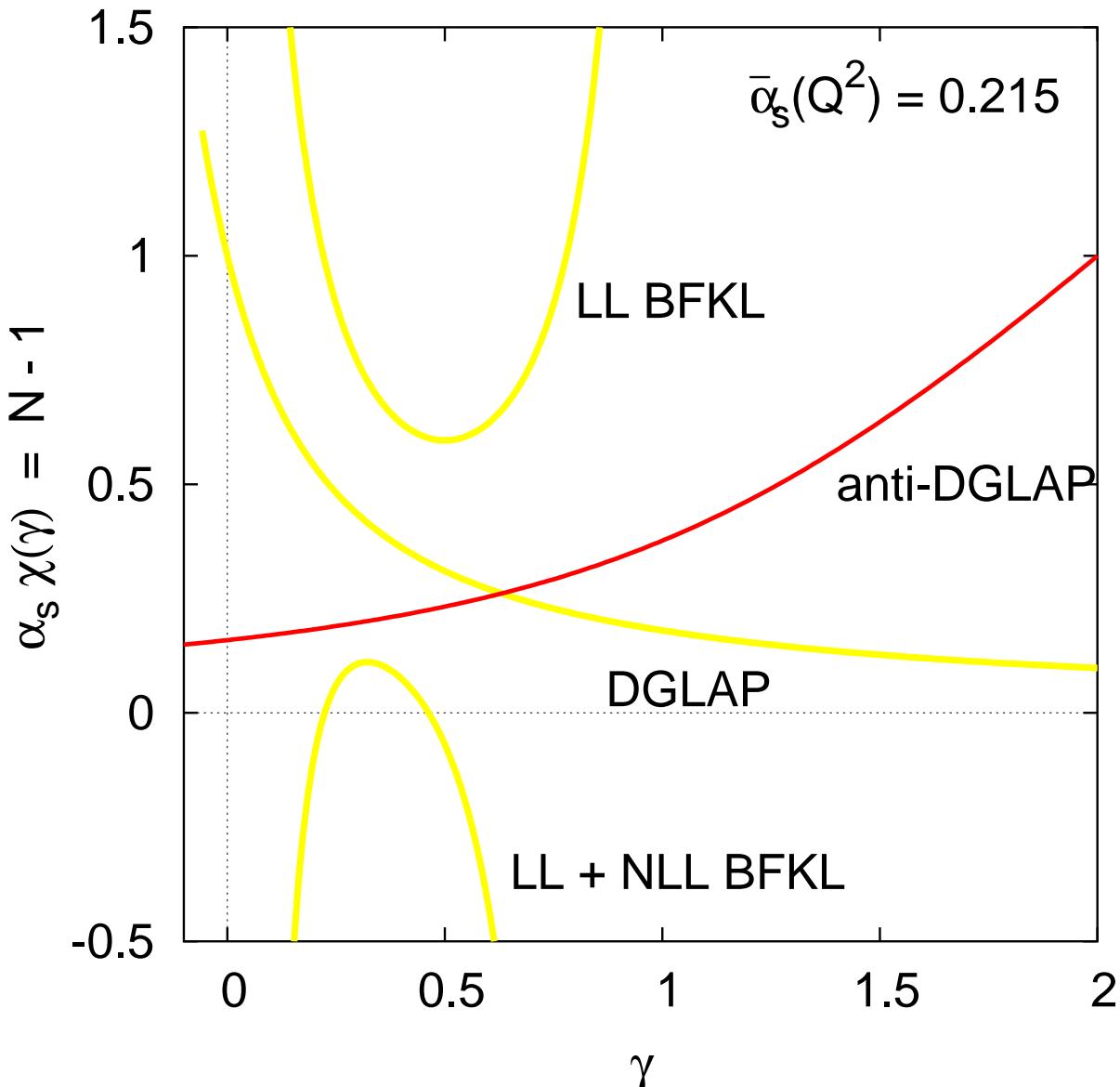
$$\begin{aligned}\bar{\alpha}_s \chi(\gamma) &= \\ &= \int \frac{dk^2}{k^2} \left(\frac{k^2}{k_0^2} \right)^\gamma \mathcal{K}(k, k_0)\end{aligned}$$

Height of minimum is ‘BFKL power’

NB: DGLAP = ‘rotated’ plot of

$$\gamma(N)$$

Building up the kernel...



Build up *characteristic function*, i.e. the Mellin transform of kernel (fixed coupling)

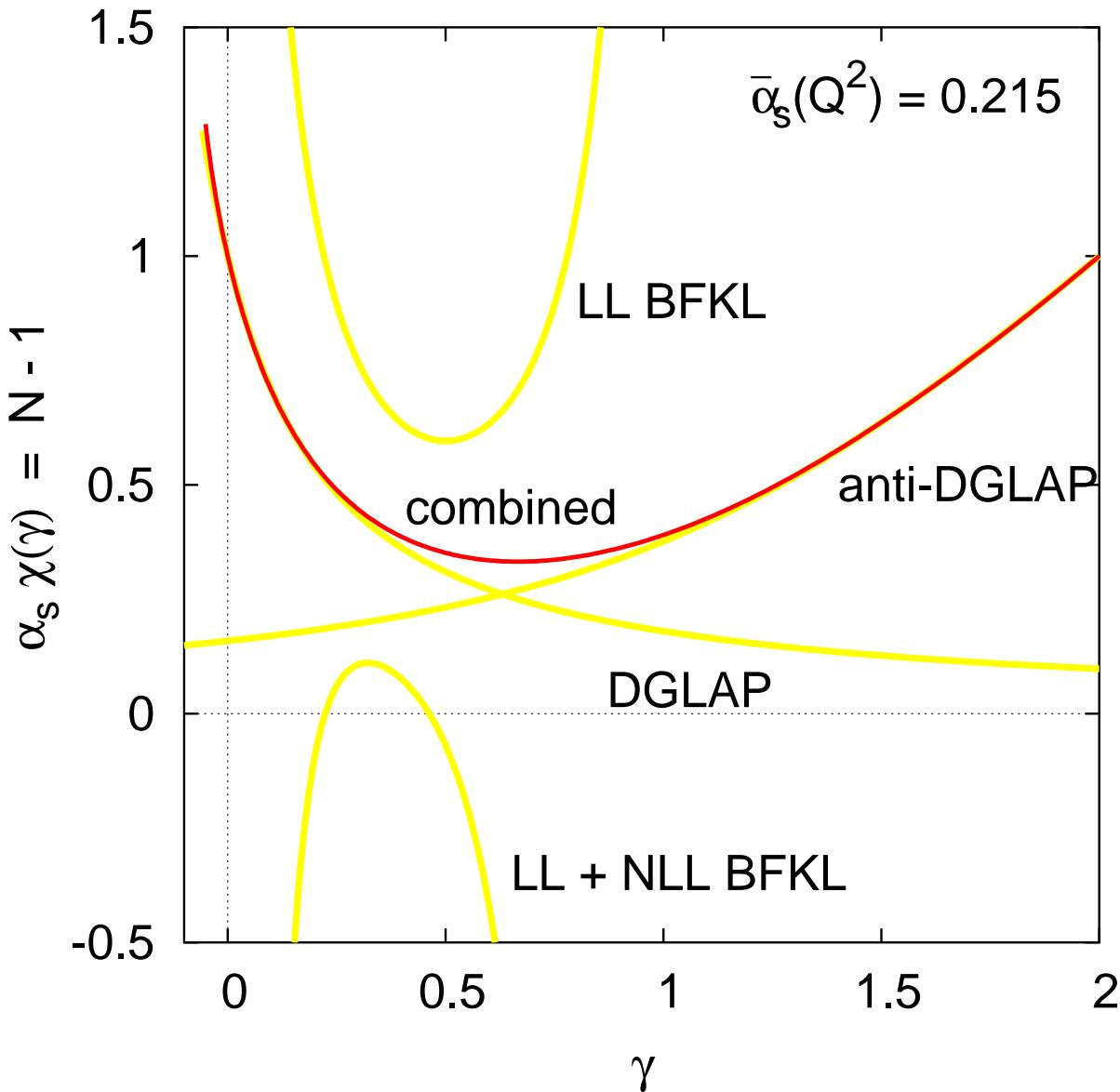
$$\begin{aligned}\bar{\alpha}_s \chi(\gamma) &= \\ &= \int \frac{dk^2}{k^2} \left(\frac{k^2}{k_0^2} \right)^\gamma \mathcal{K}(k, k_0)\end{aligned}$$

Height of minimum is ‘BFKL power’

NB: DGLAP = ‘rotated’ plot of

$$\gamma(N)$$

Building up the kernel...



Build up *characteristic function*, i.e. the Mellin transform of kernel (fixed coupling)

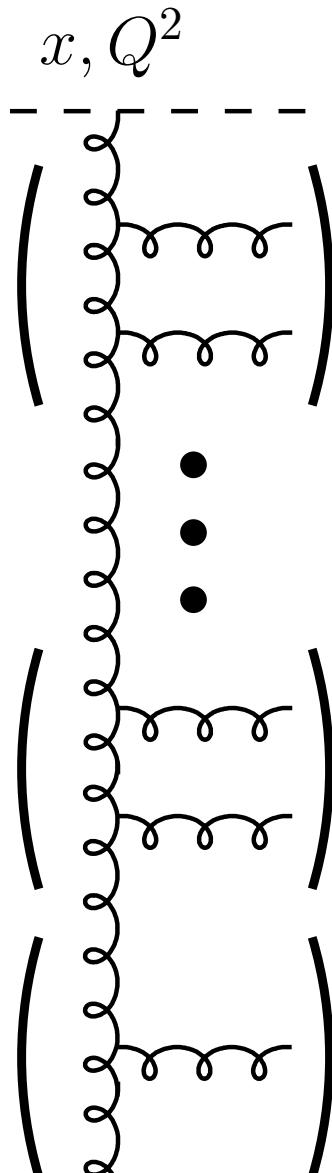
$$\begin{aligned} \bar{\alpha}_s \chi(\gamma) &= \\ &= \int \frac{dk^2}{k^2} \left(\frac{k^2}{k_0^2} \right)^\gamma \mathcal{K}(k, k_0) \end{aligned}$$

Height of minimum is ‘BFKL power’

NB: DGLAP = ‘rotated’ plot of

$$\gamma(N)$$

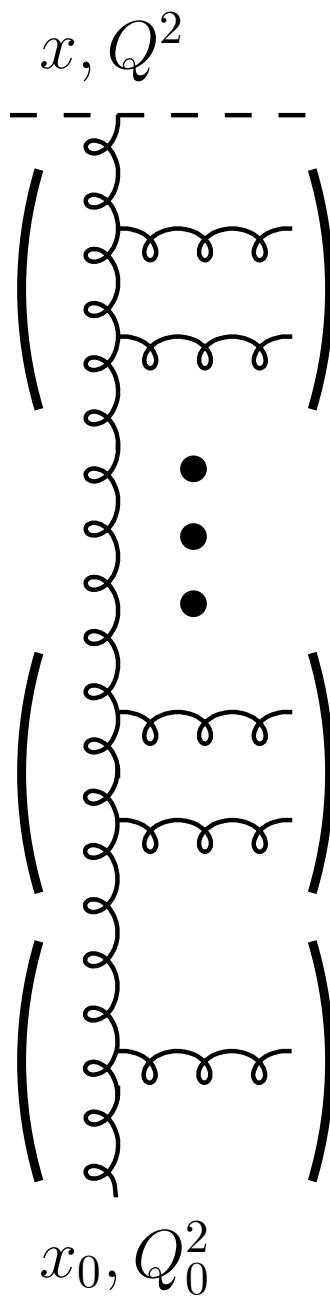
Iteration of kernel \Rightarrow Green function



Green function:

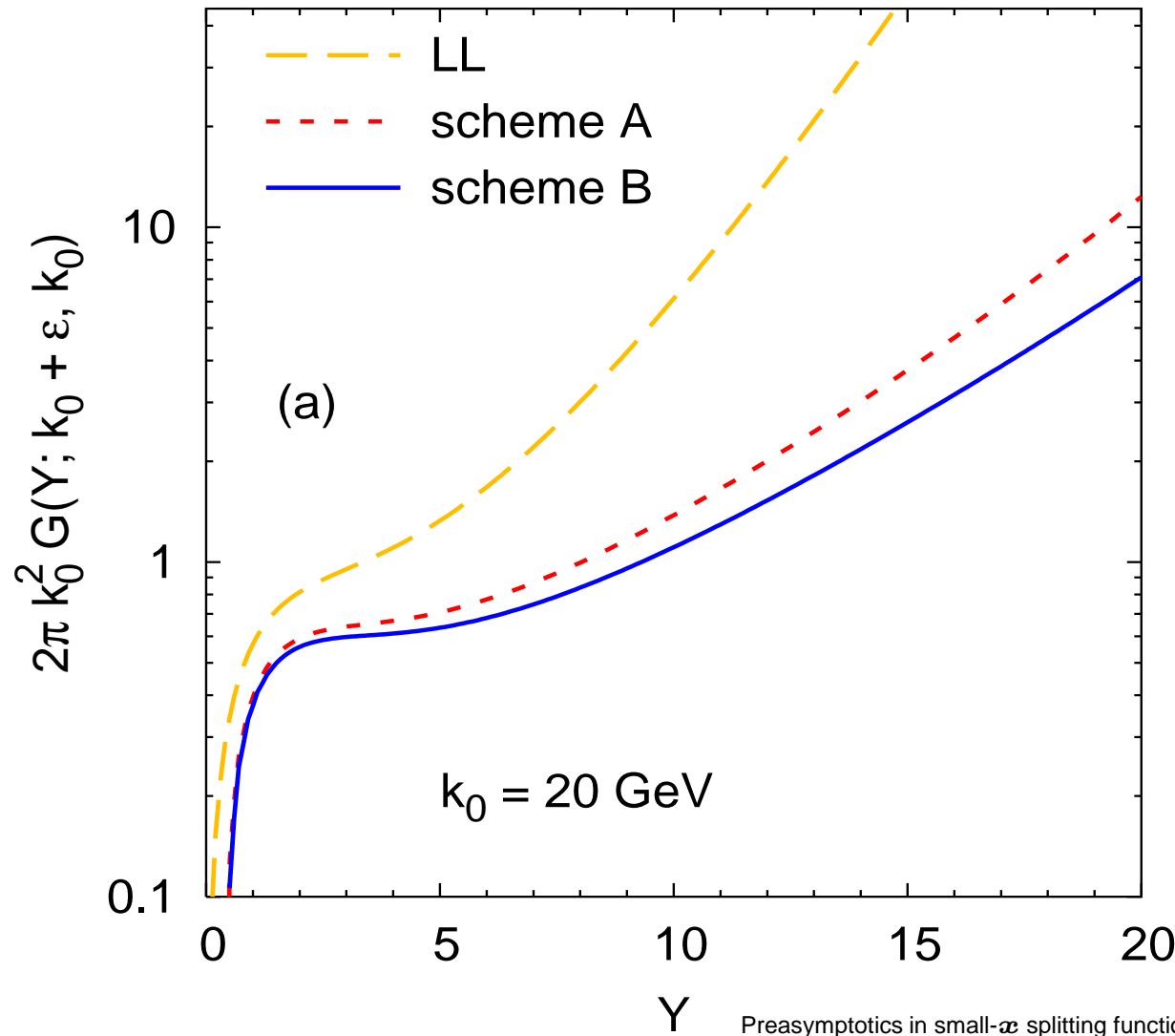
$$G \left(\ln \frac{x}{x_0}; Q_0, Q \right)$$

Iteration of kernel \Rightarrow Green function

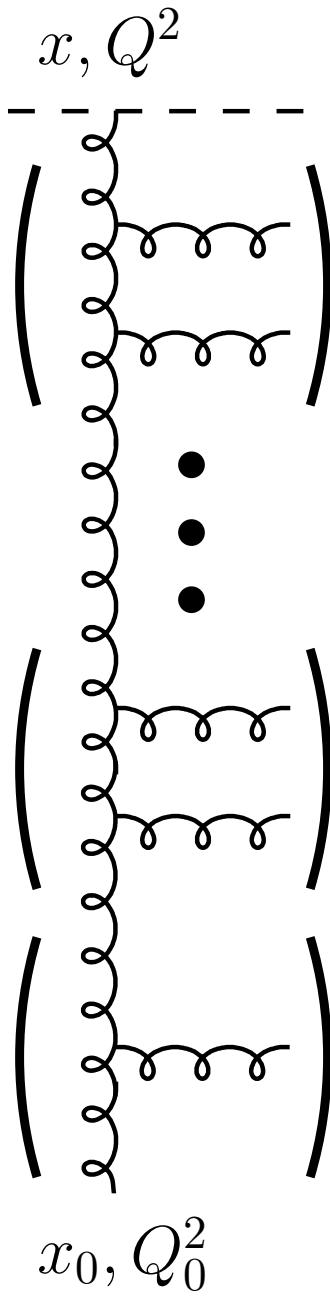


Green function:

$$G\left(\ln \frac{x}{x_0}; Q_0, Q\right)$$

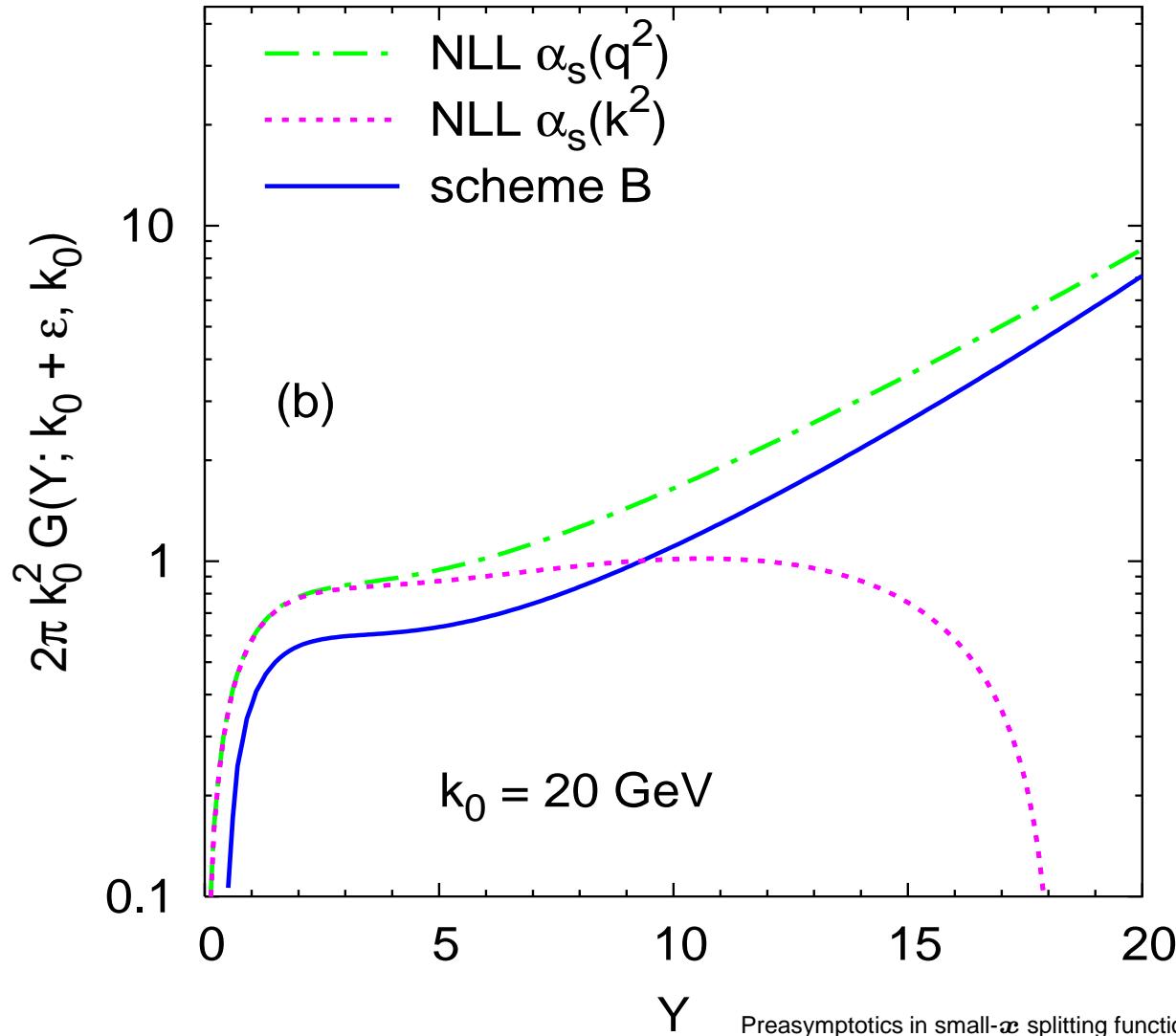


Iteration of kernel \Rightarrow Green function



Green function:

$$G \left(\ln \frac{x}{x_0}; Q_0, Q \right)$$



Green function \Rightarrow effective DGLAP splitting function

Construct a gluon density from Green function (take $k \gg k_0$):

$$xg(x, Q^2) \equiv \int^Q d^2k G^{(\nu_0=k^2)}(\ln 1/x, k, k_0)$$

Green function \Rightarrow effective DGLAP splitting function

Construct a gluon density from Green function (take $k \gg k_0$):

$$xg(x, Q^2) \equiv \int^Q d^2k G^{(\nu_0=k^2)}(\ln 1/x, k, k_0)$$

Numerically solve equation for effective splitting function, $P_{gg,\text{eff}}(z, Q^2)$:

$$\frac{dg(x, Q^2)}{d \ln Q^2} = \int \frac{dz}{z} P_{gg,\text{eff}}(z, Q^2) g\left(\frac{x}{z}, Q^2\right)$$

