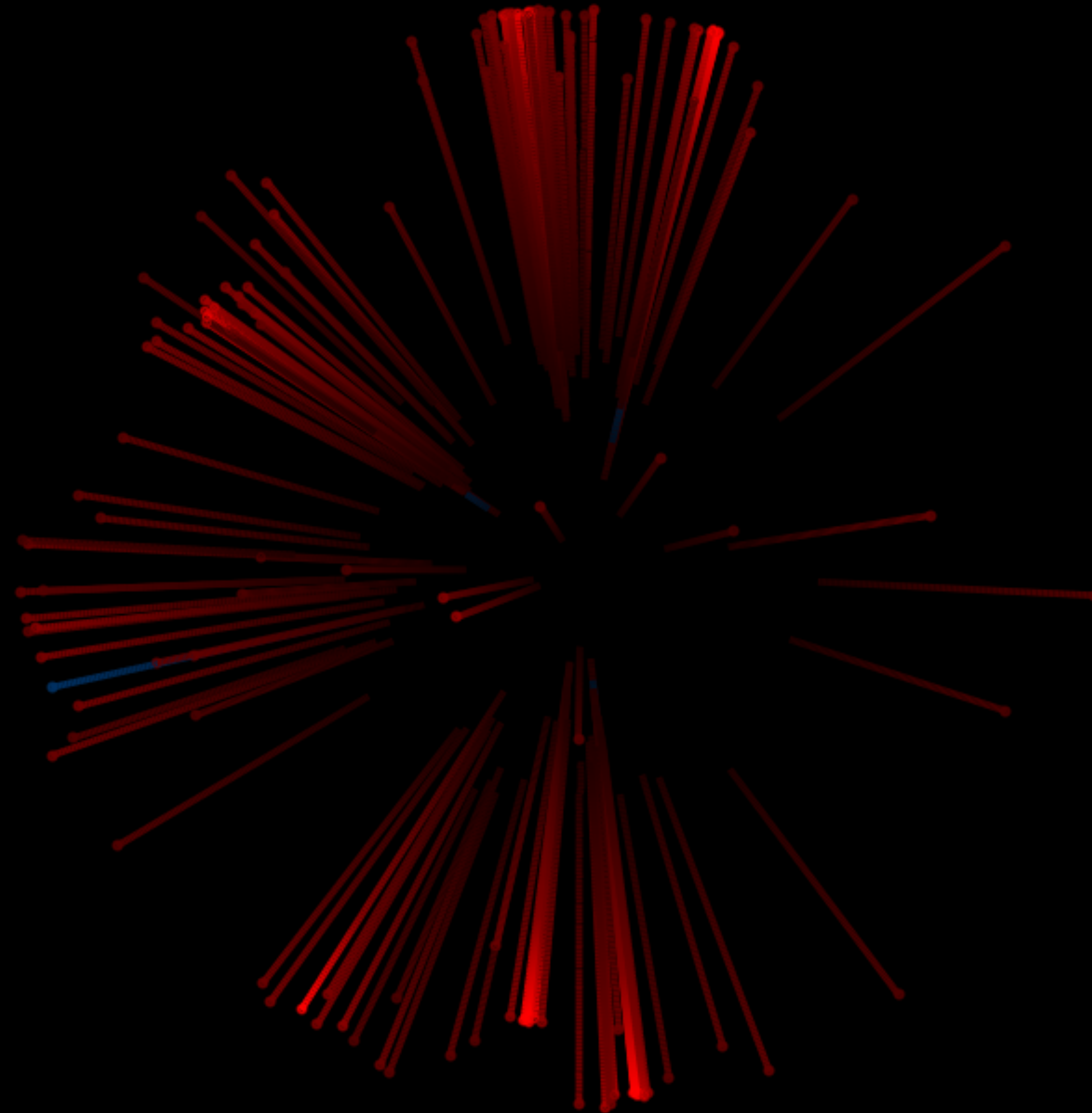


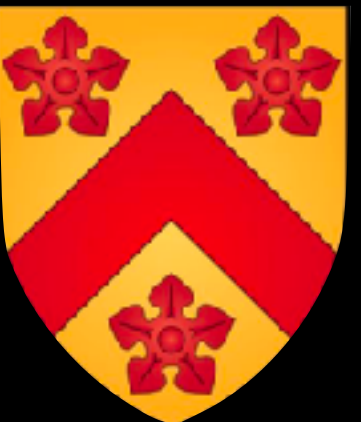
# The power and limits of parton showers

KIT Particle Physics Colloquium  
via Zoom  
June 2021



**Gavin Salam**

Rudolf Peierls Centre for  
Theoretical Physics  
& All Souls College, Oxford



# The context of this talk: LHC physics (colour-coded by directly-probed energy scales)

---

**Standard-model  
physics  
(QCD & electroweak)**

**100 MeV – 4 TeV**

**top-quark physics**

**170 GeV – 0(TeV)**

**Higgs physics**

**125 GeV – 500 GeV**

**direct new-particle  
searches**

**100 GeV – 8 TeV**

**flavour physics  
(bottom & some charm)**

**1 – 5 GeV**

**heavy-ion physics**

**100 MeV – 500 GeV**

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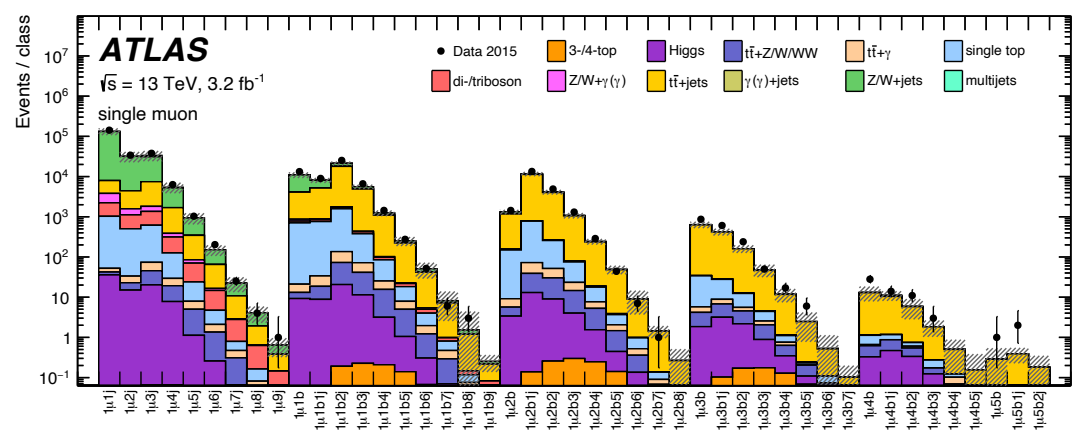
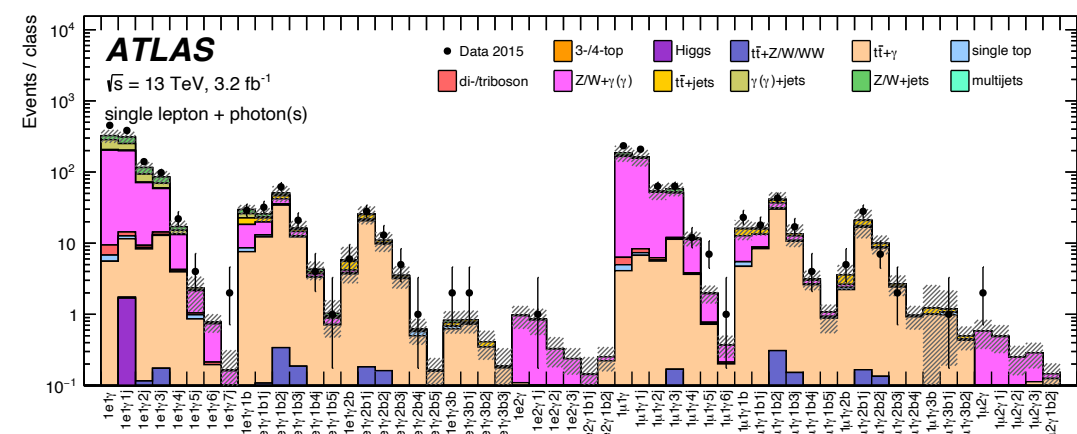
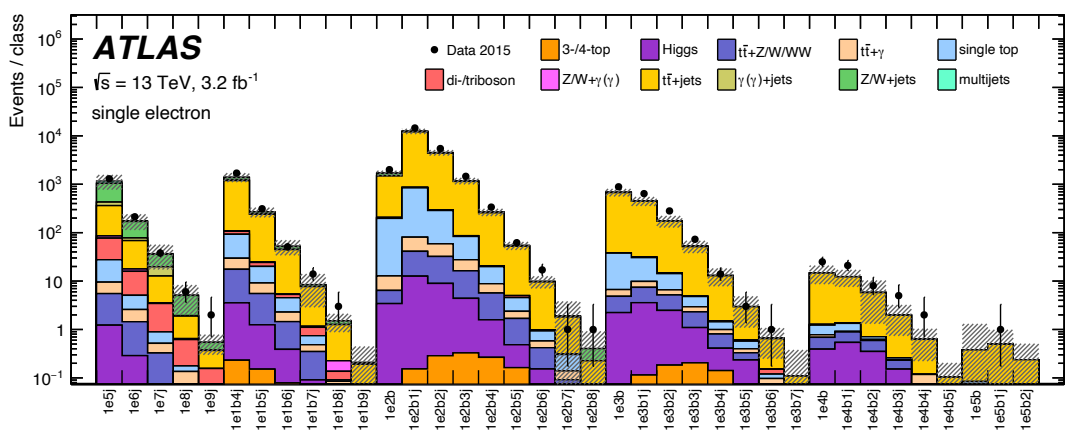
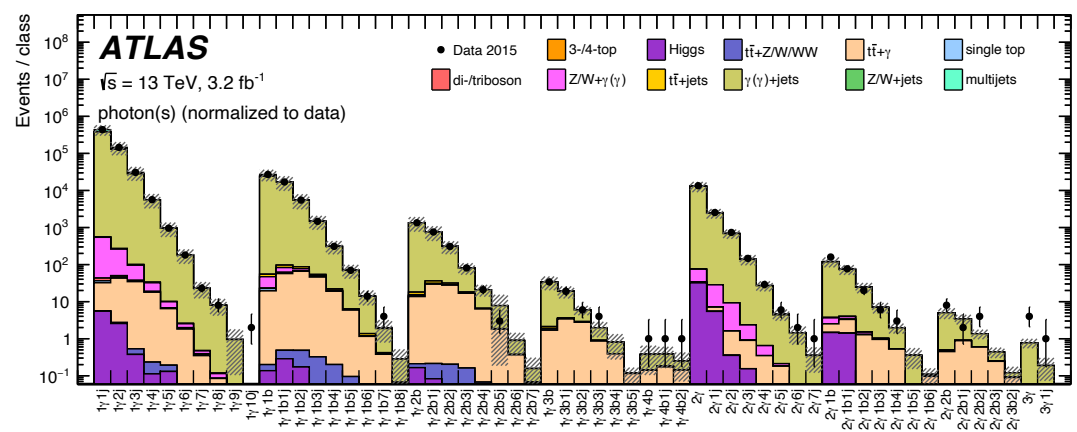
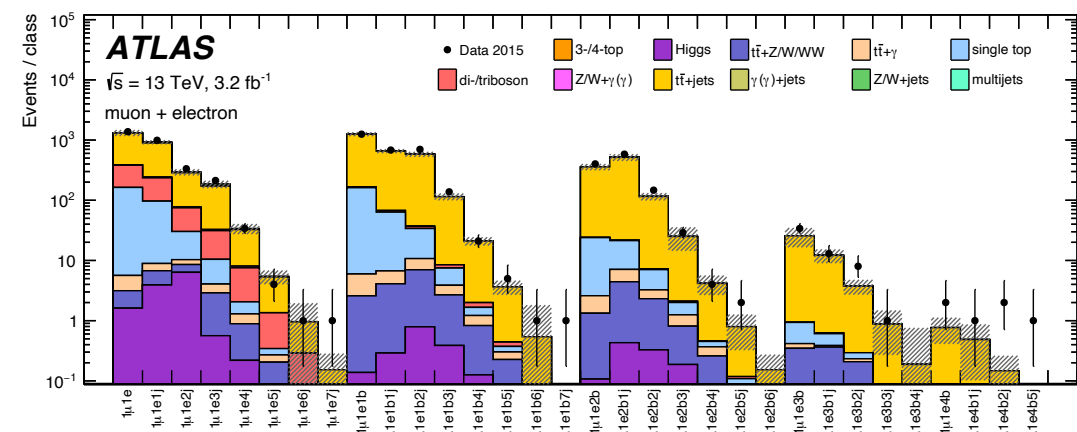
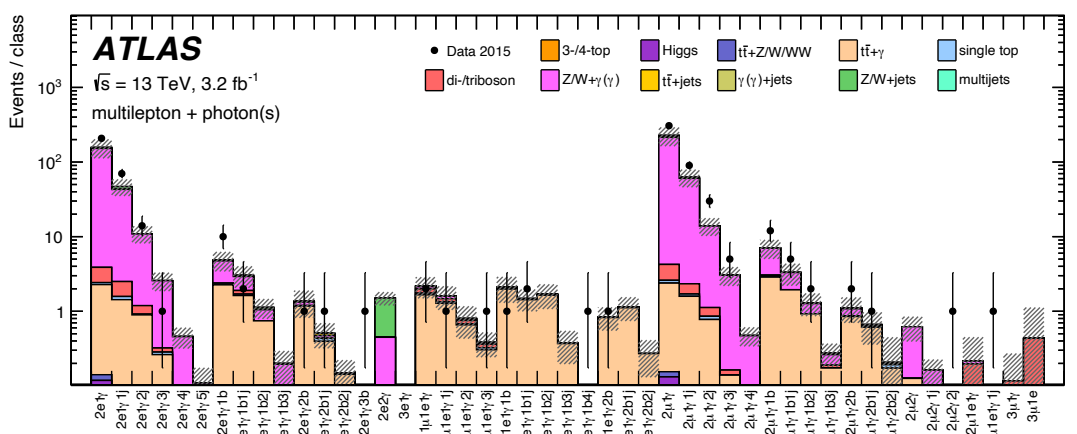
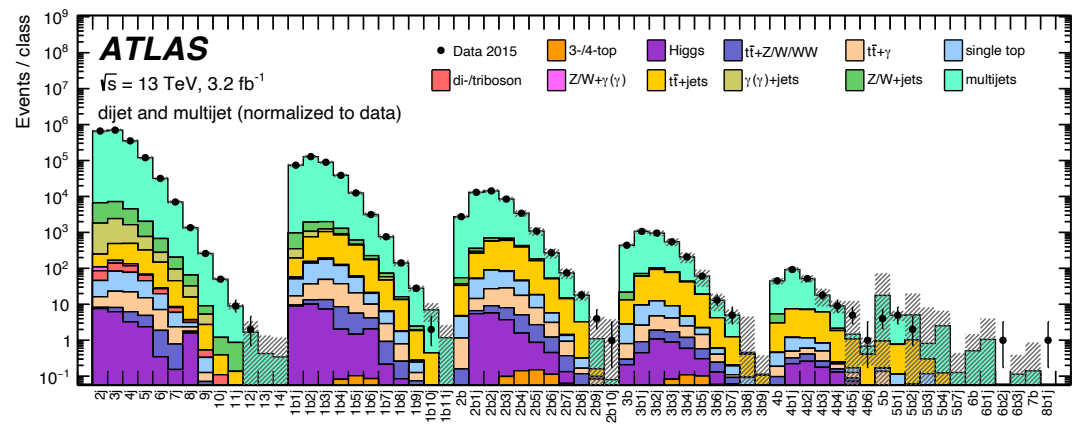
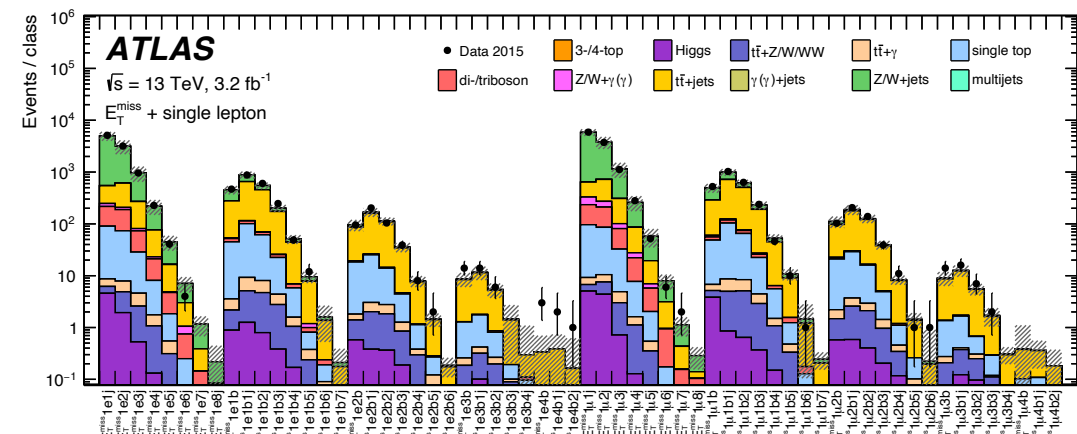
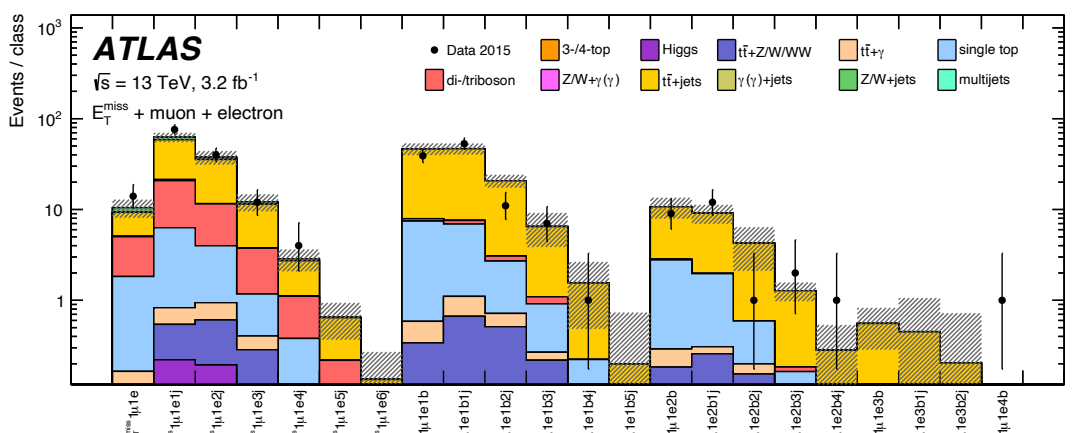
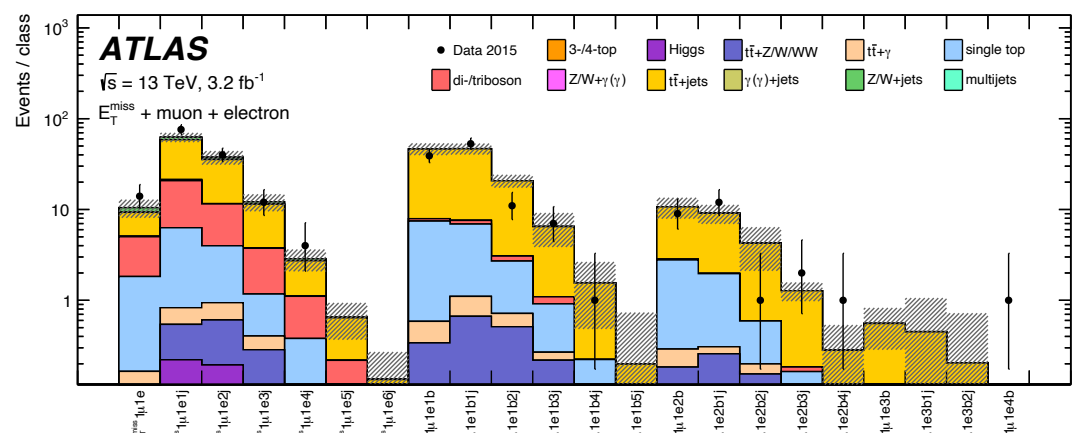
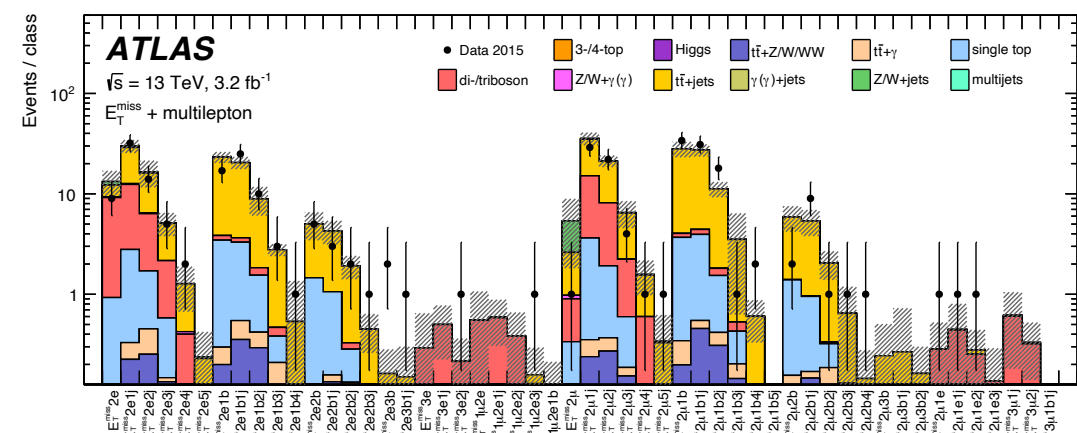
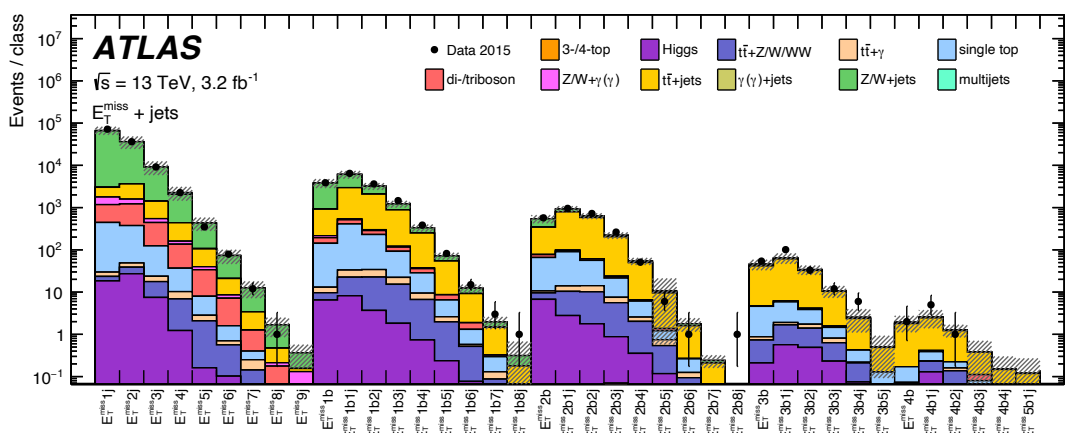
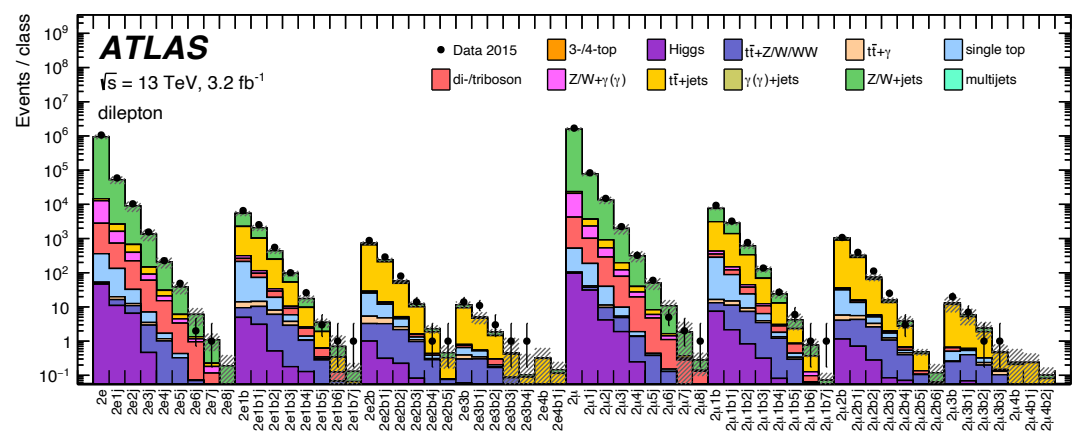
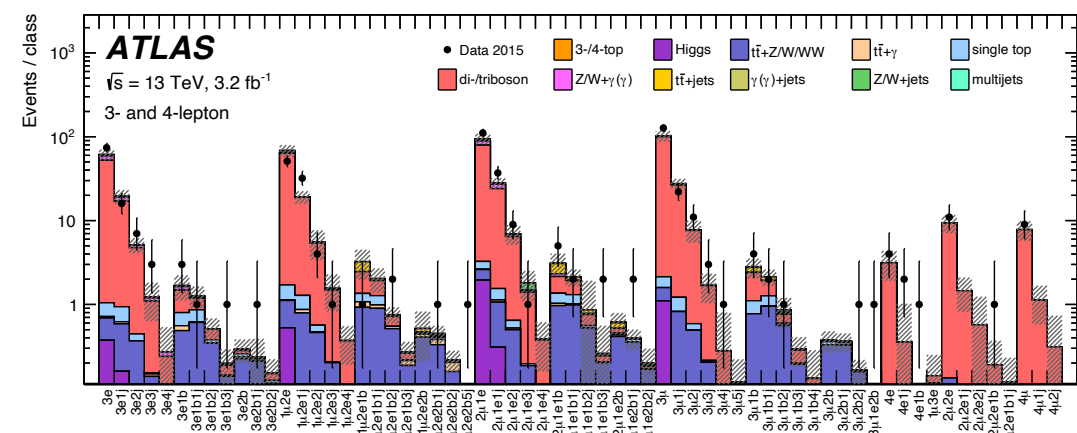
**100 GeV – 8 TeV**

**Key high-energy physics goals (my view)**

1. Establish the structure of the Higgs sector of the SM
2. Search for signs of physics beyond the SM, direct (incl. dark matter candidates, SUSY, etc.) and indirect
3. Measure SM parameters, proton structure (PDFs), establish theory-data comparison methods, etc.



# Broadband searches (here an example with 704 event classes)



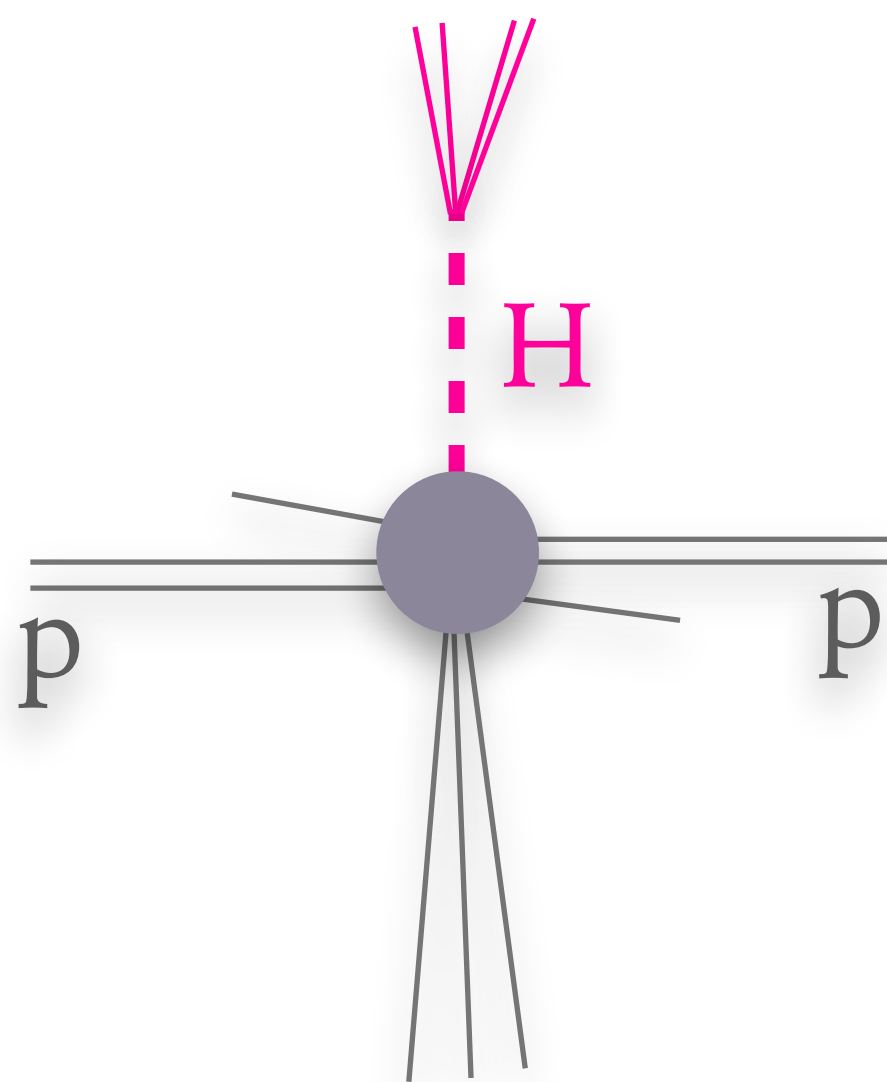
Just one illustration  
 out of many searches  
 at the LHC

ATLAS, arXiv:1807.07447  
 13 TeV, 3.2 fb<sup>-1</sup>  
 General search

# high $p_T$ Higgs & [SD] jet mass

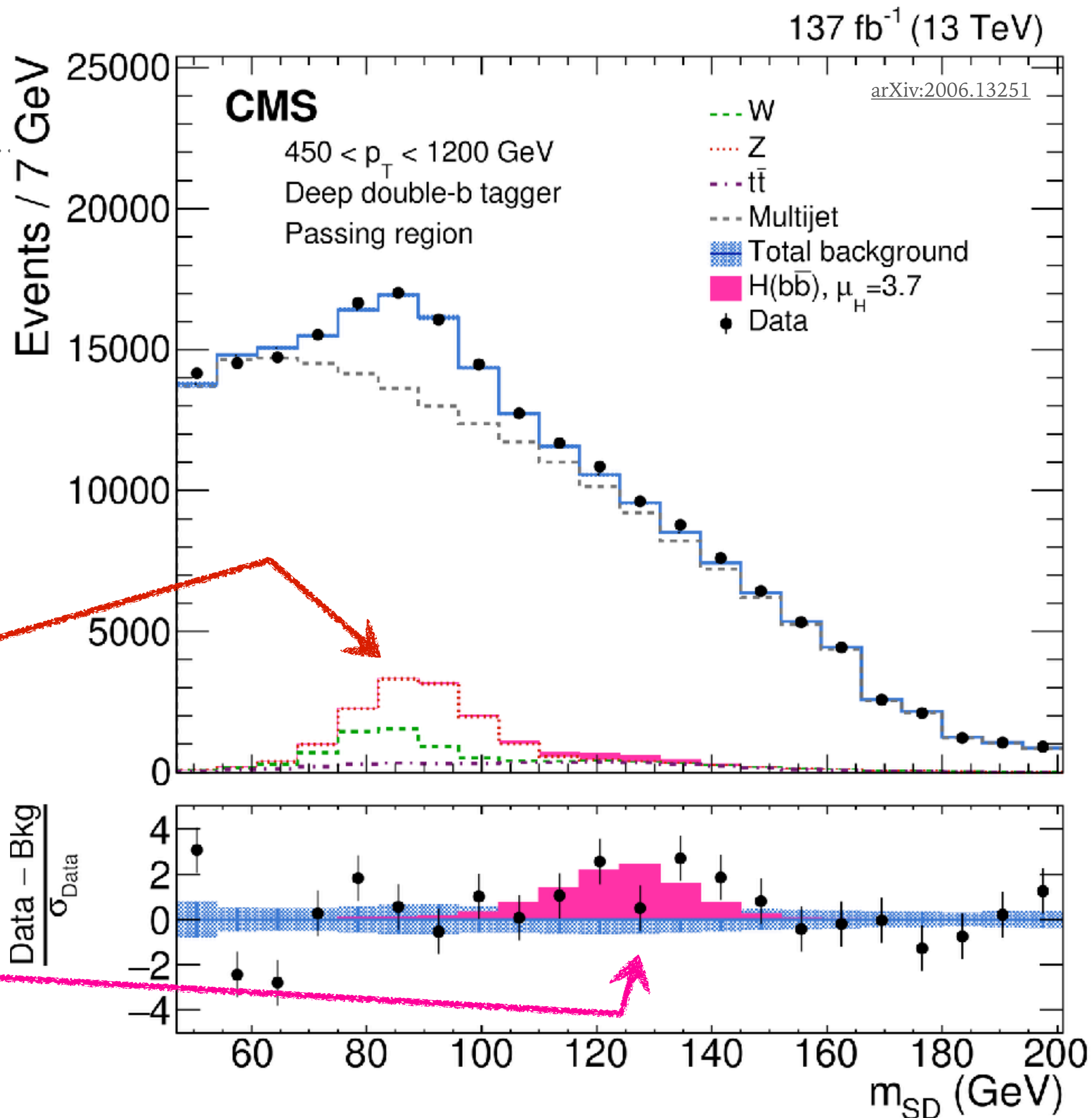
We wouldn't trust electromagnetism if we'd only tested it at one length/momentum scale.

