Impact of higher orders in the high-energy limit of QCD [OR: Is BFKL predictive?]

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BNL Riken lunch seminar Upton, NY, May 2005

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High-energy limit

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One of the major unsolved problems of QCD (and Yang-Mills theory in general) is the understanding of its *high-energy limit*.

I.e. the limit in which C.O.M. energy (\sqrt{s}) is much larger than *all other scales* in the problem.



Want to understand:

asymptotic behaviour of cross section, σ_{hh}(s) ~??
 properties of final states for large s.





- Some knowledge exists about behaviour of cross section experimentally
- Slow rise as energy increases
- Data insufficient to make reliable statements about functional form
 - $\sigma \sim s^{0.08}$? • $\sigma \sim \ln^2 s$?
- Understanding of final-states is
 ~ inexistent
- Would like theoretical predictions...

Experimental knowledge



Future experiments go to much higher energies.

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Problem is must more general than just for hadrons. E.g. photon can *fluctuate* into a quark-antiquark (hadronic!) state:



Even a neutrino can behave like a hadron



Hadronic component dominates high-energy cross section

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- Perturbative, leading-logarithmic (LL), calculation of cross-section growth
 Using just classical field theory
- Failure of comparison to data
- Higher-order corrections
 - NLL corrections
 - Problems & solutions
- Splitting functions

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Study field of $q\bar{q}$ dipole (\simeq hadron)



Look at density of *gluons* from dipole field (\sim energy density).

$QCD \simeq QED$

- Large energy = large boost (along z axis), by factor
- Fields flatten into pancake.
 - simple longitudinal structure

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Total number of gluons

Longitudinal structure of energy density ($N_c = \#$ of colours):

 $rac{d\epsilon}{dz} \sim rac{lpha_{\sf s} N_{\sf c}}{\pi} imes E\delta(z) imes {
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Total number of gluons:

 $\frac{3}{2}m_{\rm ext}^2 = m_{\rm ext}^2 m_{\rm ext}^2 + m_{\rm ext}^2 m_{\rm ext}^2 + m_{\rm ext}^2$



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- Calculation so far is first-order perturbation theory.
- Fixed order perturbation theory is reliable if series converges quickly.
- At high energies, $n \sim \alpha_s \ln E \sim 1$.
- What happens with higher orders?

 $(\alpha_{s} \ln E)^{n}$?

Leading Logarithms (LL). Any fixed order potentially non-convergent...

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Start with bare $q\bar{q}$ dipole:



Emission of 1 gluon is like QED case — modulo additional colour factor (number of different ways to repaint quark):

 $\alpha \rightarrow \alpha_{\rm s} N_c/2$ (approx)

- In QED subsequent photons are emitted by original dipole
- In QCD original dipole is converted into two new dipoles, which *emit independently*.

Higher-order corrections at small x (9/47) \Box Origin of growth of σ \Box QCD specifics

Multiple gluon emission



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Problem is self-similar: dipole \rightarrow 2 dipoles \rightarrow 4 dipoles \rightarrow . . .

Number of dipoles (or gluons) grows *exponentially*:

$$n \sim \exp\left[rac{lpha_{\sf s} N_c}{\pi} \ln E imes ext{transverse}
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Tranverse part \rightarrow many complications/interest

- ► transverse part is *conformally invariant* → Extensive mathematical studies
- ▶ In high-energy limit it reduces to a pure number: 4 ln 2

 $n \sim E^{rac{lpha_{
m s}N_c}{\pi}4\ln 2} \sim E^{0.5}$

Balitsky Fadin Kuraev Lipatov Pomeron (1976)
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BFKL: rising cross sections

$$n_{
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- Completely incompatible with rise of pp
 cross section (~ s^{0.08})
 pp
 is simply beyond perturbation theory
- experimentally spectactular if observable in some process...
- Raises many theoretical issues high gluon densities should lead to non-linear effects: high fields, but still perturbative

Colour Glass Condensate

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How can we search for BFKL experimentally?

- Need to ensure we are in regime where perturbation theory can be applied
 - Choose appropriate hadronic scales (small R)

Higher-order corrections at small × (12/47) Experimental searches for LL BFKL DIS

Deep Inelastic Scattering (DIS)

Getting small transverse sizes (needed for $\alpha_{\rm s} \ll 1$) and asymptotically large collision energies is experimentally difficult.