Green function \Rightarrow effective DGLAP splitting function

Construct a gluon density from Green function (take $k \gg k_0$):

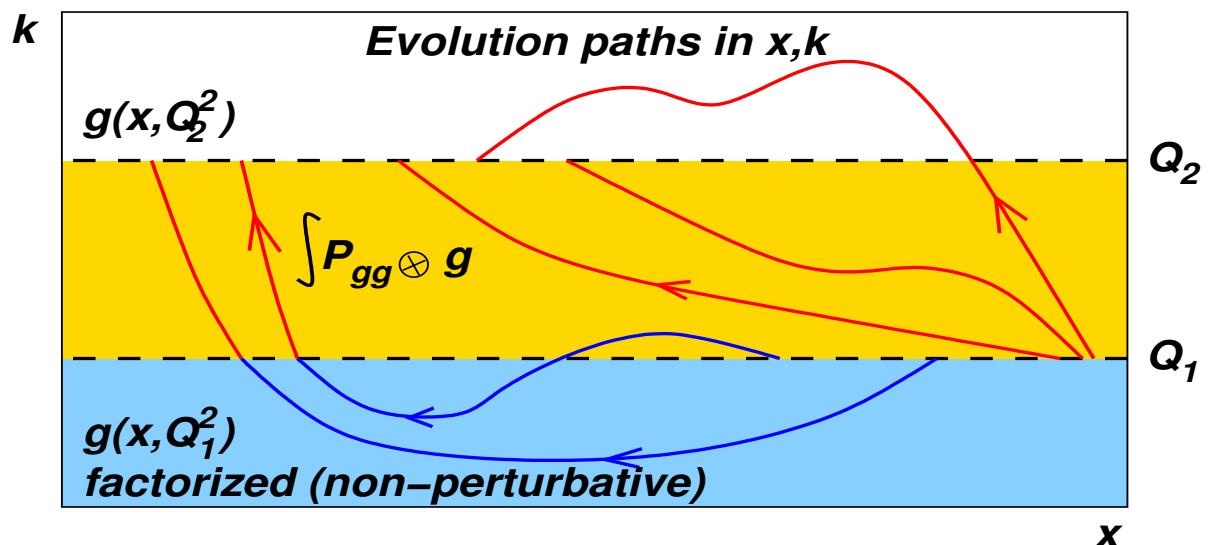
$$xg(x, Q^2) \equiv \int^Q d^2k G^{(\nu_0=k^2)}(\ln 1/x, k, k_0)$$

Numerically solve equation for effective splitting function, $P_{gg,\text{eff}}(z, Q^2)$:

$$\frac{dg(x, Q^2)}{d \ln Q^2} = \int \frac{dz}{z} P_{gg,\text{eff}}(z, Q^2) g\left(\frac{x}{z}, Q^2\right)$$

Factorisation

- Splitting function:
red paths
- Green function:
all paths



Green function \Rightarrow effective DGLAP splitting function

Construct a gluon density from Green function (take $k \gg k_0$):

$$xg(x, Q^2) \equiv \int^Q d^2k G^{(\nu_0=k^2)}(\ln 1/x, k, k_0)$$

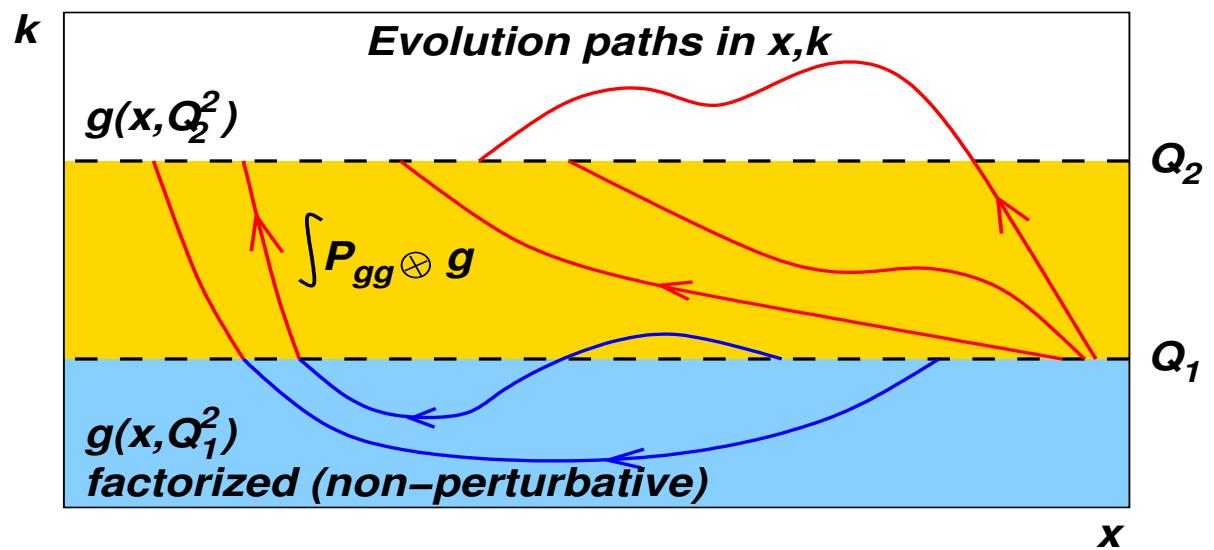
Numerically solve equation for effective splitting function, $P_{gg,\text{eff}}(z, Q^2)$:

$$\frac{dg(x, Q^2)}{d \ln Q^2} = \int \frac{dz}{z} P_{gg,\text{eff}}(z, Q^2) g\left(\frac{x}{z}, Q^2\right)$$

Factorisation

- Splitting function:
red paths
- Green function:
all paths

*Splitting function \equiv
evolution with cutoff*



BFKL splitting function ‘power’

Two classes of correction, to power growth ω :

$$\omega = 4 \ln 2 \bar{\alpha}_s(Q^2) \left(1 - \underbrace{6.5 \bar{\alpha}_s}_{NLL} - \underbrace{4.0 \bar{\alpha}_s^{2/3}}_{running} + \dots \right)$$

$$\bar{\alpha}_s = \alpha_s N_c / \pi$$

- NLL piece is *universal*
- running piece appears only in problems with *cutoffs*
 - a consequence of *asymmetry* due to cutoff (only scales higher than cutoff contribute)

$$\alpha_s(Q^2) \rightarrow \alpha_s(Q^2 e^{-X/(b\alpha_s)^{1/3}})$$

Hancock & Ross '92

BFKL splitting function ‘power’

Two classes of correction, to power growth ω :

$$\omega = 4 \ln 2 \bar{\alpha}_s(Q^2) \left(1 - \underbrace{6.5 \bar{\alpha}_s}_{NLL} - \underbrace{4.0 \bar{\alpha}_s^{2/3}}_{running} + \dots \right)$$

$$\bar{\alpha}_s = \alpha_s N_c / \pi$$

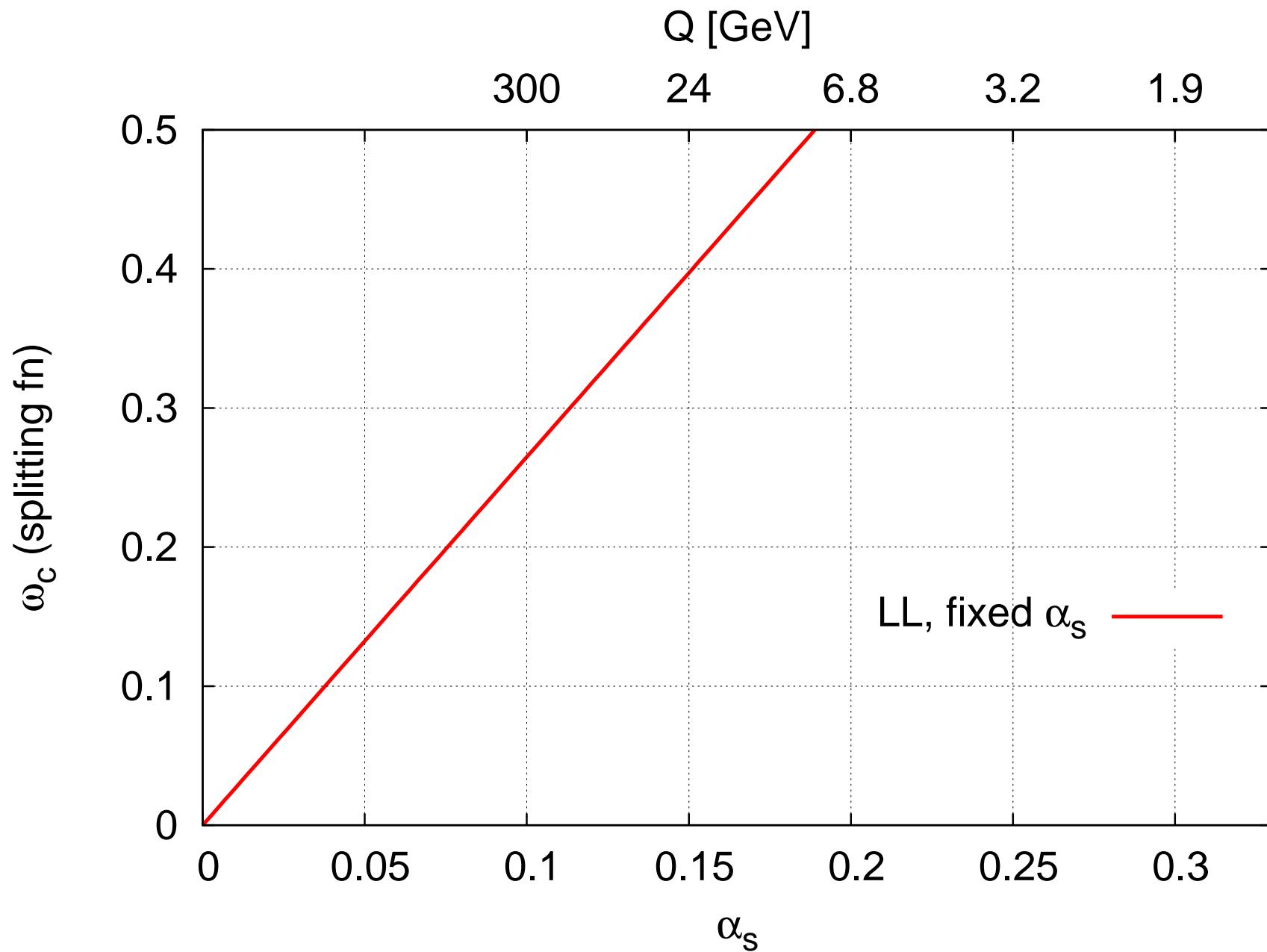
- NLL piece is *universal*
- running piece appears only in problems with *cutoffs*
 - a consequence of *asymmetry* due to cutoff (only scales higher than cutoff contribute)

$$\alpha_s(Q^2) \rightarrow \alpha_s(Q^2 e^{-X/(b\alpha_s)^{1/3}})$$

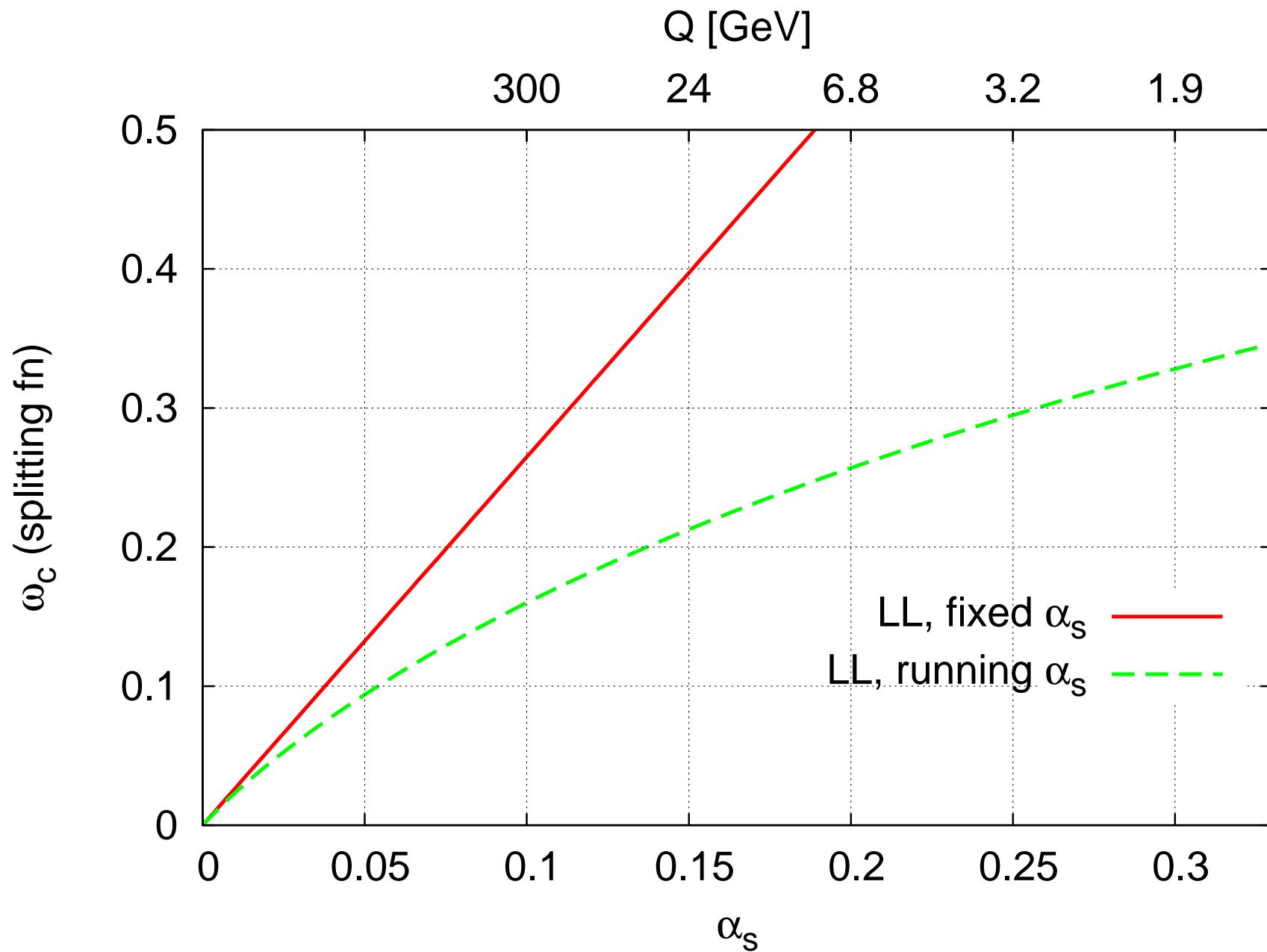
Hancock & Ross '92

- Beyond first terms, not possible to separate effects of ‘pure’ higher orders & running coupling

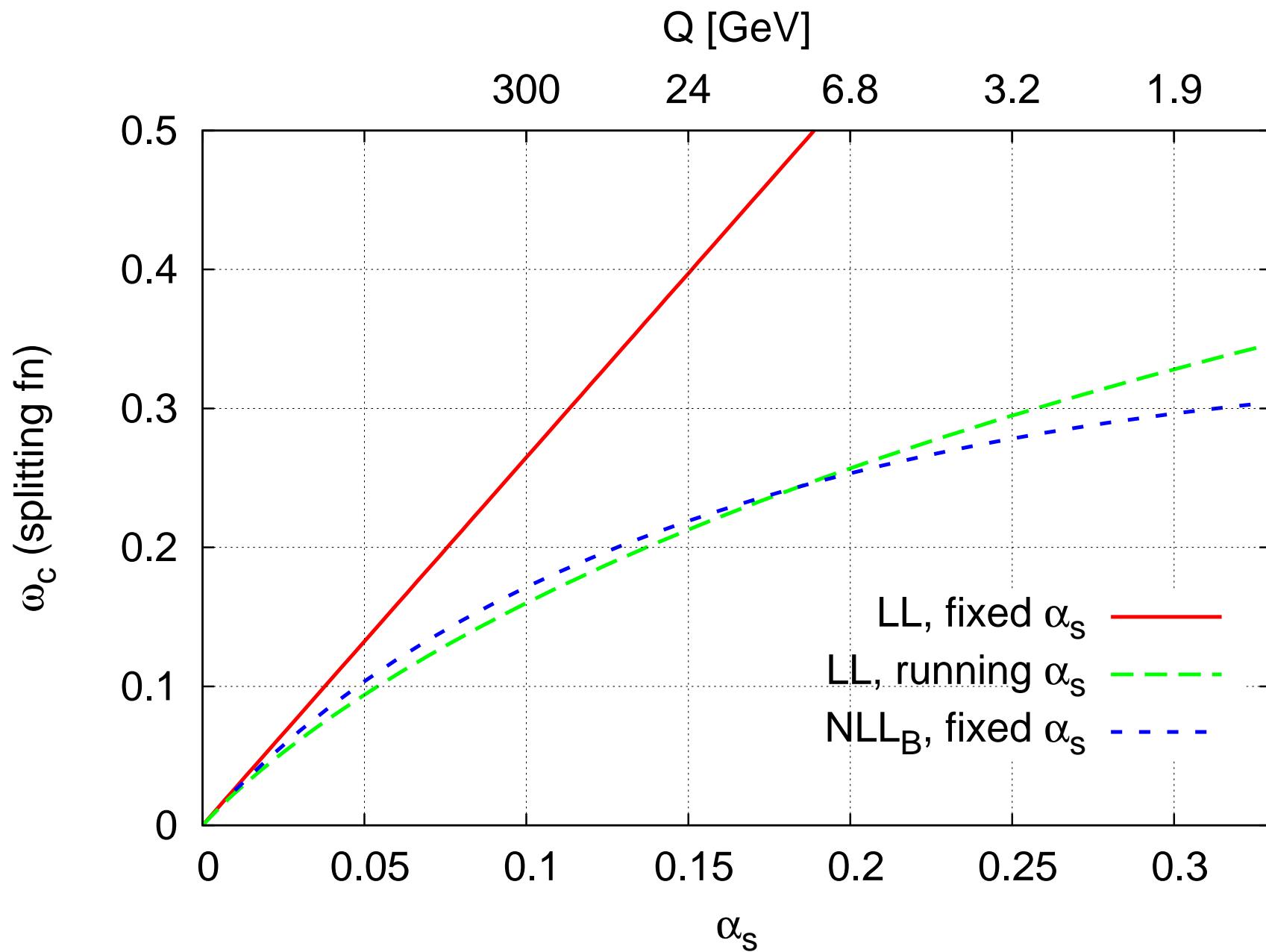
BFKL splitting function power growth – results