New Higgs interactions need testing at both low and (here) high momenta.



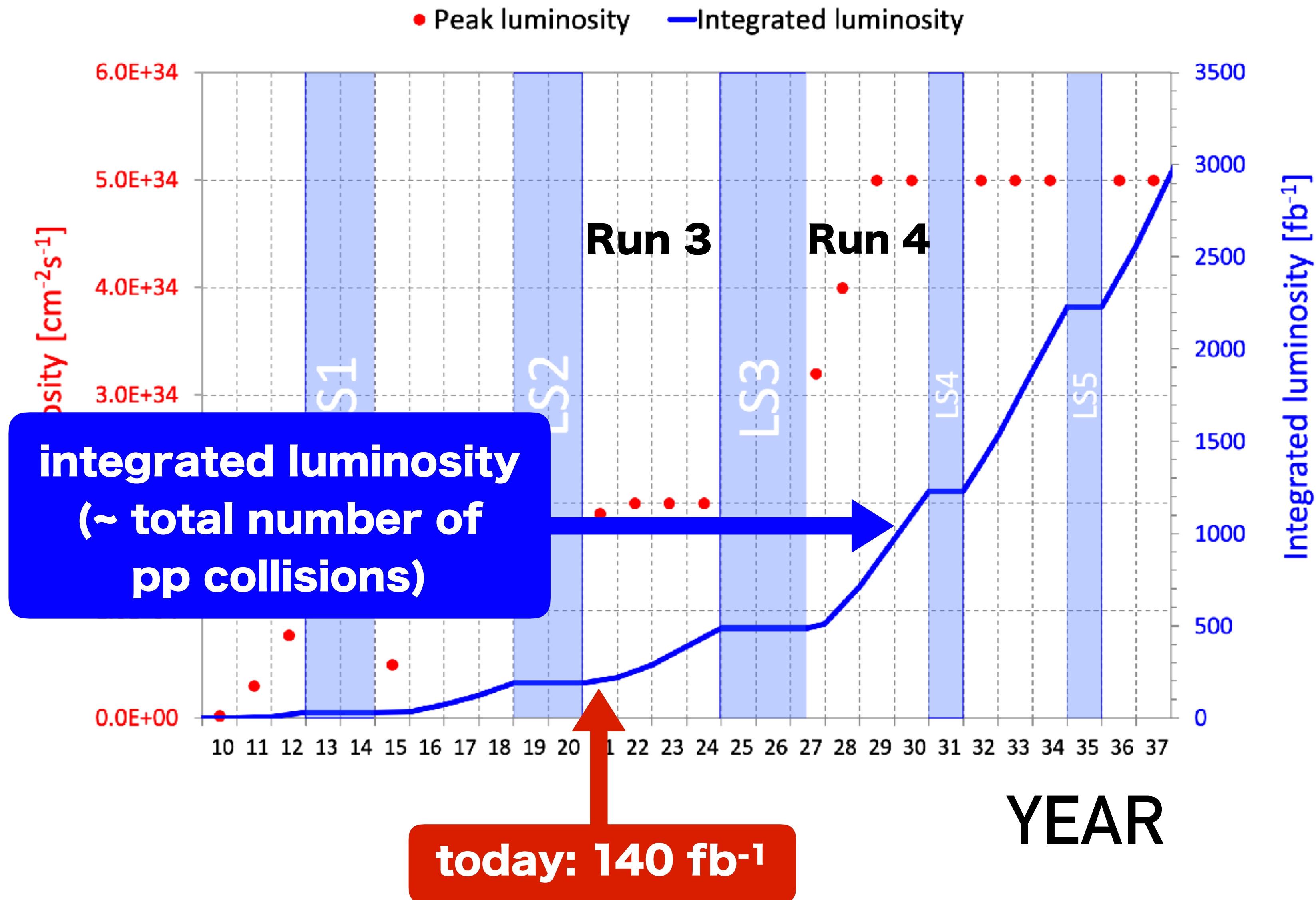
high- $p_T$   
 $Z \rightarrow b\bar{b}$

high- $p_T$   
 $H \rightarrow b\bar{b}$   
 (2.5  $\sigma$ )





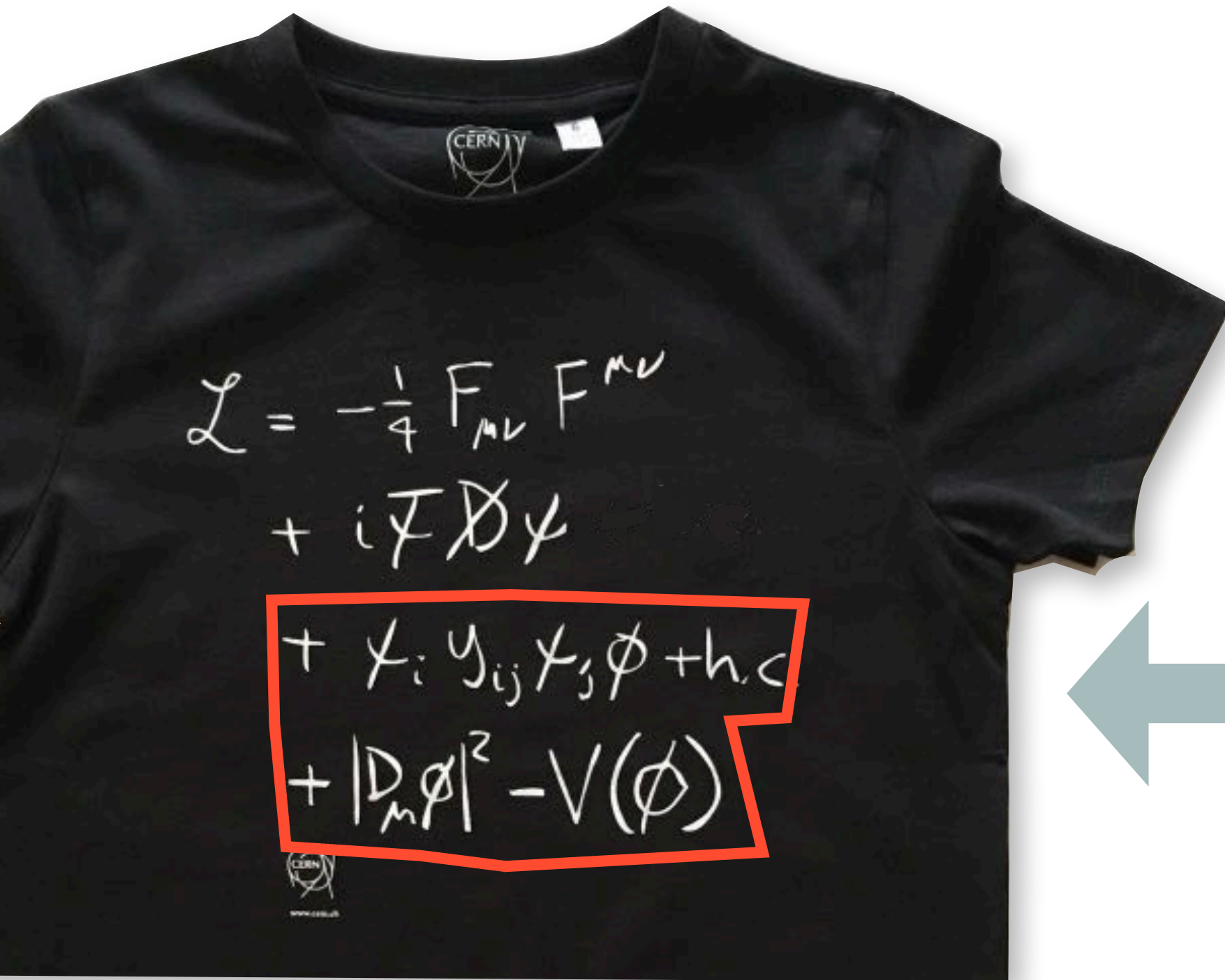
# LHC luminosity v. time



year	lumi (fb <sup>-1</sup> )	
2020	140	
2025	450	(× 3)
2030	1200	(× 8)
2037	3000	(× 20)

*95% of collisions still to be delivered*

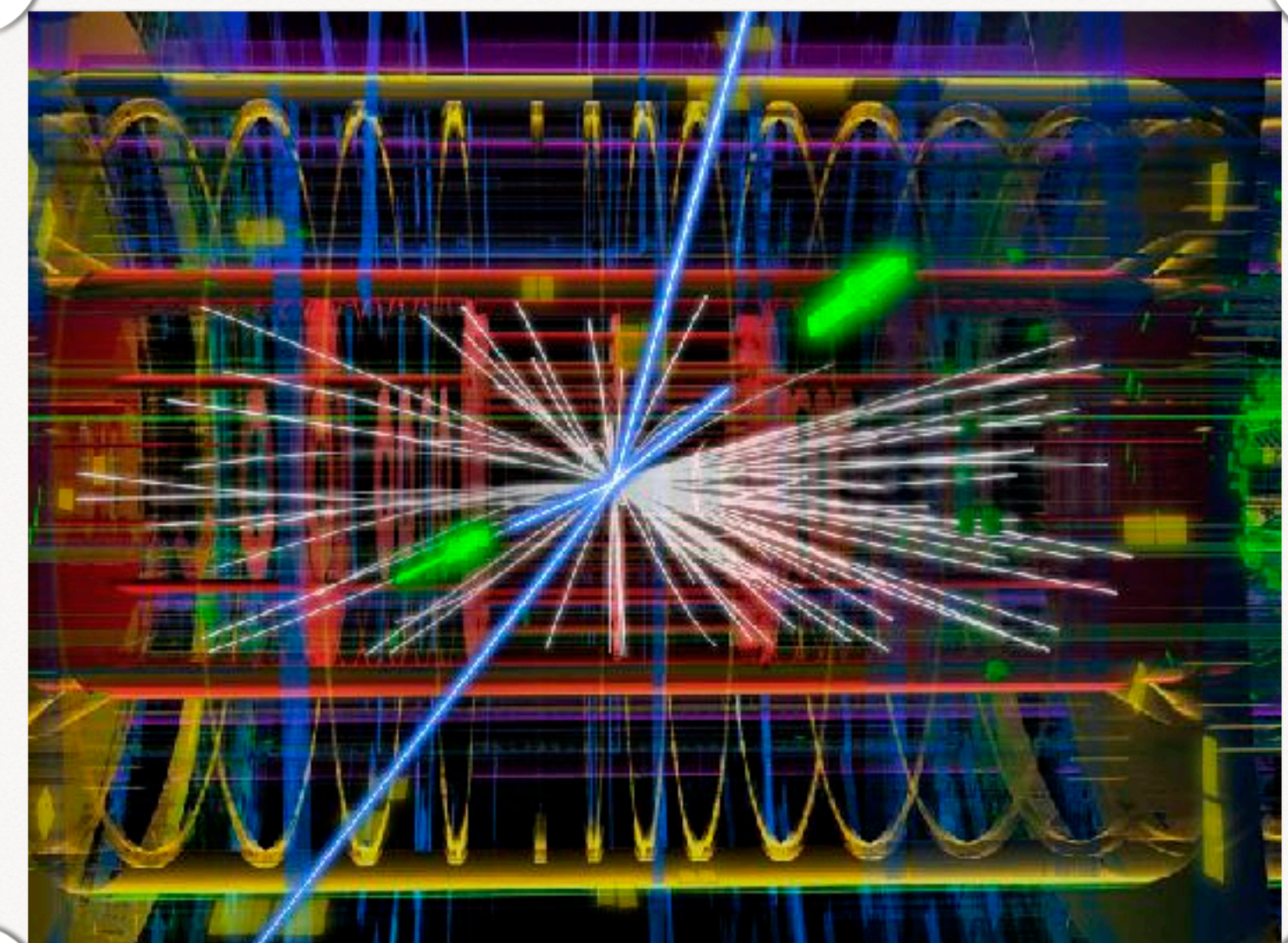
# UNDERLYING THEORY



*how do you make  
quantitative  
connection?*

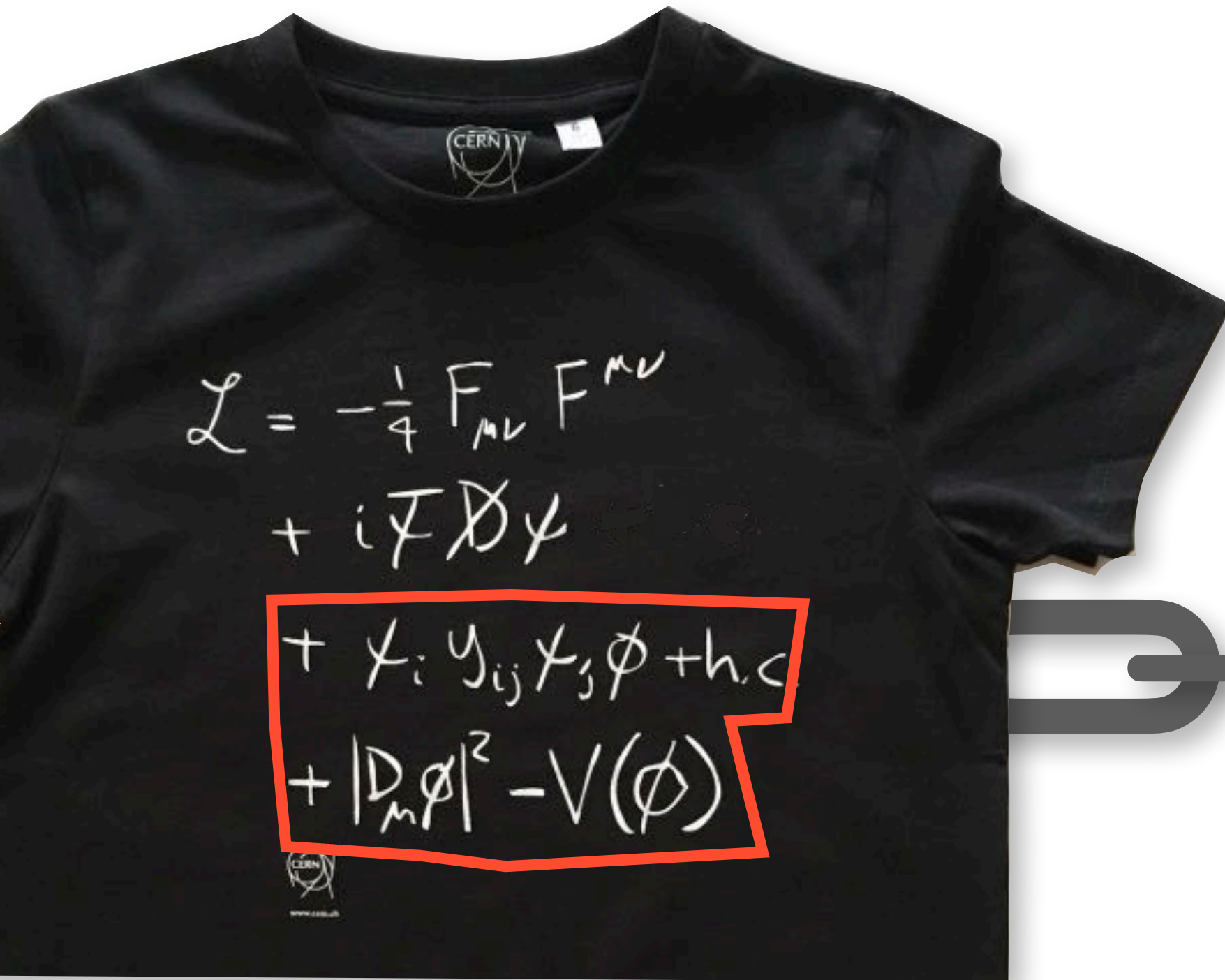


# EXPERIMENTAL DATA

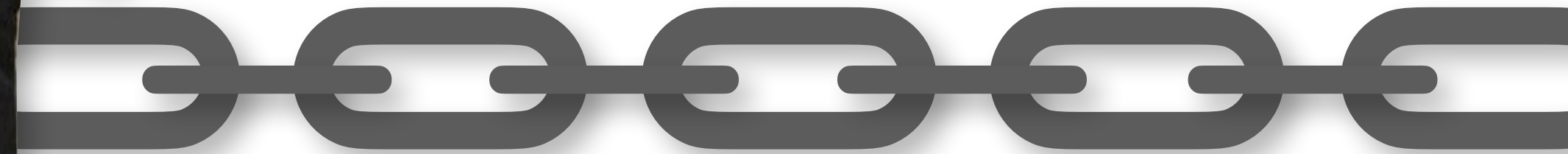




# UNDERLYING THEORY

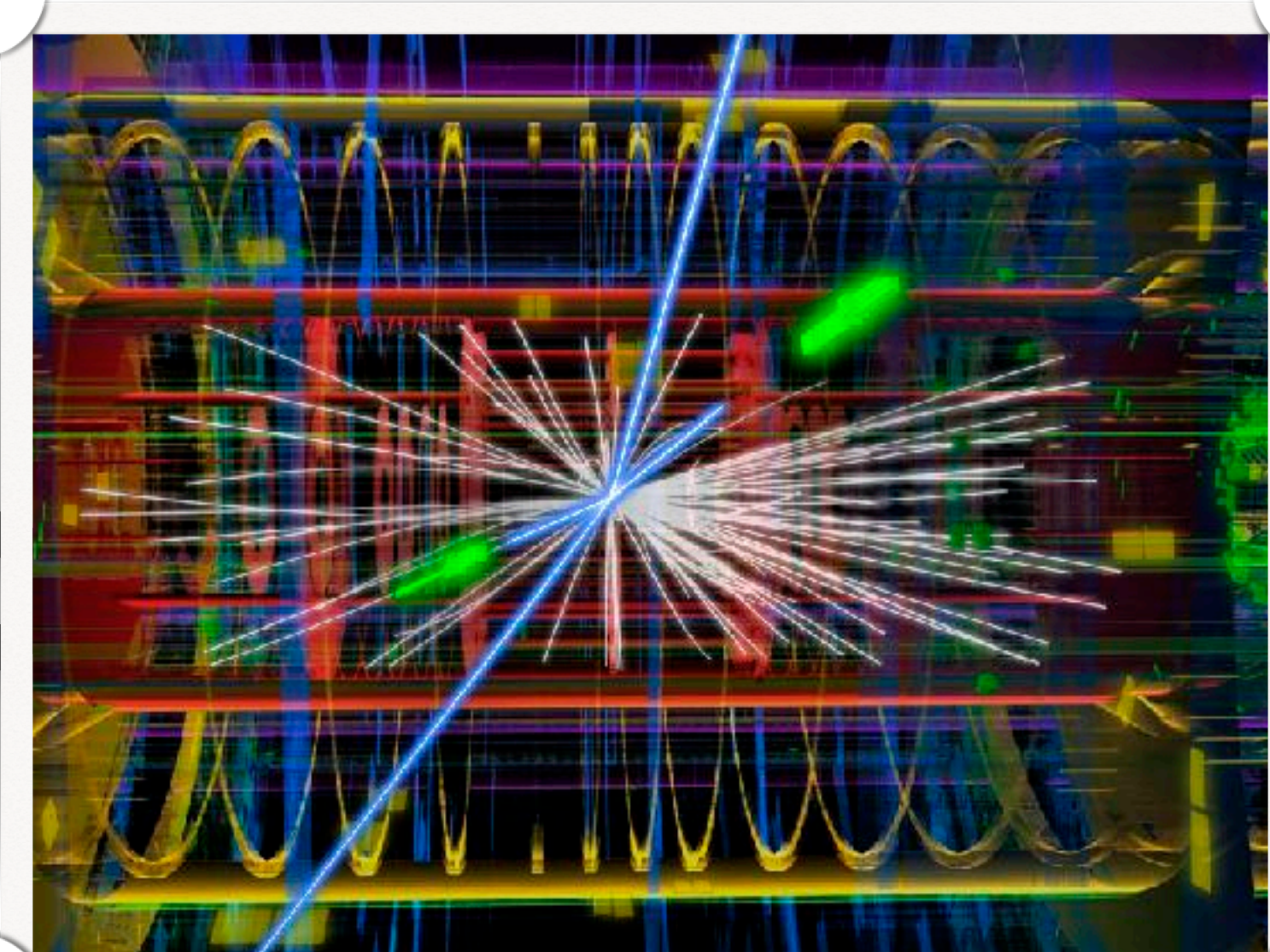


*how do you make  
quantitative  
connection?*



*through a chain  
of experimental  
and theoretical links*

# EXPERIMENTAL DATA



*[in particular Quantum Chromodynamics (QCD)]*



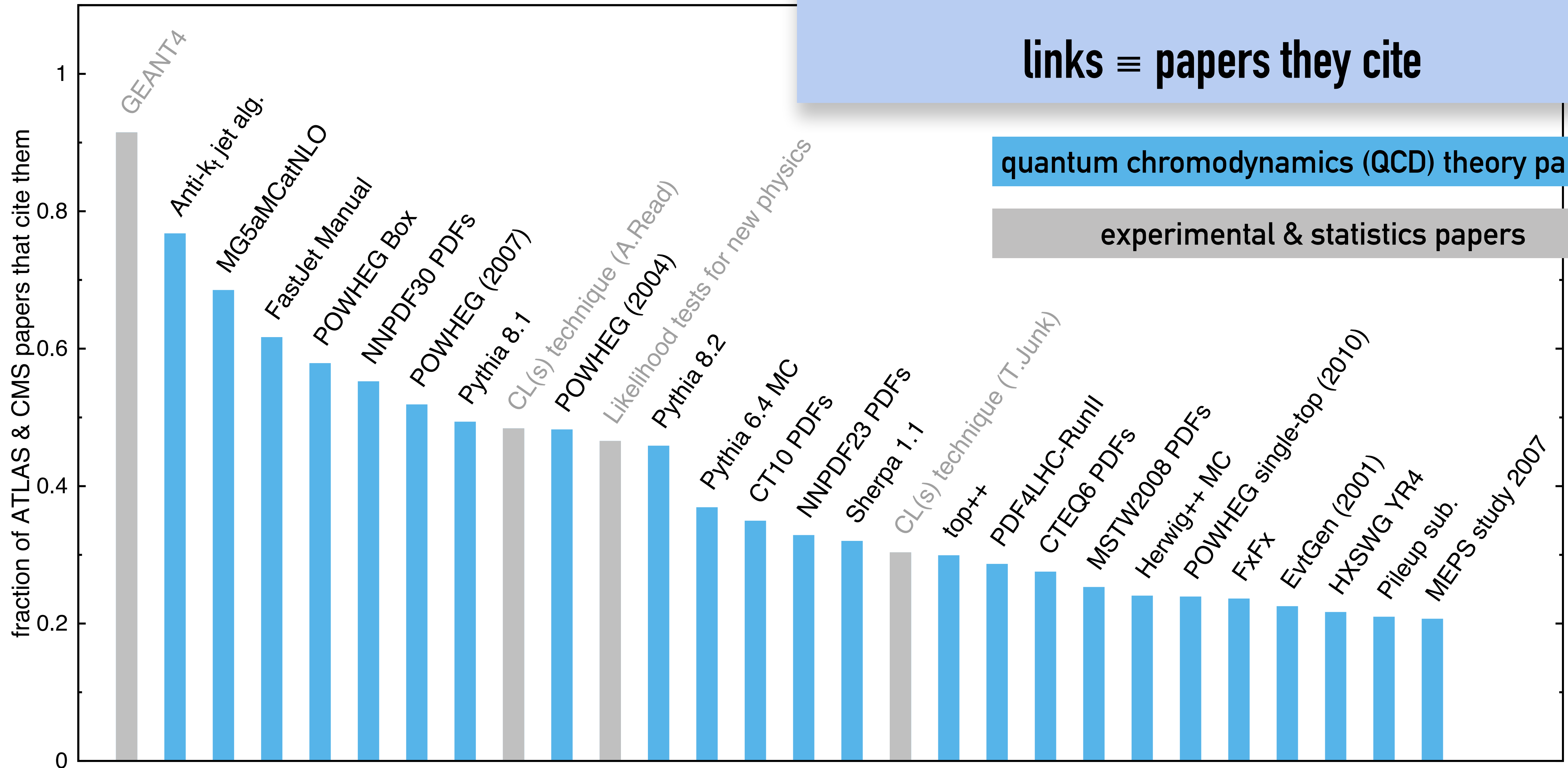
# What are the links?

ATLAS and CMS (big LHC expts.) have written 715 articles since 2017

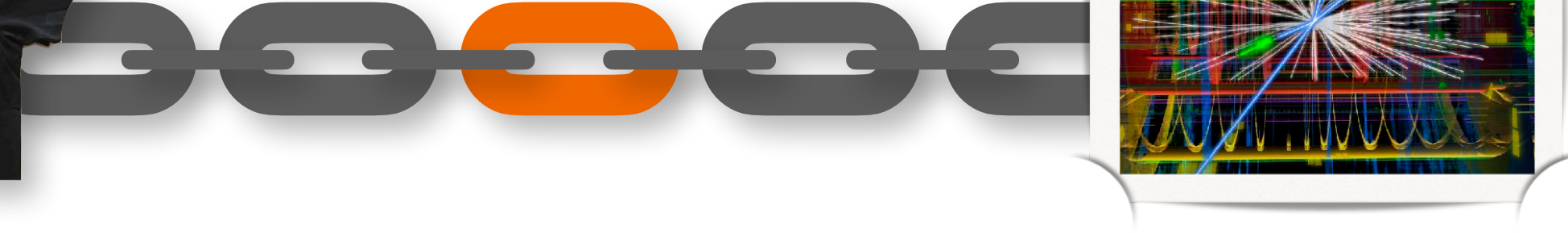
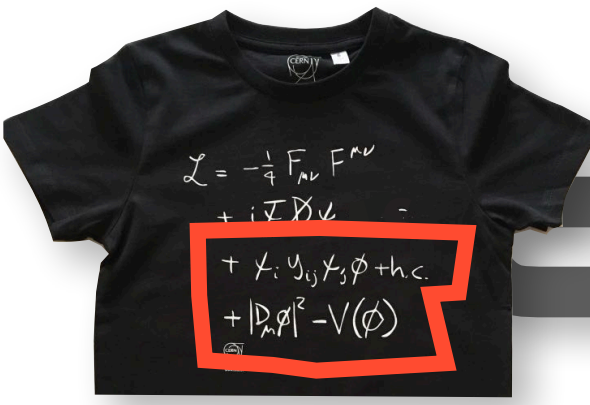
links  $\equiv$  papers they cite

quantum chromodynamics (QCD) theory papers

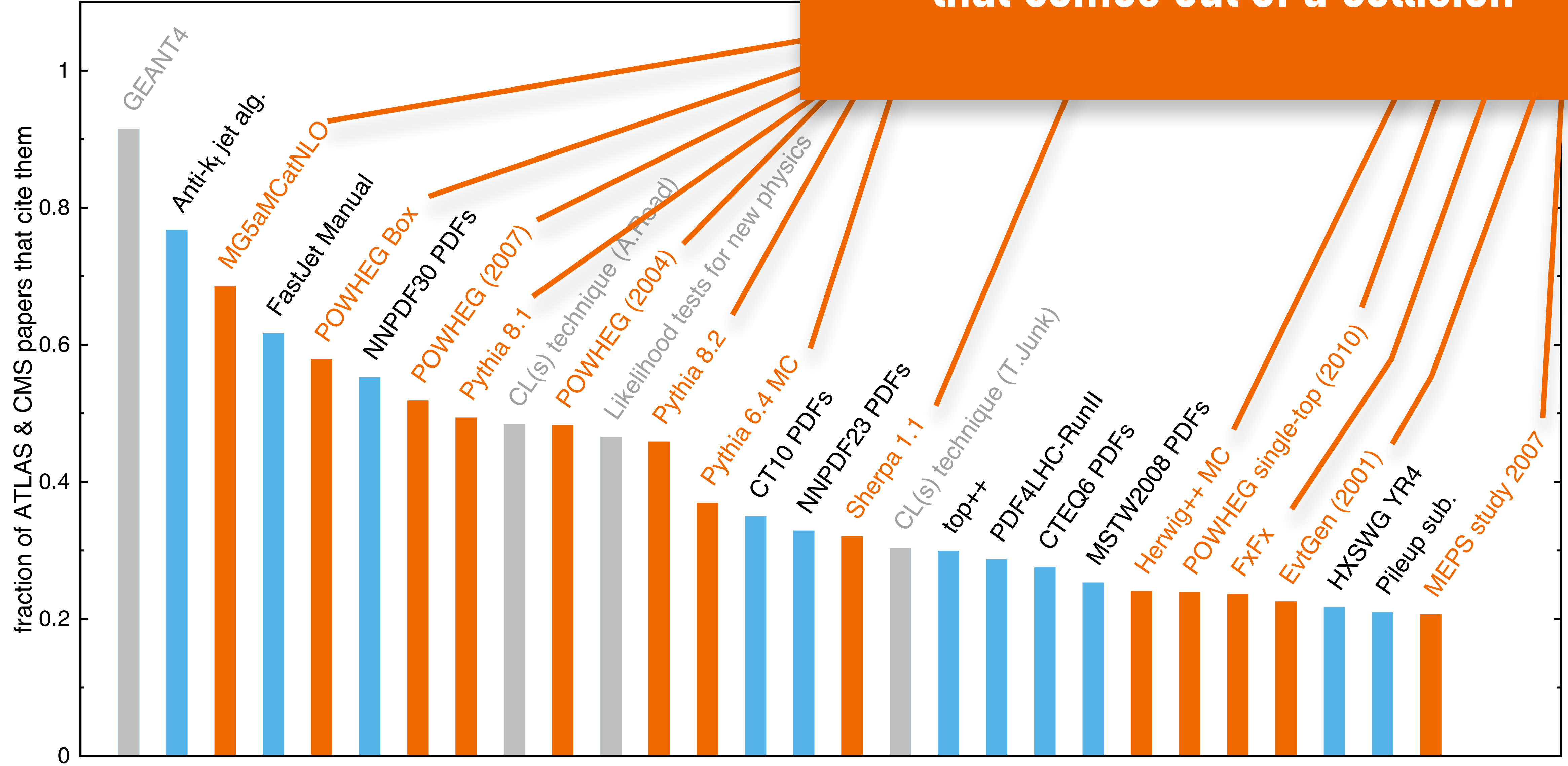
experimental & statistics papers



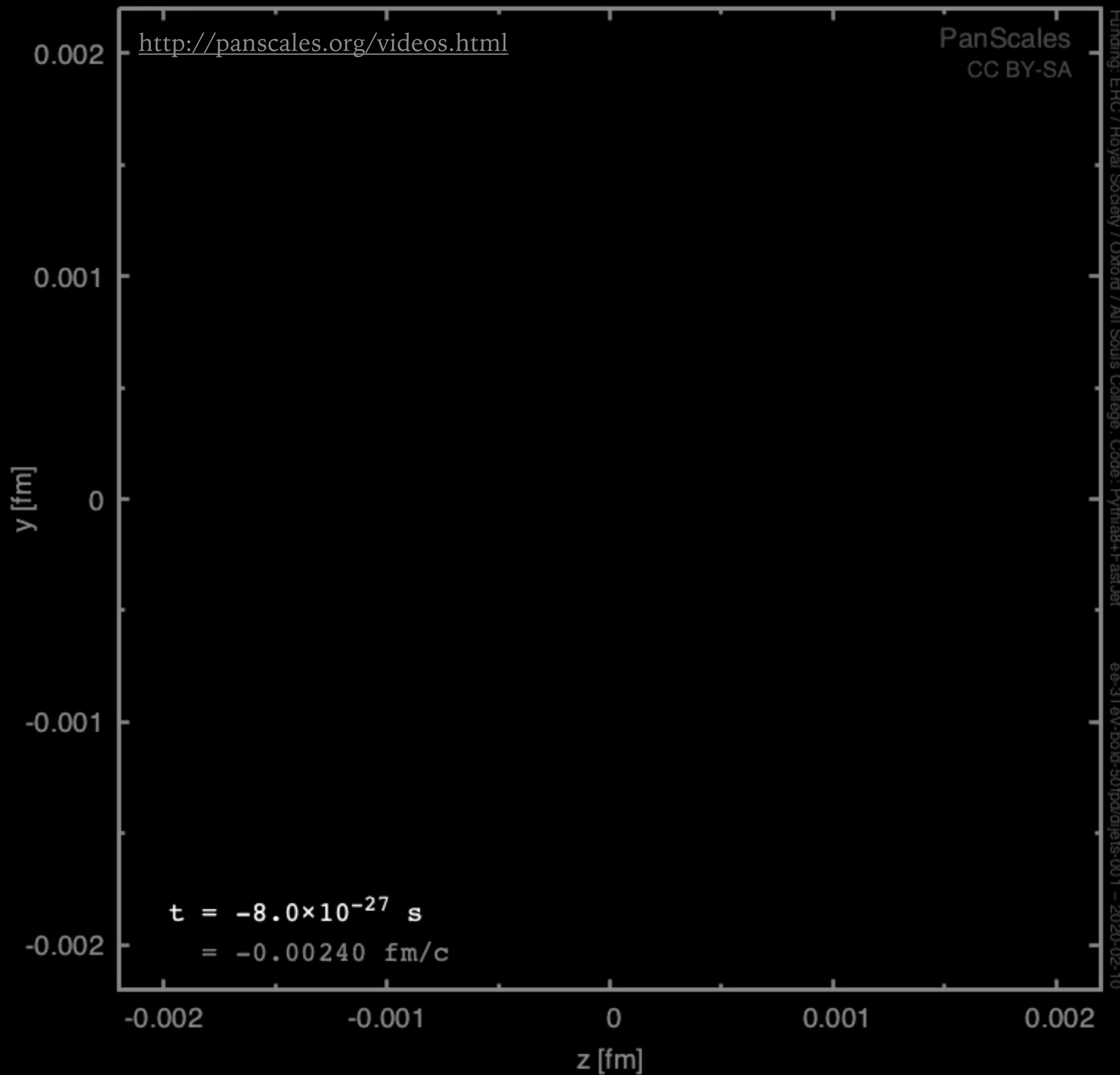
Plot by GP Salam based on data from InspireHEP