In general collide two hadronic probes — try a compromise: *make one of them small*

$$R_{\gamma} \sim rac{1}{Q} \ll R_p \sim rac{1}{m_p}$$

- qq̄ probe measures (roughly) number of gluons in proton up to scale Q
- NB: DIS more usually viewed as photon hitting quarks in proton



- Some of physics perturbative (Q ≥ pt ≫ mp)
- ▶ But if ln Q² ≥ ln s we have competition between

 $(\alpha_{\rm s}\ln s)^n$ v. $(\alpha_{\rm s}\ln s\ln Q^2)^n$

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Higher-order corrections at small x (13/47) Experimental searches for LL BFKL DIS

HERA F_2 data



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Higher-order corrections at small × (14/47) Experimental searches for LL BFKL DIS



- Convert cross sections into estimate of number of gluons
- Various independent extractions
- Up to 20 gluons per unit ln x (or unit ln p_z)!

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Higher-order corrections at small × (15/47) Experimental searches for LL BFKL DIS

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- For BFKL, expect $\lambda \simeq 0.5$

Definitely not LL BFKL!

There is some growth — where does it come from?

It is due to combination of $x \ll 1$ and $Q^2 \gg m_p^2$ — resummation of terms $(\alpha_s \ln \frac{1}{x} \ln Q^2)^n$:

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 $\gamma^*\gamma^*$ collisions





Results from LEP



Here too, data clearly incompatible with LL BFKL

But perhaps some evidence for weak growth



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▶ BFKL is rigorous prediction of field theory, yet not seen in data

- Should we be worried?
- Calculations shown so far are in Leading Logarithmic (LL) approximation, (α_s ln s)ⁿ: accurate only for

 $\alpha_{s} \rightarrow 0$, ln $s \rightarrow \infty$ and $\alpha_{s} \ln s \sim 1$.

Need higher order corrections

Next-to-Leading-Logarithmic (NLL) terms: $\alpha_{s}(\alpha_{s} \ln s)^{n}$

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Beyond LL
Introduction

Wavefunction v. ladder graphs

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evolution in wavefunctions

Higher-order corrections at small x (19/47) Beyond LL Introduction

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wavefunctions



Associated with power growth

Associated with normalisation

Higher-order corrections at small x (20/47)
Beyond LL
Introduction

Label various parts of cross-section calculation NLL: include relative $\mathcal{O}(\alpha_s)$ corrections to each



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Fadin, Lipatov, Fiore, Kotsky, Quartarolo; Catani, Ciafaloni, Hautmann, Camici '89-'98

Bartels, Gieseke, Qiao, Colferai, Vacca, Kyrieleis; Fadin, Ivanov, Kotsky

NLL power

Cast NLL corrections to kernel as modification of power:

 $\sigma \sim G(Y, k, k) \sim \exp\left[4\ln 2\bar{lpha}_{\mathsf{s}}(1 - 6.5\bar{lpha}_{\mathsf{s}})Y
ight]$

NB: k = transv. mom. scale

• Very *poorly convergent* ($\bar{\alpha}_{s} = \alpha_{s} N_{c} / \pi \simeq 0.15 \cdots 0.2$)

▶ Unstable perturbative hierarchy: *expansion of power has limited sense*

 Instead, try solving BFKL equation with full NLL kernel (including running coupling)

$$G(Y,k,k_0) = \frac{\delta(k-k_0)}{2\pi k_0} + \int_0^Y dy \int dk'^2 \mathcal{K}(k,k') G(Y-y,k,k')$$

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Higher-order corrections at small × (22/47) Beyond LL NLL

NLL Green function solution

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Need to understand origin of instability

Higher-order corrections at small × (22/47)
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Various convention choices affect higher orders (NNLLx):

▶ scale of α_s

• 'energy-scale'
$$s_0$$

($Y = \ln s/s_0$).

Extreme sensitivity to choice of convention ⇔ poor perturbative convergence.

NB: Andersen & Sabio Vera solutions ~ green curve

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- First branching occurs for $Y \sim \frac{c}{\alpha_s}$
- In practice c is small: $e^{Y} \sim 2-5$
- Energy-distribution \neq perfect $\delta(z)$
- 'degree of imperfection' depends on transverse position

Ciafaloni '88

Andersson et al; Kwiecinski et al '96

- - Responsible for ~ 90% of NLL corrections
 - Can be used to supplement NLL at all orders

GPS; Ciafaloni & Colferai, '98-99

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Higher-order corrections at small x (23/47)
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NLL: why so bad?