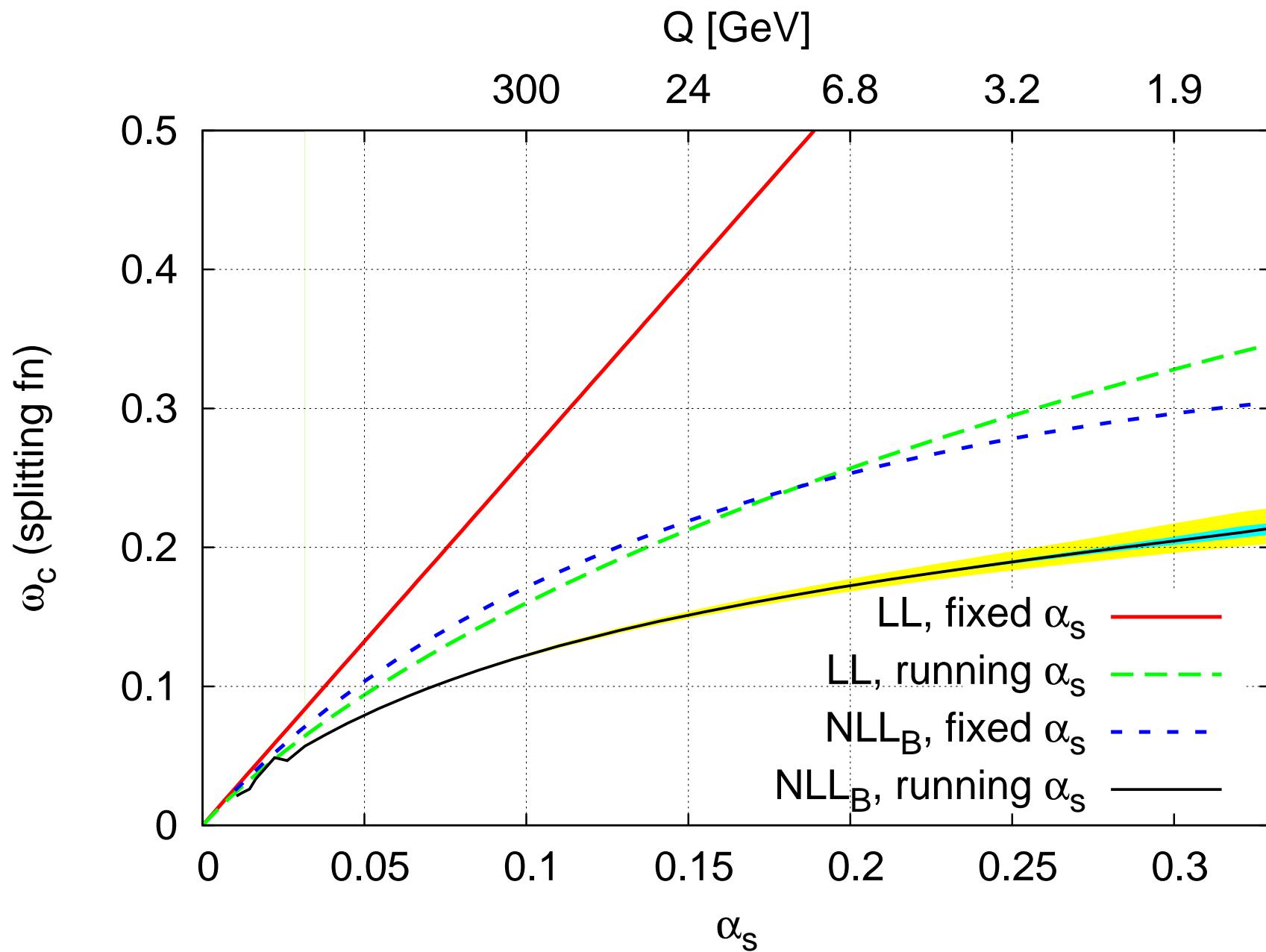
BFKL splitting function power growth – results



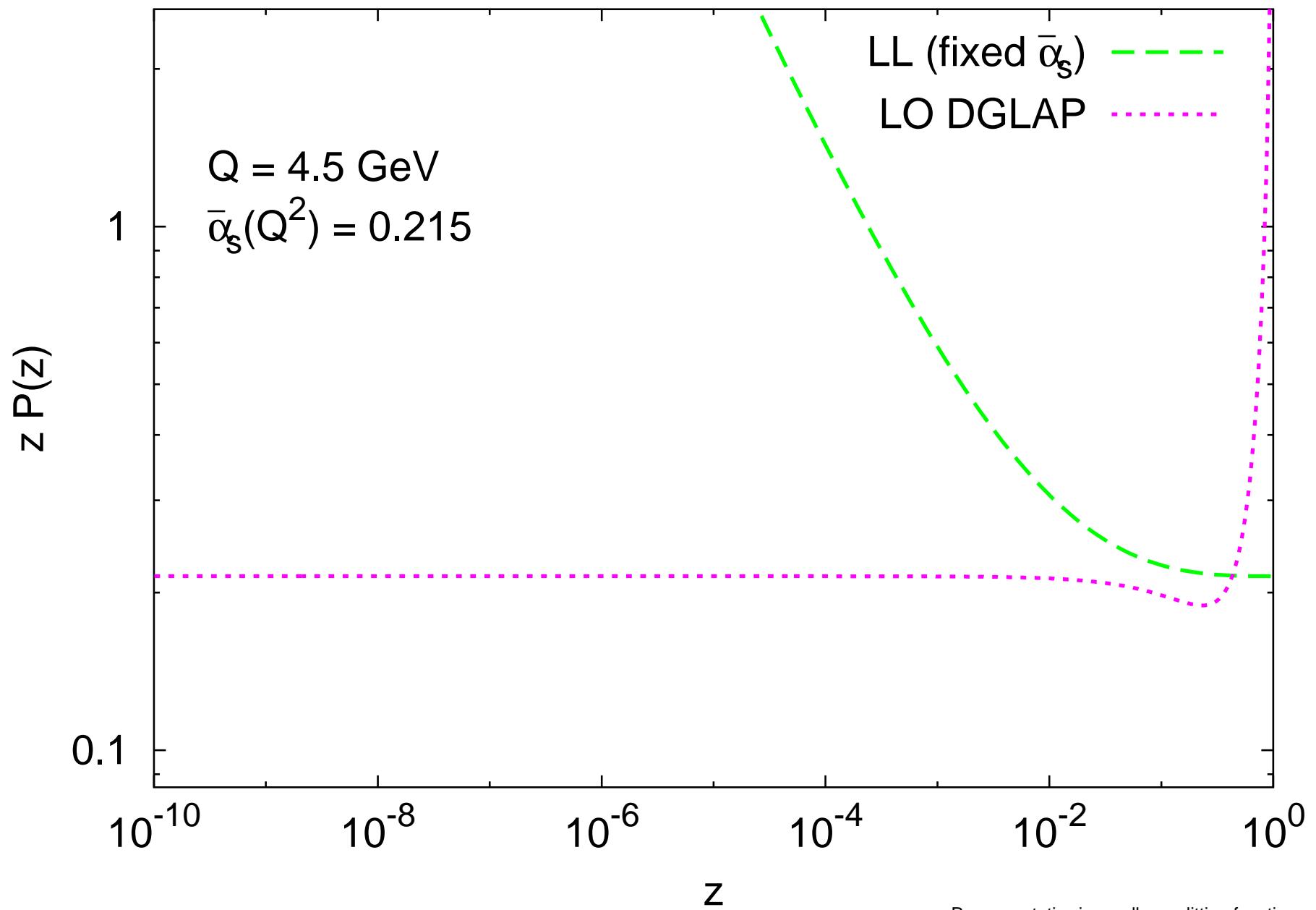
BFKL splitting function power growth – results



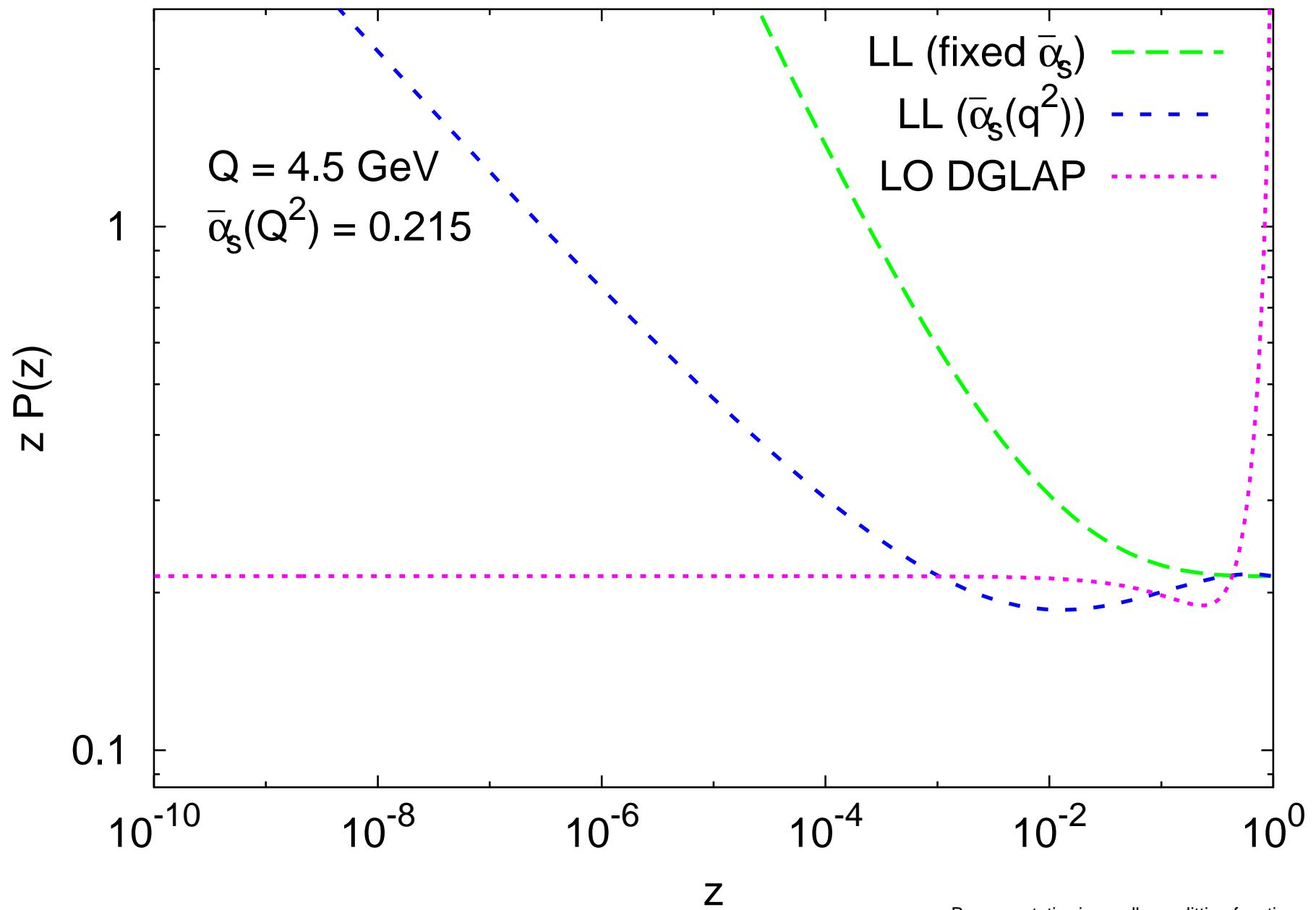
BFKL splitting function power growth – results



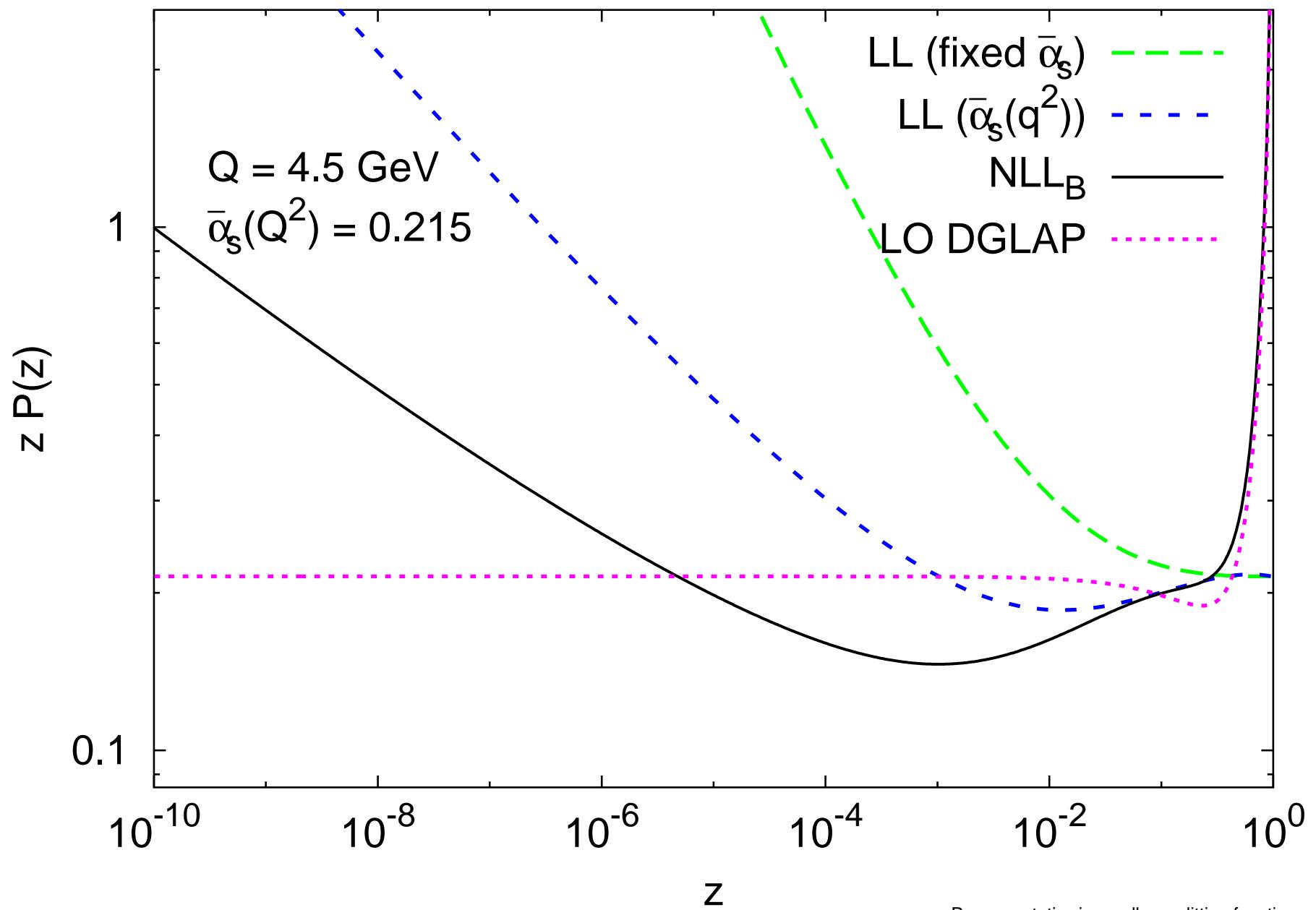
Full $P_{gg}(z)$ splitting fn



Full $P_{gg}(z)$ splitting fn

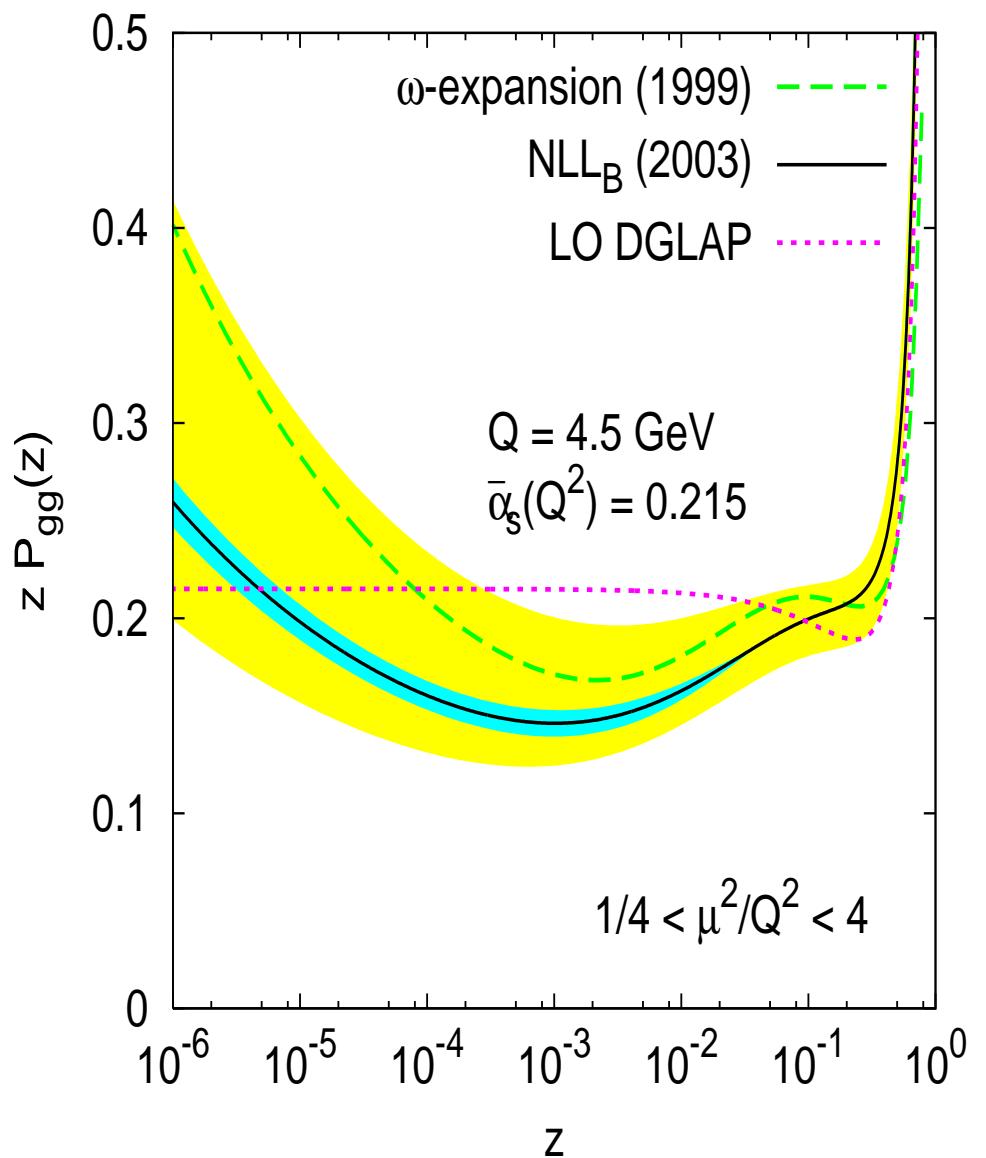


Full $P_{gg}(z)$ splitting fn



Dominant phenomenological structure in P_{gg} is dip

- Rapid rise in P_{gg} is not for today's energies!
- Main feature is a *dip at $x \sim 10^{-3}$*