# predicting full particle structure that comes out of a collision



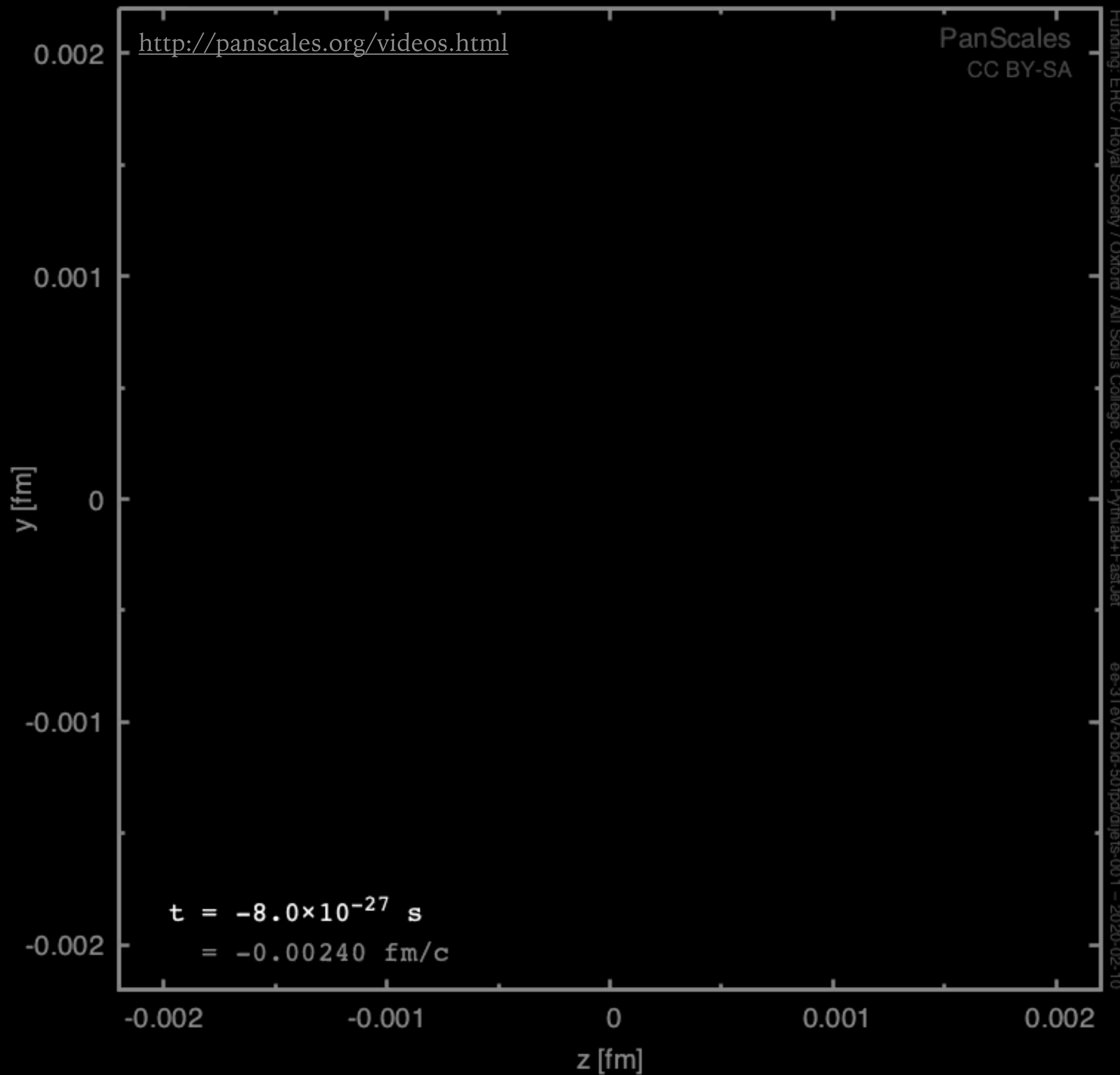
Plot by GP Salam based on data from InspireHEP



- incoming beam particle
- intermediate particle (quark or gluon)
- final particle (hadron)

Event evolution spans 7 orders of magnitude in space-time





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- intermediate particle (quark or gluon)
- final particle (hadron)

Event evolution spans 7 orders of magnitude in space-time

simulations use General Purpose Monte Carlo event generators

## THE BIG 3



**Herwig 7**



**Pythia 8**



**Sherpa 2**

used in ~95% of ATLAS/CMS publications  
they do an amazing job of simulation vast swathes of data;  
collider physics would be unrecognisable without them



European Physical Society  
High Energy and Particle Physics Division



The **2021 High Energy and Particle Physics Prize of the EPS** for an outstanding contribution to High Energy Physics is awarded to **Torbjörn Sjöstrand and Bryan Webber** for the conception, development and realisation of parton shower Monte Carlo simulations, yielding an accurate description of particle collisions in terms of quantum chromodynamics and electroweak interactions, and thereby enabling the experimental validation of the Standard Model, particle discoveries and searches for new physics.

Torbjörn Sjöstrand: founding author of Pythia

Byran Webber: founding author of Herwig (with Marchesini†)

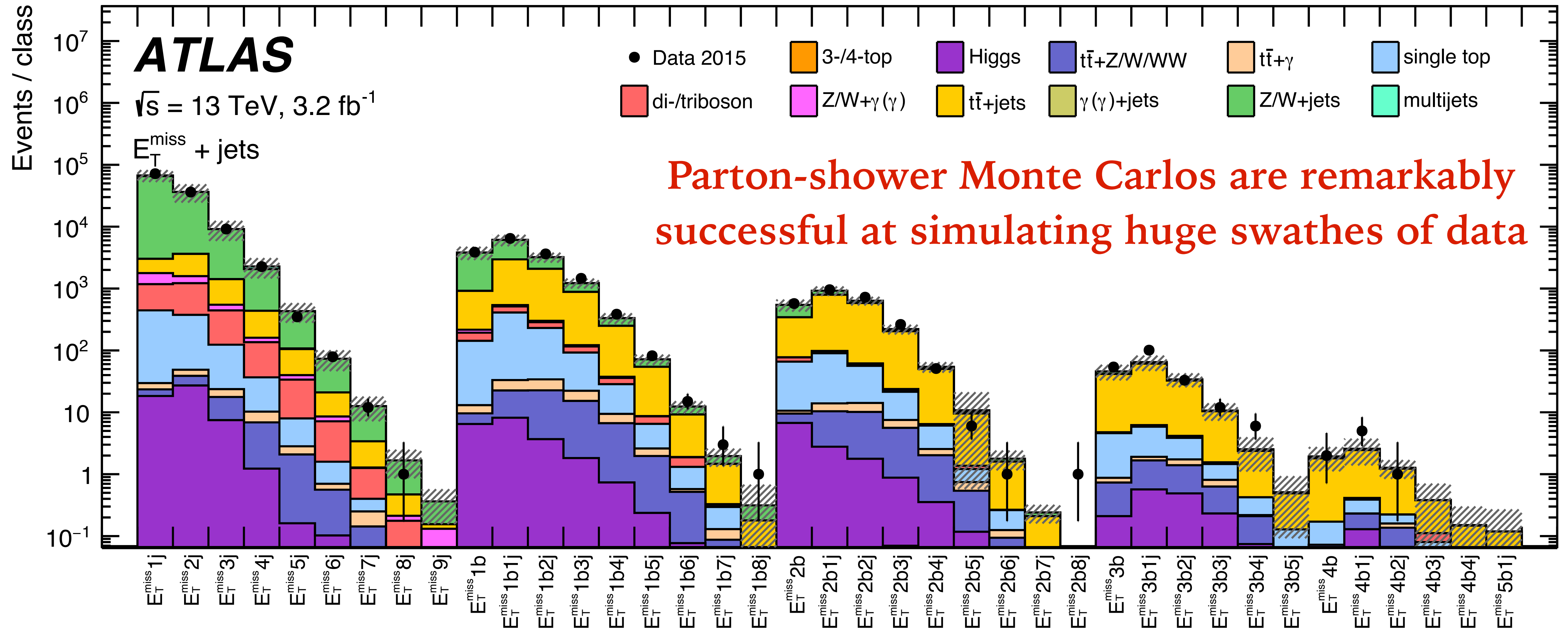


# Calculations used in 1807.07447 (ATLAS general search)

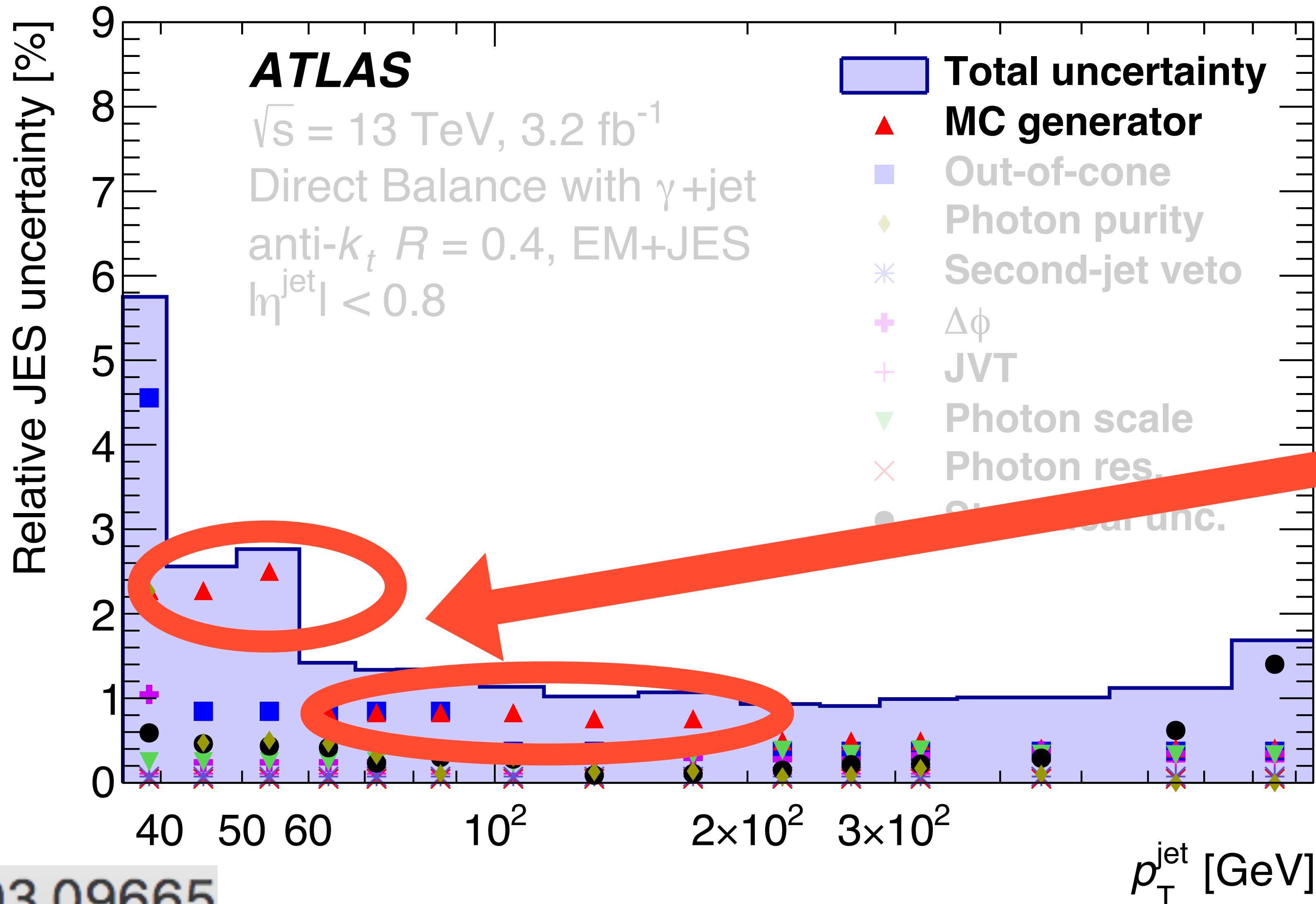
Physics process	Generator	ME accuracy	Parton shower	Cross-section normalization	PDF set	Tune
$W (\rightarrow \ell\nu) + \text{jets}$	SHERPA 2.1.1	0,1,2j@NLO + 3,4j@LO	SHERPA 2.1.1	NNLO	NLO CT10	SHERPA default
$Z (\rightarrow \ell^+\ell^-) + \text{jets}$	SHERPA 2.1.1	0,1,2j@NLO + 3,4j@LO	SHERPA 2.1.1	NNLO	NLO CT10	SHERPA default
$Z / W (\rightarrow q\bar{q}) + \text{jets}$	SHERPA 2.1.1	1,2,3,4j@LO	SHERPA 2.1.1	NNLO	NLO CT10	SHERPA default
$Z / W + \gamma$	SHERPA 2.1.1	0,1,2,3j@LO	SHERPA 2.1.1	NLO	NLO CT10	SHERPA default
$Z / W + \gamma\gamma$	SHERPA 2.1.1	0,1,2,3j@LO	SHERPA 2.1.1	NLO	NLO CT10	SHERPA default
$\gamma + \text{jets}$	SHERPA 2.1.1	0,1,2,3,4j@LO	SHERPA 2.1.1	data	NLO CT10	SHERPA default
$\gamma\gamma + \text{jets}$	SHERPA 2.1.1	0,1,2j@LO	SHERPA 2.1.1	data	NLO CT10	SHERPA default
$\gamma\gamma\gamma + \text{jets}$	MG5_aMC@NLO 2.3.3	0,1j@LO	PYTHIA 8.212	LO	NNPDF23LO	A14
$t\bar{t}$	POWHEG-Box v2	NLO	PYTHIA 6.428	NNLO+NNLL	NLO CT10	Perugia 2012
$t\bar{t} + W$	MG5_aMC@NLO 2.2.2	0,1,2j@LO	PYTHIA 8.186	NLO	NNPDF2.3LO	A14
$t\bar{t} + Z$	MG5_aMC@NLO 2.2.2	0,1j@LO	PYTHIA 8.186	NLO	NNPDF2.3LO	A14
$t\bar{t} + WW$	MG5_aMC@NLO 2.2.2	LO	PYTHIA 8.186	NLO	NNPDF2.3LO	A14
$t\bar{t} + \gamma$	MG5_aMC@NLO 2.2.2	LO	PYTHIA 8.186	LO	NNPDF2.3LO	A14
$t\bar{t} + b\bar{b}$	SHERPA 2.2.0	NLO	SHERPA 2.2.0	NLO	NLO CT10f4	SHERPA default
Single-top (t-channel)	POWHEG-Box v1	NLO	PYTHIA 6.428	app. NNLO	NLO CT10f4	Perugia 2012
Single-top (s- and $Wt$ -channel)	POWHEG-Box v2	NLO	PYTHIA 6.428	app. NNLO	NLO CT10	Perugia 2012
$tZ$	MG5_aMC@NLO 2.2.2	LO	PYTHIA 8.186	LO	NNPDF2.3LO	A14
3-top	MG5_aMC@NLO 2.2.2	LO	PYTHIA 8.186	LO	NNPDF2.3LO	A14
4-top	MG5_aMC@NLO 2.2.2	LO	PYTHIA 8.186	NLO	NNPDF2.3LO	A14
$WW$	SHERPA 2.1.1	0j@NLO + 1,2,3j@LO	SHERPA 2.1.1	NLO	NLO CT10	SHERPA default
$WZ$	SHERPA 2.1.1	0j@NLO + 1,2,3j@LO	SHERPA 2.1.1	NLO	NLO CT10	SHERPA default
$ZZ$	SHERPA 2.1.1	0,1j@NLO + 2,3j@LO	SHERPA 2.1.1	NLO	NLO CT10	SHERPA default
Multijets	PYTHIA 8.186	LO	PYTHIA 8.186	data	NNPDF2.3LO	A14
Higgs (ggF/VBF)	POWHEG-Box v2	NLO	PYTHIA 8.186	NNLO	NLO CT10	AZNLO
Higgs ( $t\bar{t}H$ )	MG5_aMC@NLO 2.2.2	NLO	Herwig++	NNLO	NLO CT10	UEEE5
Higgs ( $W/ZH$ )	PYTHIA 8.186	LO	PYTHIA 8.186	NNLO	NNPDF2.3LO	A14



# MC generators work well: e.g. comparison to data in general search



# But imperfections matter: e.g. for jet energy calibration (affects ~1500 papers)

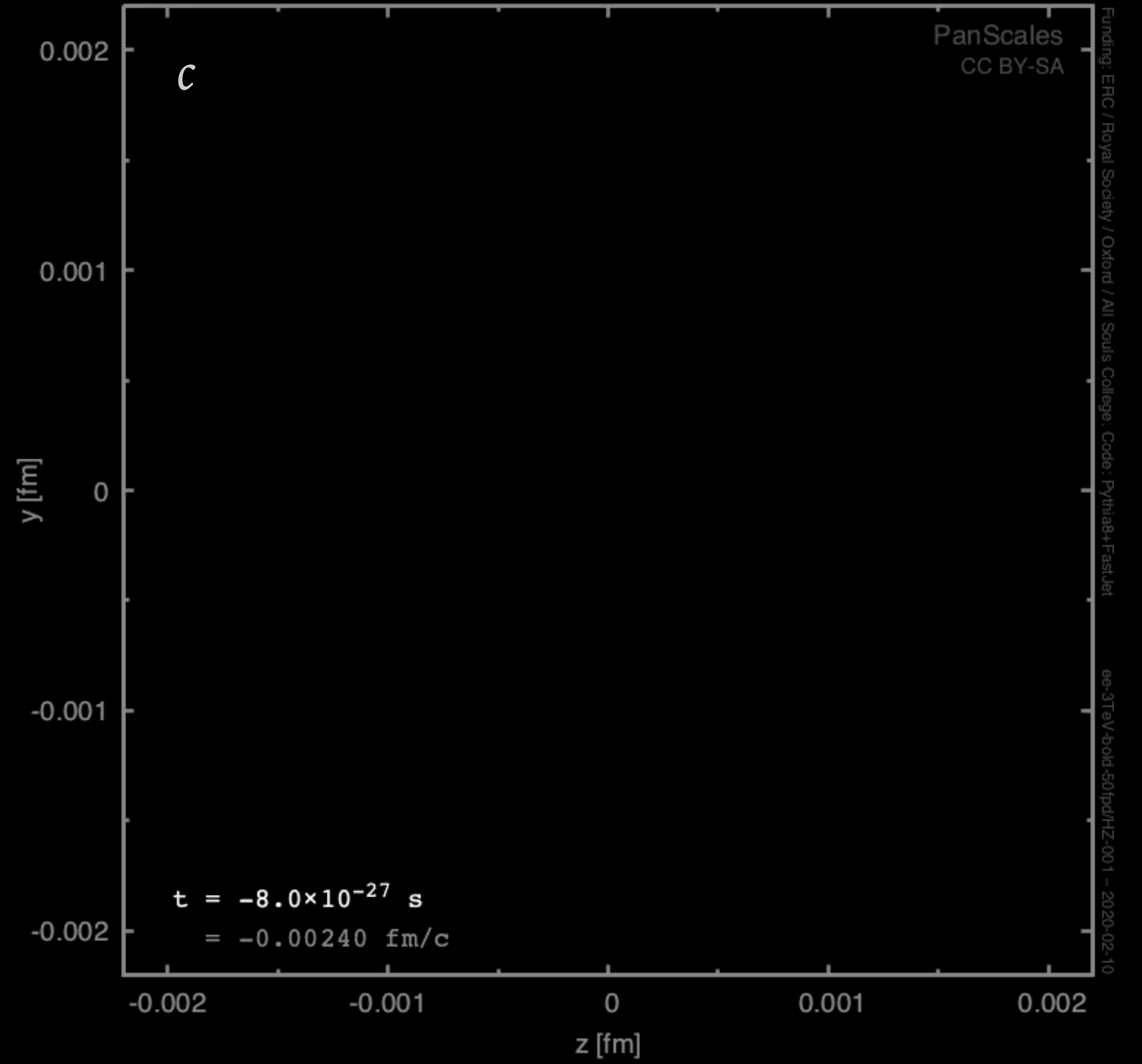
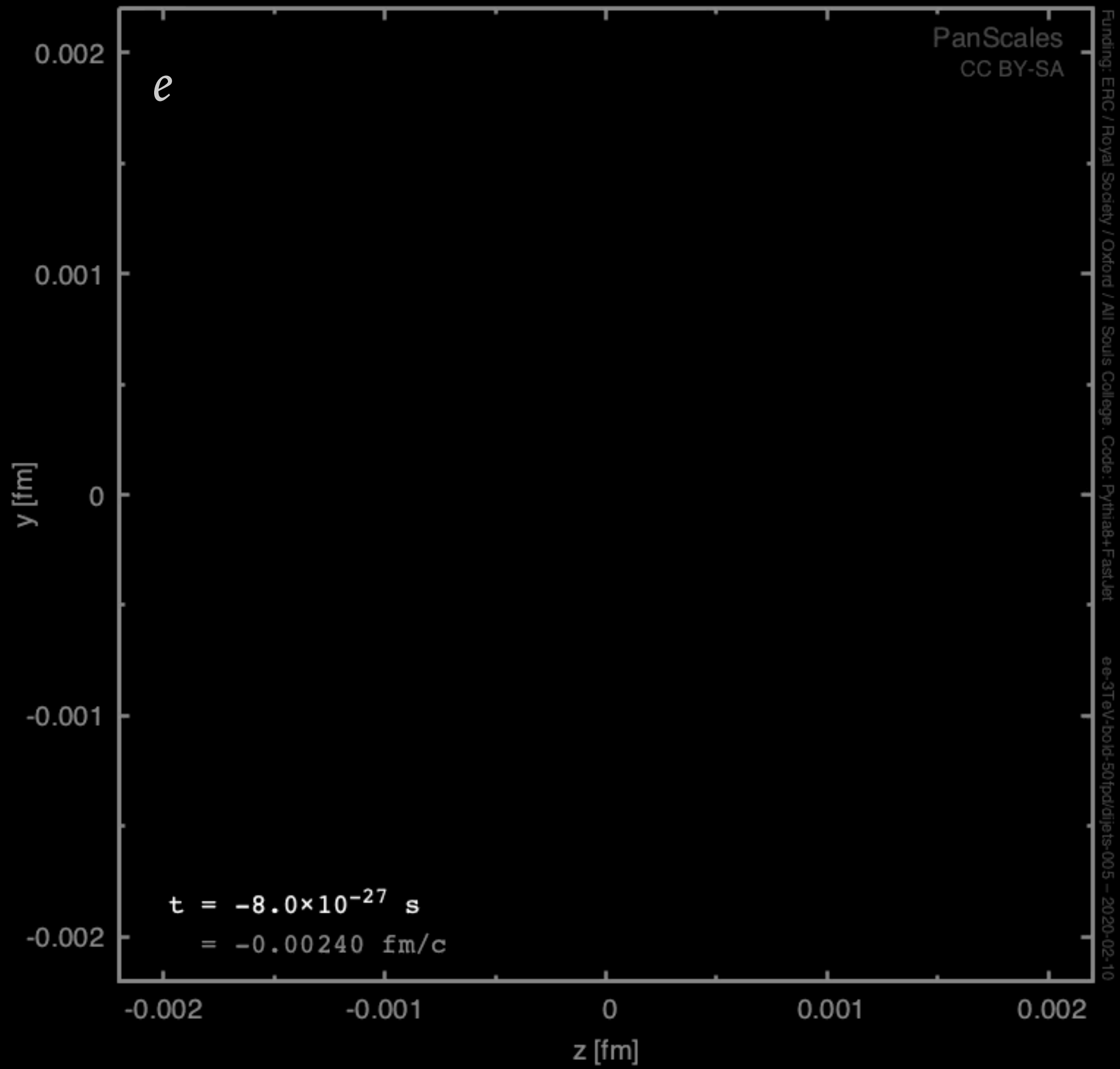


Jet energy calibration uncertainty feeds into 75% of ATLAS & CMS measurements

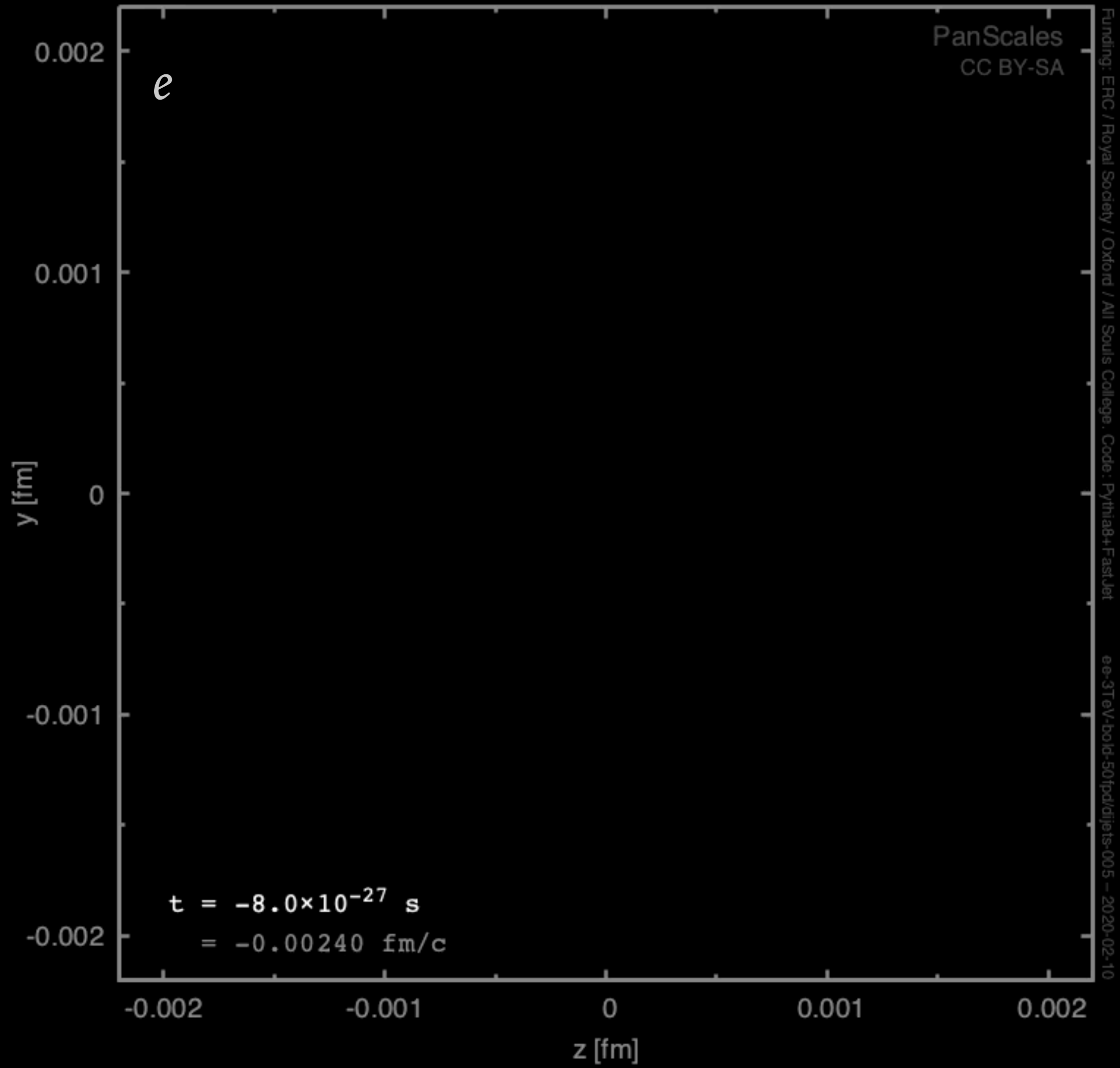
**Largest systematic errors (1–2%) come from differences between MC generators**

(here Sherpa v. Pythia)