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Characteristic function



Eigenvalues of kernel:

- $\mathcal{K}\otimes (k^2)^\gamma = ar{lpha}_{\mathsf{s}}\chi(\gamma)\cdot (k^2)^\gamma$
- $\chi(\gamma)$ is characteristic function
 - $\chi(\gamma) = 2\psi(1) \psi(\gamma) \psi(1 \gamma)$

ightarrow high energy evolution, $\sigma \sim e^{ar{lpha}_{
m s}\chi(\gamma)Y}$.

 dominant part at high energies is *minimum* (only stable solution)

 $\sigma \sim e^{4 \ln 2 \bar{lpha}_{
m s} Y} \sim e^{0.5 Y}$ $lpha_{
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▶ pole $(1/\gamma)$ corresponds to ⊥ logarithms → DL terms $\alpha_s Y \ln Q^2$











Building up the kernel...



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Green fn. from improved kernel



Check stability of results



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Green function

 $G(Y, k, k_0)$ perturbatively calculable for $k, k_0 \gg \Lambda_{QCD}$.

Fine for γ*γ*, Mueller-Navelet jets (hadron-hadron), Forward jets (DIS). But: rare processes – of interest mainly for testing BFKL

Recall:

We were interested in proton (e.g. $F_2(x, Q^2)$ structure fn in DIS).

- In best of cases, $k \sim Q \gg k_0 \sim \Lambda_{QCD}$
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Evolution in Q^2 is calculable

- via DGLAP splitting functions
- these also get small-x enhancements
- Calculate them!

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Construct a gluon density from Green function (take $k \gg k_0$):

$$xg(x,Q^2) \equiv \int^Q d^2k \ G^{(\nu_0=k^2)}(\ln 1/x,k,k_0)$$

There should exist a *perturbative* splitting function, $P_{gg,eff}(z, Q^2)$, such that

$$\frac{dg(x,Q^2)}{d \ln Q^2} = \int \frac{dz}{z} P_{gg,eff}(z,Q^2) g\left(\frac{x}{z},Q^2\right)$$

Factorisation

Splitting function:

red paths

• Green function:

all paths

Splitting function =evolution with cutoff

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Perturbative structure of P_{gg}

 Small-x gluon splitting function has logarithmic enhancements:

$$xP_{gg}(x) = \sum_{n=1}^{n} \alpha_s^n \ln^{n-1} \frac{1}{x}$$
$$+ \sum_{n=2}^{n} \alpha_s^n \ln^{n-2} \frac{1}{x} + \dots$$

Leading Logs (LLx)

$$\bar{\alpha}_{s} + \frac{\zeta(3)}{3}\bar{\alpha}_{s}^{4}\ln^{3}\frac{1}{x} + \frac{\zeta(5)}{60}\bar{\alpha}_{s}^{6}\ln^{5}\frac{1}{x} + \cdots$$

Next-to-Leading Logs (NLLx)

$$A_{20}\bar{\alpha}_{\rm s}^2 + A_{31}\bar{\alpha}_{\rm s}^3 \ln \frac{1}{x} + A_{42}\bar{\alpha}_{\rm s}^4 \ln^3 \frac{1}{x} + \dots$$

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Problem:

- LLx terms rise very fast, $xP_{gg}(x) \sim x^{-0.5}$. Incompatible with data. Ball & Forte '95
- NLLx terms go negative very fast.

No one's even tried fitting the data!

[NB: Taking NLLx terms of P_{gg} is almost the worst possible expansion]



BFKL splitting function 'power'

Two classes of correction, to power growth ω :

$$\omega = 4 \ln 2 \,\bar{\alpha}_{s}(Q^{2}) \left(1 - \underbrace{6.5 \,\bar{\alpha}_{s}}_{NLL} - \underbrace{4.0 \,\bar{\alpha}_{s}^{2/3}}_{running} + \cdots \right)$$

NLL piece is universal

As before, add approximate higher orders via $\mathsf{NLL}_{\mathrm{B}}$ kernel

running piece appears only in problems with cutoffs

 a consequence of *asymmetry* due to cutoff (only scales higher than cutoff contribute)

 $lpha_{\mathsf{s}}(Q^2)
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Hancock & Ross '92

Beyond first terms, not possible to separate effects of 'pure' higher orders & running coupling

Obtain $G(Y, k, k_0) \Rightarrow g(x, Q^2)$ with arbitrary non-pert. condition, deconvolute $\partial_{\ln Q^2}g = P_{gg} \otimes g \Longrightarrow P_{gg}$ Two classes of correction, to power growth ω :

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Higher-order corrections at small x (35/47) Splitting functions Dip

Phenomenology: dip dominates P_{gg}

- Rapid rise in P_{gg} is not for today's energies!
- Main feature is a *dip at* $x \sim 10^{-3}$

Questions:

- Is the dip a rigorous prediction?
- What is its origin? Running α_s, mom. sum rule...?