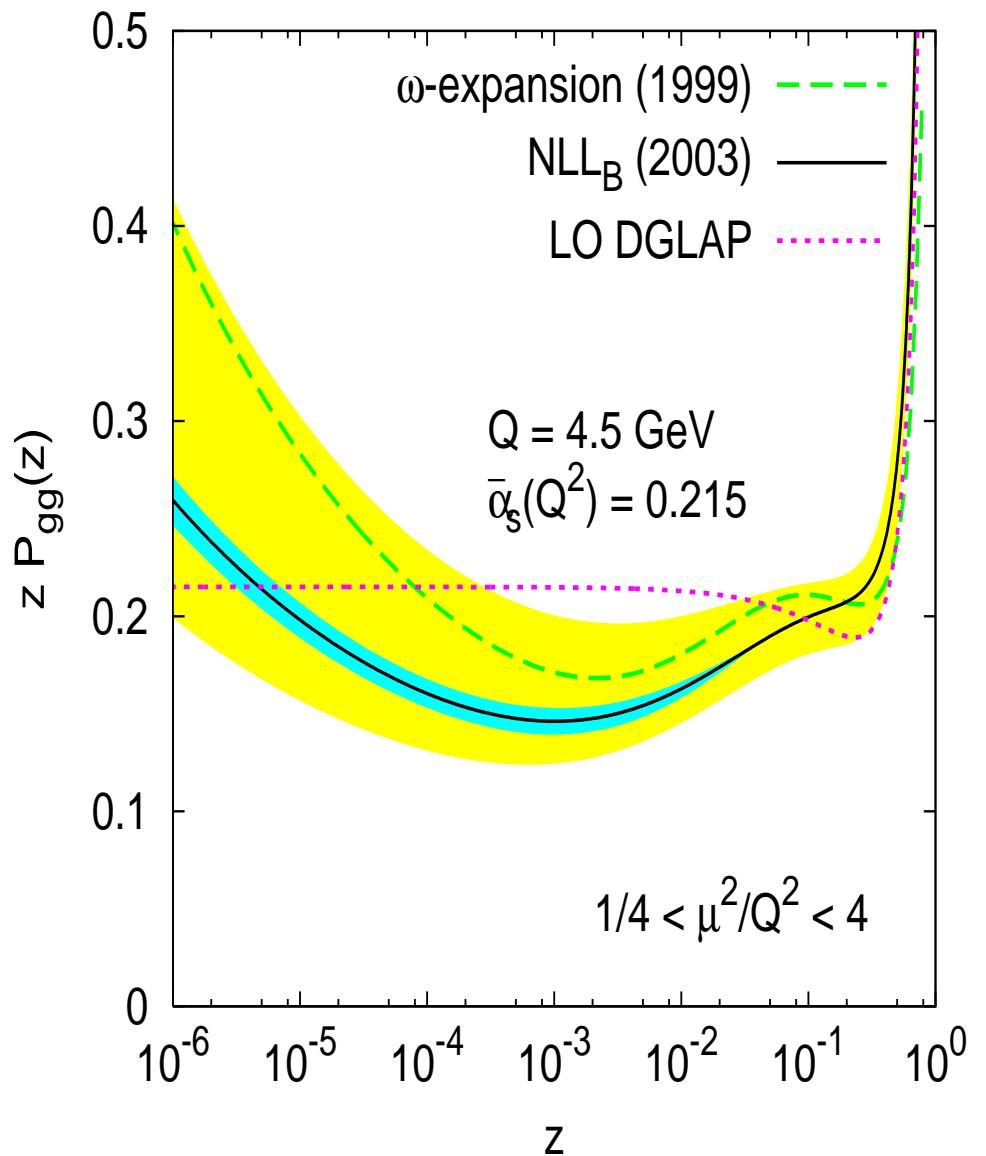
Dominant phenomenological structure in P_{gg} is dip

- Rapid rise in P_{gg} is not for today's energies!
- Main feature is a *dip at $x \sim 10^{-3}$*

Questions:

- Various 'dips' have been seen
 - Thorne '99, '01 (running α_s , NLLx)
 - ABF '99–'03 (fits, running α_s)
 - CCSS '01,'03 (running α_s , NLL_B)

Is it always the same dip?

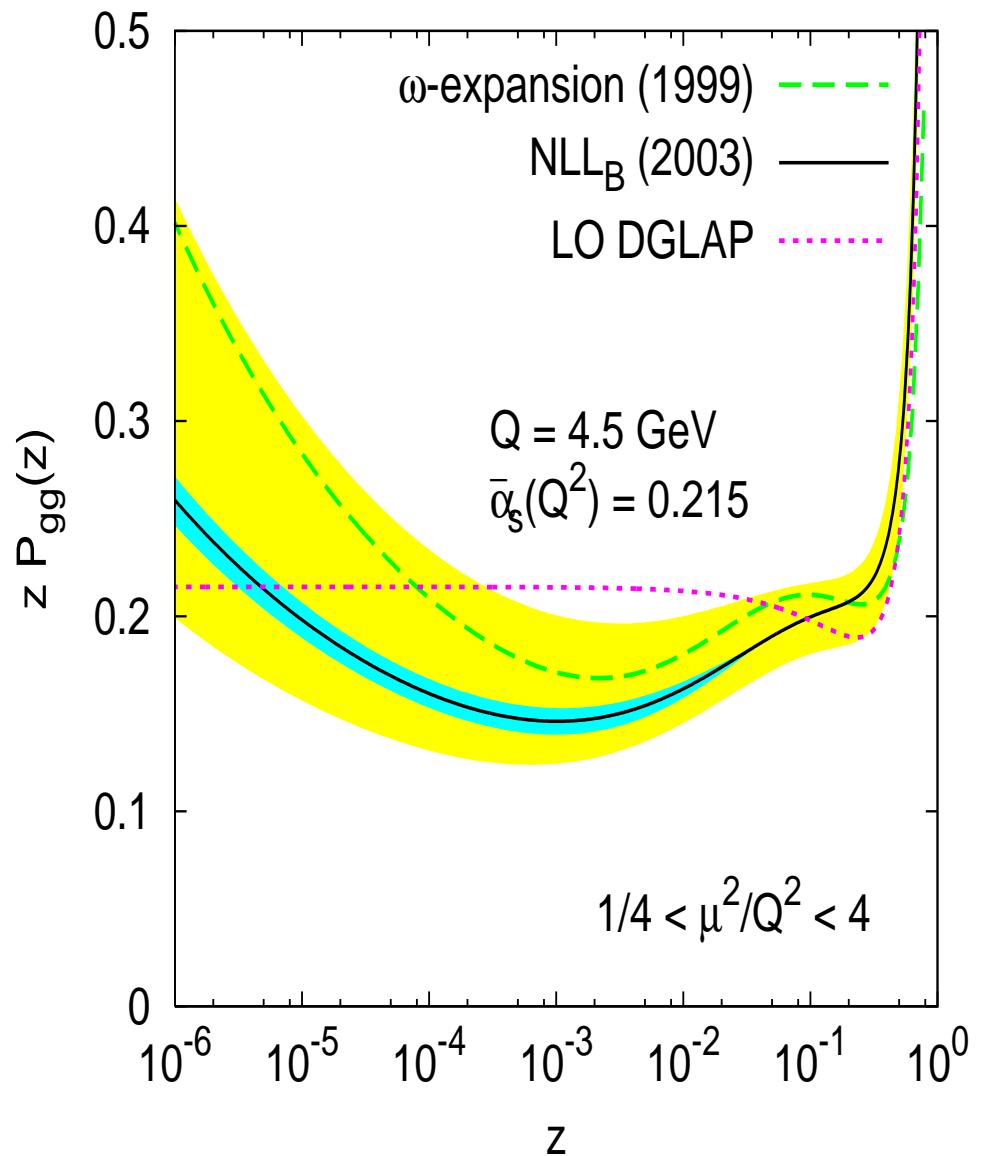


Dominant phenomenological structure in P_{gg} is dip

- Rapid rise in P_{gg} is not for today's energies!
- Main feature is a *dip at $x \sim 10^{-3}$*

Questions:

- Various 'dips' have been seen
 - Thorne '99, '01 (running α_s , NLLx)
 - ABF '99–'03 (fits, running α_s)
 - CCSS '01,'03 (running α_s , NLL_B)
- Is it always the same dip?
- Is the dip a rigorous prediction?

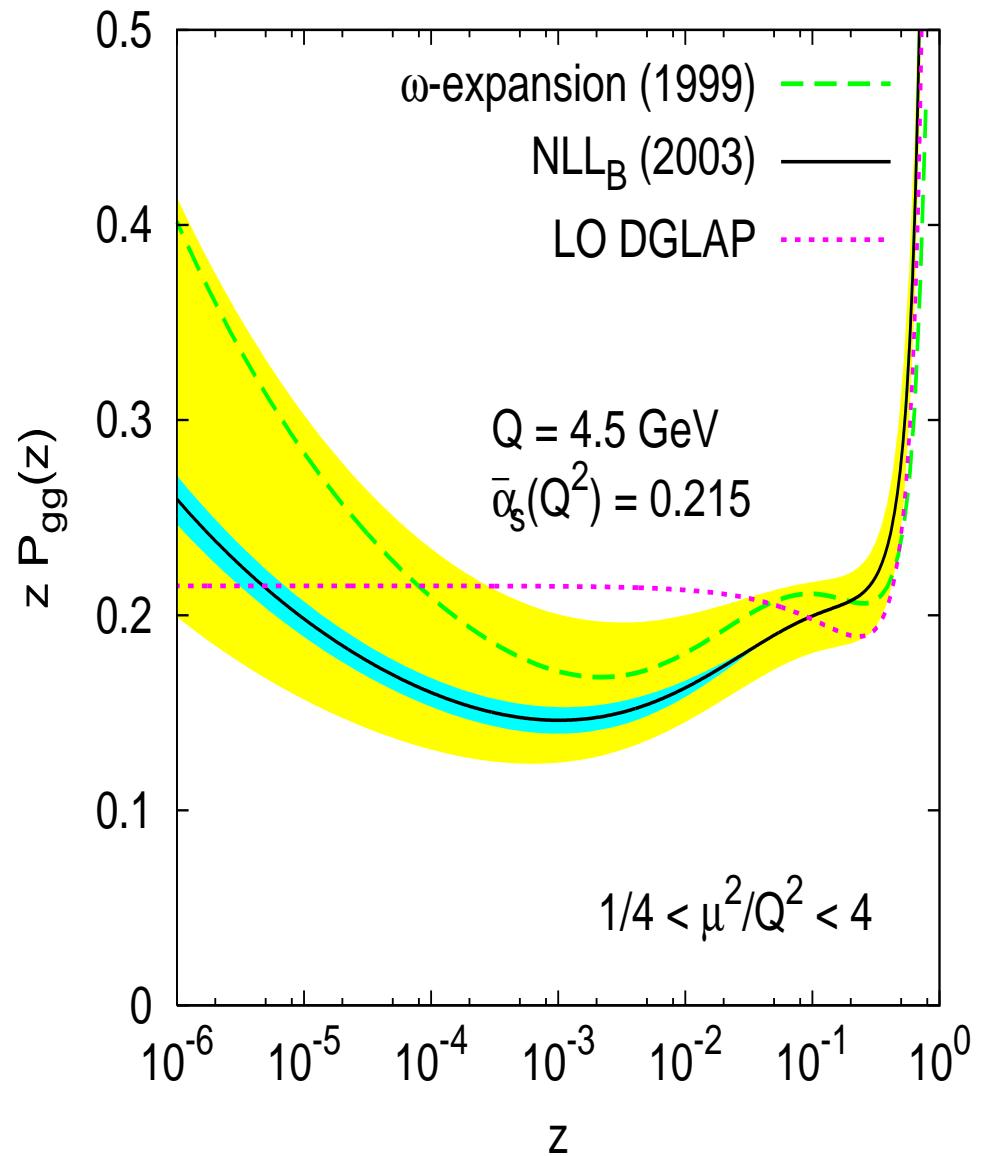


Dominant phenomenological structure in P_{gg} is dip

- Rapid rise in P_{gg} is not for today's energies!
- Main feature is a *dip at $x \sim 10^{-3}$*

Questions:

- Various 'dips' have been seen
 - Thorne '99, '01 (running α_s , NLLx)
 - ABF '99–'03 (fits, running α_s)
 - CCSS '01,'03 (running α_s , NLL_B)
- Is it always the same dip?
- Is the dip a rigorous prediction?
- What is its origin?
Running α_s , momentum sum rule...?



Dominant phenomenological structure in P_{gg} is dip

- Rapid rise in P_{gg} is not for today's energies!
- Main feature is a *dip at $x \sim 10^{-3}$*

Questions:

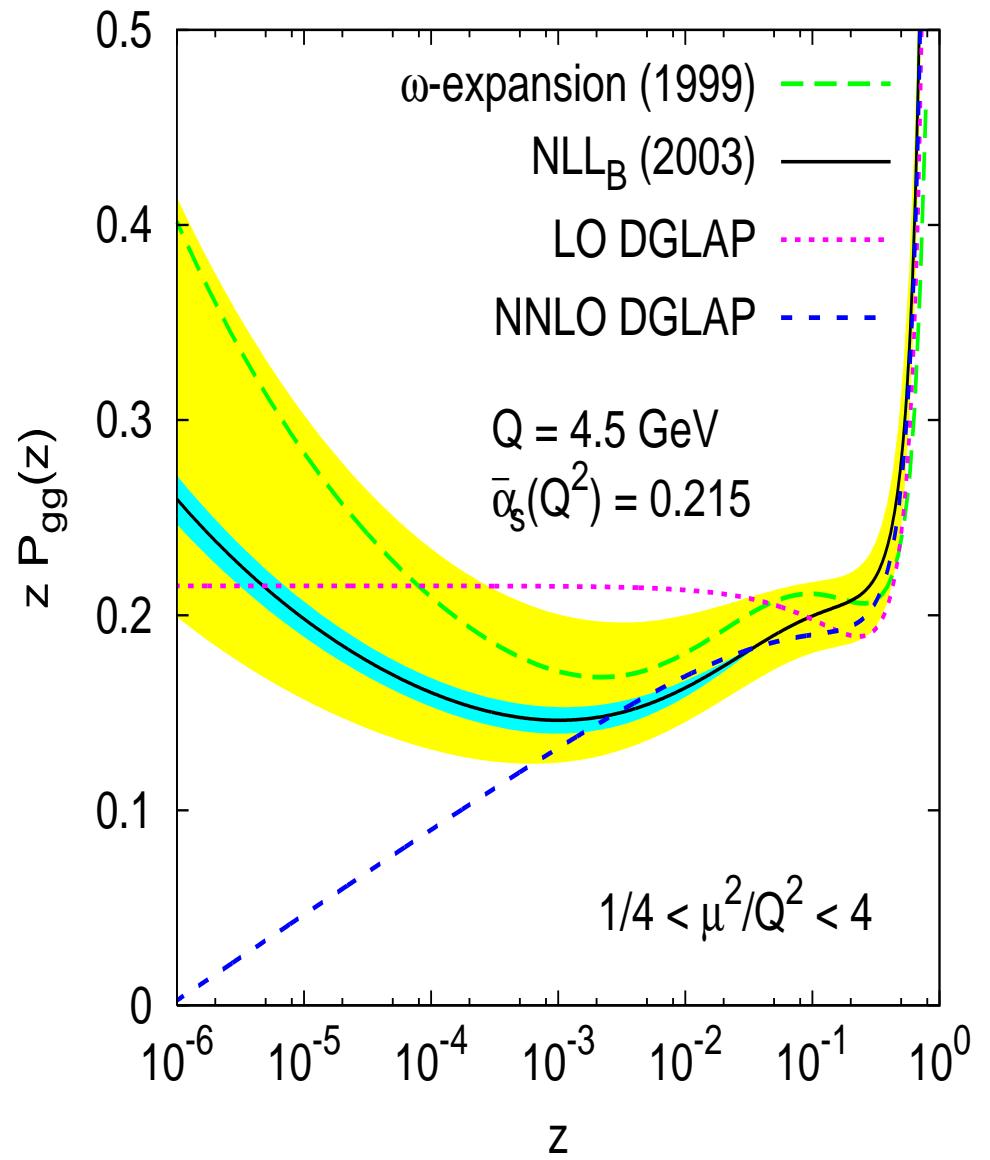
- Various 'dips' have been seen
 - Thorne '99, '01 (running α_s , NLL_x)
 - ABF '99–'03 (fits, running α_s)
 - CCSS '01,'03 (running α_s , NLL_B)

Is it always the same dip?

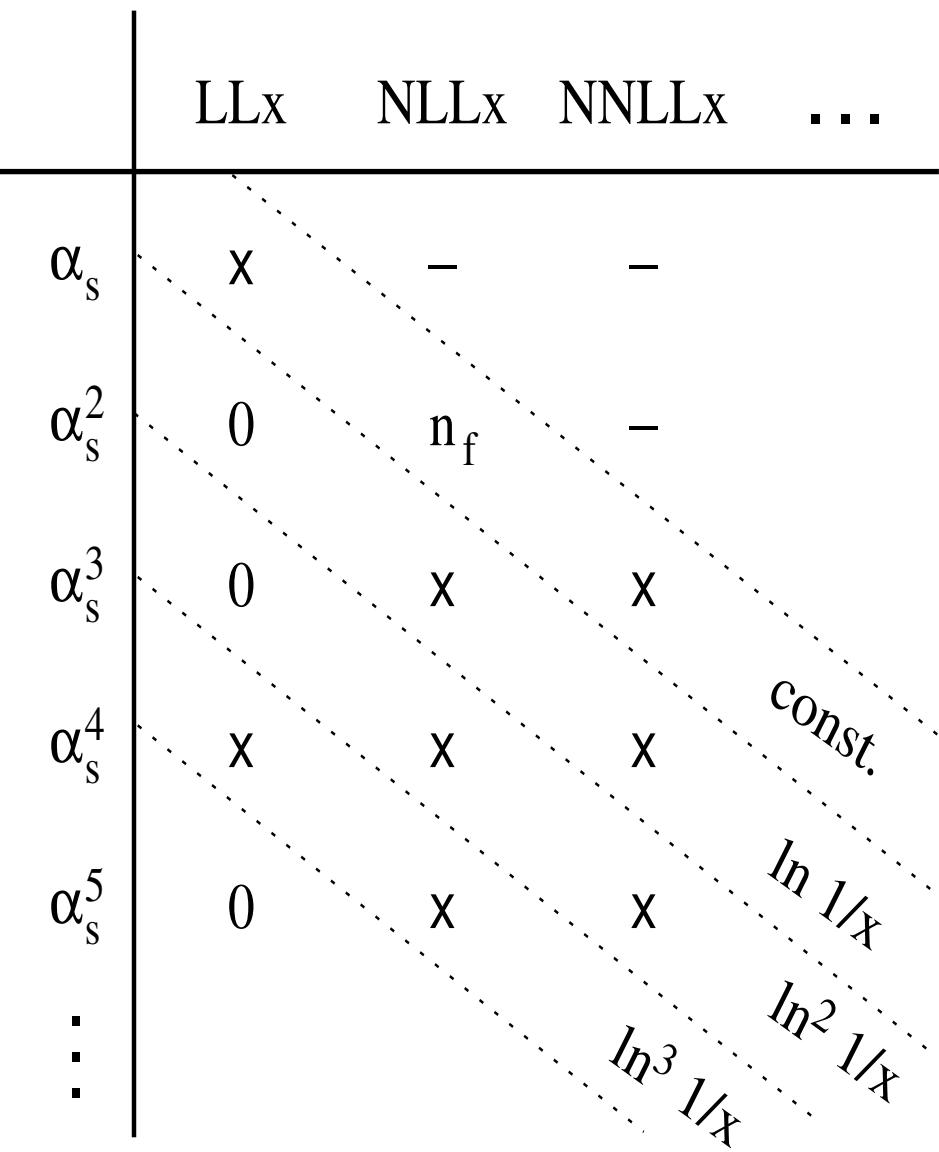
- Is the dip a rigorous prediction?
- What is its origin?
Running α_s , momentum sum rule...?

NNLO DGLAP gives a clue...