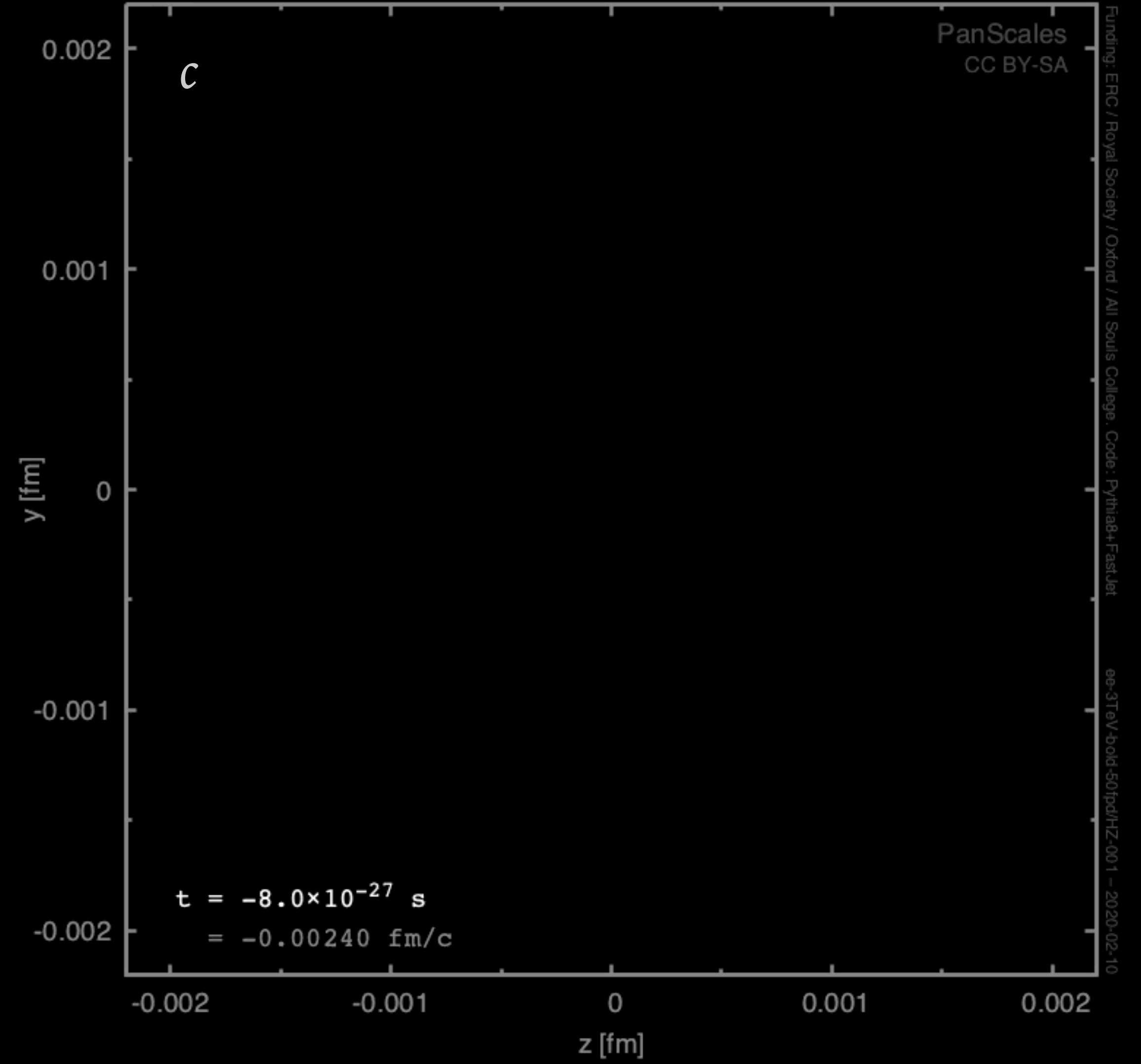
→ fundamental limit on LHC precision potential



# pure QCD event

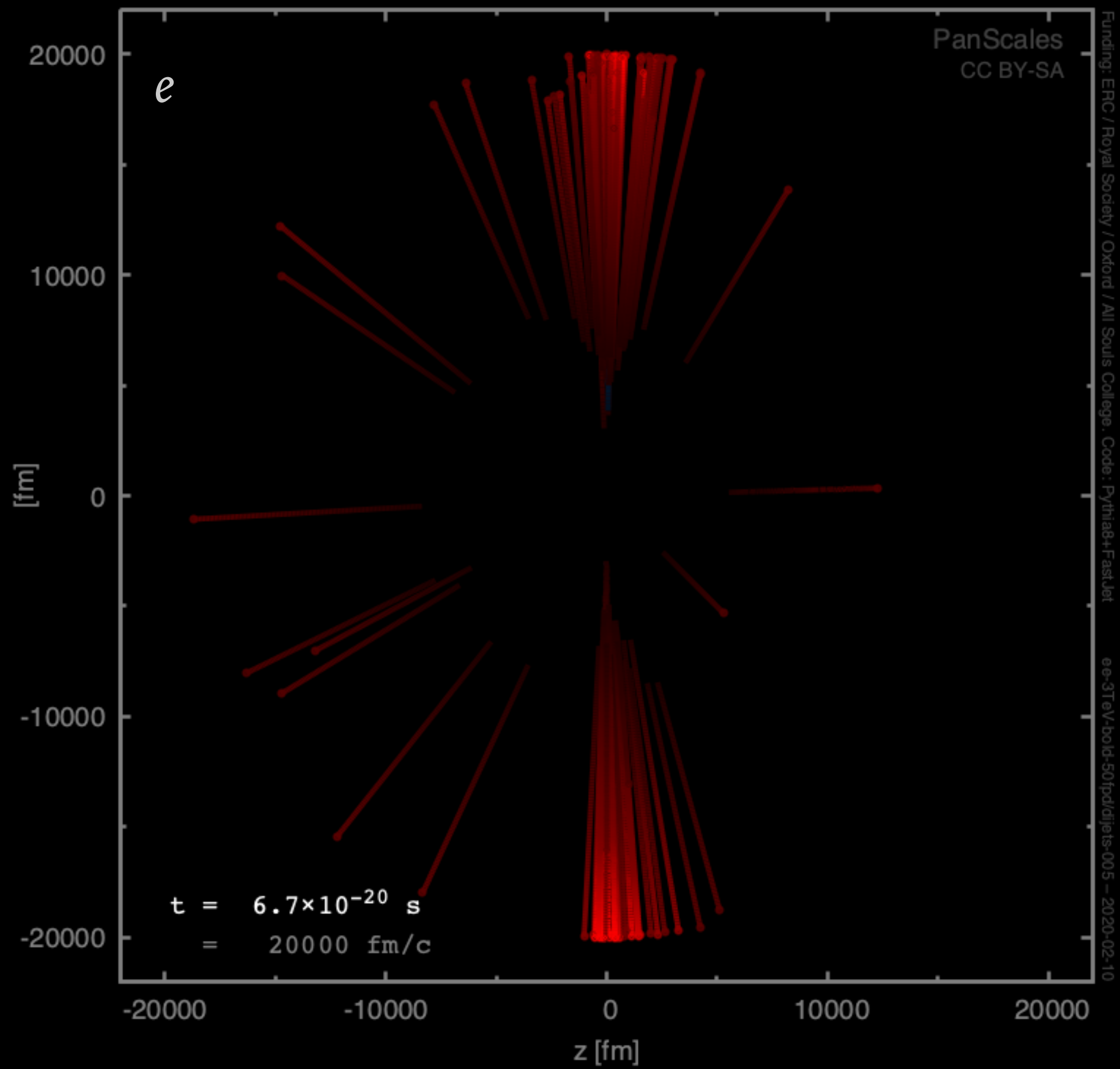


# event with Higgs & Z boson decays

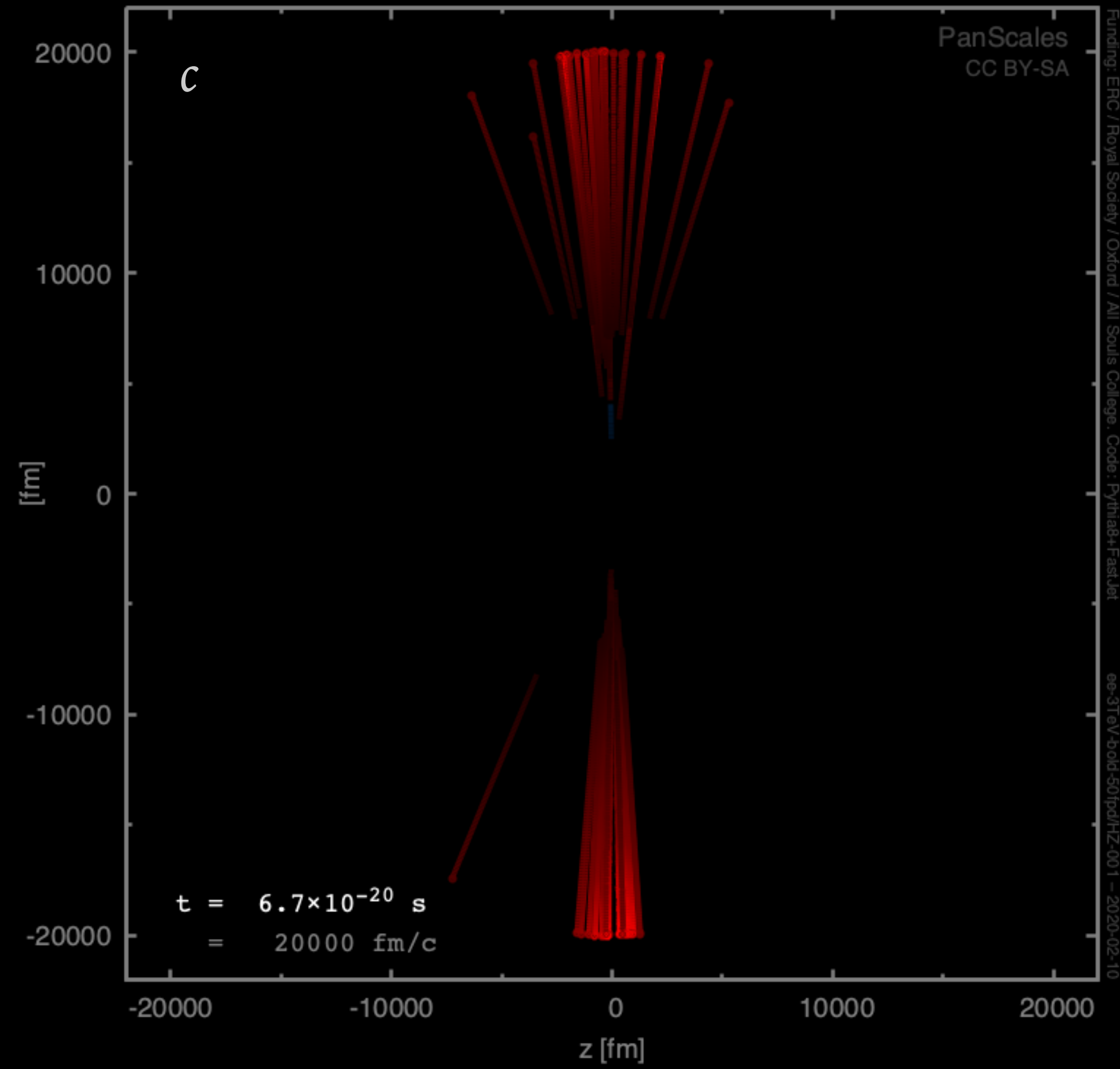




# pure QCD event

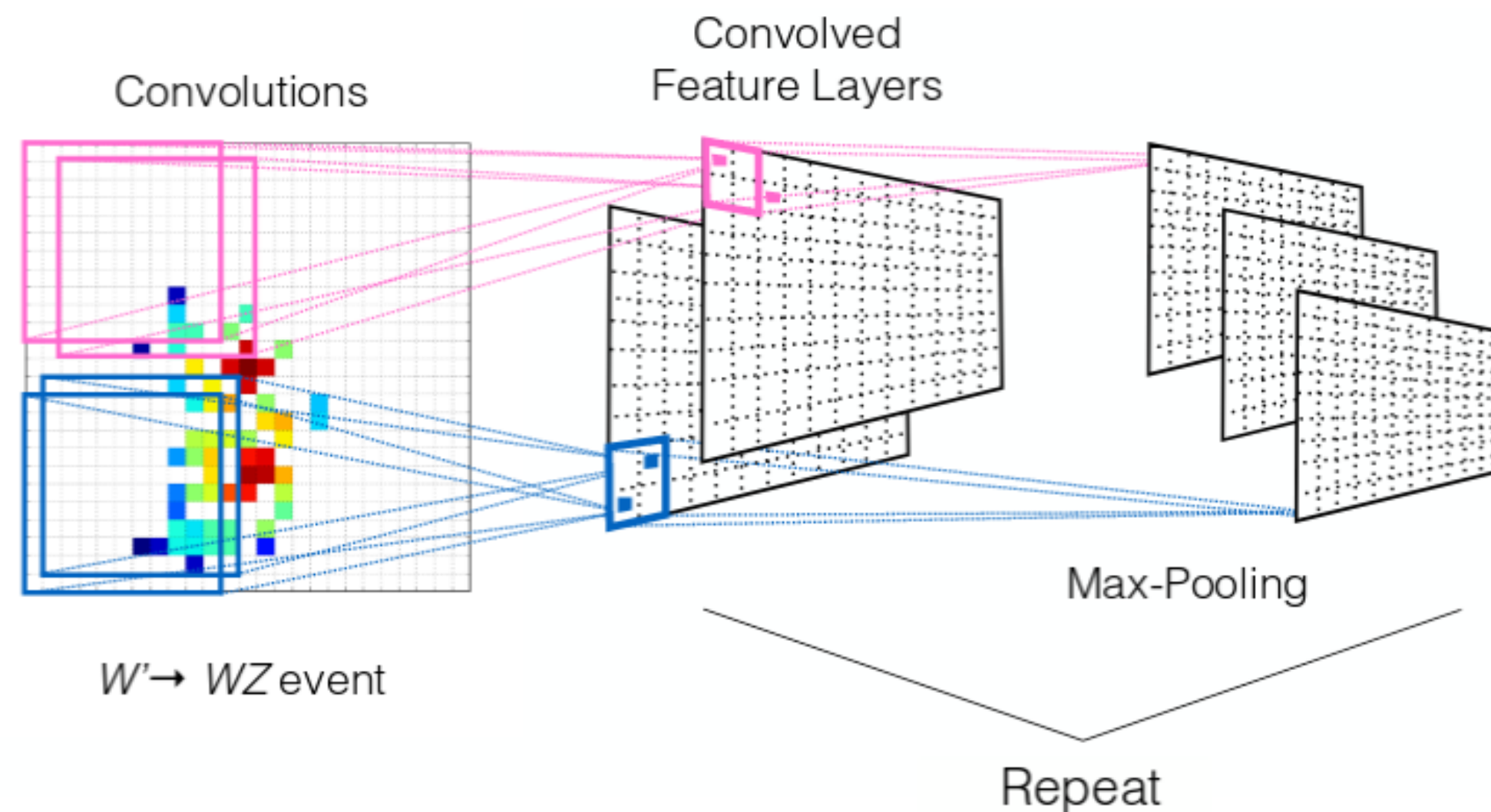
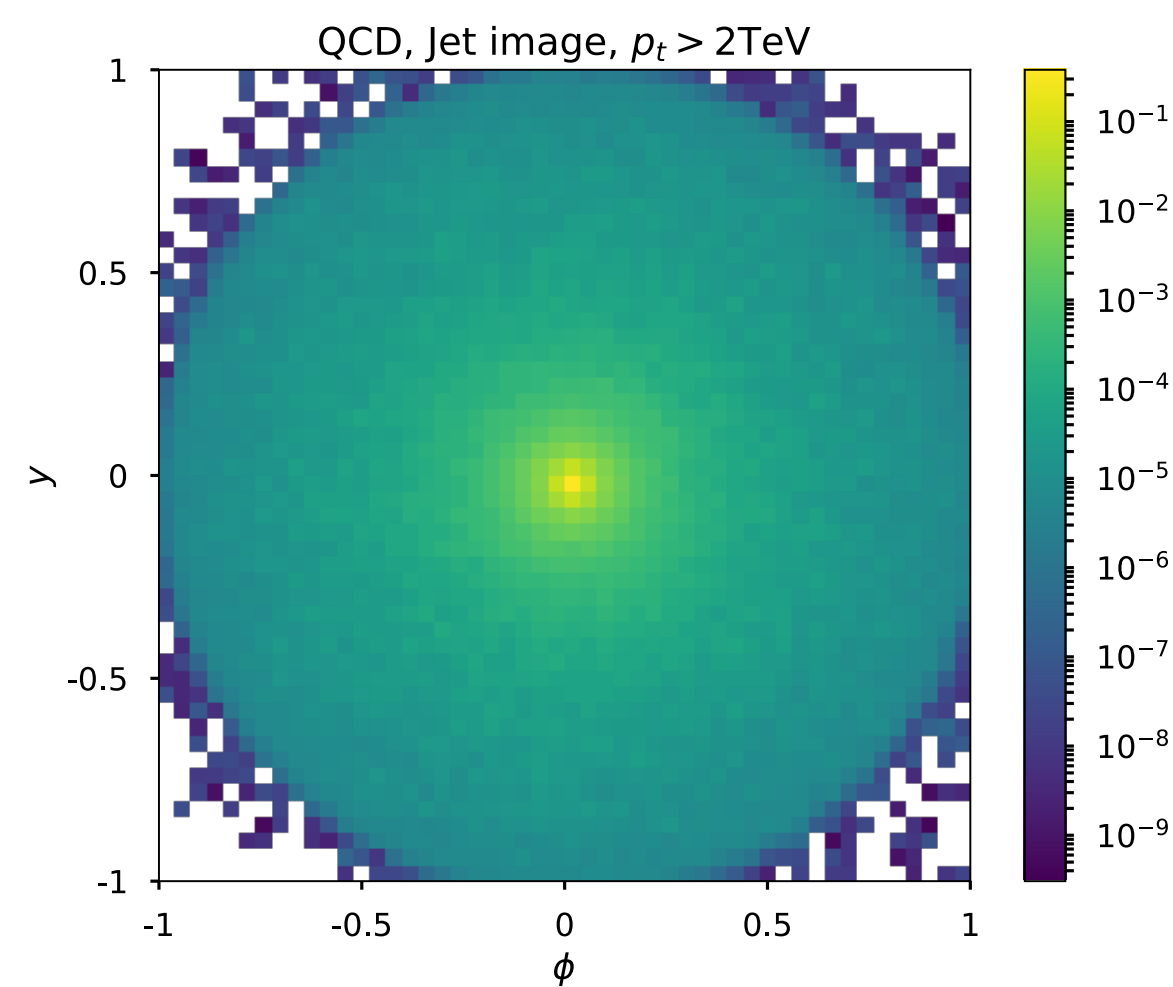


# event with Higgs & Z boson decays



# Machine learning and jet/event structure

- ▶ Project a jet onto a fixed  $n \times n$  pixel image in rapidity-azimuth, where each pixel intensity corresponds to the momentum of particles in that cell.
- ▶ Can be used as input for classification methods used in computer vision, such as deep convolutional neural networks.

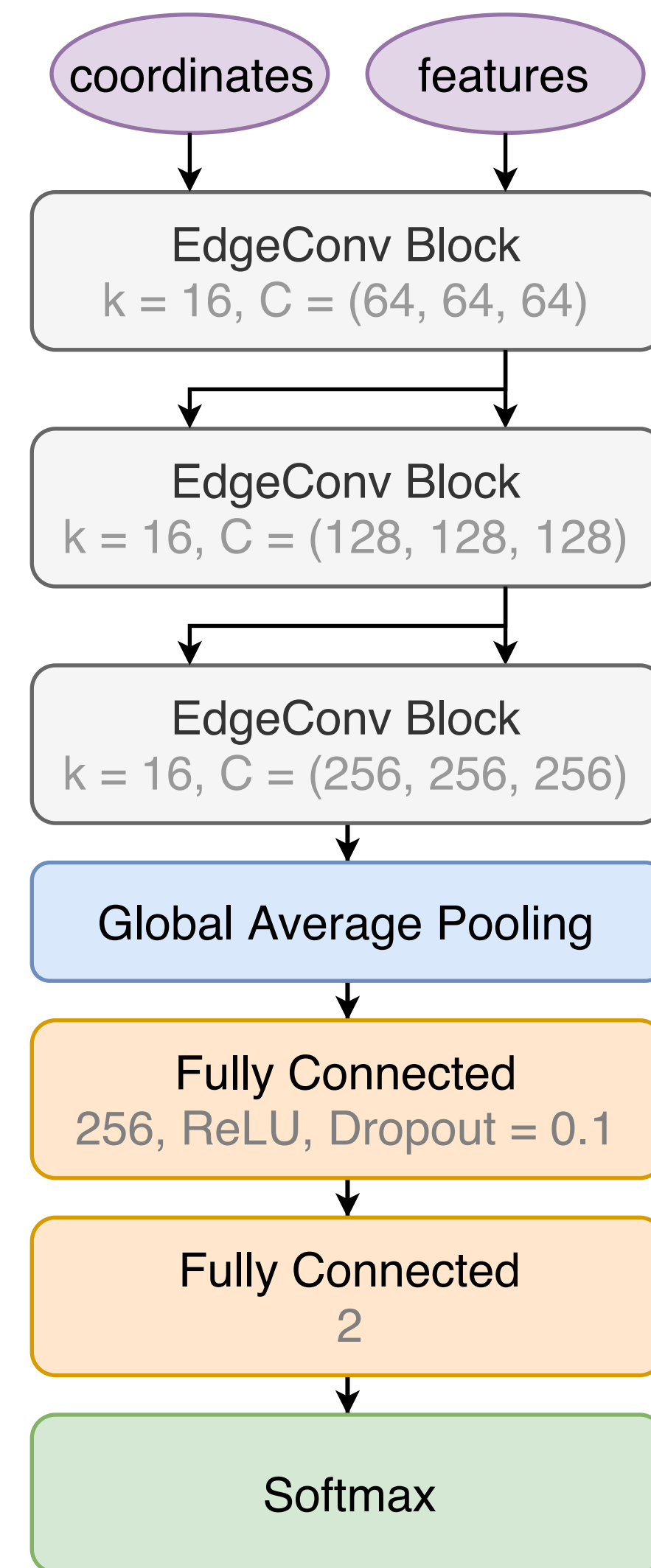


[Cogan, Kagan, Strauss, Schwartzman [JHEP 1502 \(2015\) 118](#)]

[de Oliveira, Kagan, Mackey, Nachman, Schwartzman [JHEP 1607 \(2016\) 069](#)]



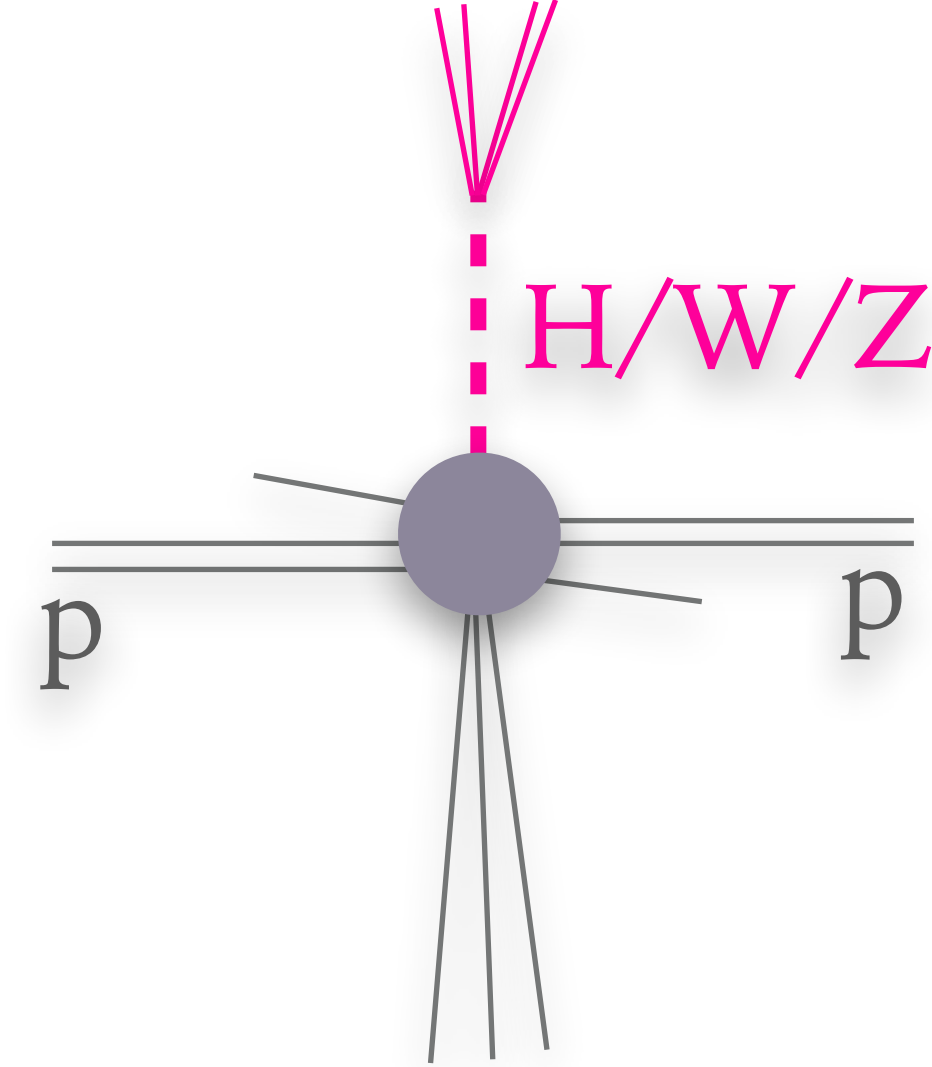
2021 Young Experimental Physicist Prize EPS HEPP prize



(a) ParticleNet

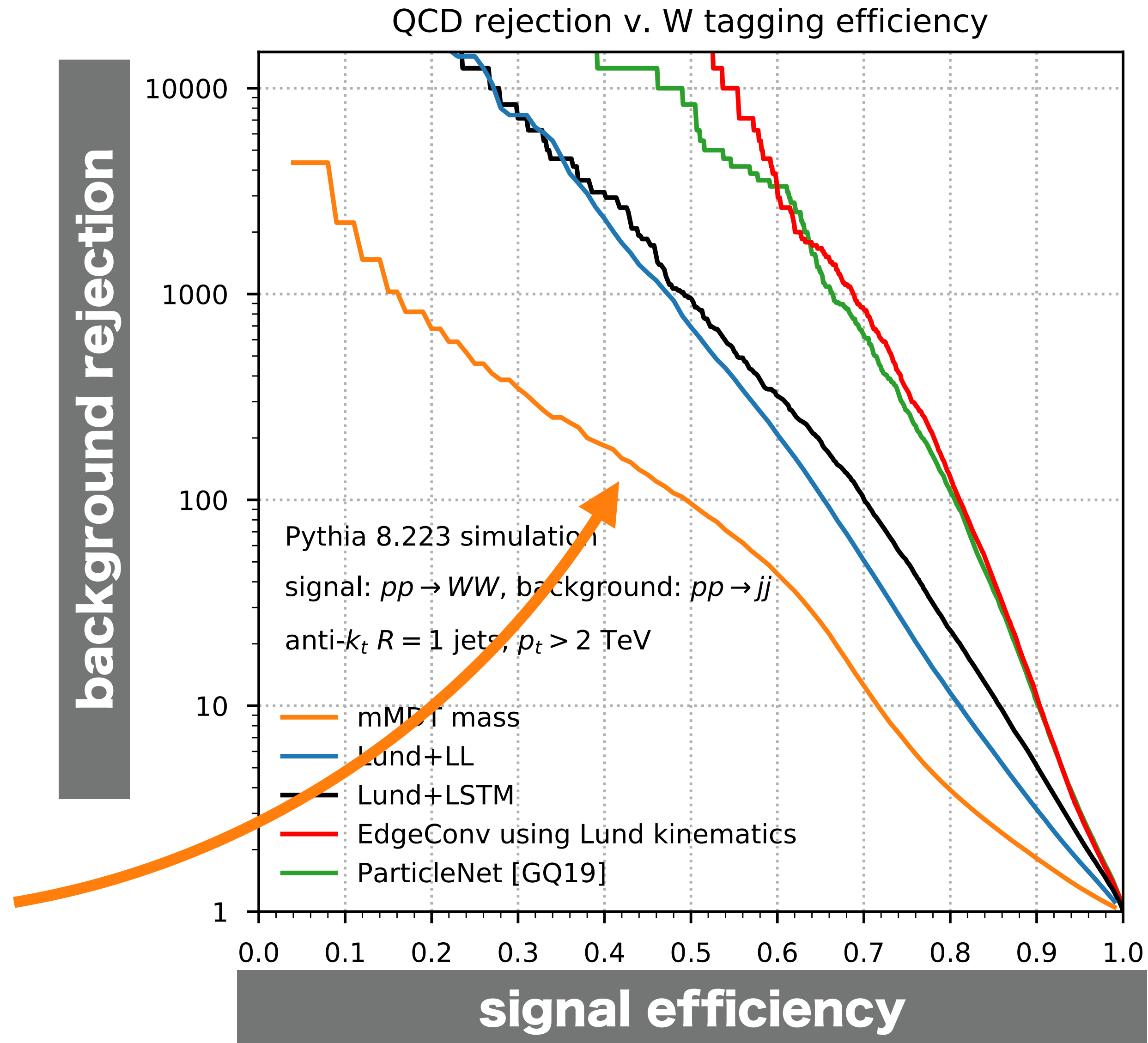
*Qu & Guskos,*  
[arXiv:1902.08570](#)

# using full jet/event information for H/W/Z-boson tagging



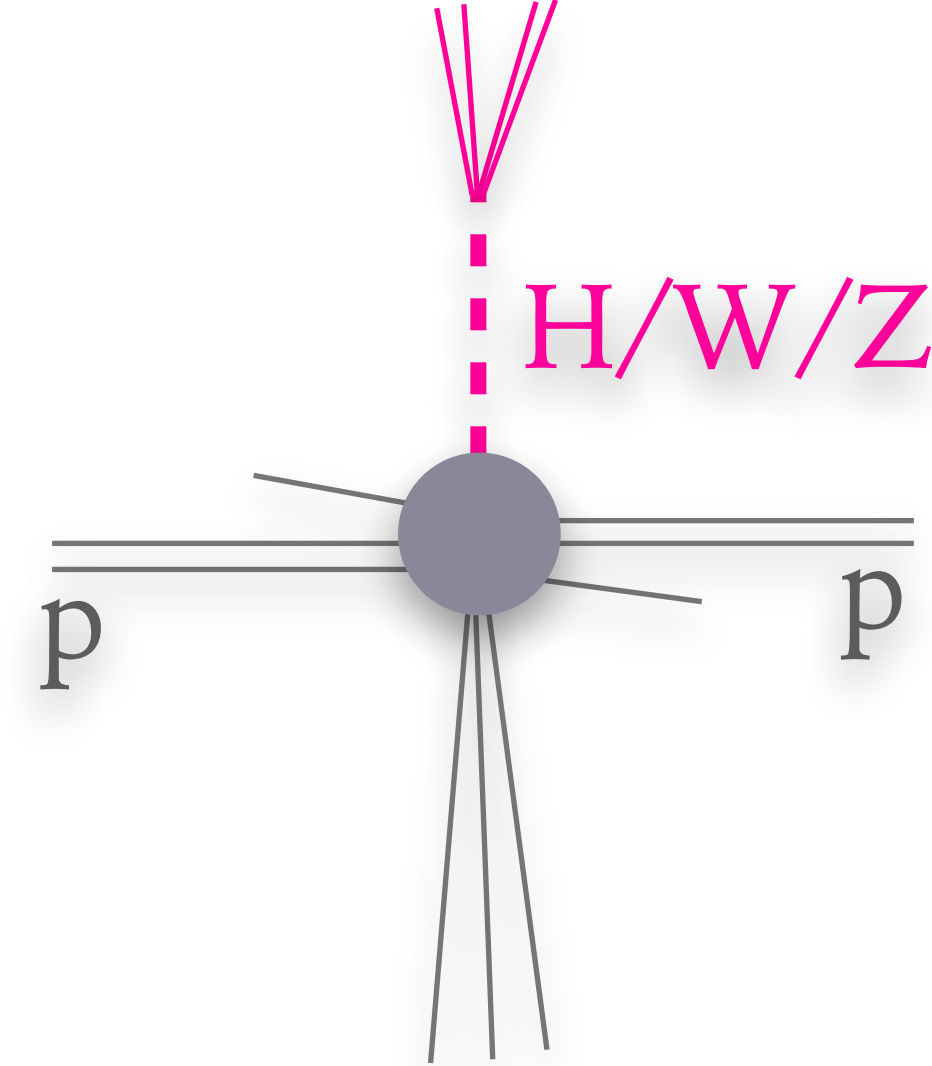
adapted from  
Dreyer & Qu  
2012.08526

QCD rejection with  
just jet mass  
(SD/mMDT)  
i.e. 2008 tools &  
their 2013/14  
descendants