NNLO DGLAP gives a clue. . . $-1.54 \,\overline{\alpha}_s^3 \ln \frac{1}{x}$

Interaction between $-\bar{lpha}_{
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$$rac{\partial g(x,Q^2)}{d \ln Q^2} = P_{gg} \otimes g + P_{gq} \otimes q$$

At small x, $P_{gg} \otimes g$ dominates.

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 $\underset{\downarrow_{\text{Phenom. impact}}}{\overset{\text{Higher-order corrections at small x (37/47)}}{\overset{\text{Splitting functions}}{\overset{\text{Log}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Log}}{\overset{\text{Splitting functions}}{\overset{\text{Log}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Log}}{\overset{\text{Splitting functions}}{\overset{\text{Log}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{Splitting functions}}{\overset{\text{$



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Higher-order corrections at small x (37/47) Splitting functions Phenom. impact

Phenomenological impact? $P_{gg} \otimes g(x)$



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- High-energy limit is one of most challenging problems of QCD.
- Much is now understood about some central elements of small-x resummations:
 - ▶ gluon *Green* function
 - gluon *splitting* function

It seems both can be predicted <u>with confidence</u>

- Phenomenological tests are essential
 - Mueller-Navelet jets at LHC, $\gamma^*\gamma^*$ at ILC
 - Structure functions from HERA
- Some ingredients still missing
 - NLL Impact factors
 - Full singlet matrix for splitting functions (not just Pgg).
- Big, active question not touched on:

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Green function (extra slides)



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Reorganise perturbative series



Reorganise perturbative series





with x-dependence are

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m 3}\lnrac{1}{x}+0.401\,ar{lpha}_{
m s}^{
m 4}\ln^{
m 3}rac{1}{x}$

Minimum when

 $s \ln^2 x \sim 1 \equiv \ln \frac{1}{x} \sim \frac{1}{\sqrt{\alpha_s}}$

Reorganise perturbative series





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Systematic expansion in $\sqrt{\alpha_s}$



Position of dip

$$\ln \frac{1}{x_{\min}} \simeq \frac{1.156}{\sqrt{\bar{\alpha}_{s}}} + 6.947 + \cdots$$

Depth of dip

$$-d \simeq -1.237 \bar{\alpha}_{s}^{5/2} - 11.15 \bar{\alpha}_{s}^{3} + \cdots$$

NB:

- convergence is very poor
 As ever at small x!
- higher-order terms in expansion need NNLLx info

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Test $\sqrt{\alpha_s}$ dip expansion

Test *position* of dip v. α_s

- ► Band is uncertainty due to higher orders in √as
- ► At small α_s, good agreement → confirmation of 'dip mechanism'
- At moderate α_s, normal small-x resummation effects 'collide' with dip

$$\ln \frac{1}{x_{\min}} \lesssim \frac{3}{2\omega_c}$$

Dip then comes from interplay between $\alpha_s^3 \ln x$ (NNLO) term and full resummation.

[Actually, story more complex] ব □ ► বিটা ব ই ► ব ই ► হ ত ৩৫৫



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similar conclusions!

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Steps missing for 'full' phenomenology:

- ▶ Resummation of all entries of singlet matrix & coefficient functions.
- ▶ Put results in MS factorisation scheme

⇒illustrate nature of surprises that arise...

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Higher-order corrections at small x (46/47) Extra Material MS-Bar scheme gluon

Factorisation scheme

Results shown so far in Q_0 scheme.

[Catani, Ciafaloni & Hautmann '93]

$$xg(x,Q^2) \equiv \int d^2k \ G(\ln 1/x,k,k_0)\Theta(Q-k) \qquad G^{(0)} = f(x)\delta^2(k-k_0)$$

To translate to MS scheme

$$xg(x,Q^2) \equiv \int d^2k \ G(\ln 1/x,k,k_0)r\left(\frac{k^2}{Q^2}\right), \qquad r\left(\frac{k^2}{Q^2}\right) = \int \frac{d\gamma \, e^{\gamma \ln \frac{Q^2}{k^2}}}{2\pi i \, \gamma R(\gamma)}$$

Should be easy?!

$$R(\gamma) = \left\{ \frac{\Gamma(1-\gamma)\chi(\gamma)}{\Gamma(1+\gamma)[-\gamma\chi'(\gamma)]} \right\}^{\frac{1}{2}} \exp\left\{ \int_{0}^{\gamma} d\gamma' \frac{\psi'(1) - \psi'(1-\gamma')}{\chi(\gamma')} \right\}$$

Catani & Hautmann '94 NB: involves $\chi(\gamma)$ — does this need to be collinearly improved? Ignore problem for now...] Higher-order corrections at small x (46/47) Extra Material MS-Bar scheme gluon

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