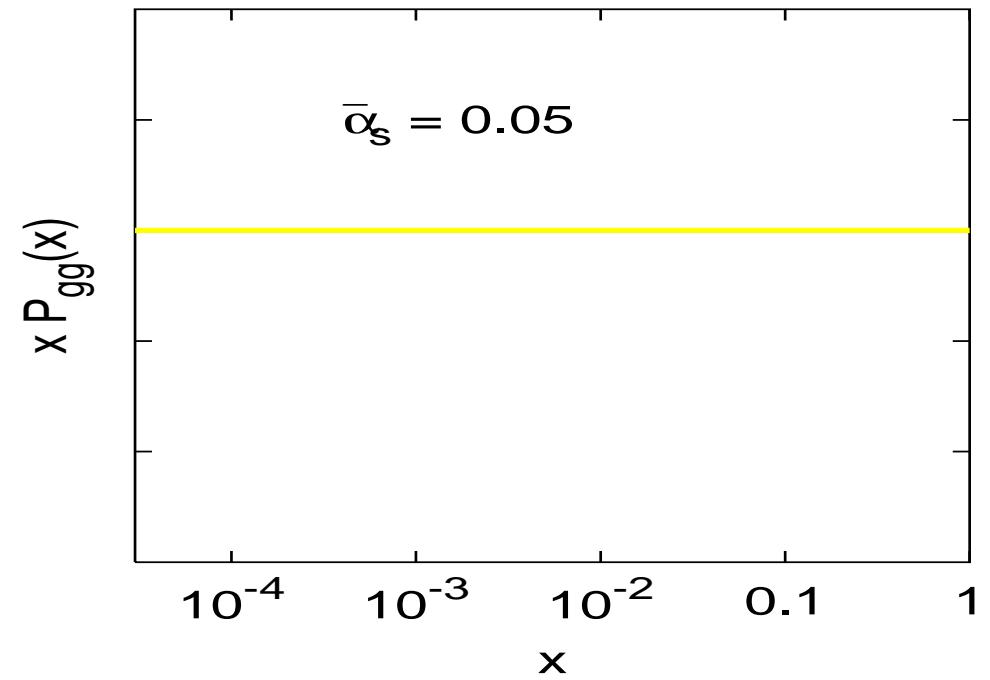
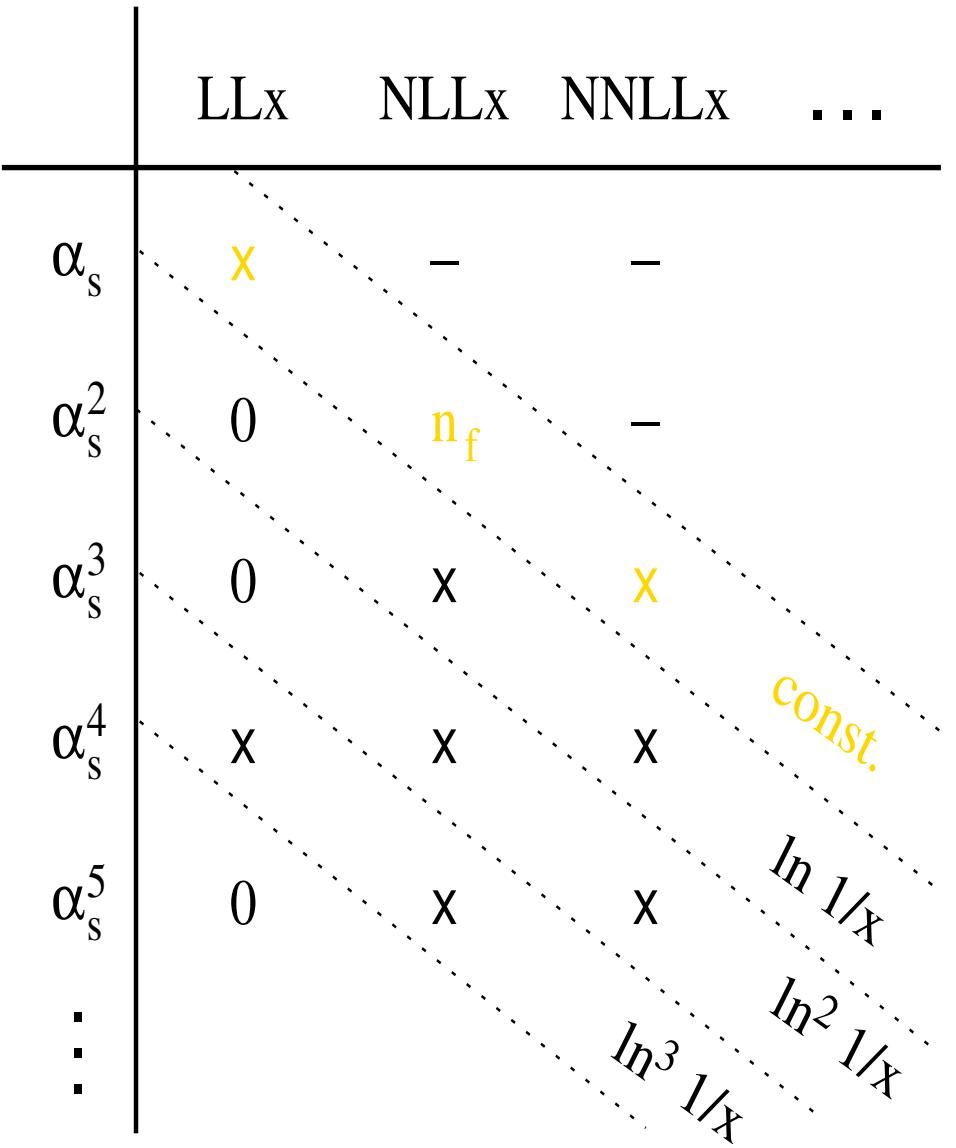
$$-1.54 \bar{\alpha}_s^3 \ln \frac{1}{x}$$



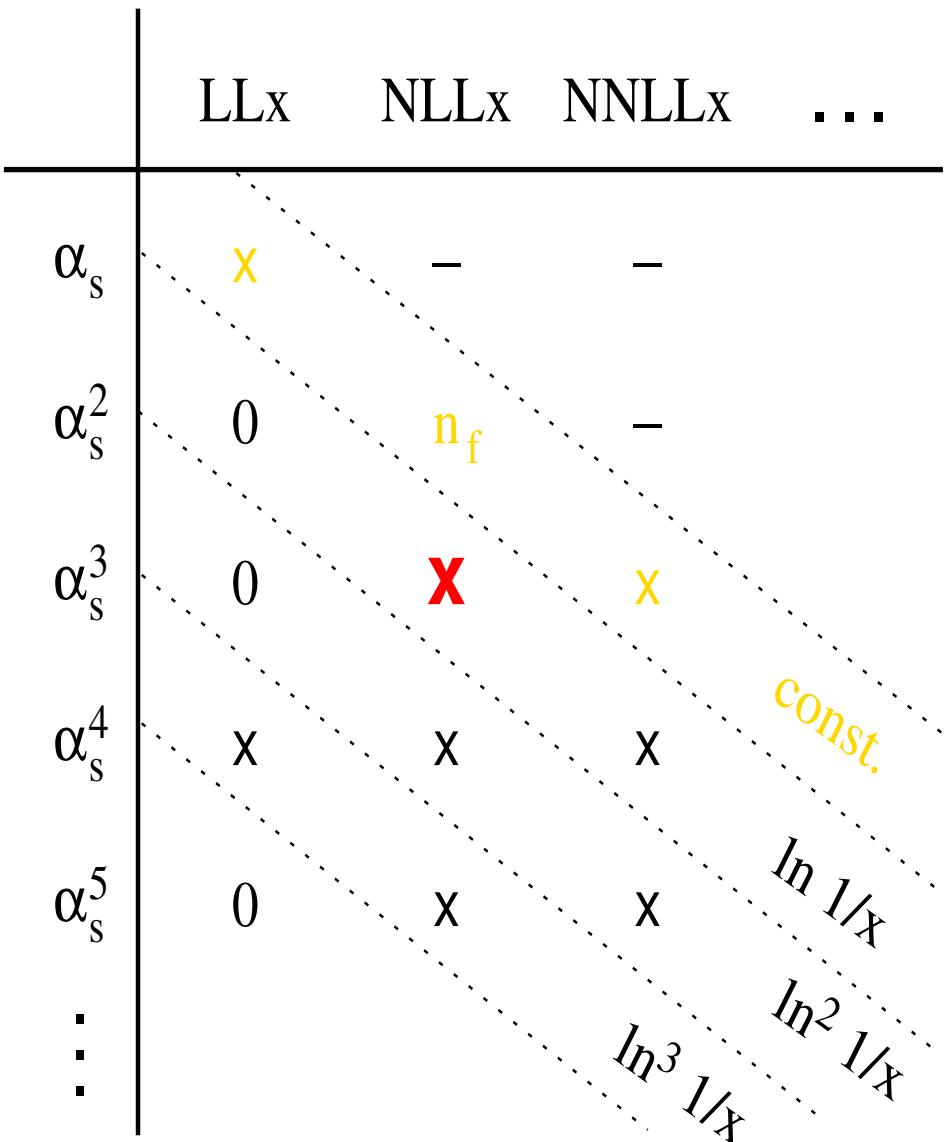
Reorganise perturbative series



Reorganise perturbative series

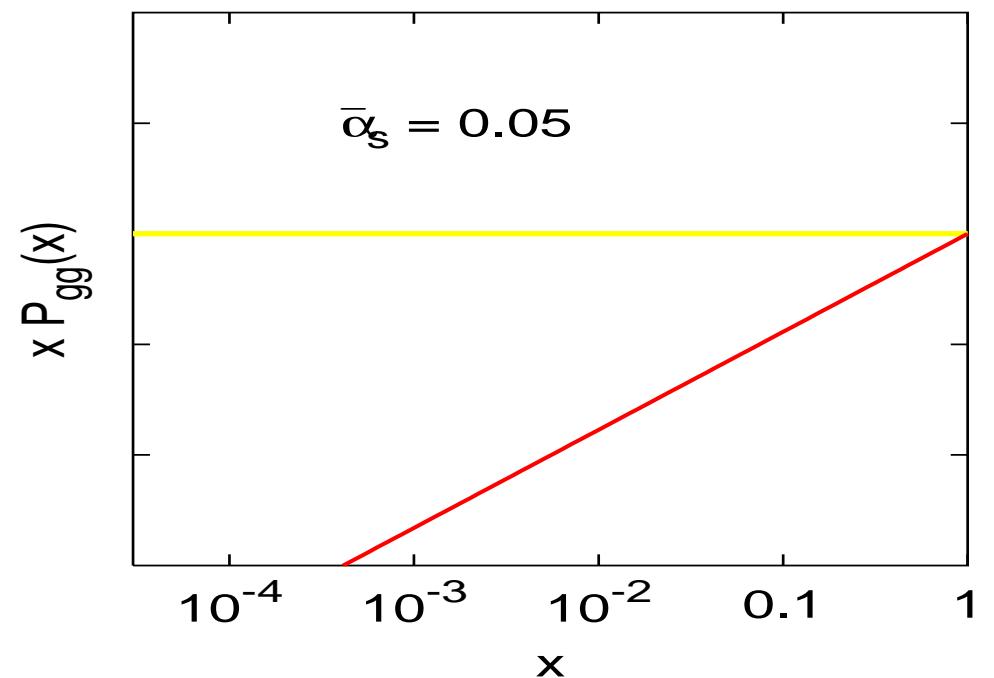


Reorganise perturbative series

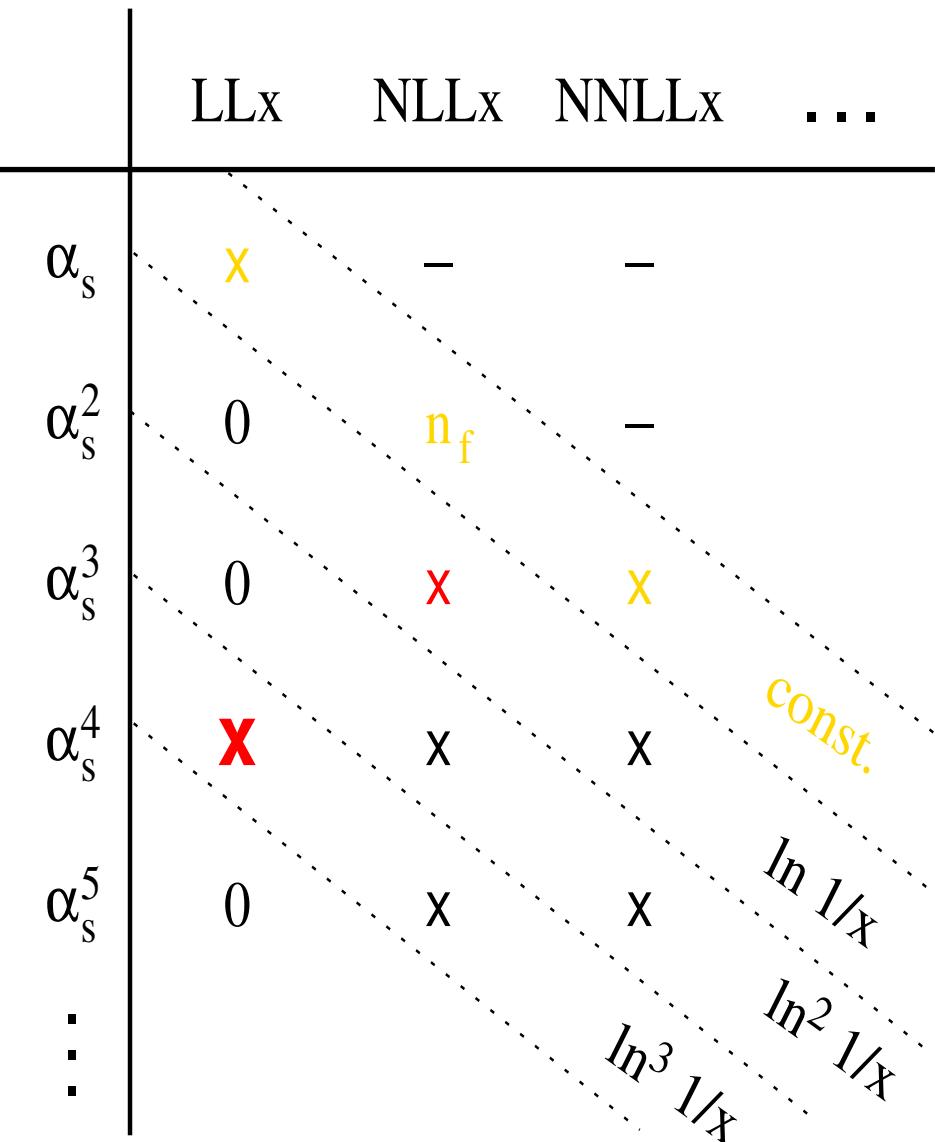


At moderately small x , first terms with x -dependence are

$$-1.54 \bar{\alpha}_s^3 \ln \frac{1}{x}$$

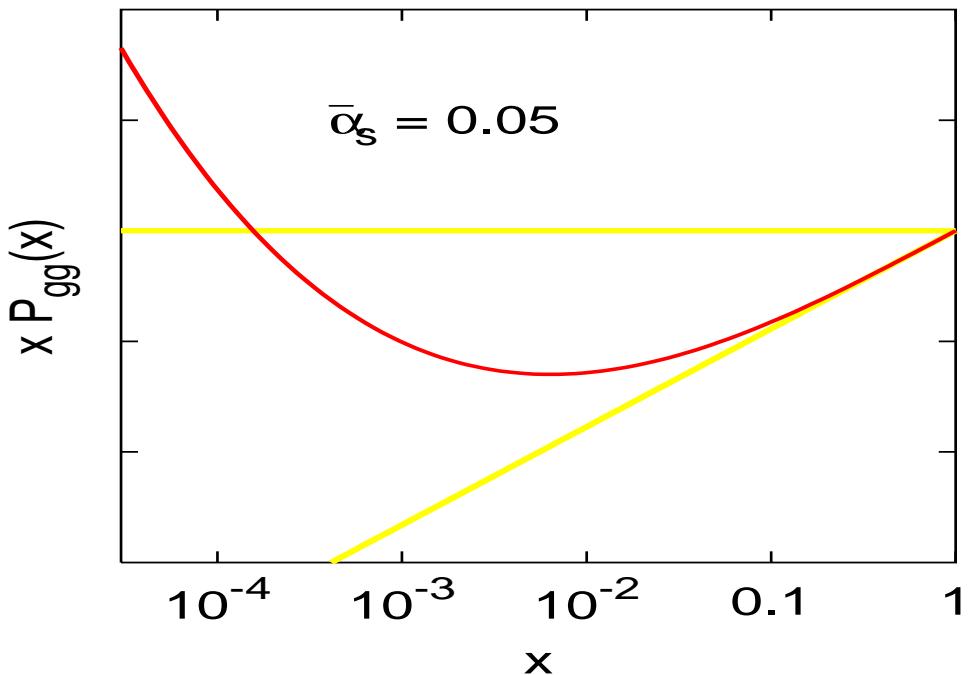


Reorganise perturbative series

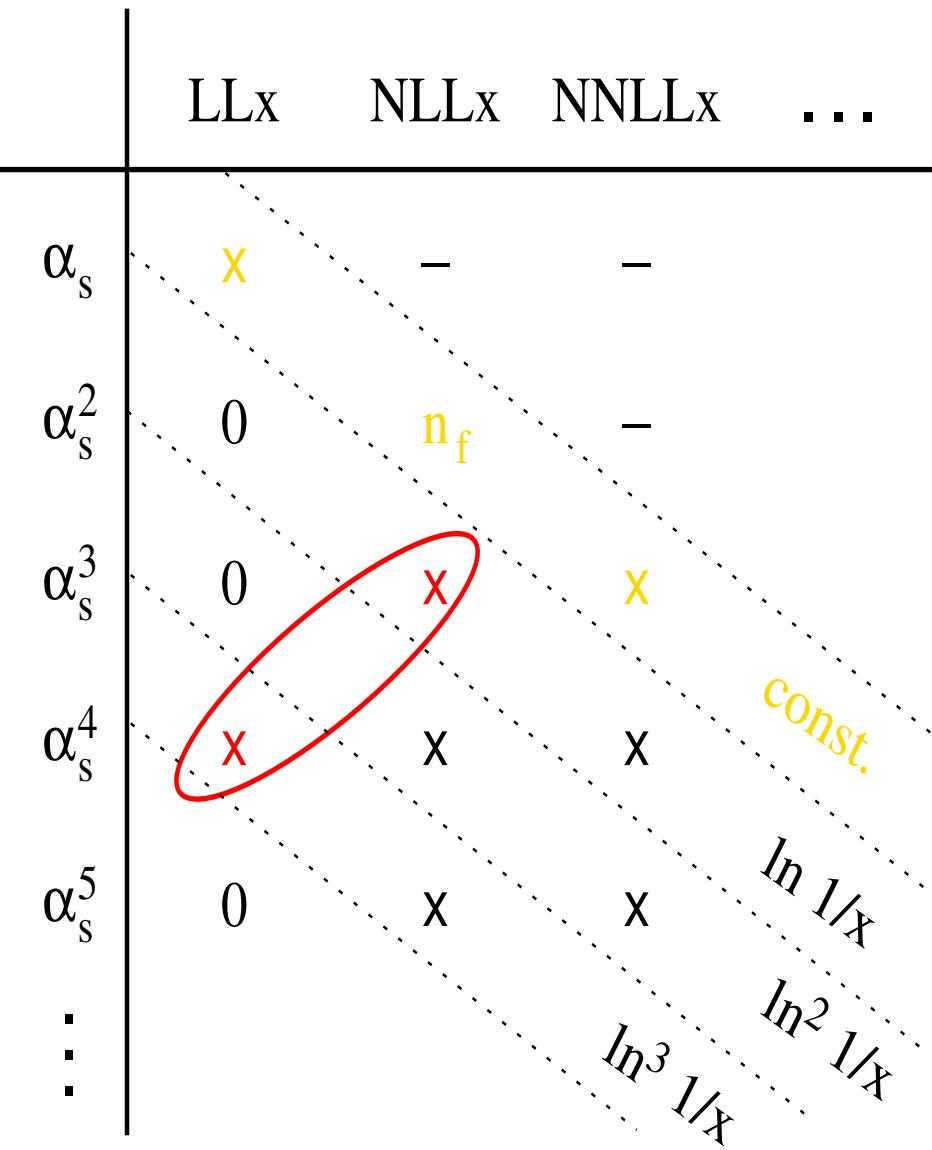


At moderately small x , first terms with x -dependence are

$$-1.54 \bar{\alpha}_s^3 \ln \frac{1}{x} + 0.401 \bar{\alpha}_s^4 \ln^3 \frac{1}{x}$$