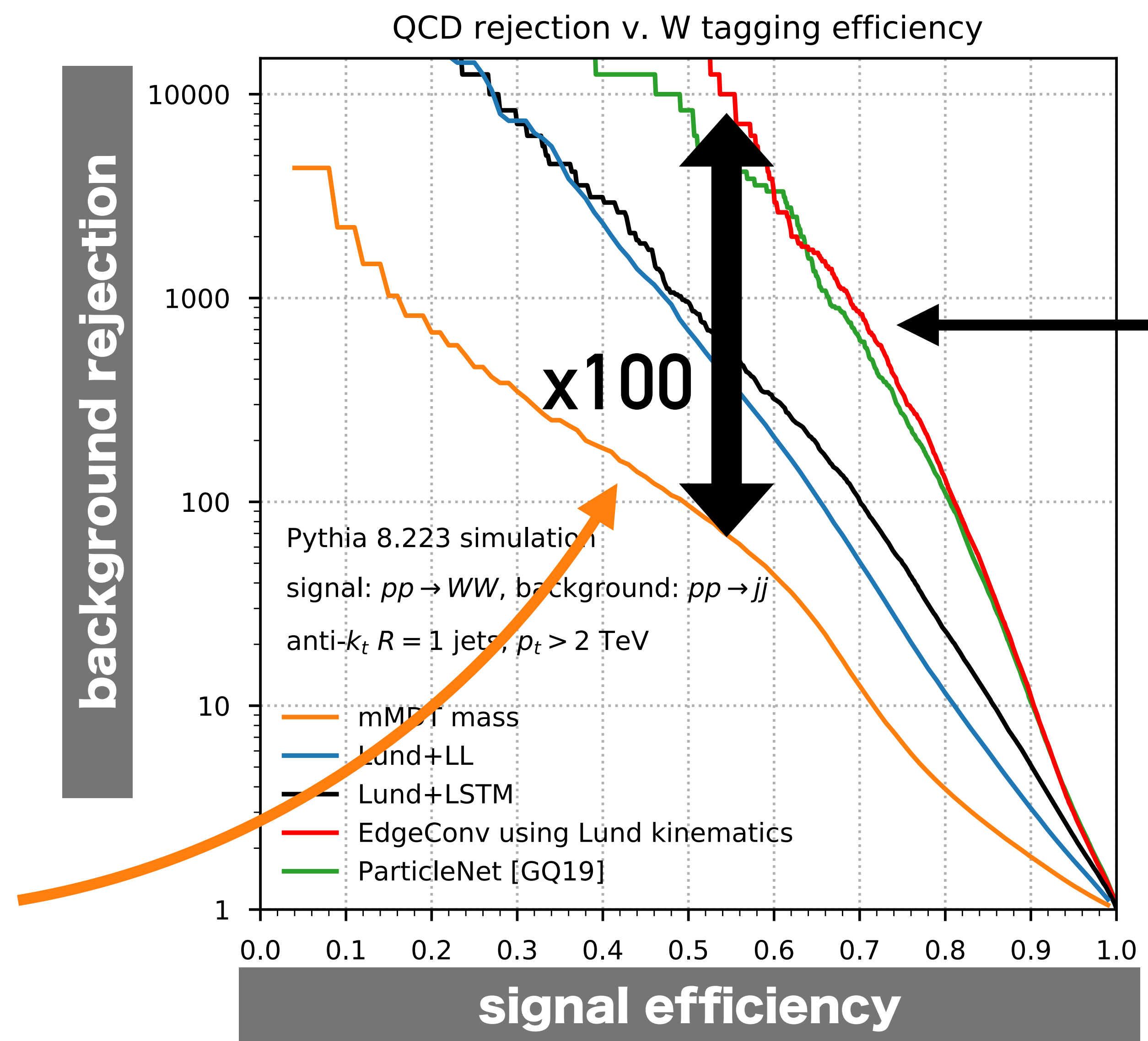




# using full jet/event information for H/W/Z-boson tagging



adapted from  
Dreyer & Qu  
2012.08526



QCD rejection with  
just jet mass  
(SD/mMDT)  
i.e. 2008 tools &  
their 2013/14  
descendants

QCD rejection  
with use of full jet  
substructure  
(2021 tools)  
**100x better**

First started to be exploited  
by Thaler & Van Tilburg with  
“N-subjettiness” (2010/11)

**can we trust machine learning?** A question of confidence in the training...

“

Unless you are highly confident in the information you have about the markets, you may be better off ignoring it altogether

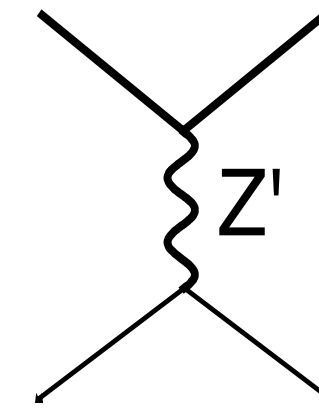
*- Harry Markowitz (1990 Nobel Prize in Economics)  
[via S Gukov]*

# Elements of a Monte Carlo event generator

---

energy  
scale  
1 TeV

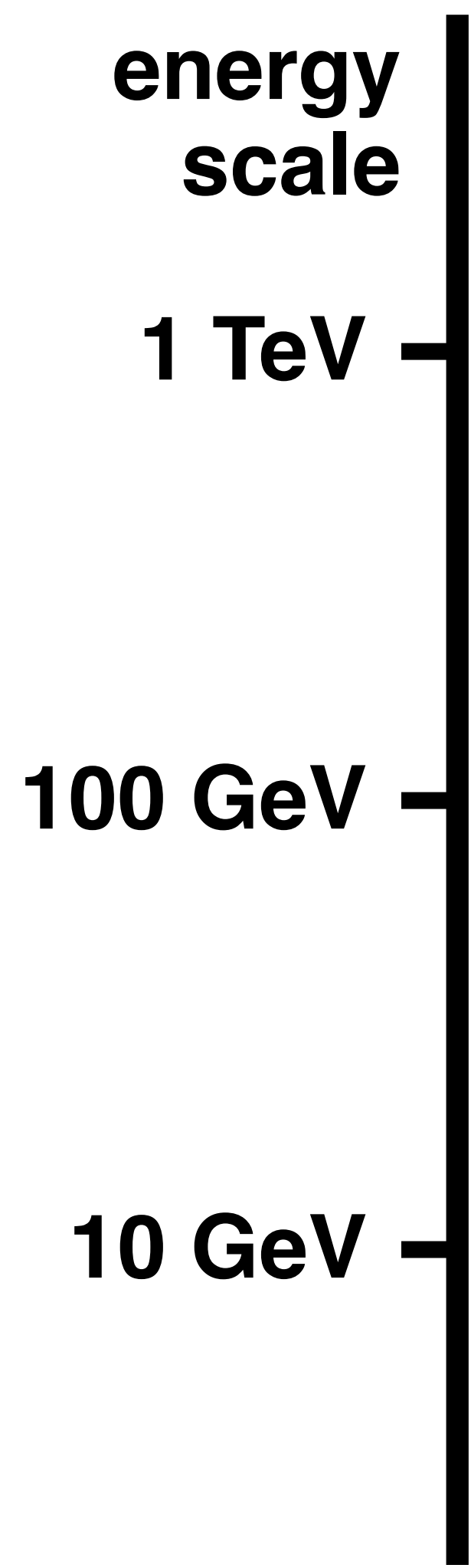
hard process



time

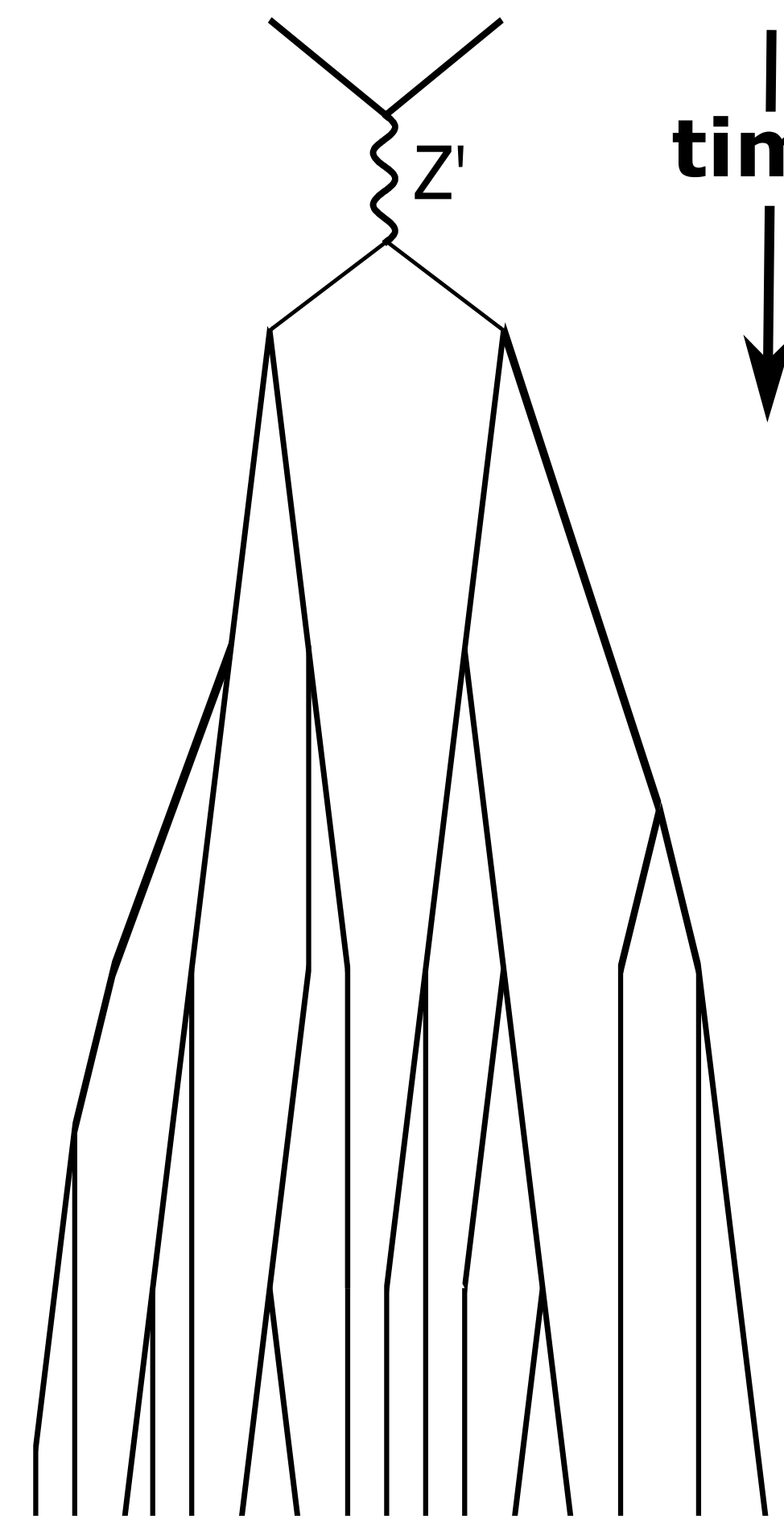
schematic view of key  
components of QCD  
predictions and Monte  
Carlo event simulation



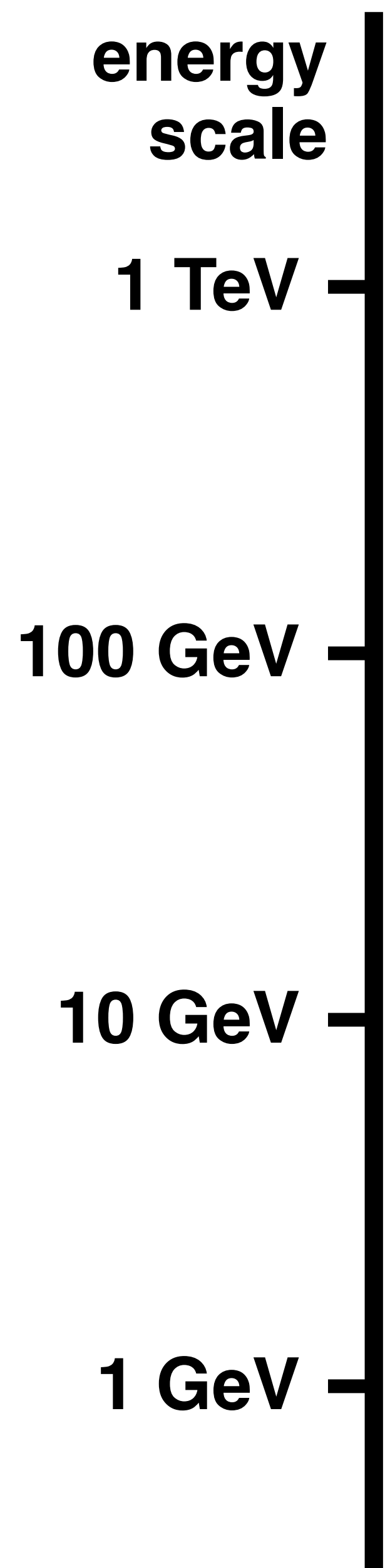


hard process

parton shower



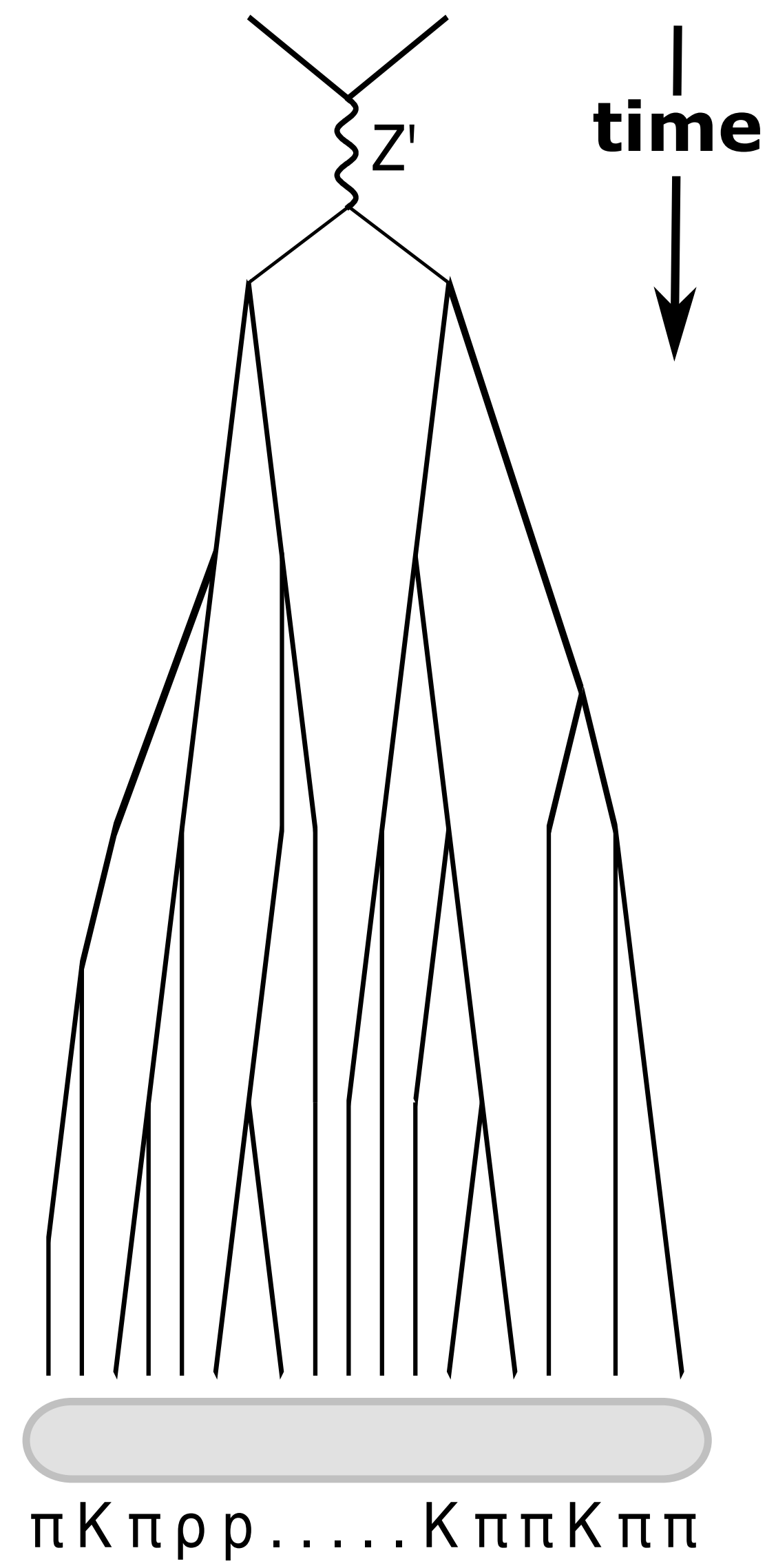
schematic view of key components of QCD predictions and Monte Carlo event simulation



hard process

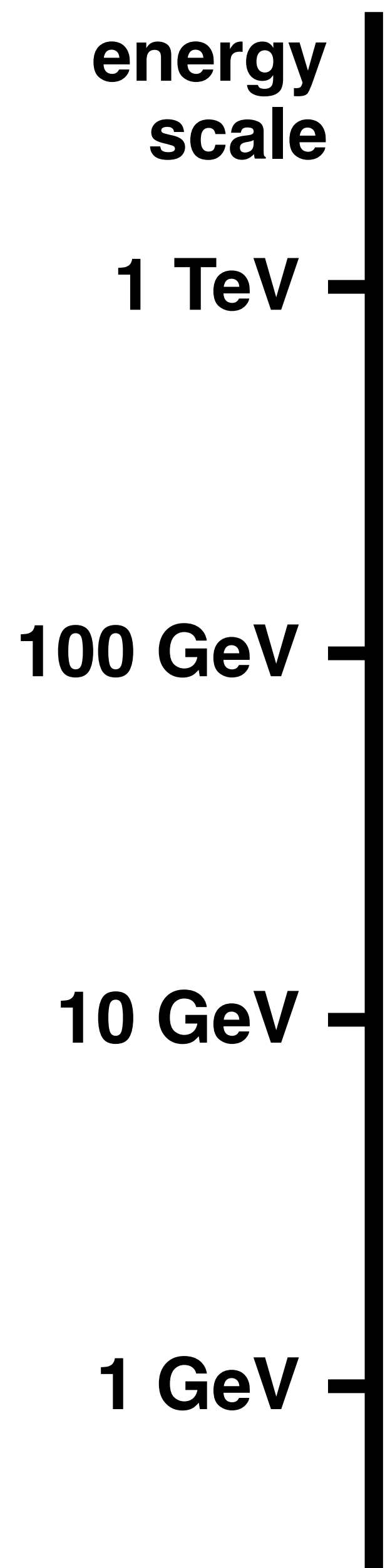
parton shower

hadronisation



schematic view of key components of QCD predictions and Monte Carlo event simulation

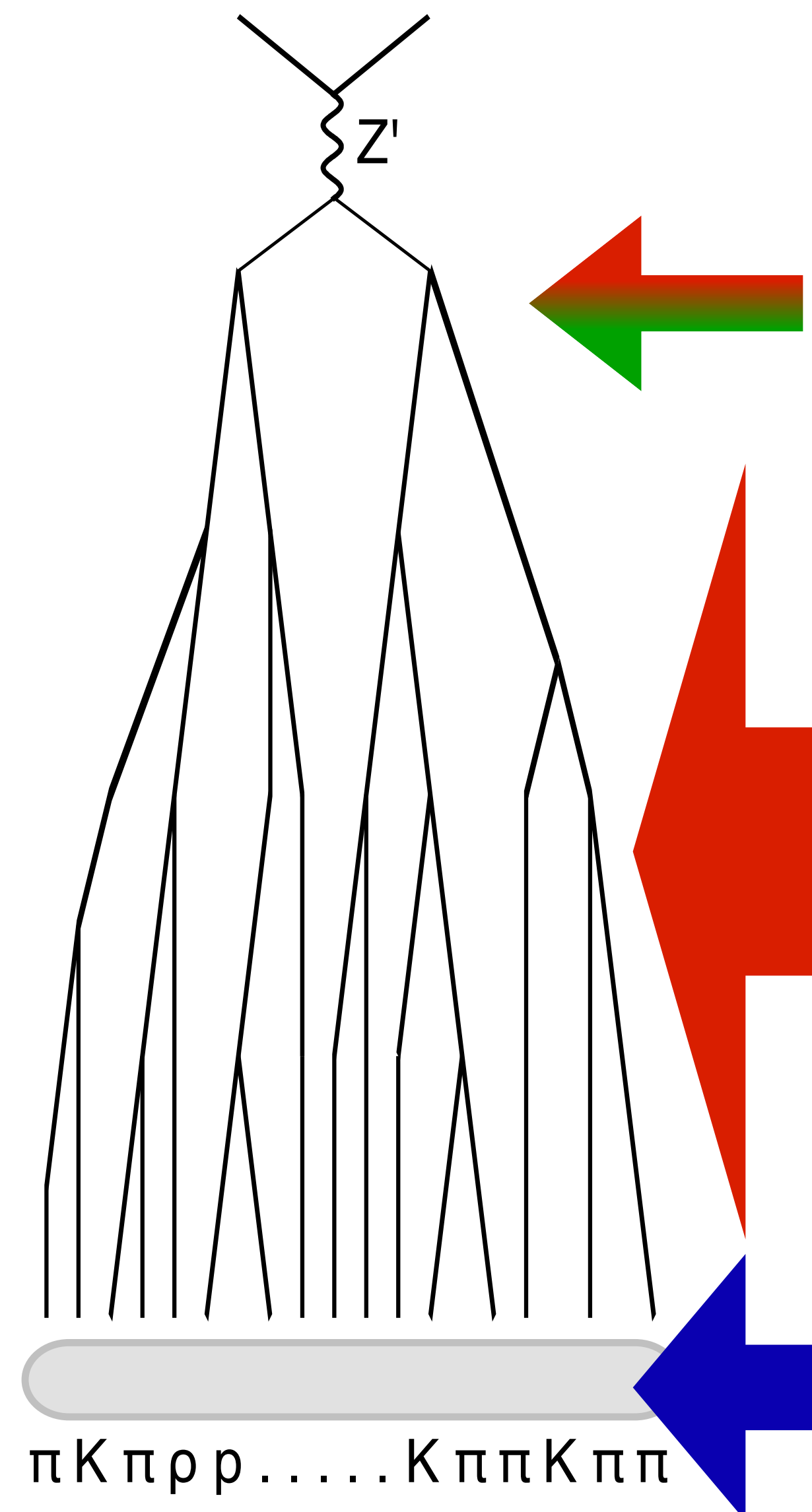
pattern of particles in MC can be directly compared to pattern in experiment



**hard process**

**parton shower**

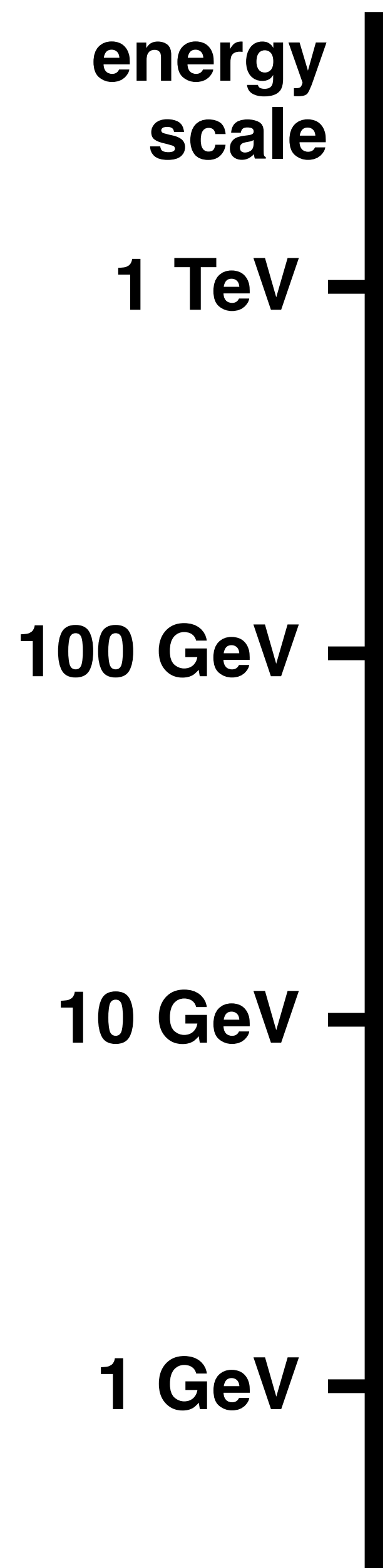
**hadronisation**



*Much of past 20 years' work:  
MLM, CKKW, MC@NLO,  
POWHEG, MIN(N)LO, FxFx,  
Geneva, UNNLOPS, Vincia, etc.*

**Largely based  
on principles  
from 20-30  
years ago**

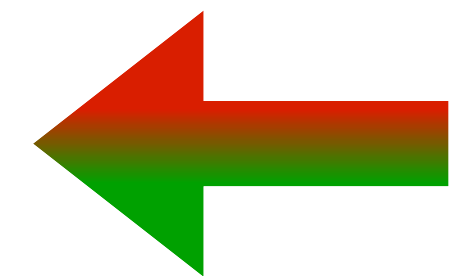
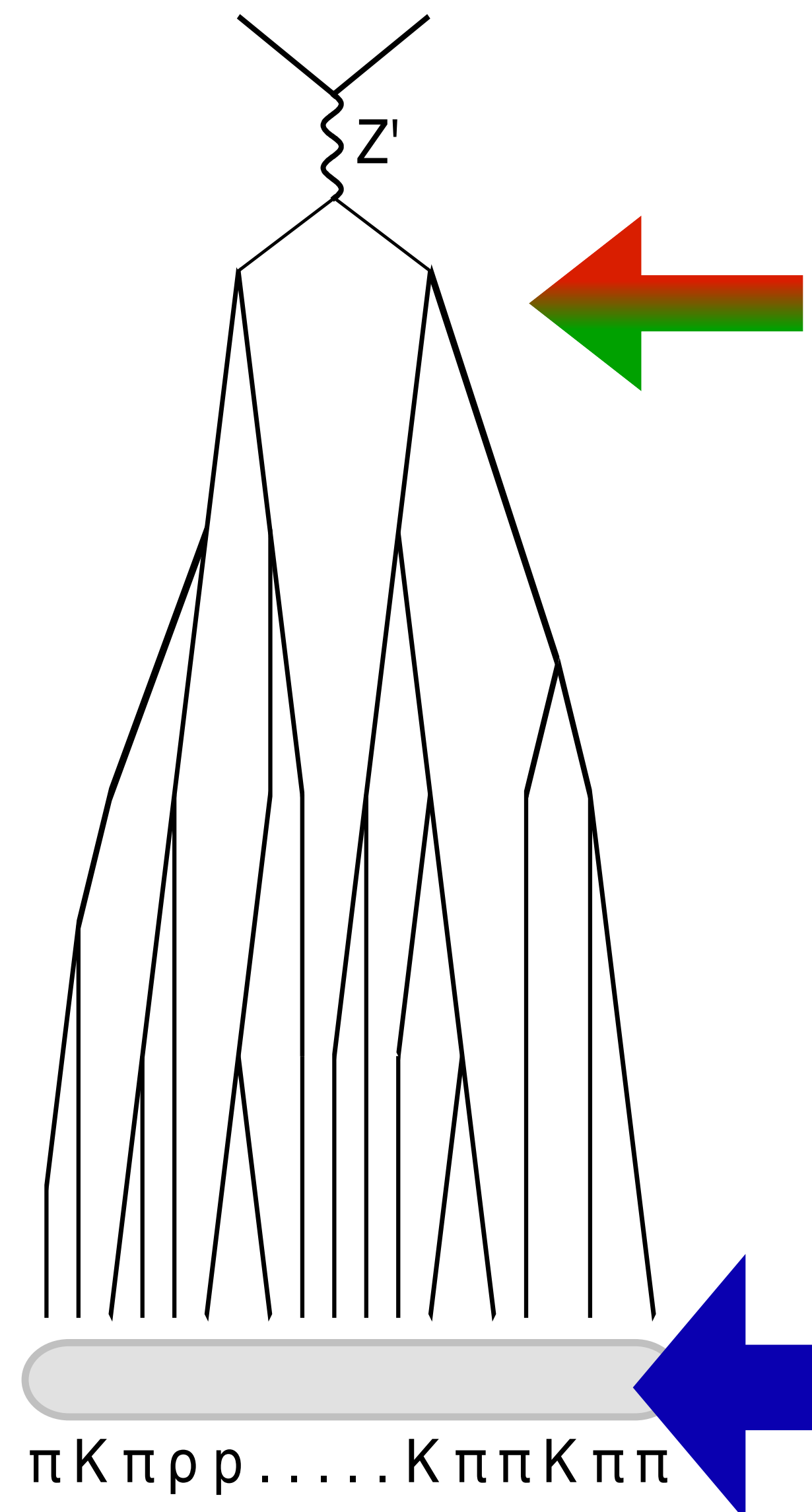




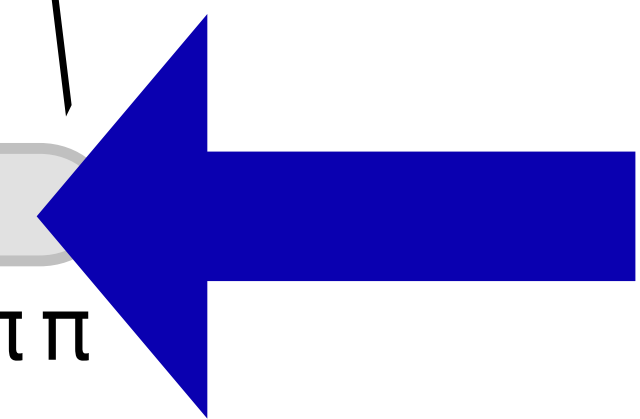
**hard process**

**parton shower**

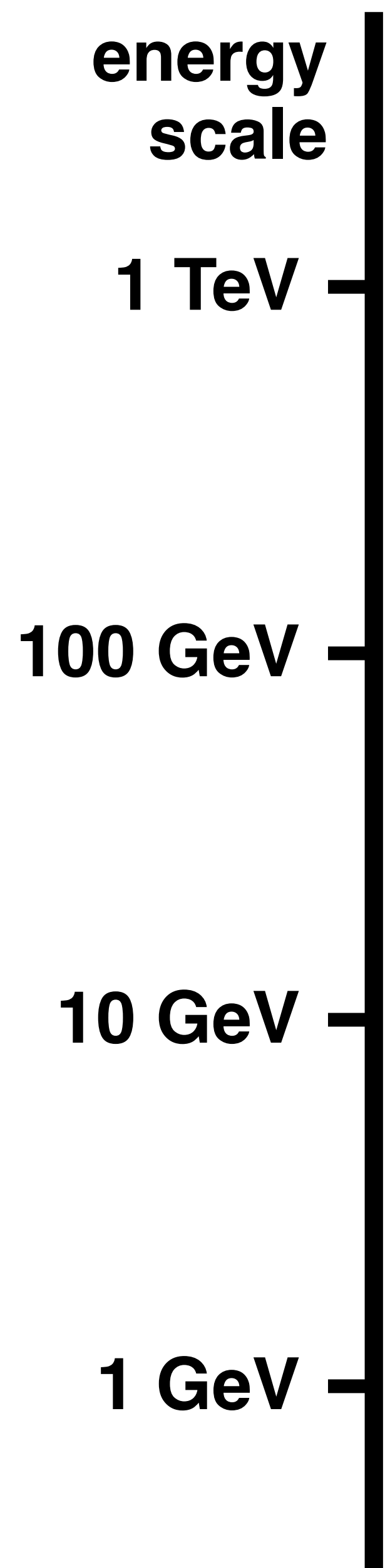
**hadronisation**



*Much of past 20 years' work:  
MLM, CKKW, MC@NLO,  
POWHEG, MINLO, FxFx,  
Geneva, UNNLOPS, Vincia, etc.*



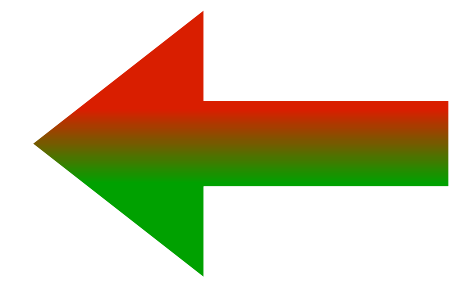
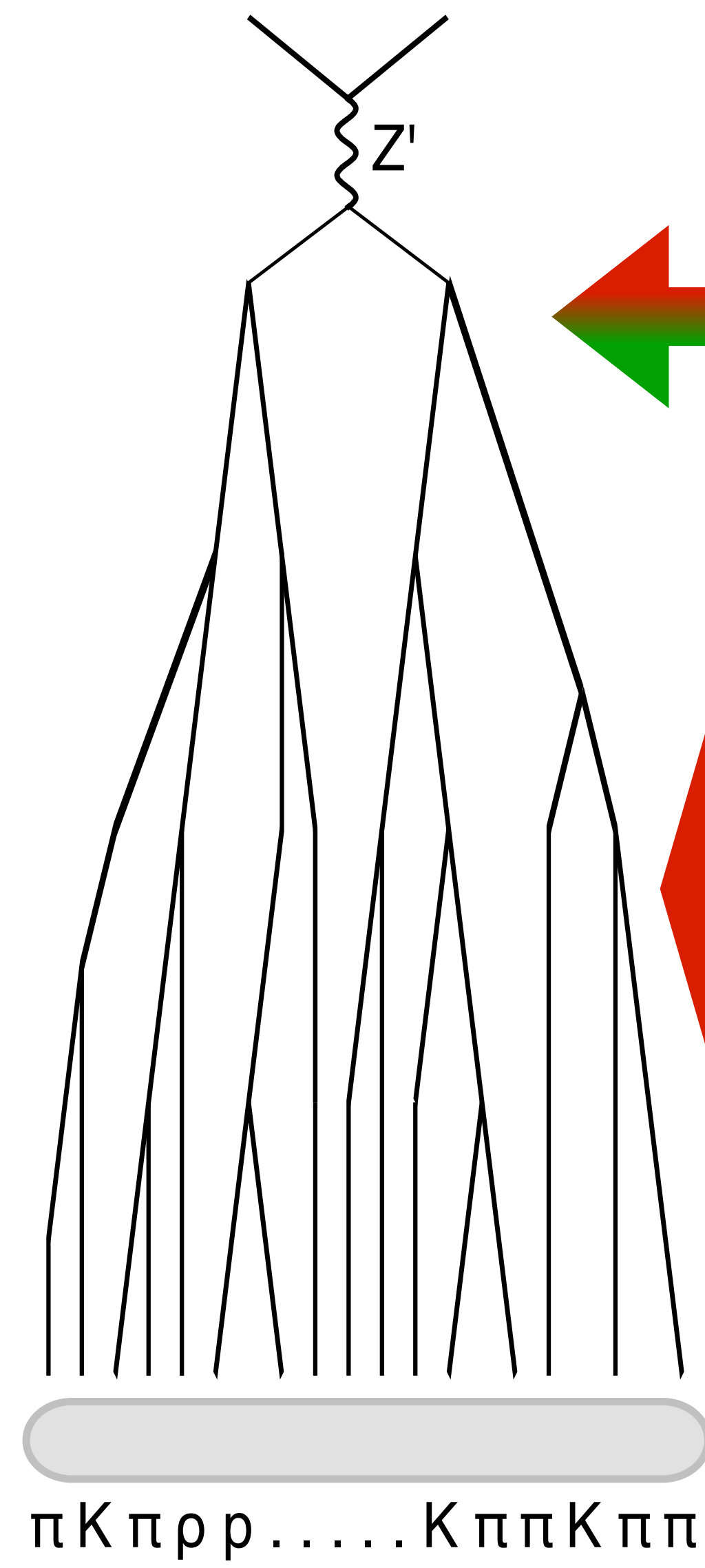
*for new ideas  
(including connections  
with heavy-ion  
collisions) see work by  
Gustafson, Lönnblad,  
Sjöstrand*



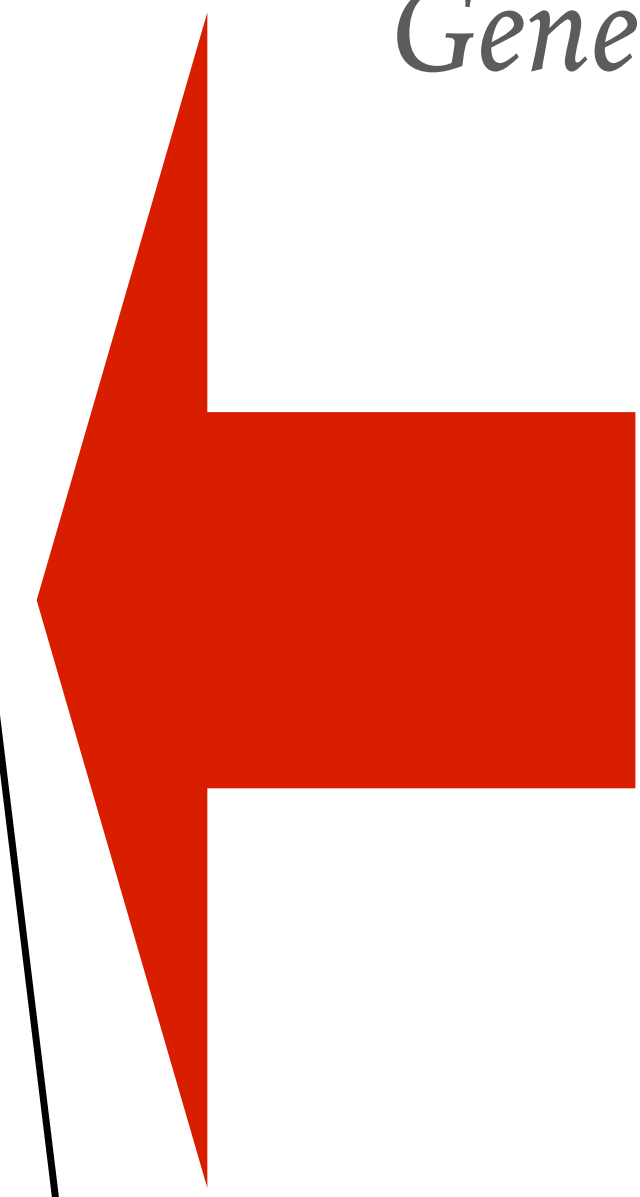
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MLM, CKKW, MC@NLO,  
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*This talk*

# parton shower basics

---

*illustrate with dipole / antenna showers*

*Gustafson & Pettersson 1988, Ariadne 1992, main Sherpa & Pythia8 showers, option in Herwig7,  
Vincia & Dire showers & (partially) Deductor shower*



# Example of radioactive decay (limit of long half-life)

---

Constant decay rate  $\mu$  per unit time, total time  $t_{\max}$ . Find distribution of emissions.

1. write as coupled evolution equations for probability  $P_0, P_1, P_2$ , etc., of having 0, 1, 2, ... emissions

$$\frac{dP_n}{dt} = -\underbrace{\mu P_n(t)}_{n \rightarrow n+1} + \underbrace{\mu P_{n-1}(t)}_{n-1 \rightarrow n}$$

[easy to implement in Monte Carlo approach]

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$n \rightarrow n+1$        $n-1 \rightarrow n$

[easy to implement in Monte Carlo approach]

**Monte Carlo solution** (repeat following procedure many times to get distribution of  $n, \{t_i\}$ )

- a. start with  $n = 0, t_0 = 0$
- b. Choose random number  $r$  ( $0 < r < 1$ ) and find  $t_{n+1}$  that satisfies

$$r = e^{-\mu(t_{n+1} - t_n)}$$

[i.e. randomly sample exponential distribution]

- c. If  $t_{n+1} < t_{\max}$ , increment  $n$ , go to step b

# Monte Carlo worked example

E.g. for decay rate  $\mu = 1$ , total time  $t_{\max} = 2$

- ▶ start with  $n = 0, t_0 = 0$
- ▶ random number  $r = 0.6 \rightarrow t_1 = t_0 + \log(1/r) = 0.51$  [emission 1]
- ▶ random number  $r = 0.3 \rightarrow t_2 = t_1 + \log(1/r) = 1.71$  [emission 2]
- ▶ random number  $r = 0.4 \rightarrow t_3 = t_2 + \log(1/r) = 2.63$  [ $> t_{\max}$ , so stop]
- ▶ **This event has two emissions at times  $\{t_1 = 0.51, t_2 = 1.71\}$**

**Monte Carlo solution** (repeat following procedure many times to get distribution of  $n, \{t_i\}$ )

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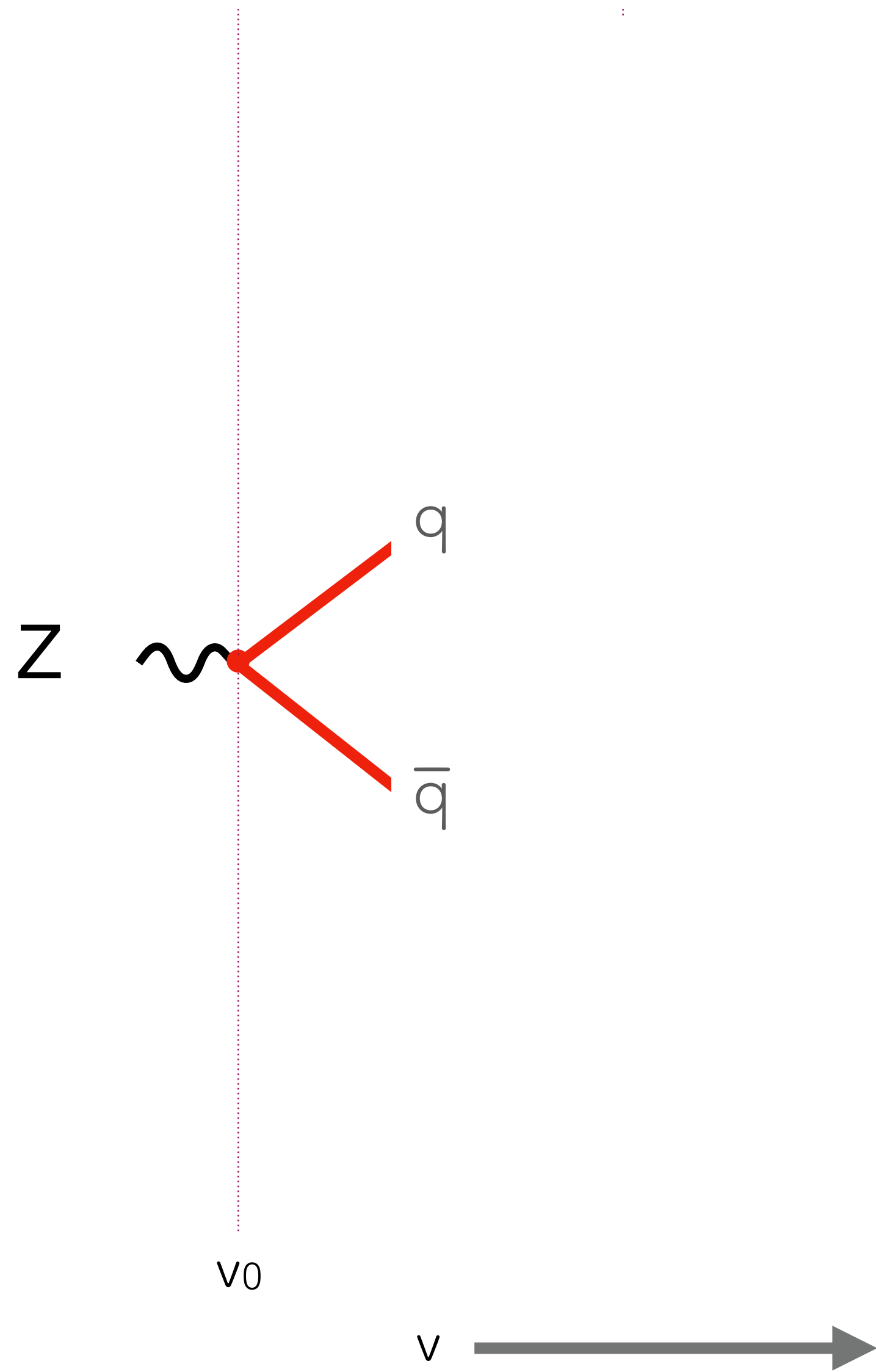
# QCD shower: an evolution equation (in **evolution scale $v$** , e.g. $1/\text{trans.mom.}$ )

---

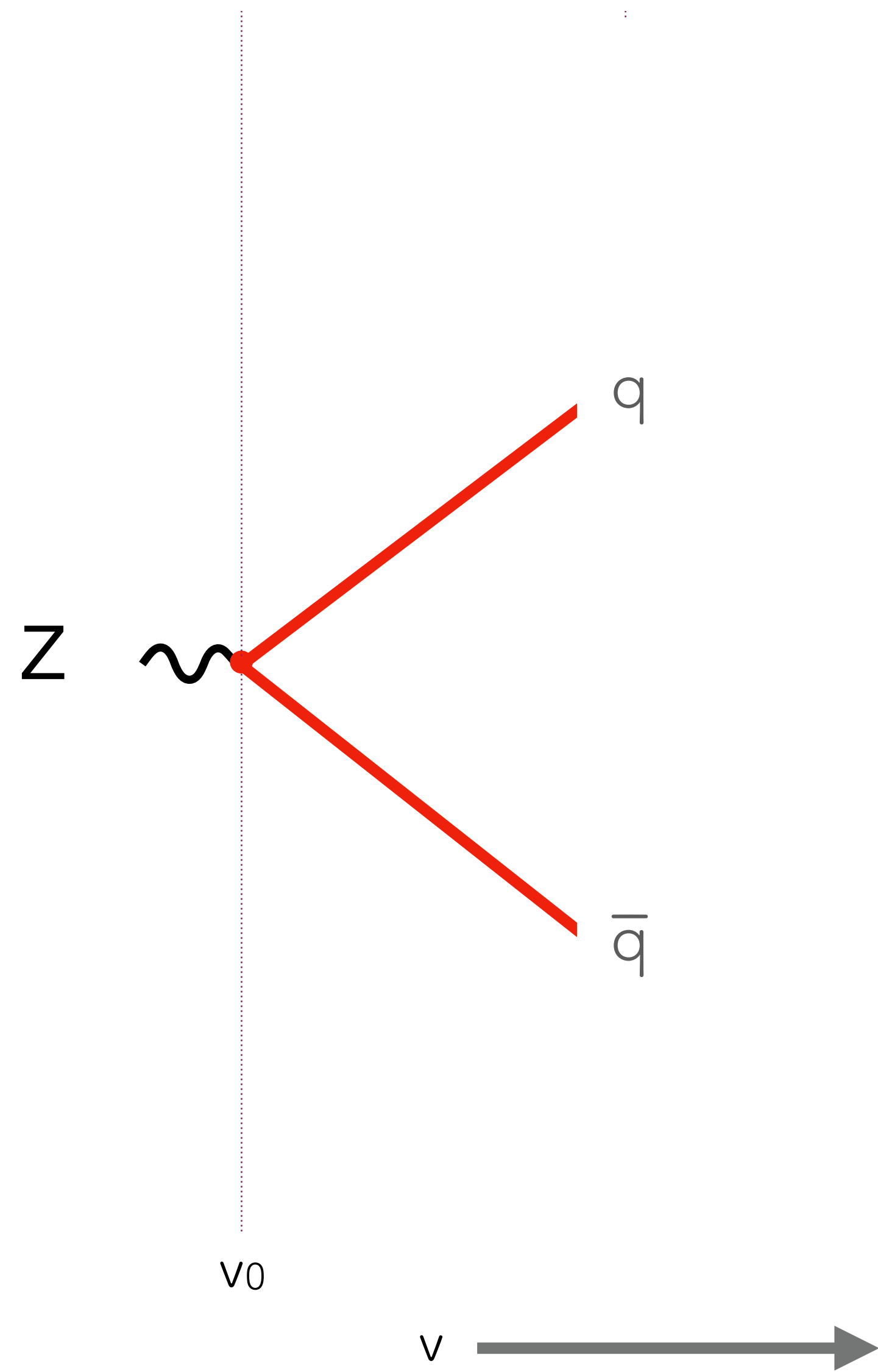
Start with  $q$ - $q\bar{q}$  state.

Throw a random number to determine down to what scale state persists unchanged

$$\frac{dP_2(v)}{dv} = -f_{2 \rightarrow 3}^{q\bar{q}}(v) P_2(v)$$



# QCD shower: an evolution equation (in **evolution scale $v$** , e.g. $1/\text{trans.mom.}$ )

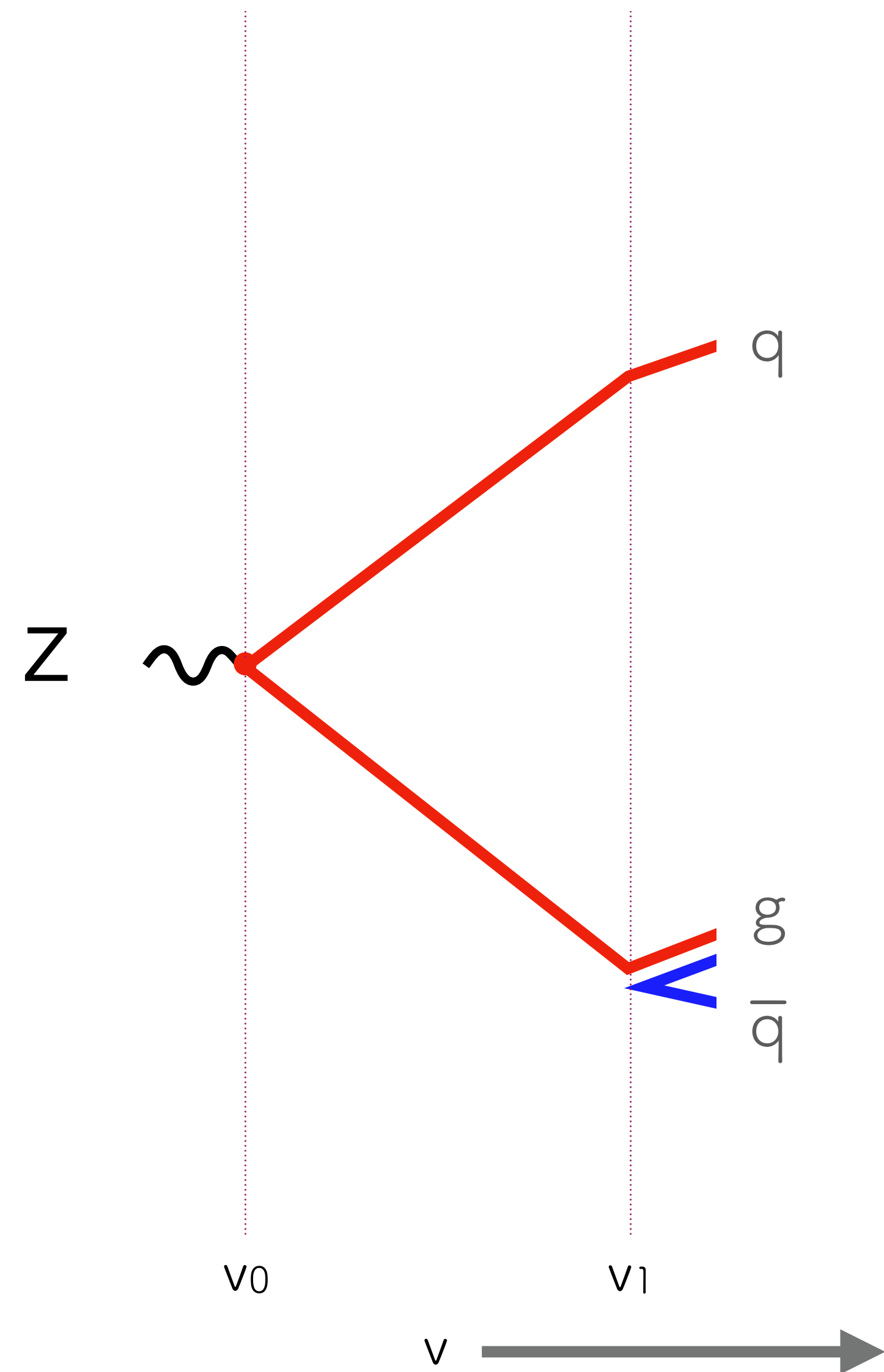


Start with  $q$ - $\bar{q}$  state.

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# QCD shower: an evolution equation (in **evolution scale $v$** , e.g. $1/\text{trans.mom.}$ )



Start with  $q$ - $q$ bar state.

Throw a random number to determine down to what scale state persists unchanged

At some point, **state splits** ( $2 \rightarrow 3$ , i.e. emits gluon). Evolution equation changes

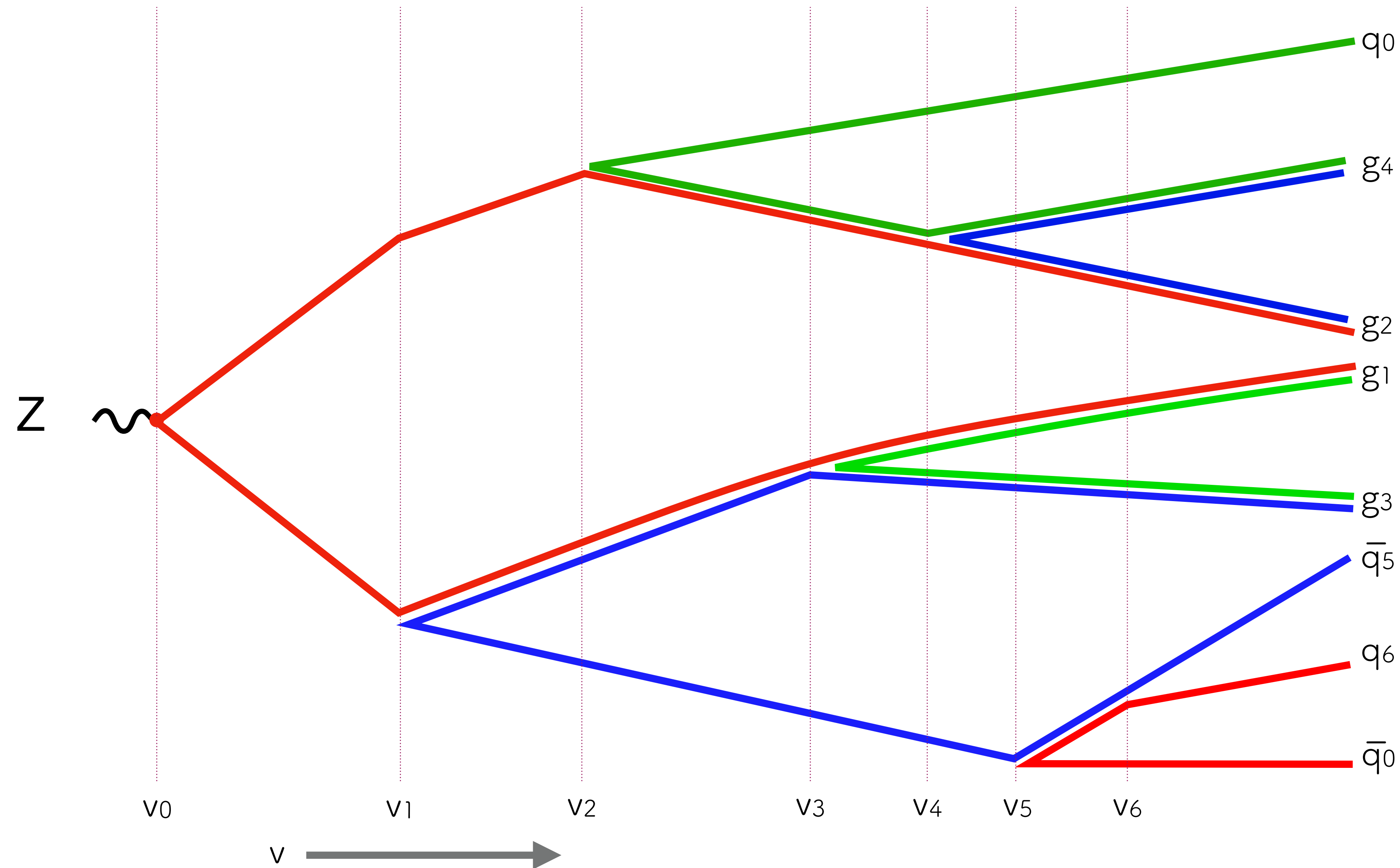
$$\frac{dP_3(v)}{dv} = - \left[ f_{2 \rightarrow 3}^{qg}(v) + f_{2 \rightarrow 3}^{g\bar{q}}(v) \right] P_3(v)$$

gluon is part of two dipoles  $(qg)$ ,  $(g\bar{q})$ , each treated as independent

**(many showers use a large  $N_C$  limit)**



# QCD shower: an evolution equation (in **evolution scale $v$** , e.g. $1/\text{trans.mom.}$ )



self-similar  
evolution  
continues until it  
reaches a non-  
perturbative  
scale

# what does a parton shower achieve?

*not just a question of ingredients,  
but also the final result of assembling them together*

# what **should** a parton shower achieve?

*not just a question of ingredients,  
but also the final result of assembling them together*



## it's a complicated issue...

---

- For a total cross section, e.g. for Higgs production, it's easy to talk about systematic improvements (LO, NLO, NNLO, ...). But they're restricted to that one observable

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- For a total cross section, e.g. for Higgs production, it's easy to talk about systematic improvements (LO, NLO, NNLO, ...). But they're restricted to that one observable
- With a parton shower (+hadronisation) you produce a “realistic” full set of particles. You can ask questions of arbitrary complexity:
  - **the multiplicity of particles**
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  - **the angle of 3rd most energetic particle relative to the most energetic one**  
*[machine learning might “learn” many such features]*

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**how can you prescribe correctness & accuracy of the answer,  
when the questions you ask can be arbitrary?**

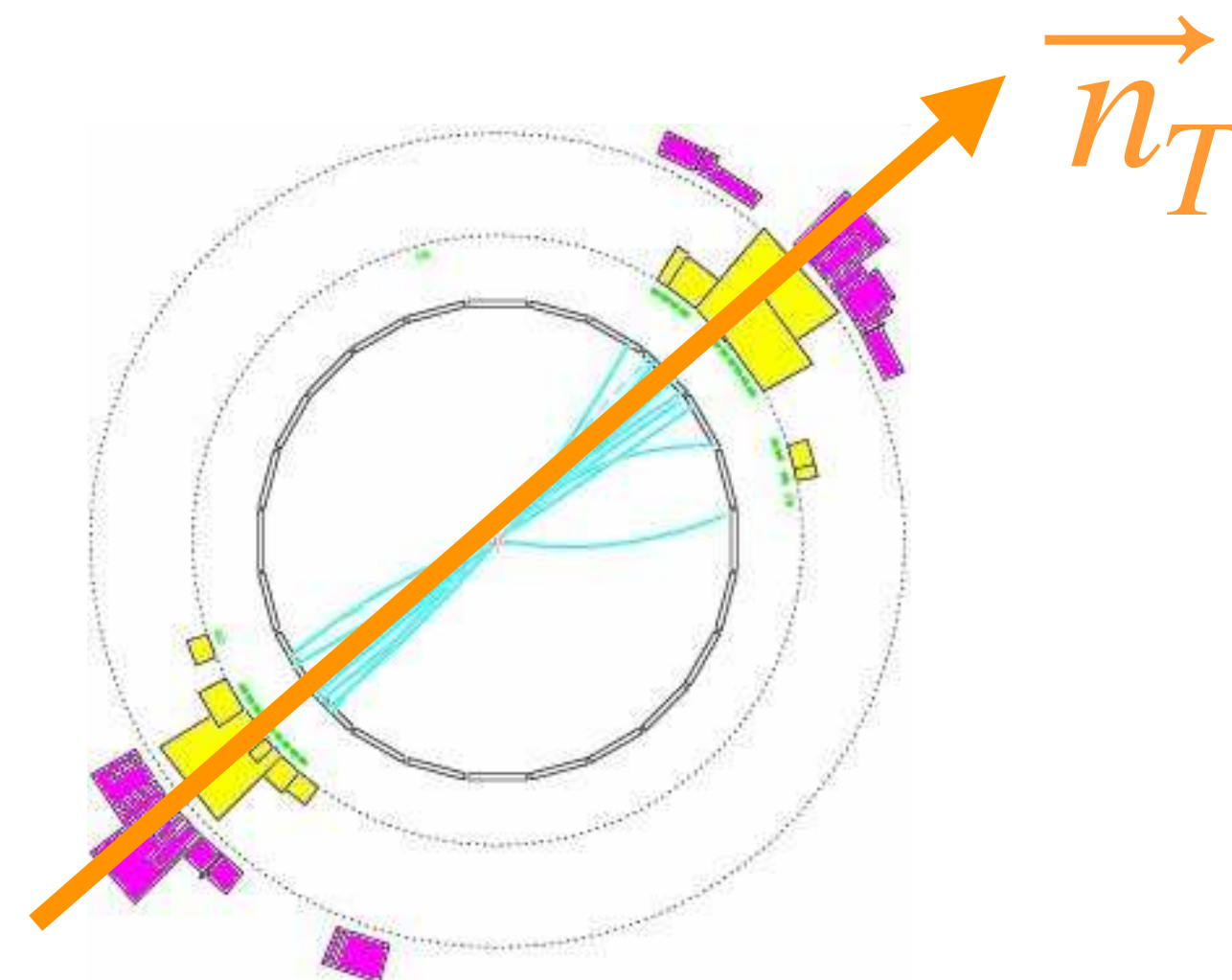


# The standard answer so far

It's common to hear that **showers are Leading Logarithmic (LL)** accurate.

That language, widespread for multiscale problems, comes from analytical resummations. E.g. transverse momentum broadening

$$B = \frac{\sum_i |\vec{p}_i \times \vec{n}_T|}{\sum_i |\vec{p}_i|}$$



You can resum cross section for  $B$  to be very small (as it is in most events)

$$\sigma(\ln B < -L) = \sigma_{tot} \exp \left[ \underbrace{Lg_1(\alpha_s L)}_{\text{LL}} + \underbrace{g_2(\alpha_s L)}_{\text{NLL}} + \underbrace{\alpha_s g_3(\alpha_s L)}_{\text{NNLL}} + \underbrace{\alpha_s^2 g_4(\alpha_s L)}_{\text{N}^3\text{LL}} + \dots \right]$$

$$[\alpha_s \ll 1, \alpha_s L \sim 1]$$

$$\text{LL} \sim \mathcal{O}\left(\frac{1}{\alpha}\right)$$

$$\text{NLL} \sim \mathcal{O}(1)$$

$$\text{NNLL} \sim \mathcal{O}(\alpha)$$

$$\text{N}^3\text{LL} \sim \mathcal{O}(\alpha^2)$$

Catani, Trentadue, Turnock & Webber '93

Becher & Schwartz '08

# Until not so long ago: nobody was sure of the accuracy (probably “LL”)

---

In the past you sometimes saw statements like “Following standard practice *to improve the logarithmic accuracy of the parton shower, the soft enhanced term of the splitting functions is rescaled by  $1 + a_s(t)/(2\pi)K$* ” [ $K \sim A_2$  in cusp anomalous dimension]

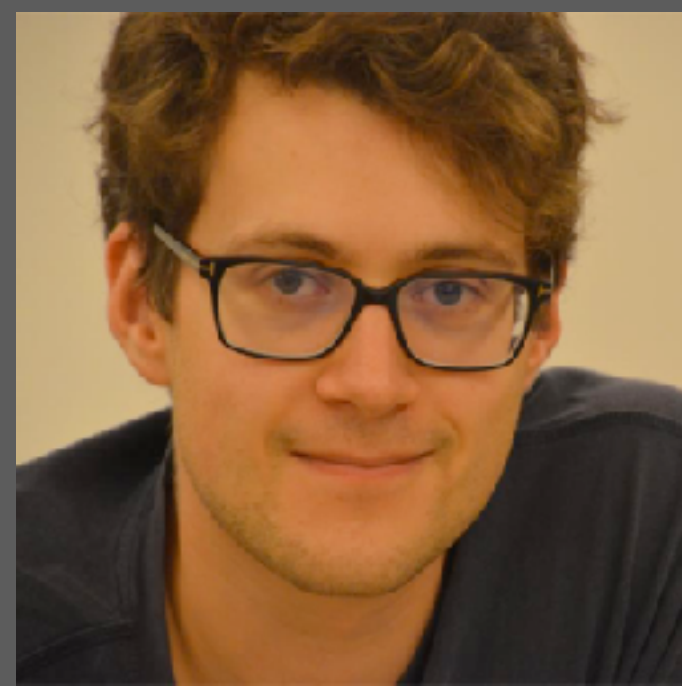
## Questions:

- 1) Which is it? LL or better? Is better than LL even possible?
- 2) For what observables does accuracy hold?
- 3) What good is it to know that some handful of observables is LL (or whatever) when you want to calculate arbitrary observables?
- 4) Does LL even mean anything when you do machine learning?
- 5) Why only “LL” when analytic resummation can do so much better?
- 6) Do better ingredients (e.g. higher-order splitting functions) make better showers?