Reorganise perturbative series

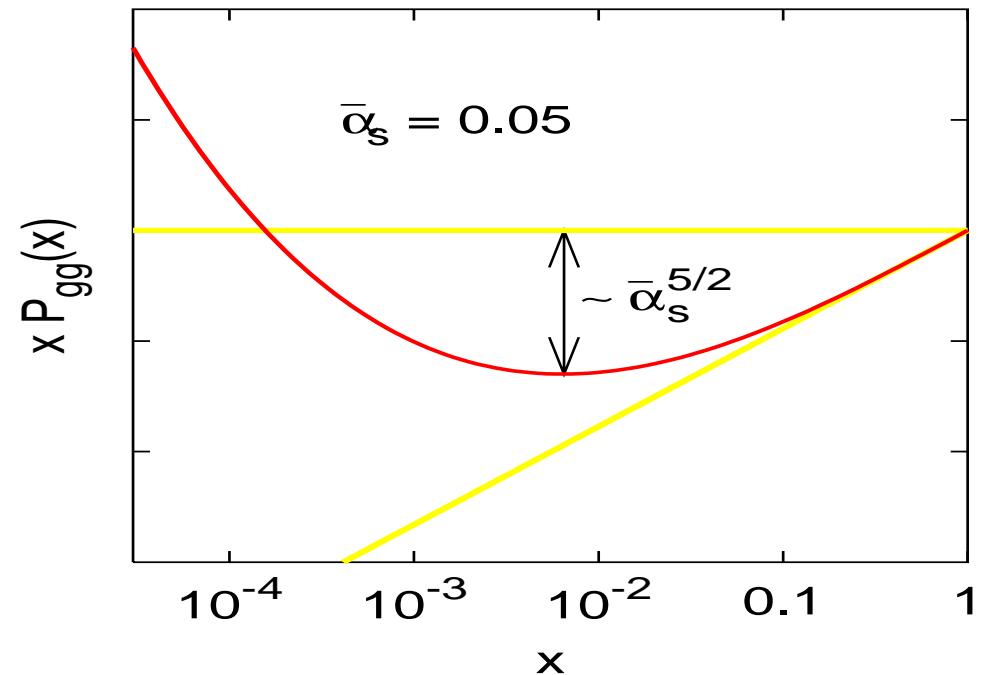


At moderately small x , first terms with x -dependence are

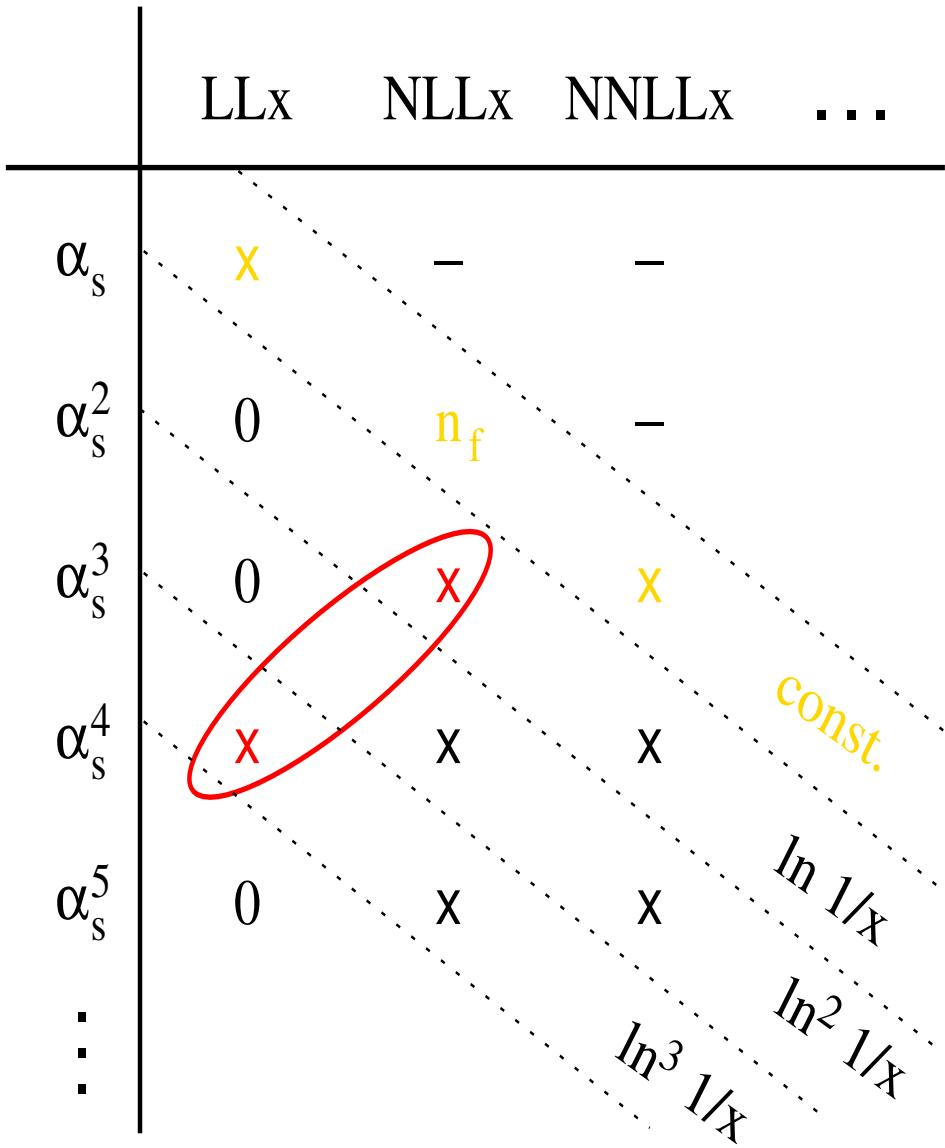
$$-1.54 \bar{\alpha}_s^3 \ln \frac{1}{x} + 0.401 \bar{\alpha}_s^4 \ln^3 \frac{1}{x}$$

Minimum when

$$\alpha_s \ln^2 x \sim 1 \equiv \ln \frac{1}{x} \sim \frac{1}{\sqrt{\alpha_s}}$$



Systematic expansion in $\sqrt{\alpha_s}$



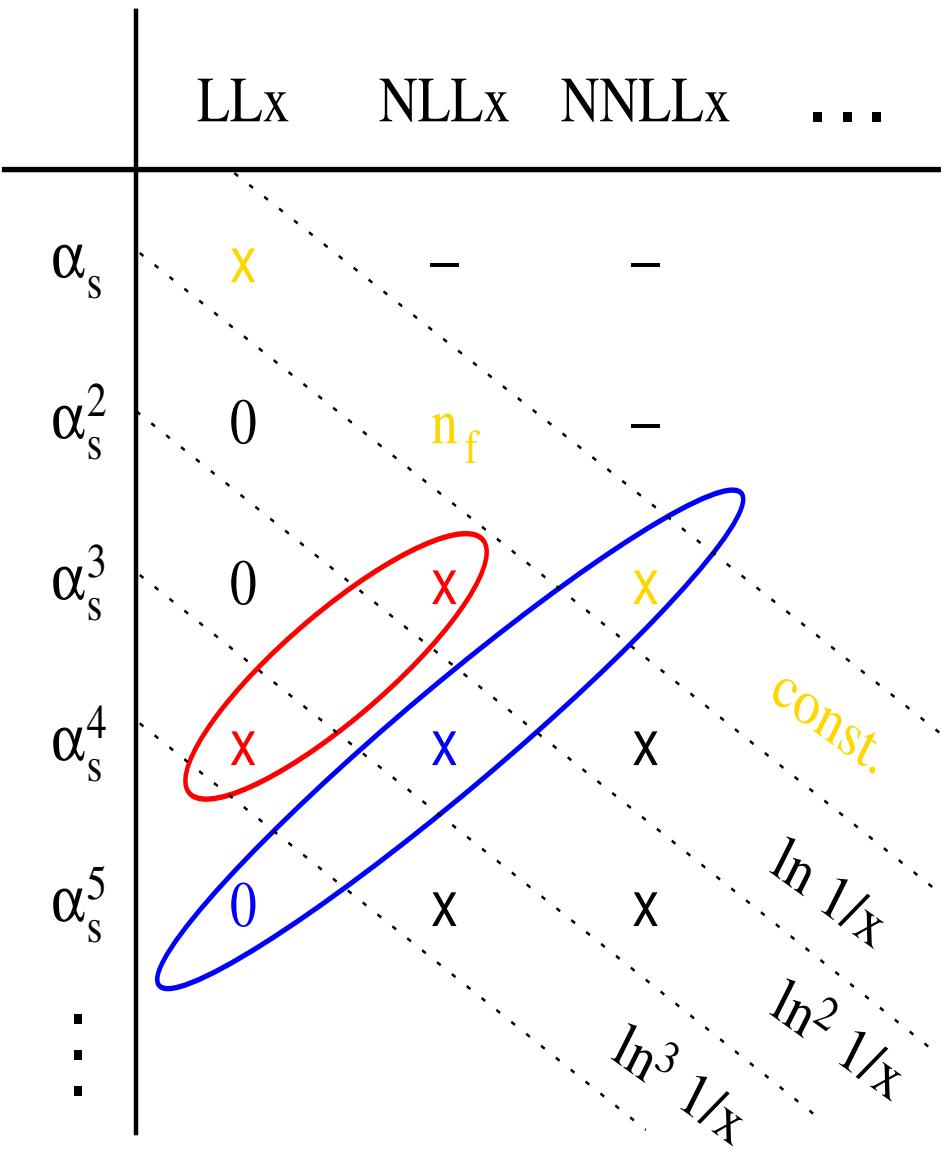
Position of dip

$$\ln \frac{1}{x_{\min}} \simeq \frac{1.156}{\sqrt{\bar{\alpha}_s}}$$

Depth of dip

$$-d \simeq -1.237 \bar{\alpha}_s^{5/2}$$

Systematic expansion in $\sqrt{\alpha_s}$



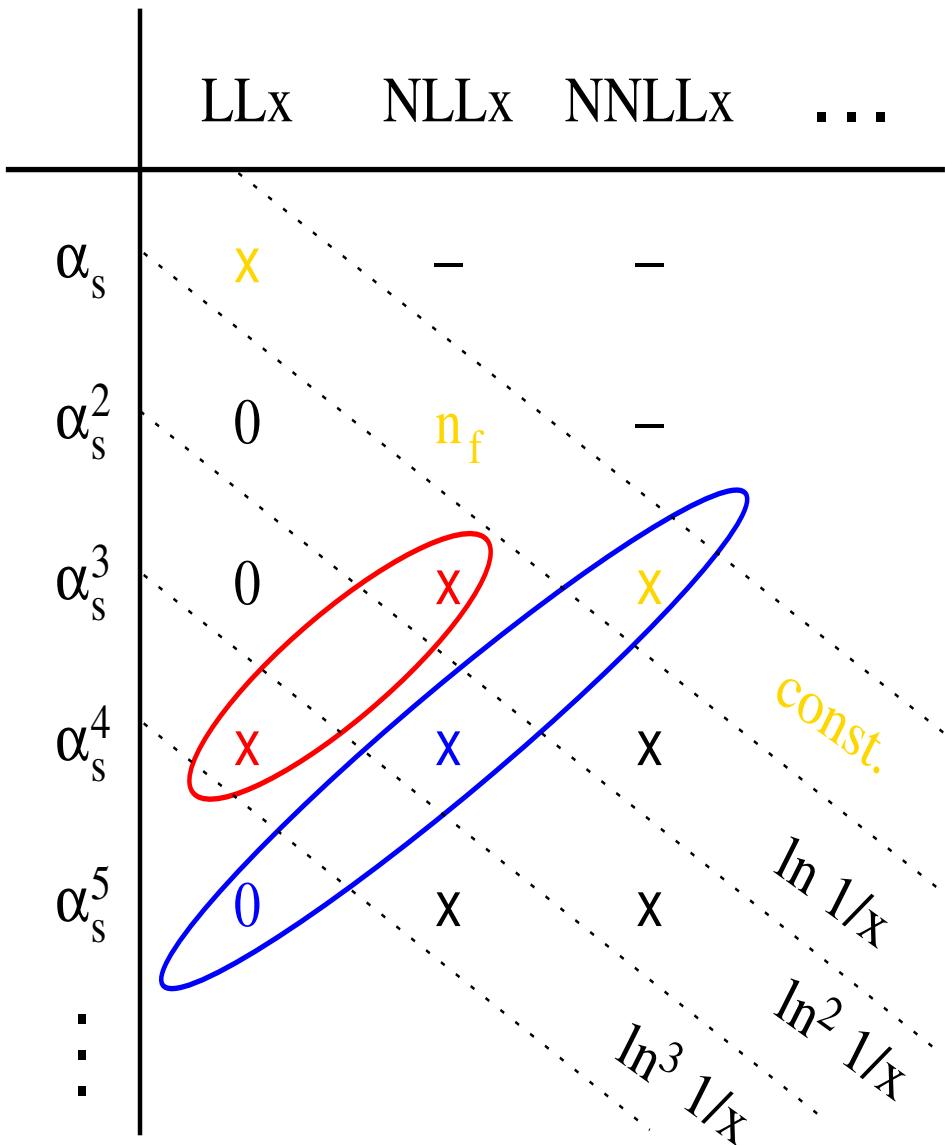
Position of dip

$$\ln \frac{1}{x_{\min}} \simeq \frac{1.156}{\sqrt{\bar{\alpha}_s}} + 6.947$$

Depth of dip

$$-d \simeq -1.237 \bar{\alpha}_s^{5/2} - 11.15 \bar{\alpha}_s^3$$

Systematic expansion in $\sqrt{\alpha_s}$



Position of dip

$$\ln \frac{1}{x_{\min}} \simeq \frac{1.156}{\sqrt{\bar{\alpha}_s}} + 6.947 + \dots$$

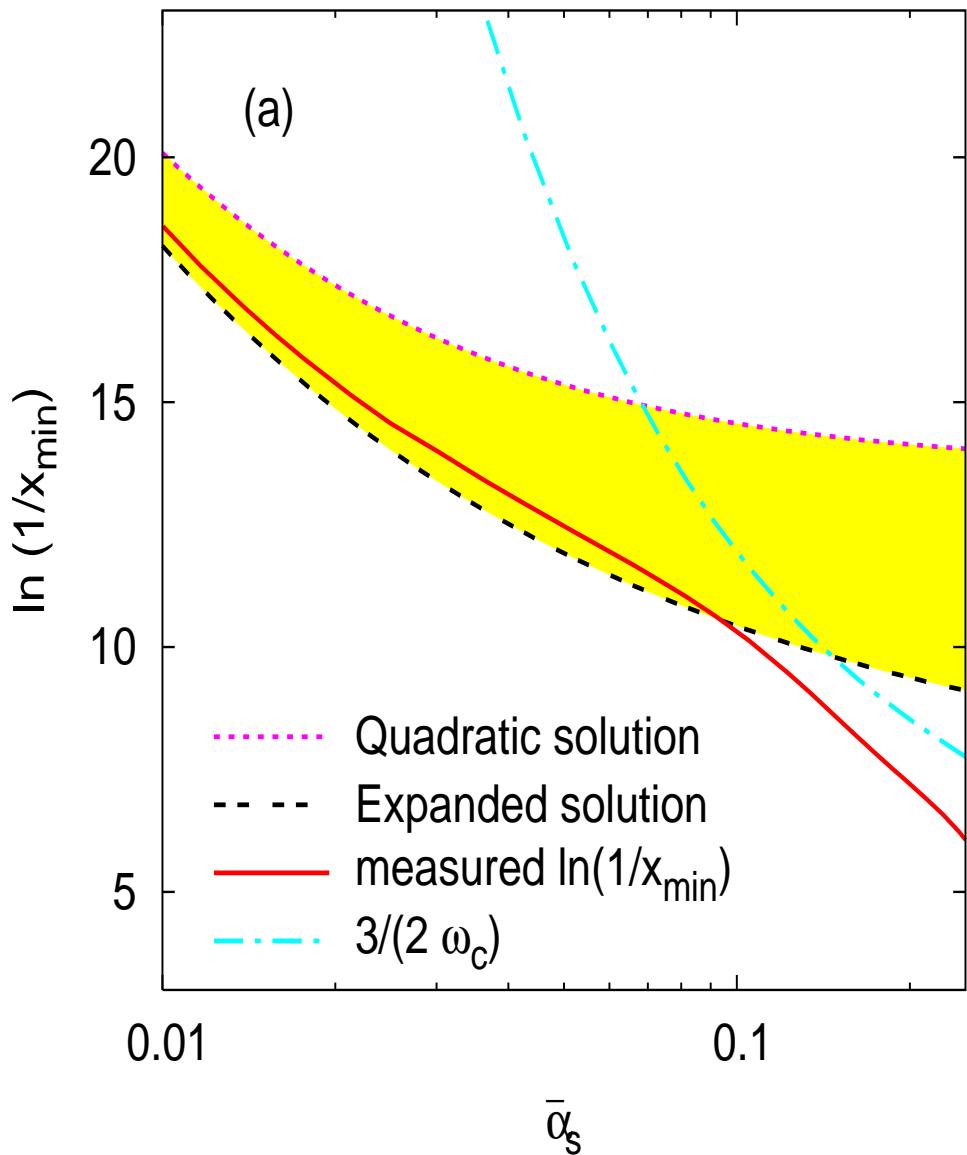
Depth of dip

$$-d \simeq -1.237 \bar{\alpha}_s^{5/2} - 11.15 \bar{\alpha}_s^3 + \dots$$

NB:

- convergence is very poor
As ever at small x !
- higher-order terms in expansion need NNLLx info

Test dip properties v. BFKL+DGLAP resummation



Test position of dip v. α_s

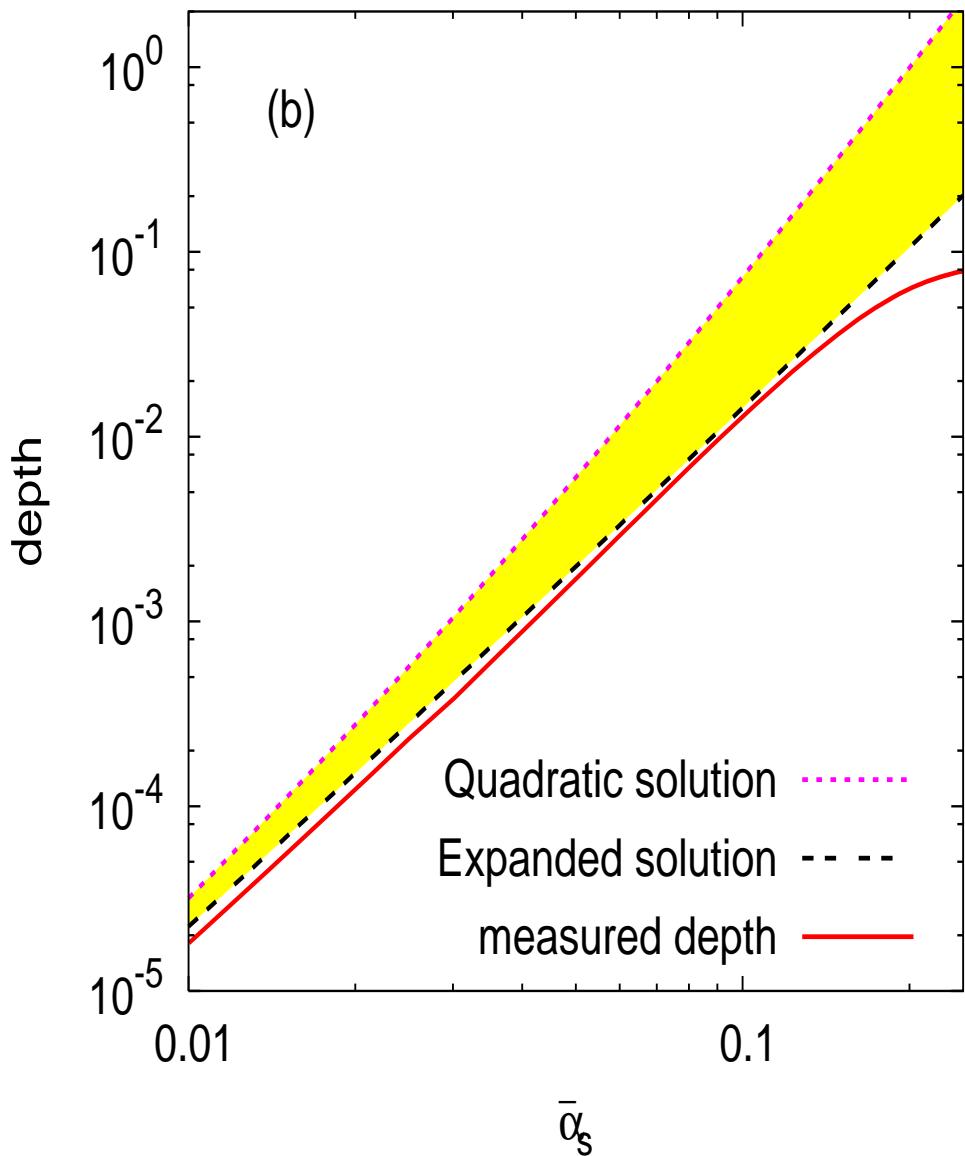
- Band is uncertainty due to higher orders in $\sqrt{\alpha_s}$
- At small α_s , good agreement → confirmation of ‘dip mechanism’
- At moderate α_s , normal small- x resummation effects ‘collide’ with dip

$$\ln \frac{1}{x_{\min}} \lesssim \frac{3}{2\omega_c}$$

Dip then comes from interplay between $\alpha_s^3 \ln x$ (NNLO) term and full resummation.