Mrinal Dasgupta  
Manchester



Frédéric Dreyer  
Oxford



Keith Hamilton  
Univ. Coll. London



Pier Monni  
CERN



Gavin Salam  
Oxford



Grégory Soyez  
IPhT, Saclay

since 2017

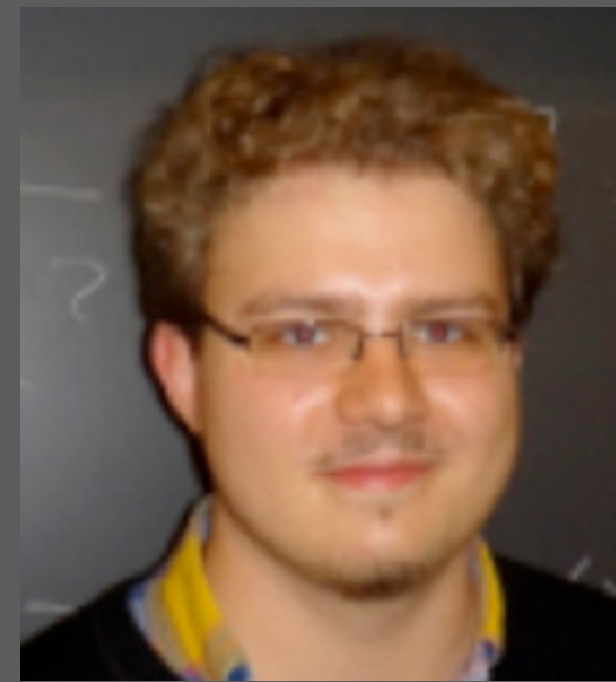


Emma Slade  
Oxford (PhD) → GSK.ai

2018-20



Basem El-Menoufi  
Manchester



Alexander Karlberg  
Oxford



Rok Medves  
Oxford (PhD)



Ludovic Scyboz  
Oxford



Rob Verheyen  
Univ. Coll. London

since 2019

# PanScales

A project to bring logarithmic understanding and accuracy to parton showers



Melissa van Beekveld  
Oxford



Silvia Ferrario Ravasio  
Oxford



Alba Soto Ontoso  
IPhT, Saclay

since 2020



# Our proposal for investigating shower accuracy

---

## Resummation

Establish logarithmic accuracy for main classes of resummation:

- global event shapes (thrust, broadening, angularities, jet rates, energy-energy correlations, ...)
- non-global observables (cf. Banfi, Corcella & Dasgupta, hep-ph/0612282)
- fragmentation / parton-distribution functions
- multiplicity, cf. original Herwig angular-ordered shower from 1980's

## Matrix elements

Establish in what sense iteration of (e.g.  $2 \rightarrow 3$ ) splitting kernel reproduces  $N$ -particle tree-level matrix elements *for any*  $N$ .

Because this kind of info is exploited by machine-learning algorithms.



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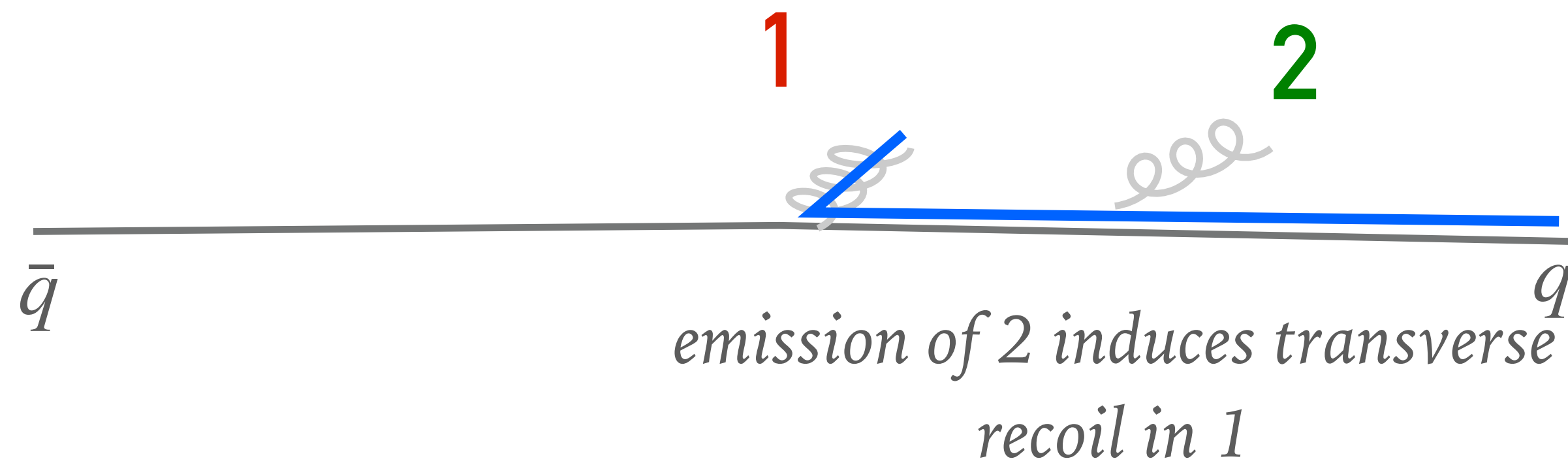
## Baseline “NLL” requirements

Aim for NLL,  
control of  $\alpha_s^n L^n$

Aim for NDL, i.e.  
 $\alpha_s^n L^{2n-1}$

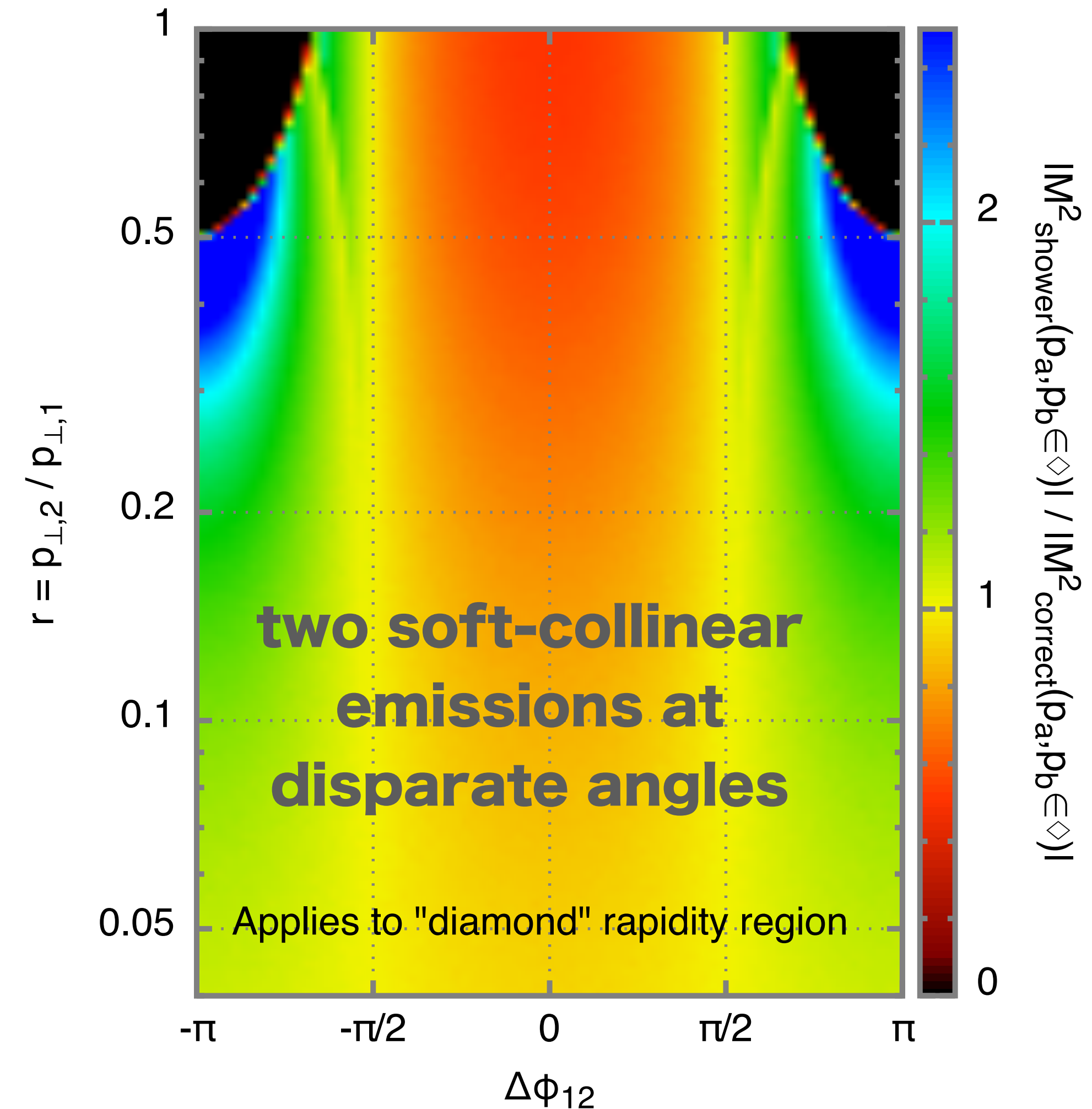
Aim for correctness  
when all particles  
well separated in  
Lund diagram

# Step 1: might existing (dipole) showers be OK (i.e. NLL)?



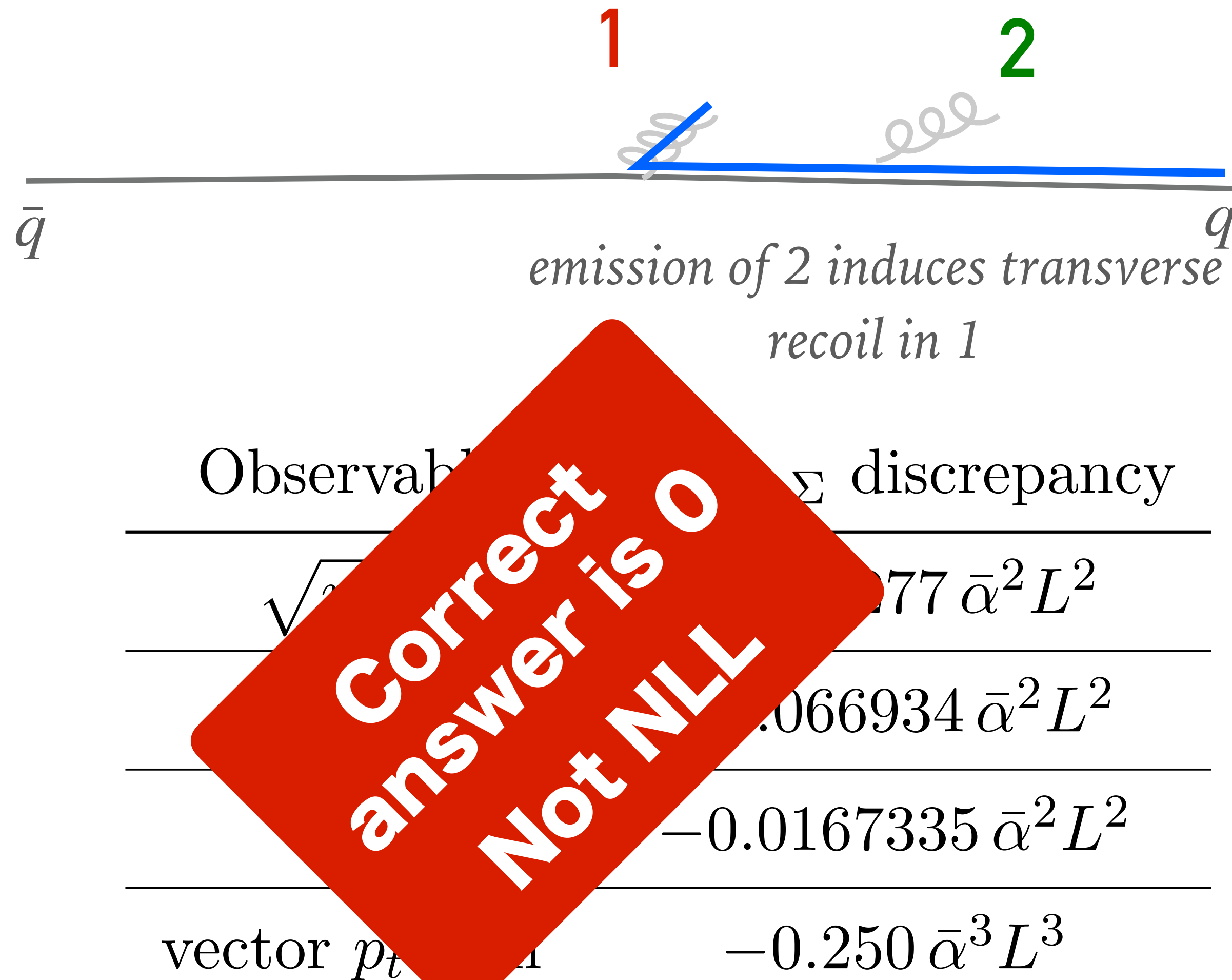
Observable	$\text{NLL}_{\ln \Sigma}$ discrepancy
$\sqrt{y_3^{\text{cam}}}$	$-0.18277 \bar{\alpha}^2 L^2$
$\text{FC}_1$	$-0.066934 \bar{\alpha}^2 L^2$
$B_T$	$-0.0167335 \bar{\alpha}^2 L^2$
vector $p_t$ sum	$-0.250 \bar{\alpha}^3 L^3$

ratio of effective shower matrix element to exact one



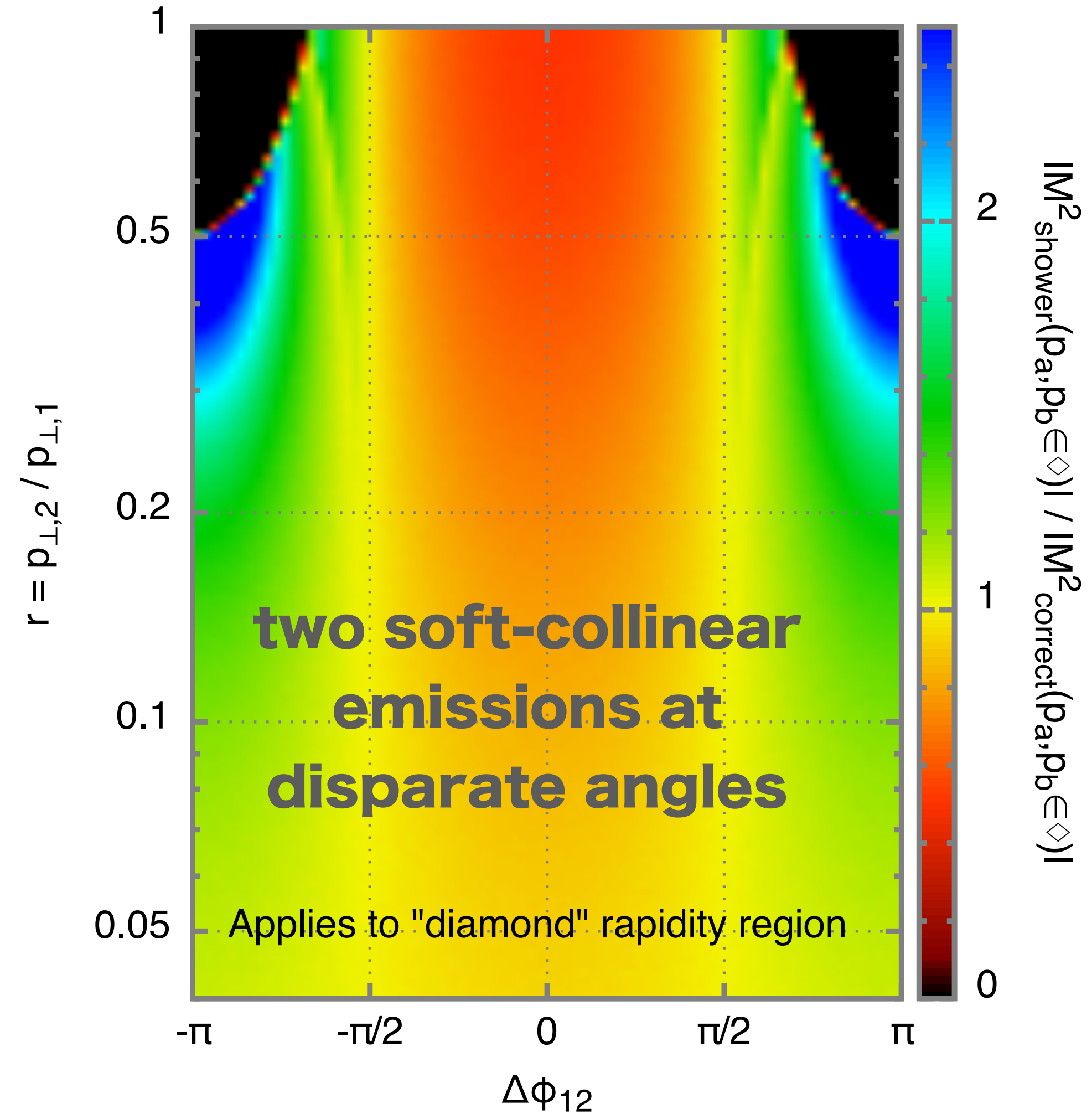
Dasgupta, Dreyer, Hamilton, Monni & GPS [1805.09327](#)

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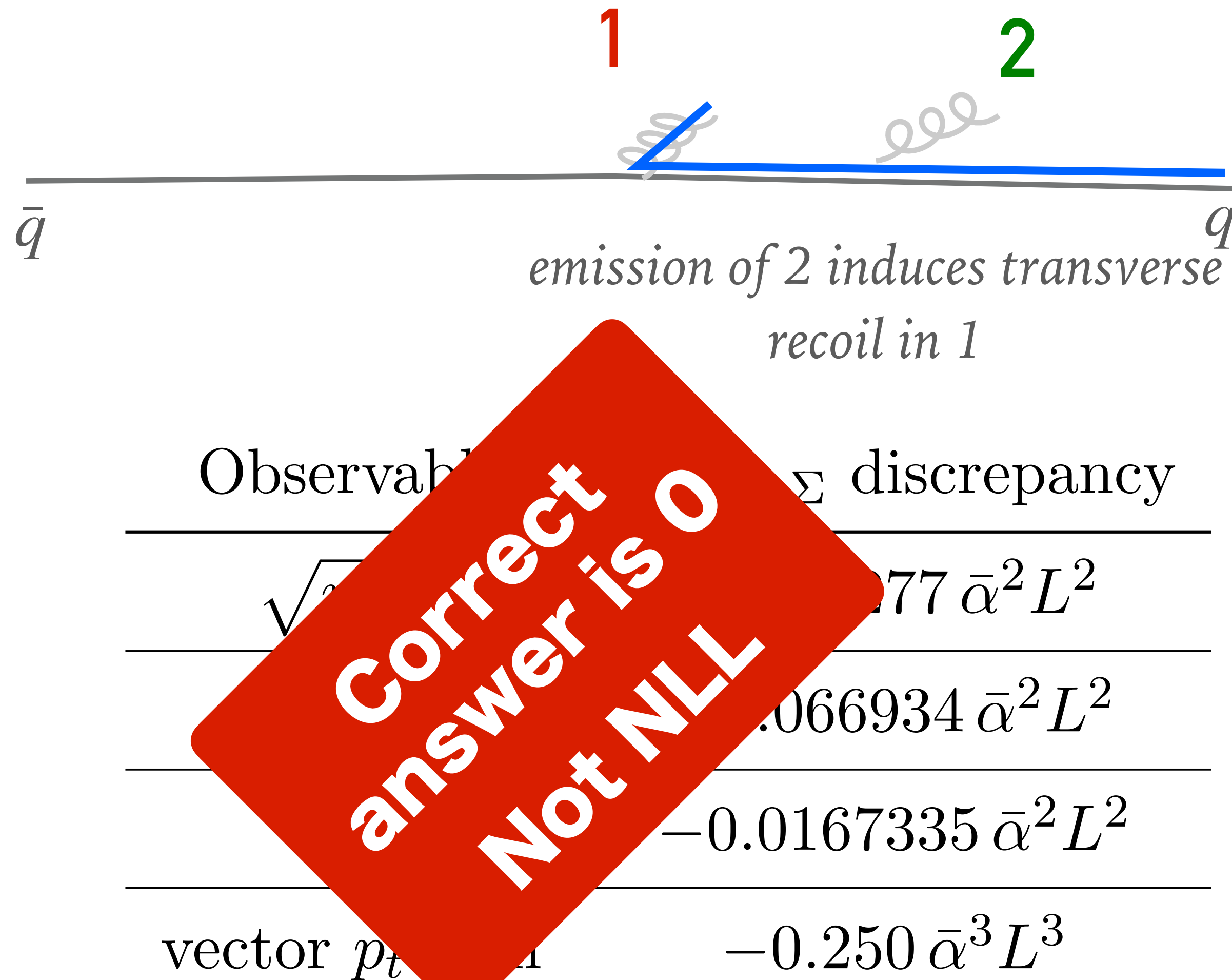
**Correct answer is 0 Not NLL**

ratio of effective shower matrix element to exact one



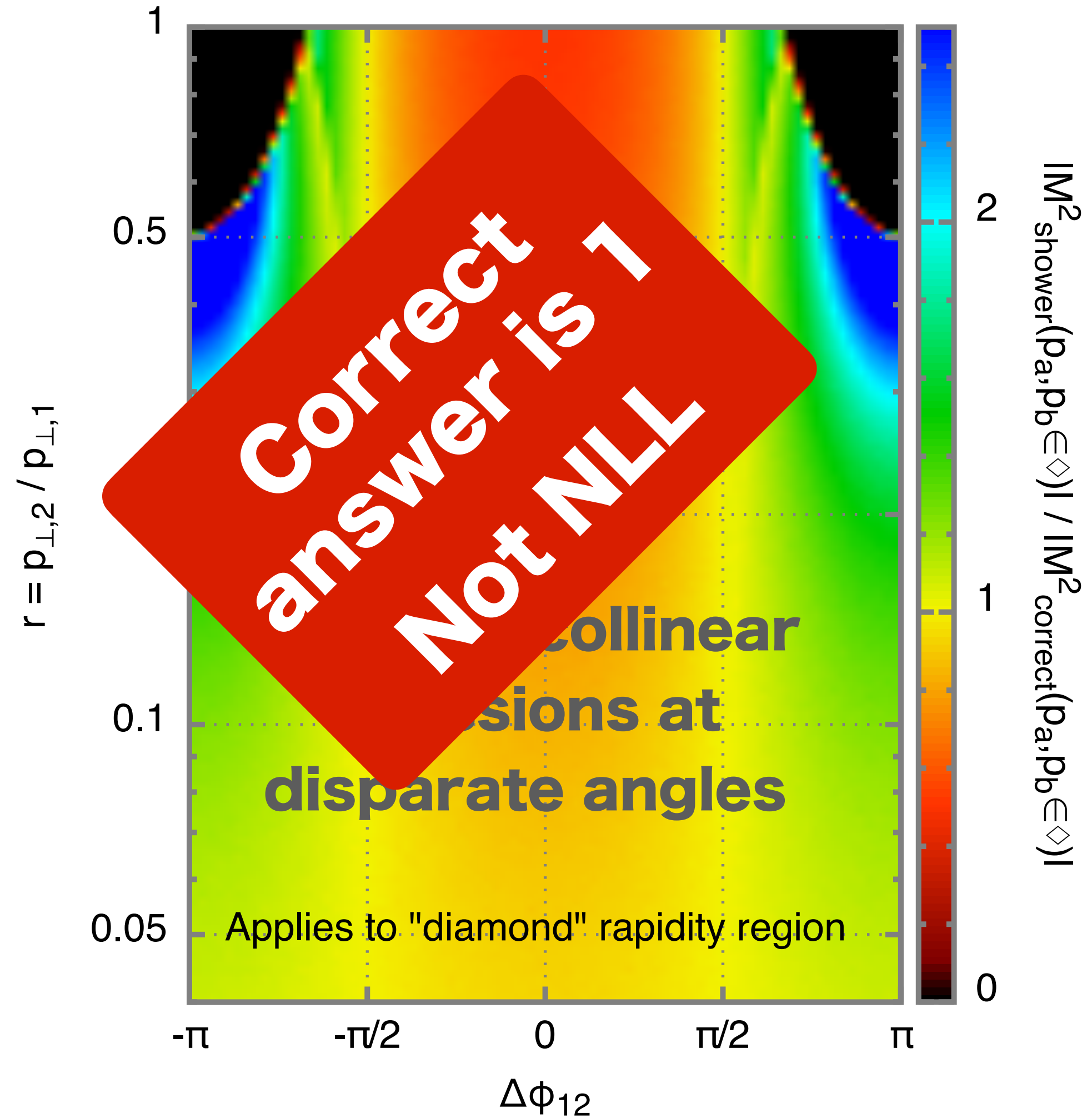
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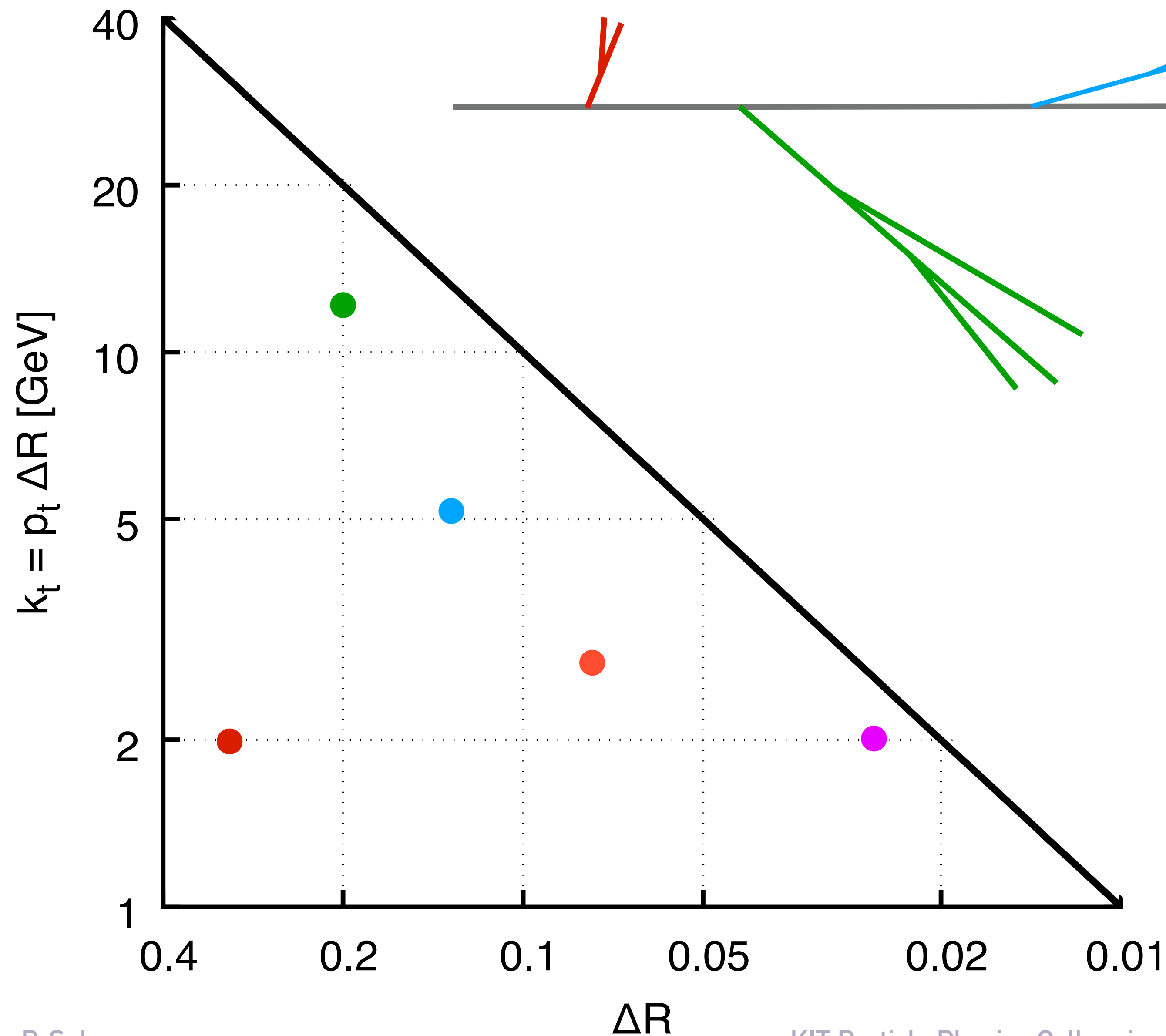
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Dasgupta, Dreyer, Hamilton, Monni & GPS [1805.09327](#)