[Actually, story more complex]

Test dip properties v. BFKL+DGLAP resummation



Test depth of dip v. α_s

• similar conclusions!

Phenomenological impact?

Phenomenological relevance comes through impact on growth of small- x gluon with Q^2 .

$$\frac{\partial g(x, Q^2)}{d \ln Q^2} = P_{gg} \otimes g + P_{gq} \otimes q$$

Phenomenological impact?

Phenomenological relevance comes through impact on growth of small- x gluon with Q^2 .

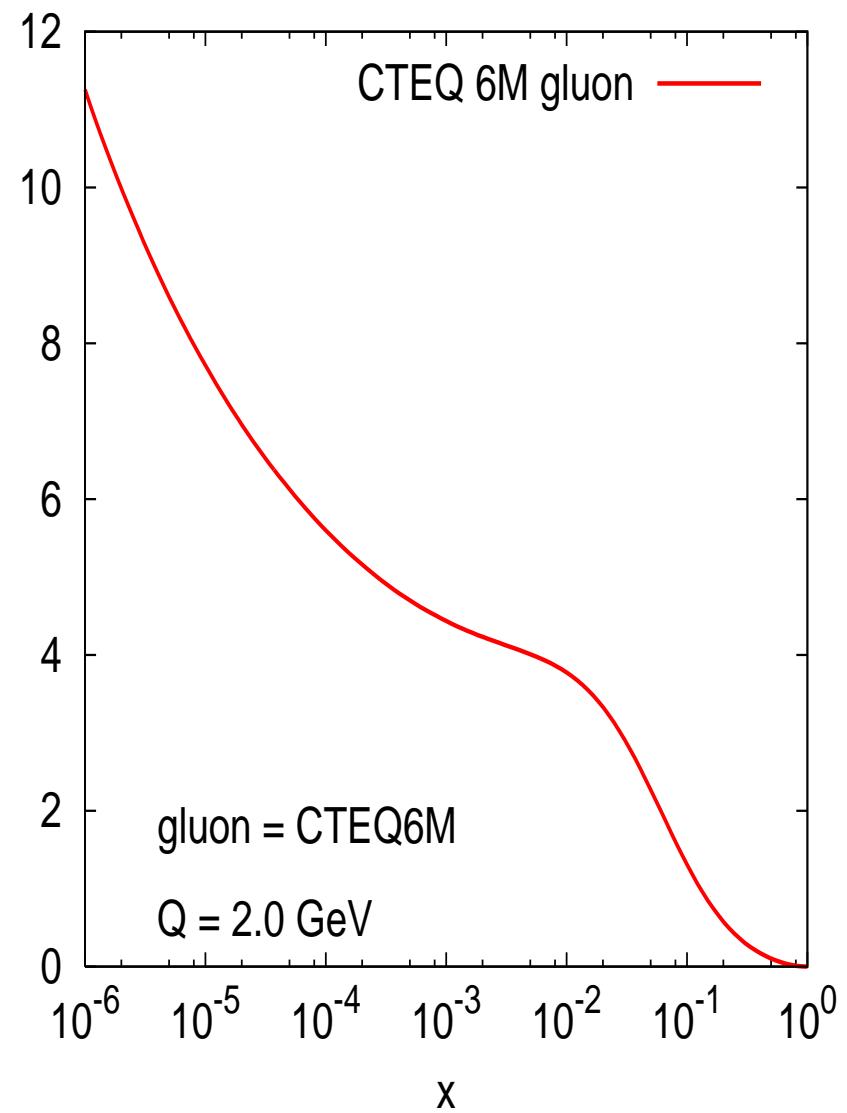
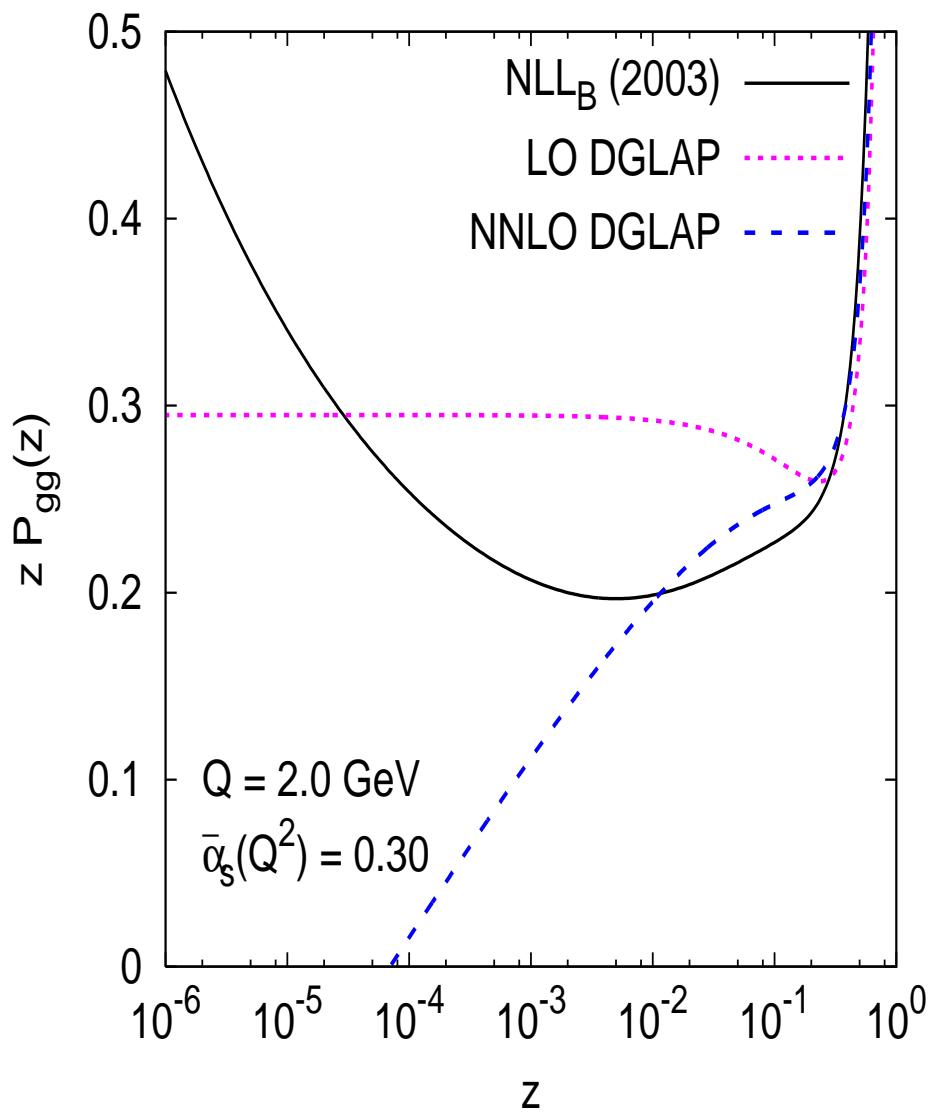
$$\frac{\partial g(x, Q^2)}{d \ln Q^2} = P_{gg} \otimes g + P_{gq} \otimes q$$

At small x , $P_{gg} \otimes g$ dominates.

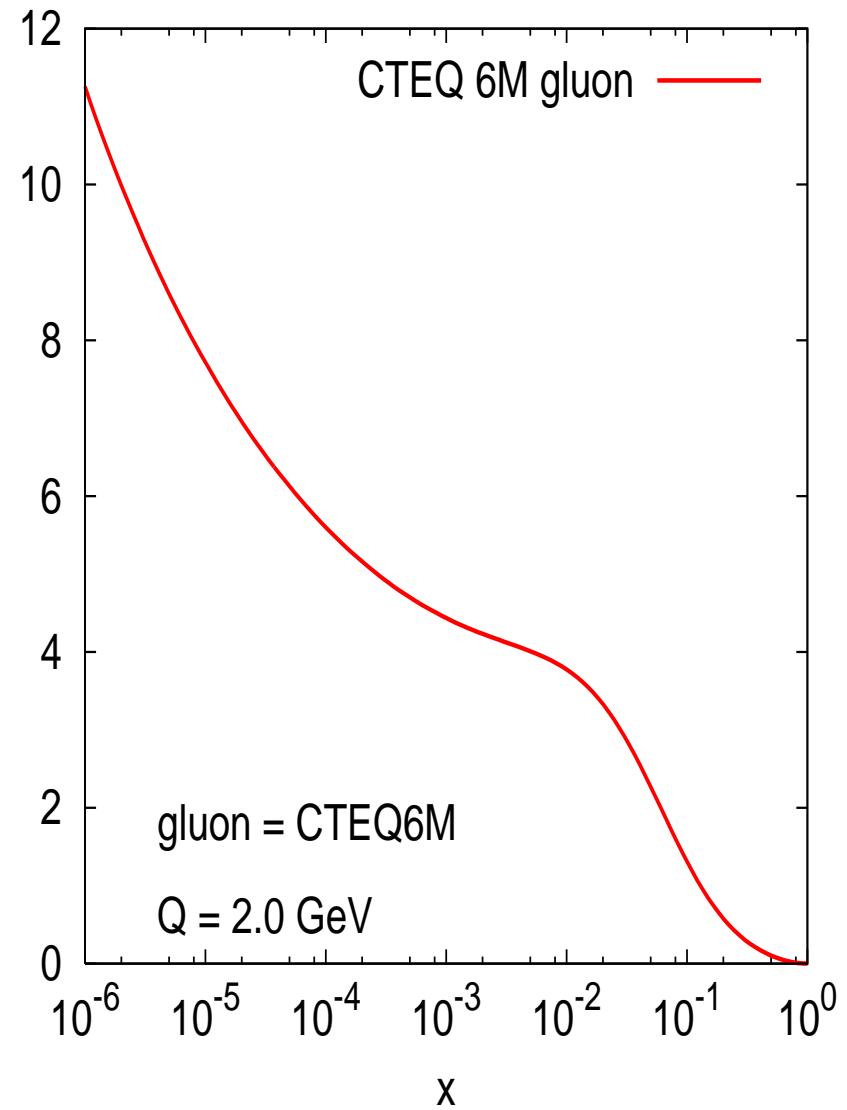
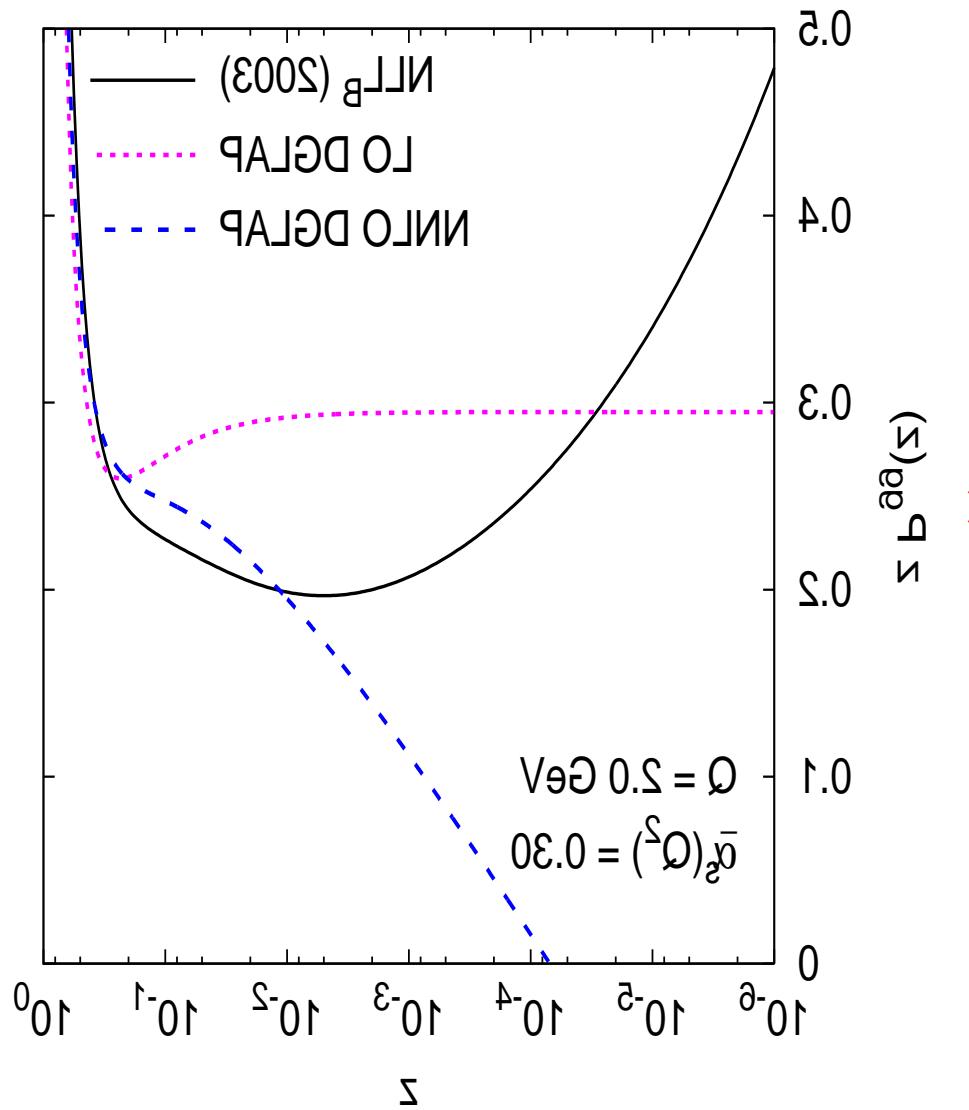
Take CTEQ6M gluon as ‘test’ case for convolution.

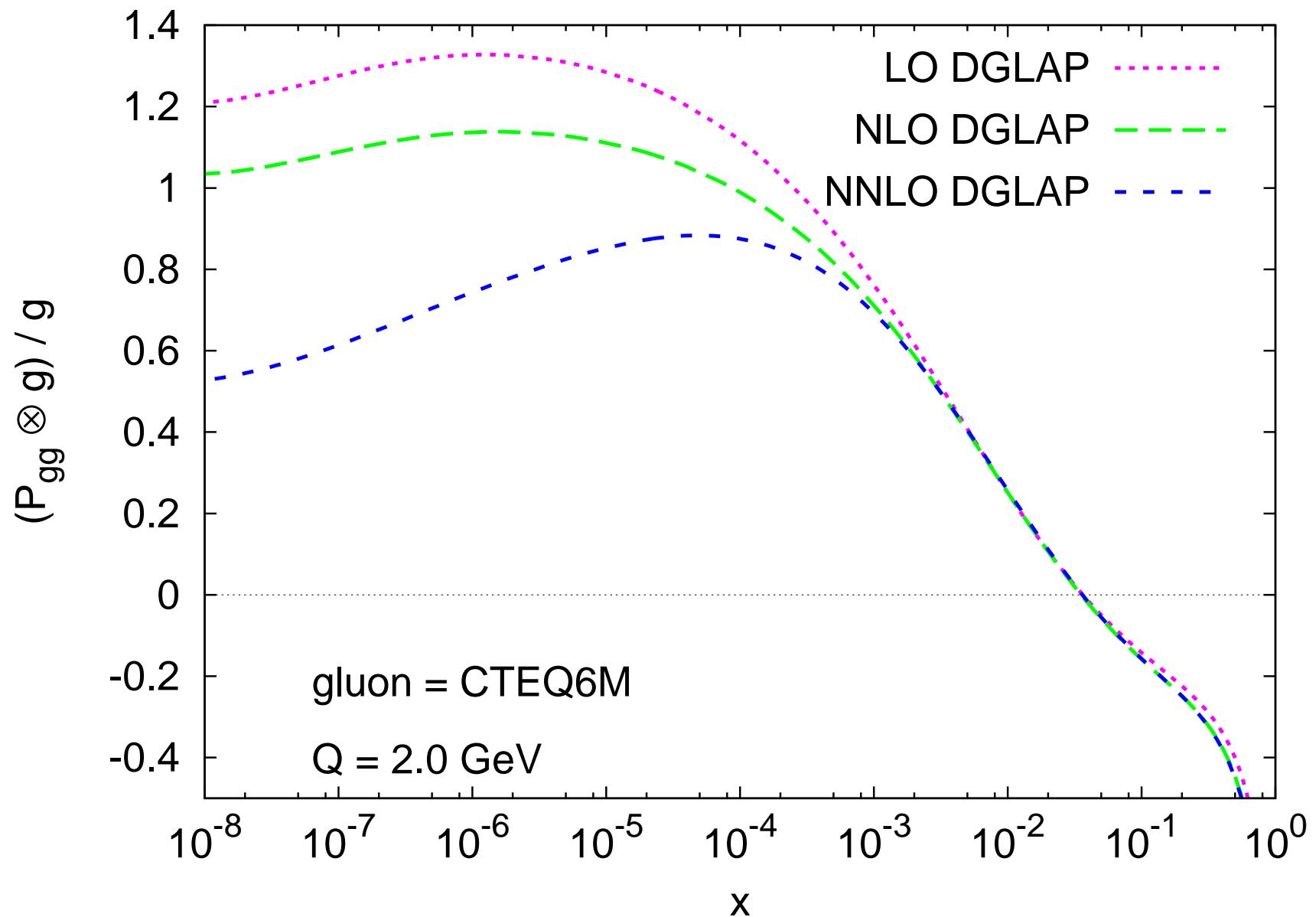
Because it’s nicely behaved at small- x

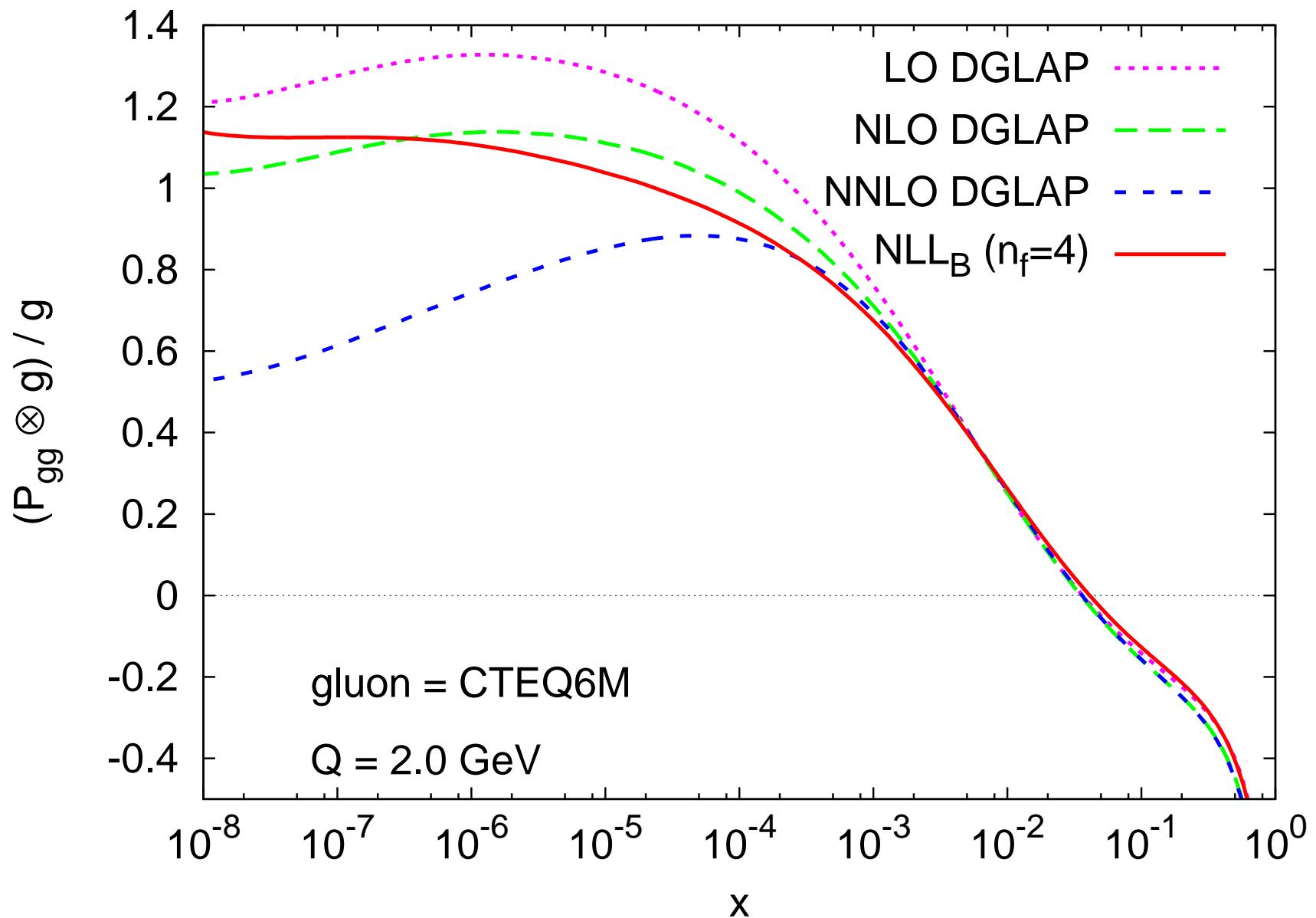
Phenomenological impact? $P_{gg} \otimes g(x)$



Phenomenological impact? $P_{gg} \otimes g(x)$







Towards phenomenology?

Steps missing for ‘full’ phenomenology:

- Resummation of all entries of singlet matrix & coefficient functions.
- Put results in $\overline{\text{MS}}$ factorisation scheme
 - illustrate nature of surprises that arise...

Factorisation scheme

Results shown so far in Q_0 scheme.

[Catani, Ciafaloni & Hautmann '93]

$$xg(x, Q^2) \equiv \int d^2k G(\ln 1/x, k, k_0) \Theta(Q - k) \quad G^{(0)} = f(x) \delta^2(k - k_0)$$

To translate to $\overline{\text{MS}}$ scheme

$$xg(x, Q^2) \equiv \int d^2k G(\ln 1/x, k, k_0) r\left(\frac{k^2}{Q^2}\right), \quad r\left(\frac{k^2}{Q^2}\right) = \int \frac{d\gamma e^{\gamma \ln \frac{Q^2}{k^2}}}{2\pi i \gamma R(\gamma)}$$

Should be easy?!

Factorisation scheme

Results shown so far in $\textcolor{red}{Q_0}$ scheme.

[Catani, Ciafaloni & Hautmann '93]

$$xg(x, Q^2) \equiv \int d^2k G(\ln 1/x, k, k_0) \Theta(Q - k) \quad G^{(0)} = f(x)\delta^2(k - k_0)$$

To translate to $\overline{\text{MS}}$ scheme

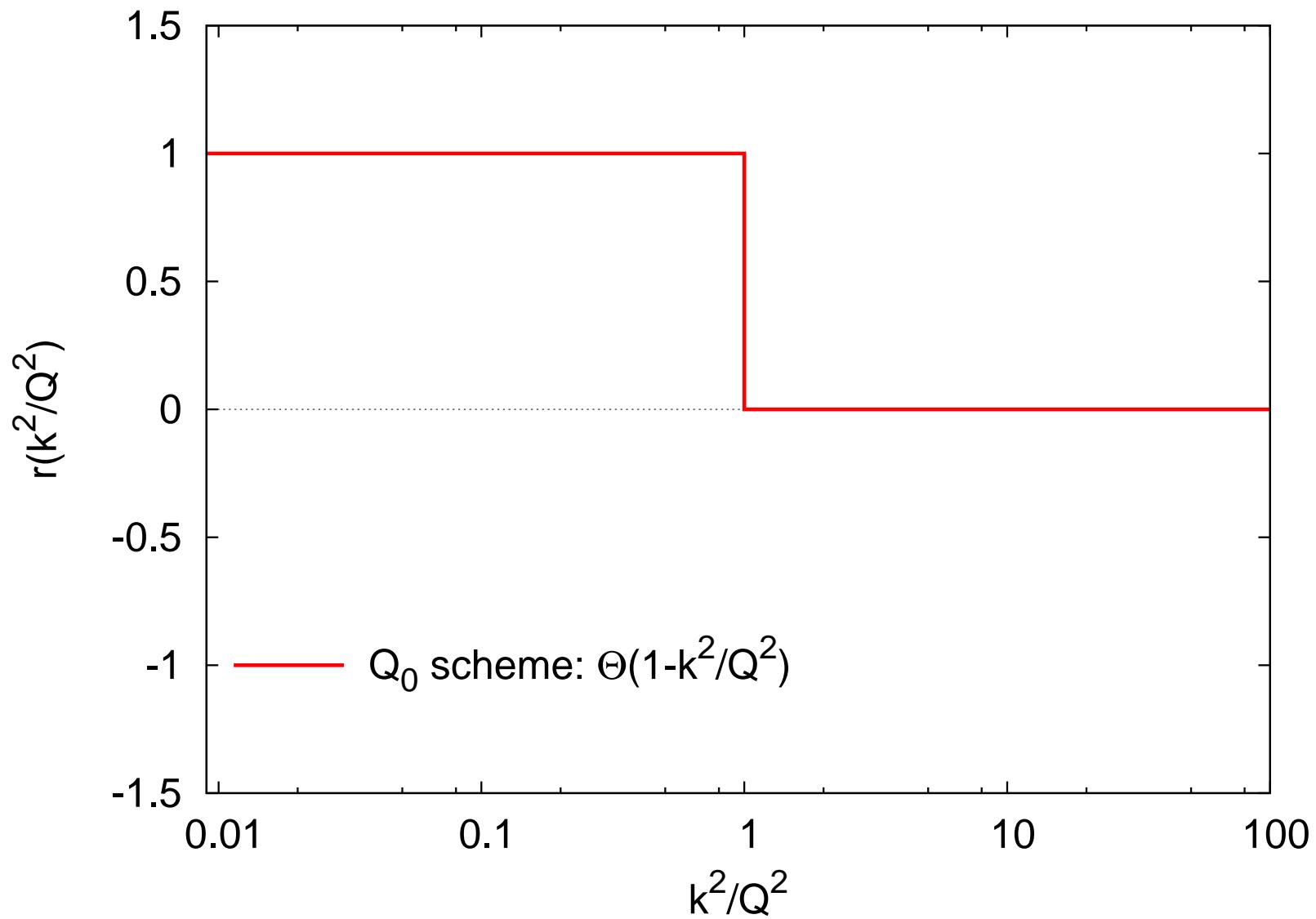
$$xg(x, Q^2) \equiv \int d^2k G(\ln 1/x, k, k_0) \textcolor{red}{r} \left(\frac{k^2}{Q^2} \right), \quad r \left(\frac{k^2}{Q^2} \right) = \int \frac{d\gamma e^{\gamma \ln \frac{Q^2}{k^2}}}{2\pi i \gamma R(\gamma)}$$

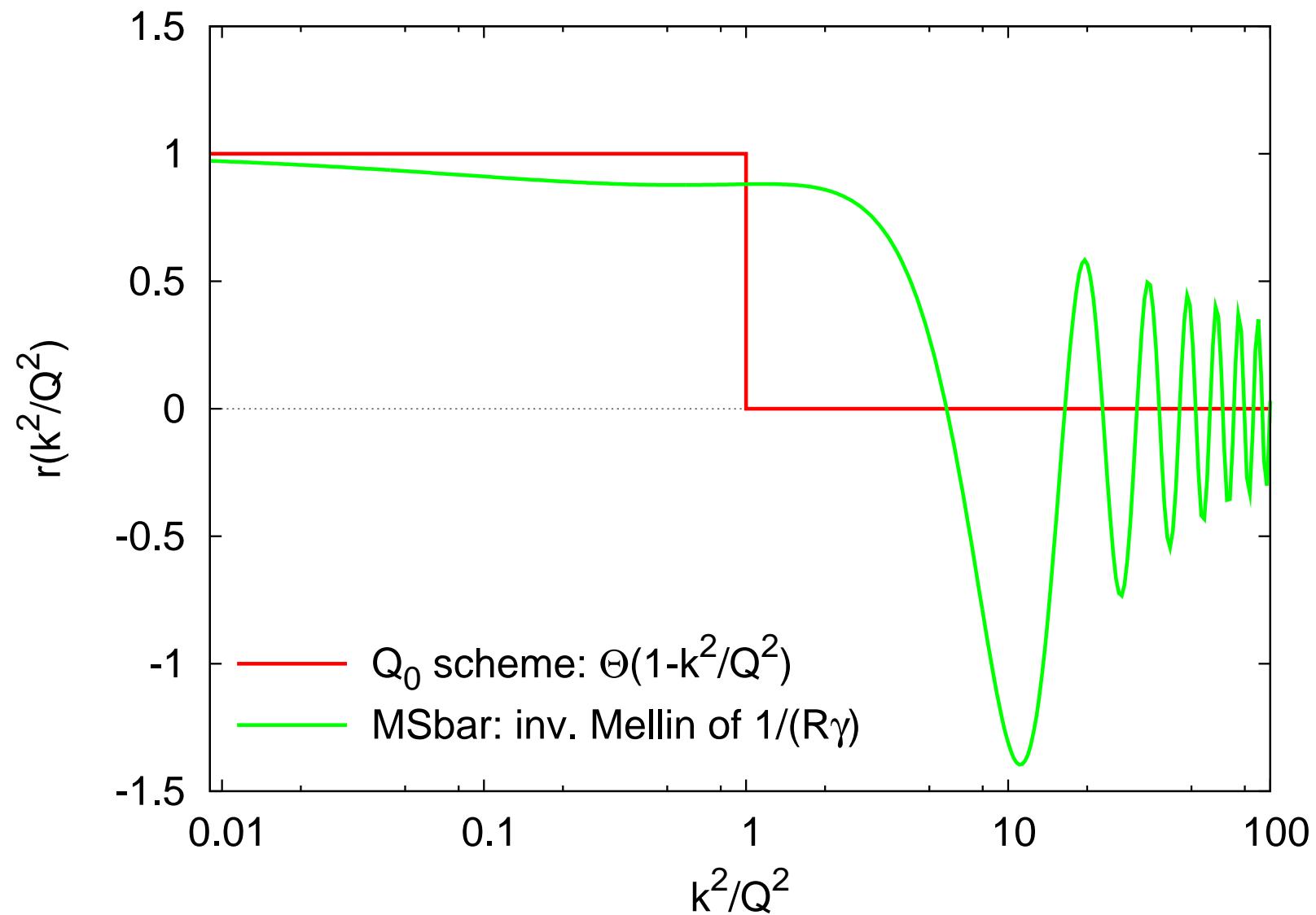
Should be easy?!

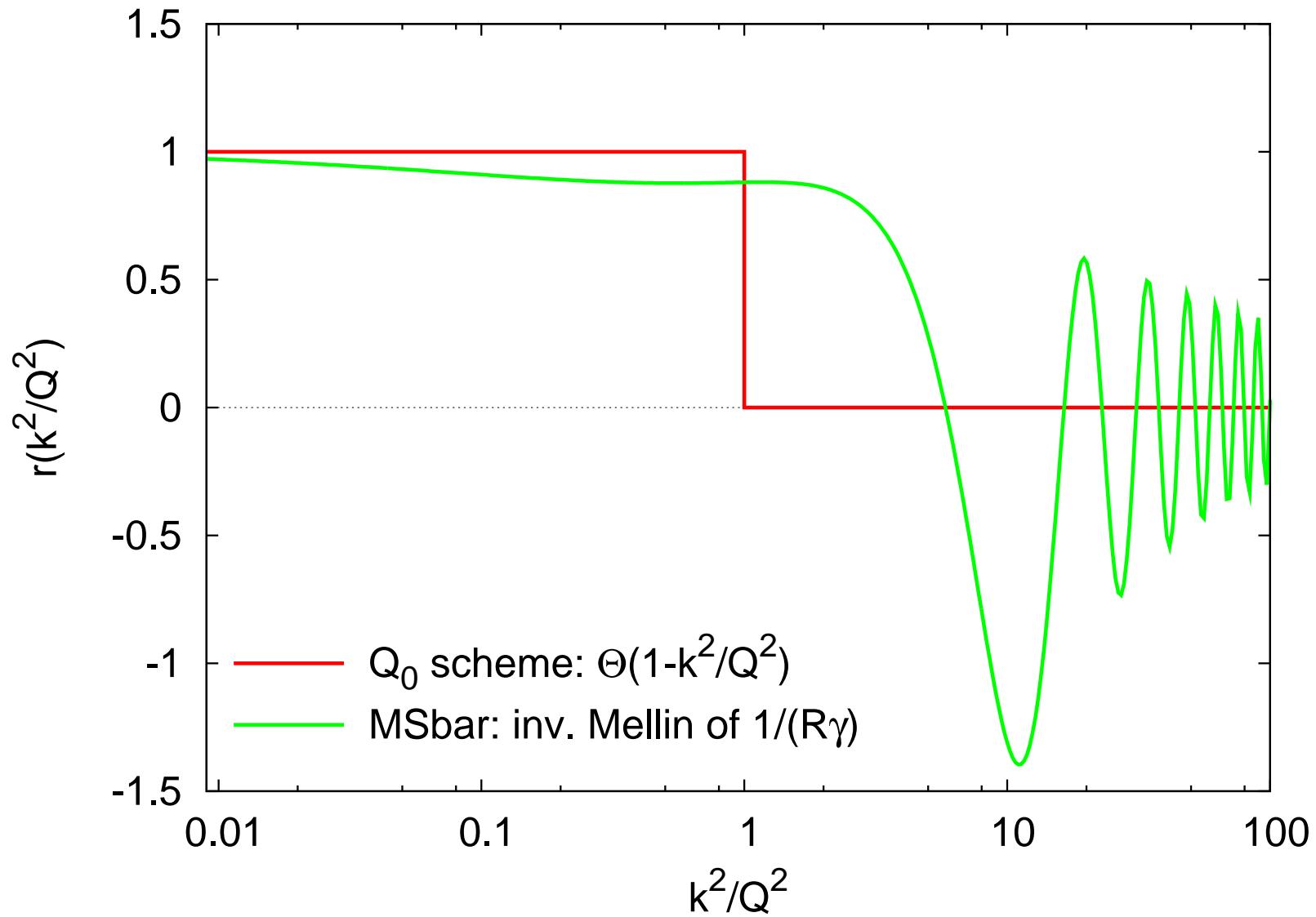
$$R(\gamma) = \left\{ \frac{\Gamma(1-\gamma)\chi(\gamma)}{\Gamma(1+\gamma)[- \gamma \chi'(\gamma)]} \right\}^{\frac{1}{2}} \exp \left\{ \int_0^\gamma d\gamma' \frac{\psi'(1) - \psi'(1-\gamma')}{\chi(\gamma')} \right\}$$

Catani & Hautmann '94

[NB: involves $\chi(\gamma)$ — does this need to be collinearly improved? Ignore problem for now...]







Numerically, $\overline{\text{MS}}$ is much more difficult.

Conceptually, the oscillations are disturbing.

Conclusions

- Progress being made on practical implementation of BFKL+DGLAP resummations

- Progress being made on practical implementation of BFKL+DGLAP resummations
- Main phenomenological feature of $xP_{gg}(x)$ is a **dip at $x \sim 10^{-3}$**

- Progress being made on practical implementation of BFKL+DGLAP resummations
- Main phenomenological feature of $xP_{gg}(x)$ is a **dip at $x \sim 10^{-3}$**
- Dip is rigorous property of $xP_{gg}(x)$ at small α_s
 - New formal expansion in powers of $\sqrt{\alpha_s}$ (at moderately small x)
 - for $\alpha_s \ll 1$ dip position is $\ln 1/x \sim \alpha_s^{-1/2}$ and depth $\sim \alpha_s^{5/2}$
 - dip signals start of resummation effects — breakdown of NNLO DGLAP

- Progress being made on practical implementation of BFKL+DGLAP resummations
- Main phenomenological feature of $xP_{gg}(x)$ is a **dip at $x \sim 10^{-3}$**
- Dip is rigorous property of $xP_{gg}(x)$ at small α_s
 - New formal expansion in powers of $\sqrt{\alpha_s}$ (at moderately small x)
 - for $\alpha_s \ll 1$ dip position is $\ln 1/x \sim \alpha_s^{-1/2}$ and depth $\sim \alpha_s^{5/2}$
 - dip signals start of resummation effects — breakdown of NNLO DGLAP
- Phenomenology?
 - Likely phenomenological impact: for $x \lesssim 10^{-4}$
 - Need to include quarks → matrix of splitting functions
 - Sort out factorisation scheme (& coefficient functions)

- Progress being made on practical implementation of BFKL+DGLAP resummations
- Main phenomenological feature of $xP_{gg}(x)$ is a **dip at $x \sim 10^{-3}$**
- Dip is rigorous property of $xP_{gg}(x)$ at small α_s
 - New formal expansion in powers of $\sqrt{\alpha_s}$ (at moderately small x)
 - for $\alpha_s \ll 1$ dip position is $\ln 1/x \sim \alpha_s^{-1/2}$ and depth $\sim \alpha_s^{5/2}$
 - dip signals start of resummation effects — breakdown of NNLO DGLAP
- Phenomenology?
 - Likely phenomenological impact: for $x \lesssim 10^{-4}$
 - Need to include quarks → matrix of splitting functions
 - Sort out factorisation scheme (& coefficient functions)

Detailed phenomenology still needs considerably more work