# Step 2: find way to organise phase space of arbitrary events (for future tests)

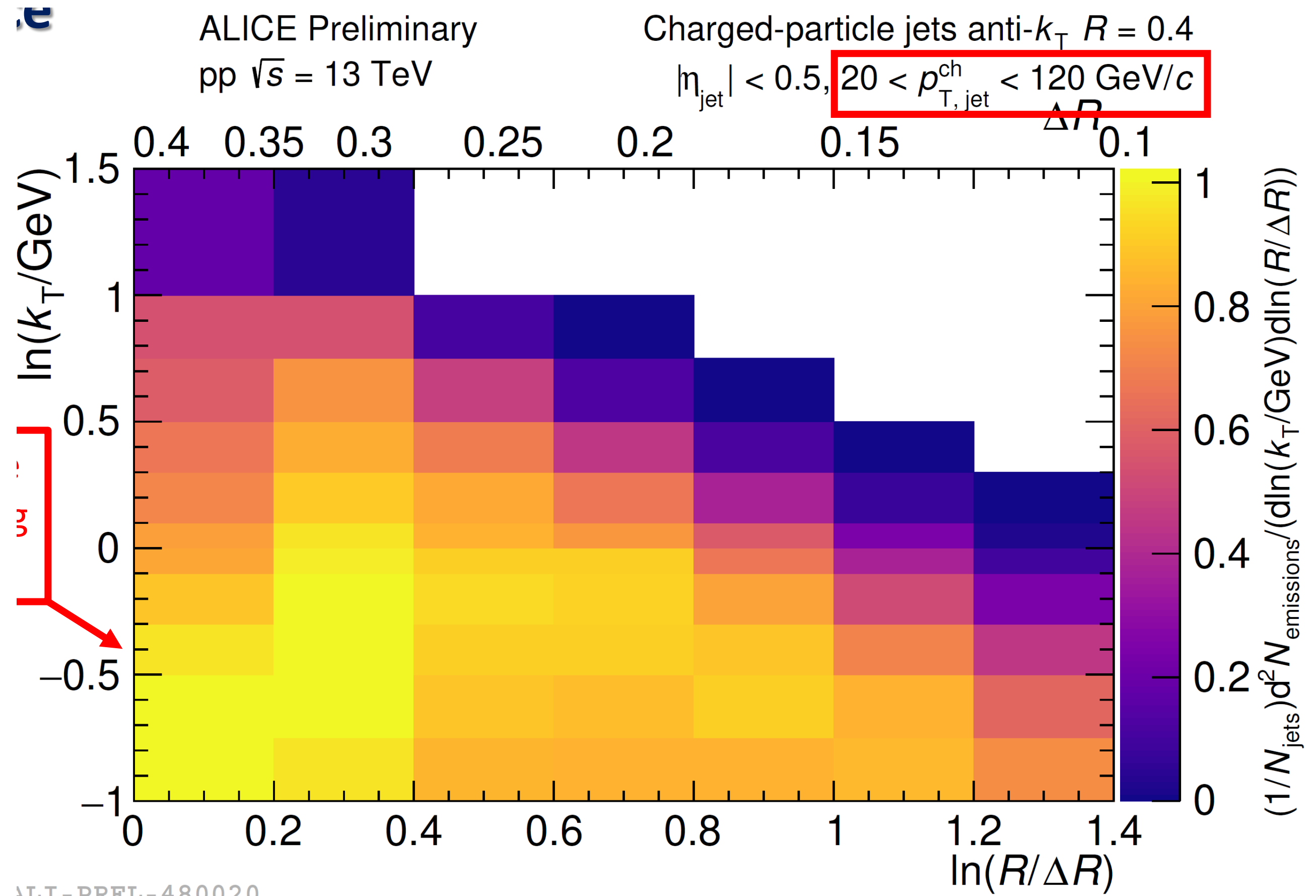
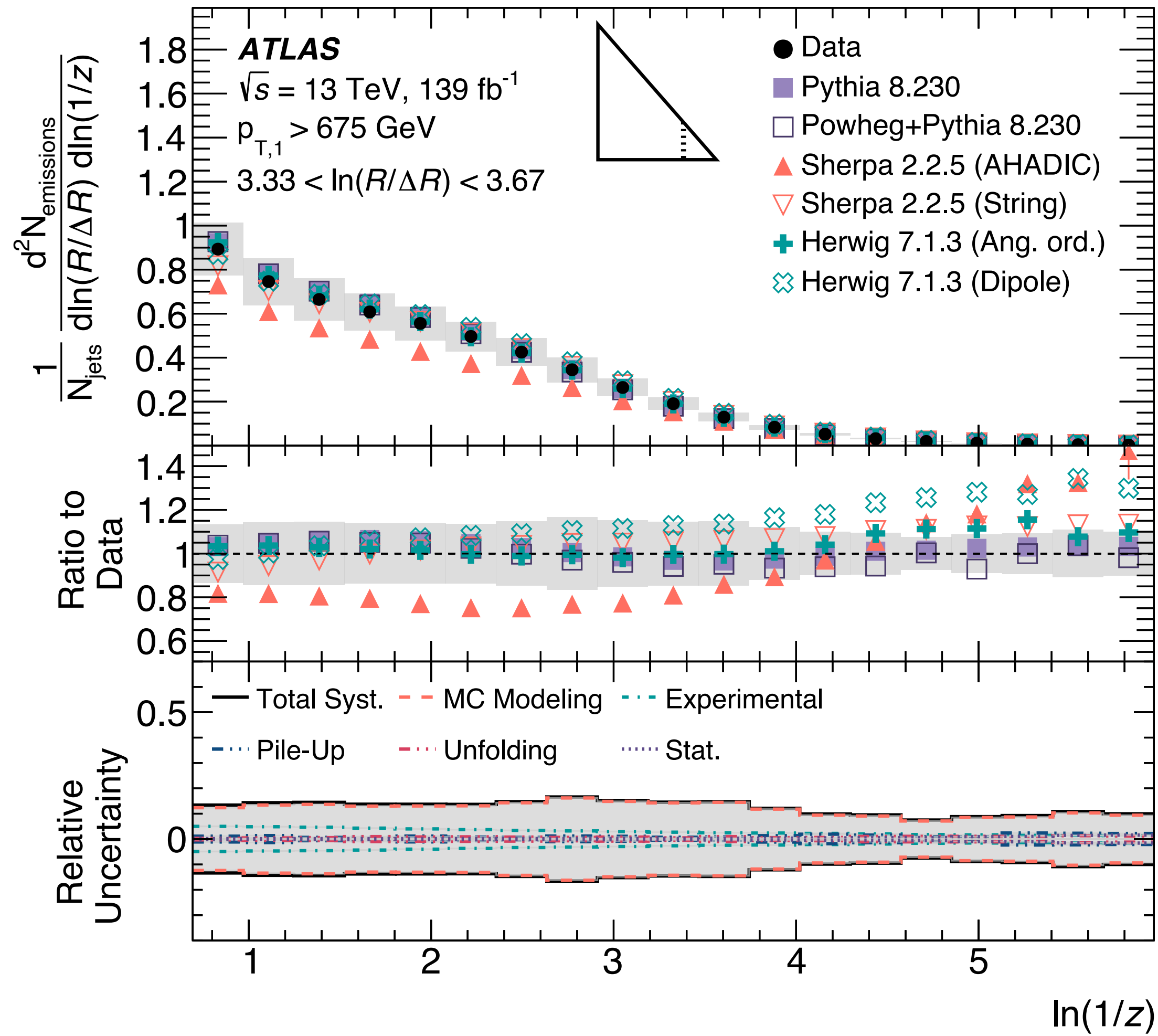


decluster particles at  
successively smaller angles:  
at each step record  $\theta(= \Delta R)$ ,  $k_t$   
**(Lund plane & declustering)**

simple and robust

B. Andersson, G. Gustafson,  
L. Lonnblad and Pettersson 1989  
Dreyer, GPS & Soyez, [1807.04758](#)

# Lund plane turns out to be powerful for **measurements** of jet substructure



+ calculations in Lifson, GPS & Soyez, [2007.06578](#)

## Step 3a: identify some core principles for NLL showers

---

1. for a new emission  $k$ , when it is generated far in the Lund diagram from any other emission ( $|d_{ki}^{Lund}| \gg 1$ ), **it should not modify the kinematics (Lund coordinates) of any preceding emission** by more than an amount  $\exp(-p |d_{ki}^{Lund}|)$ , where  $p = \mathcal{O}(1)$
2. when  $k$  is distant from other emissions, generate it with matrix element and phasespace (and associated Sudakov)

$$\frac{d\Phi_k}{d\Phi_{k-1}} \frac{|M_{1\dots k}|^2}{|M_{1\dots(k-1)}|^2}$$

[simple forms known from factorisation properties of matrix-elements]

3. emission  $k$  **should not impact  $d\Phi \times |M|^2$  ratio for subsequent distant emissions unless**
  - a. they are at commensurate angle (or on  $k$ 's Lund "leaf"), or
  - b.  $k$  was a hard collinear splitting, which can affect other hard collinear splittings (cross-talk on same leaf  $\equiv$  DGLAP, cross-talk on other leaves  $\equiv$  spin correlations)

# Step 3b: design proof-of-principle showers (final-state, leading colour)

---

## Degrees of freedom

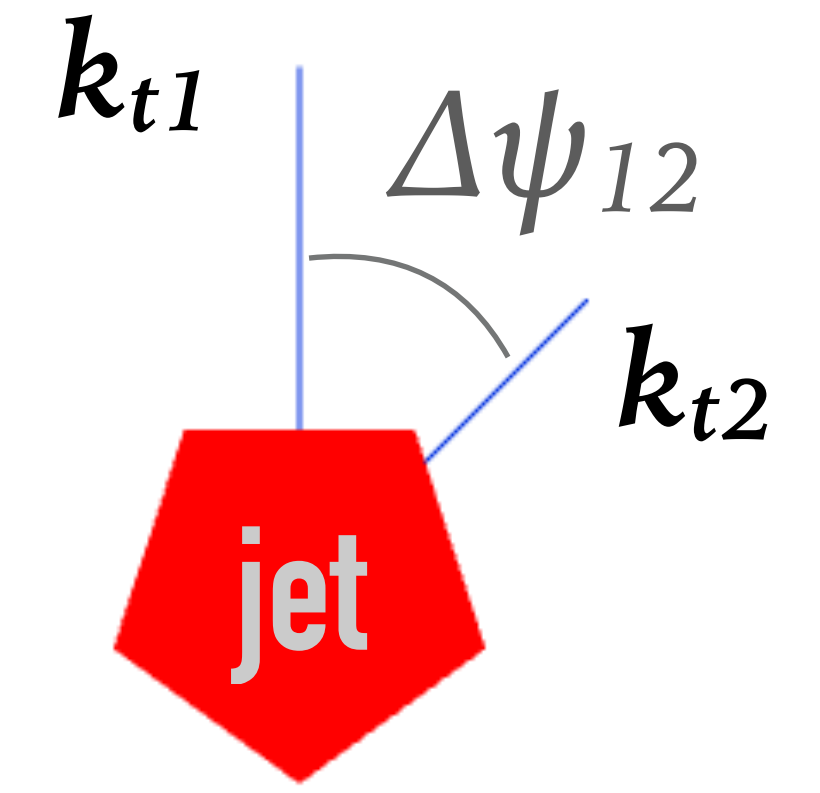
- the order in which emissions are generated: in decreasing  $v = k_t \theta^\beta$ , with  $\beta$  a parameter that sets the class of ordering variable ( $\beta = 0$  gives standard  $k_t$ -ordered showers).
- how other partons' momenta change when a gluon is emitted (recoil scheme)

## Candidate showers

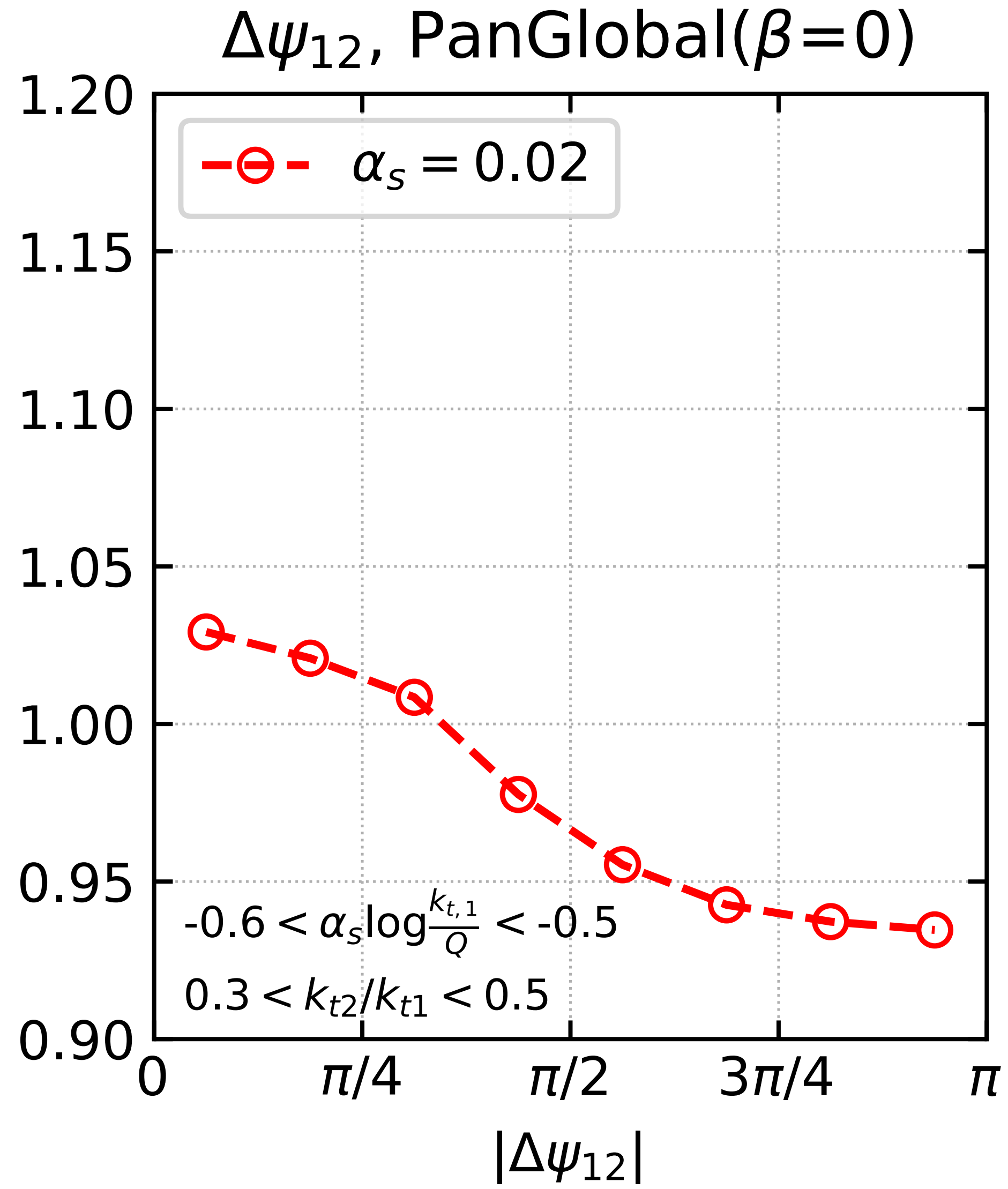
- **PanGlobal showers:** transverse recoil shared across all particles in the event, expected to be NLL for  $0 \leq \beta < 1$ .
- **PanLocal showers:** all recoil shared locally within dipole, expected to be NLL for  $0 < \beta < 1$ . (NB: assignment of transverse recoil between dipole ends differs from standard dipole/antenna showers)



# Step 3c: test new showers against NLL calculations

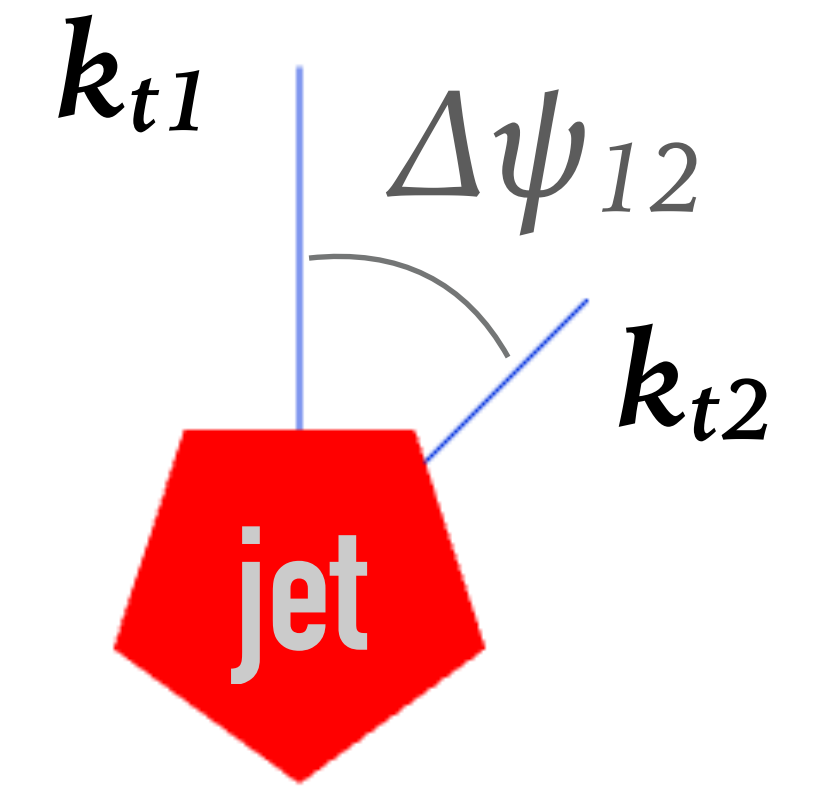


ratio to NLL

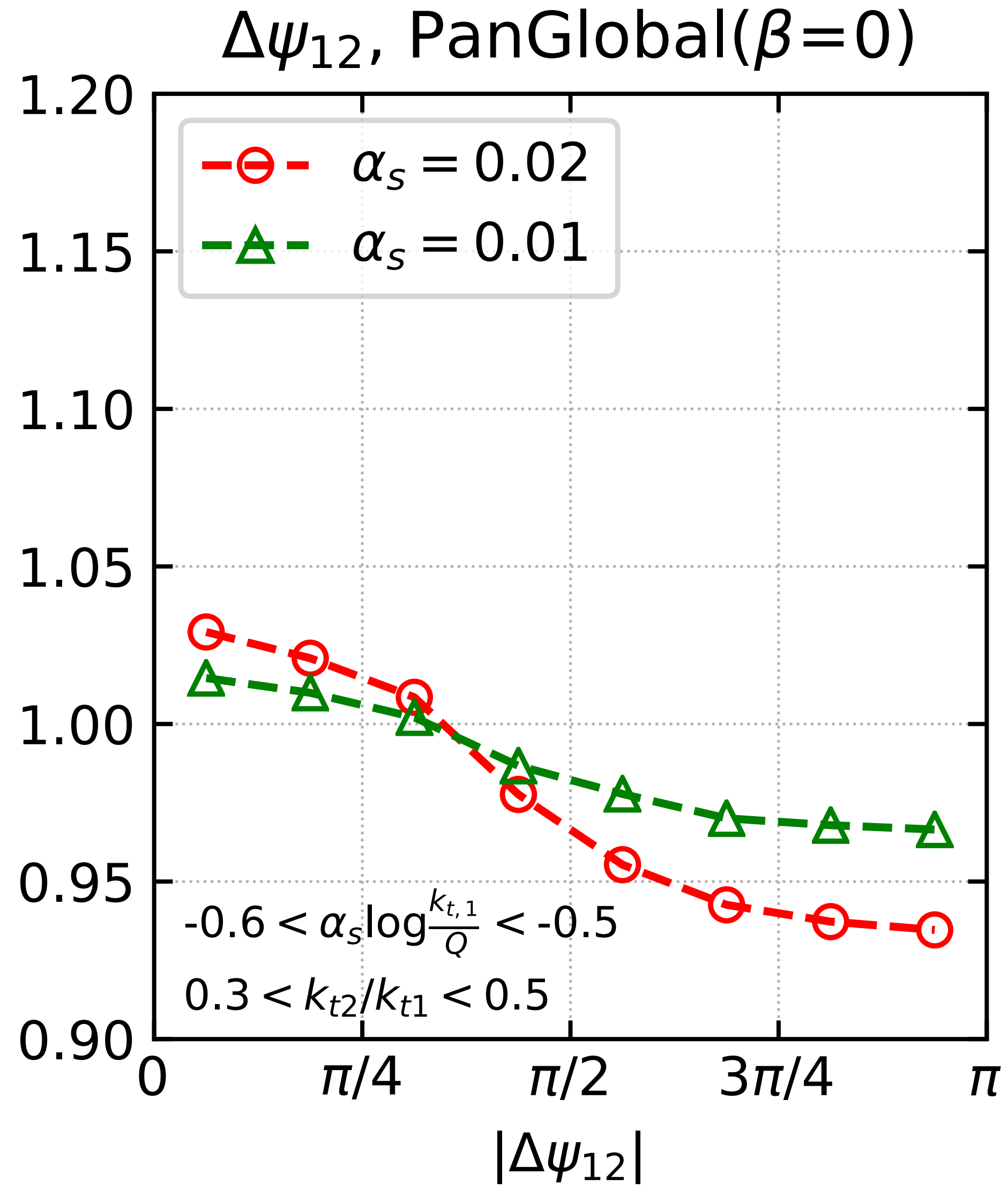


- ▶ run full shower with specific value of  $\alpha_s(Q)$
- ▶ ratio to NLL should be flat  $\equiv 1$
- ▶ it isn't: **have we got an NLL mistake? Or a residual subleading (NNLL) term?**

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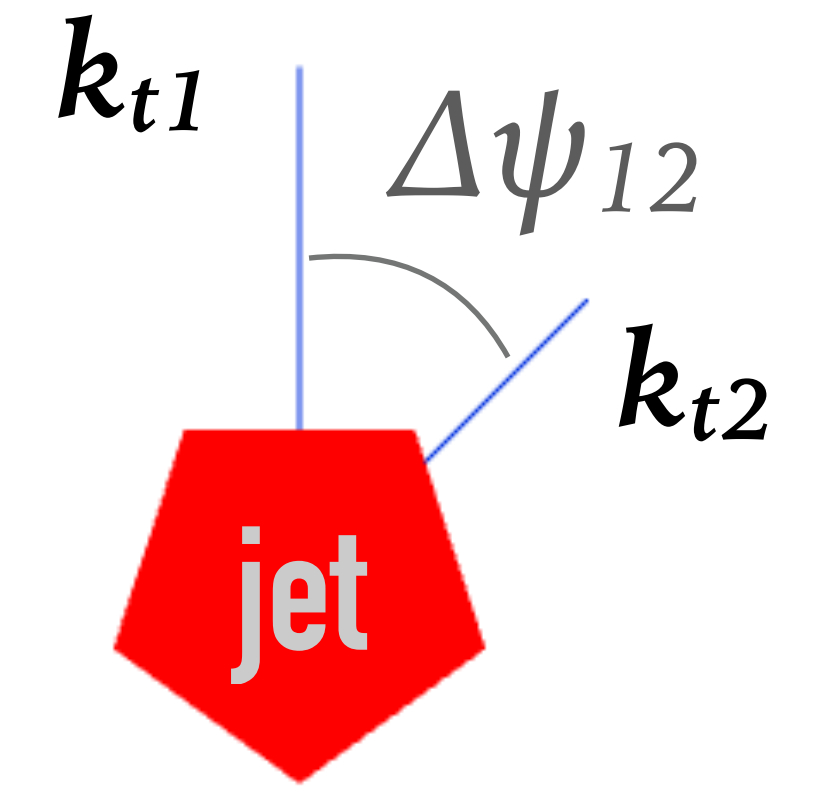


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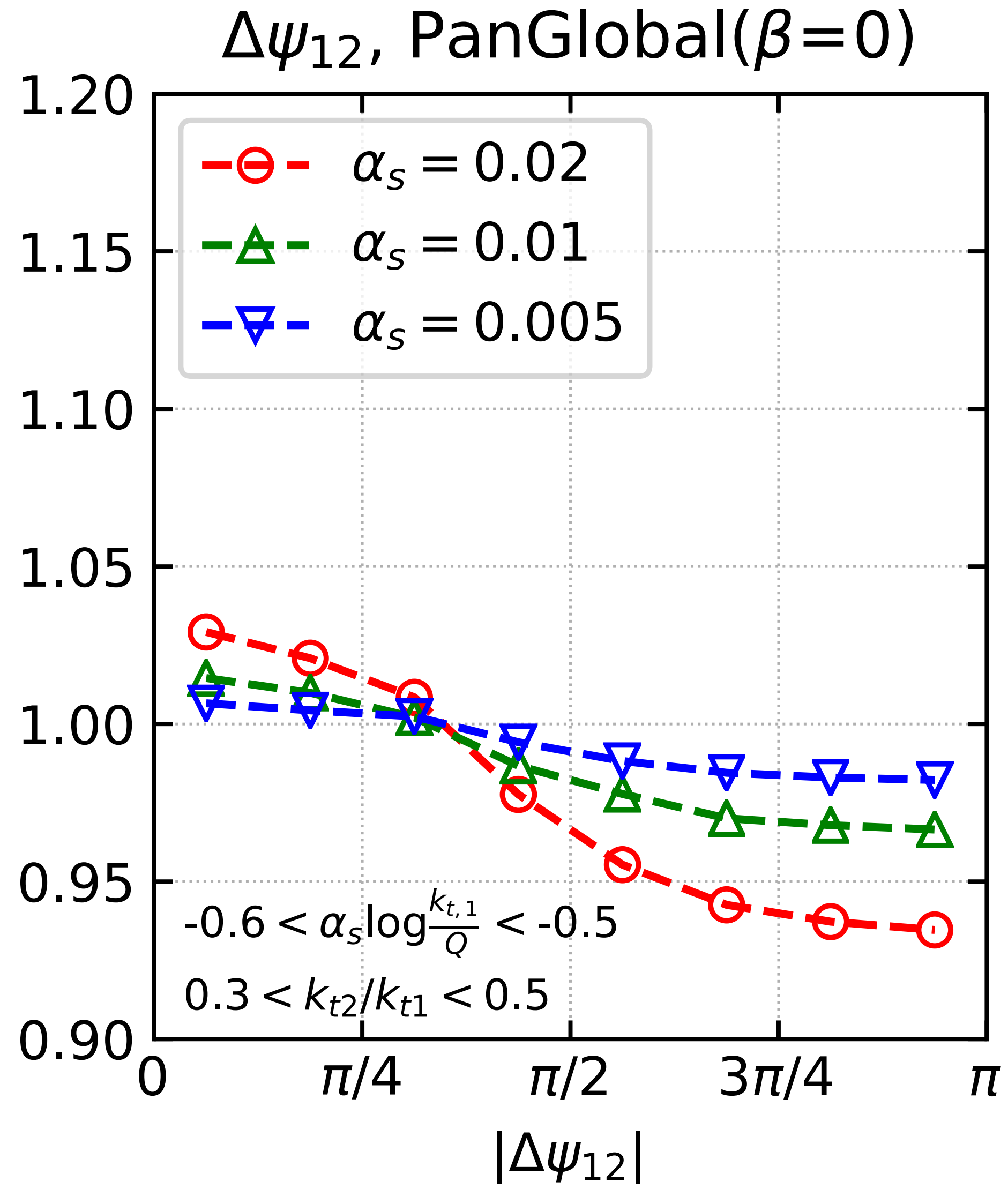


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- ▶ **try halving  $\alpha_s(Q)$** , while keeping constant  $\alpha_s L$  [ $L \equiv \ln k_{t1}/Q$ ]
- ▶ **NLL effects,  $(\alpha_s L)^n$ , should be unchanged, subleading ones,  $\alpha_s(\alpha_s L)^n$ , halved**

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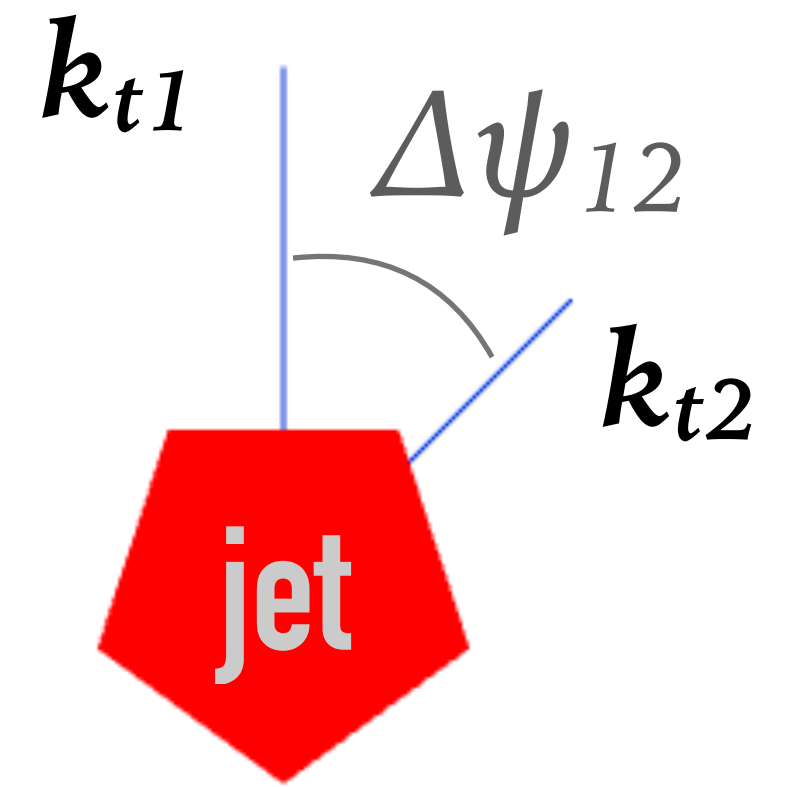


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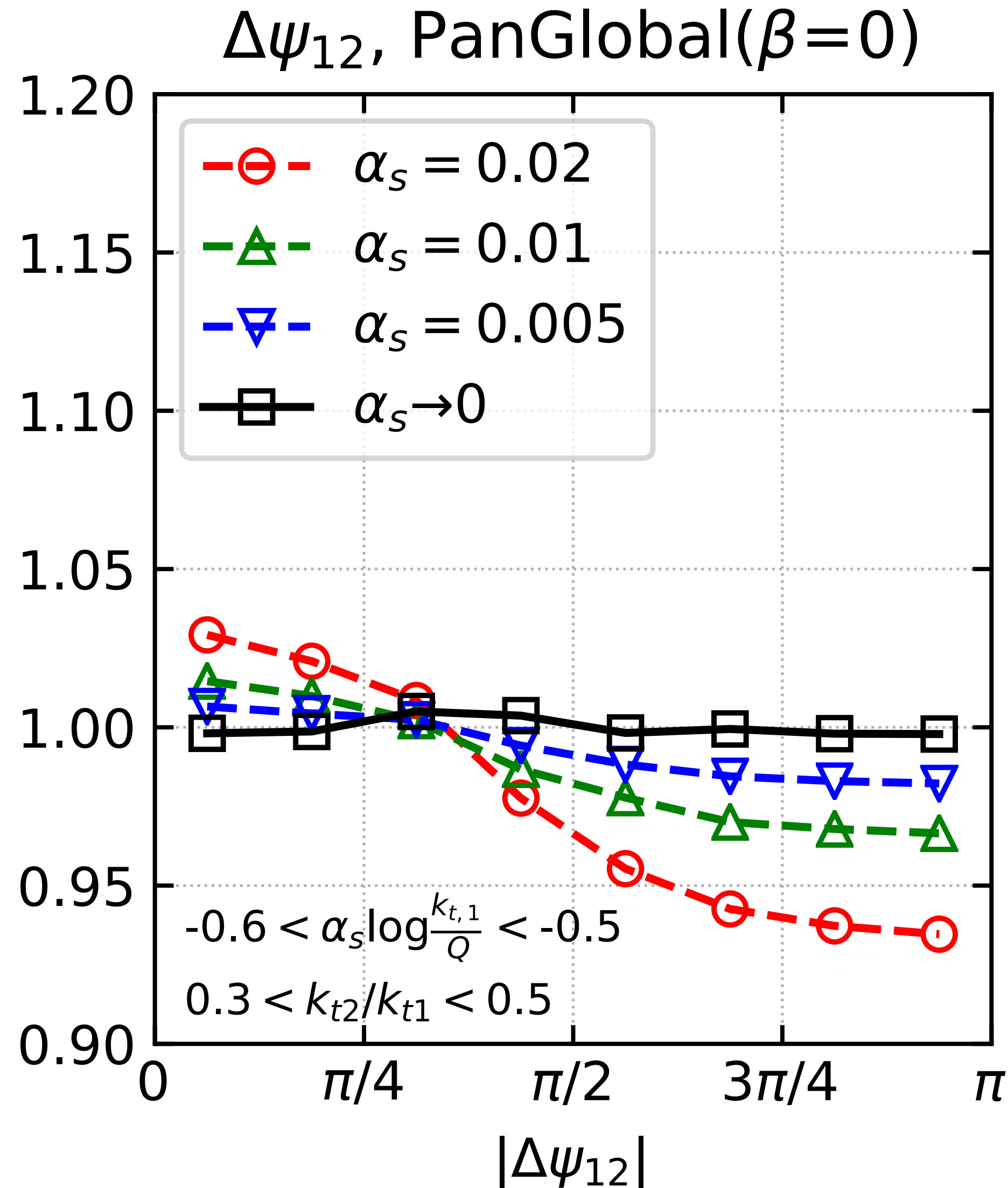


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ratio to NLL



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- ✓ **extrapolation  $\alpha_s \rightarrow 0$  agrees with NLL**

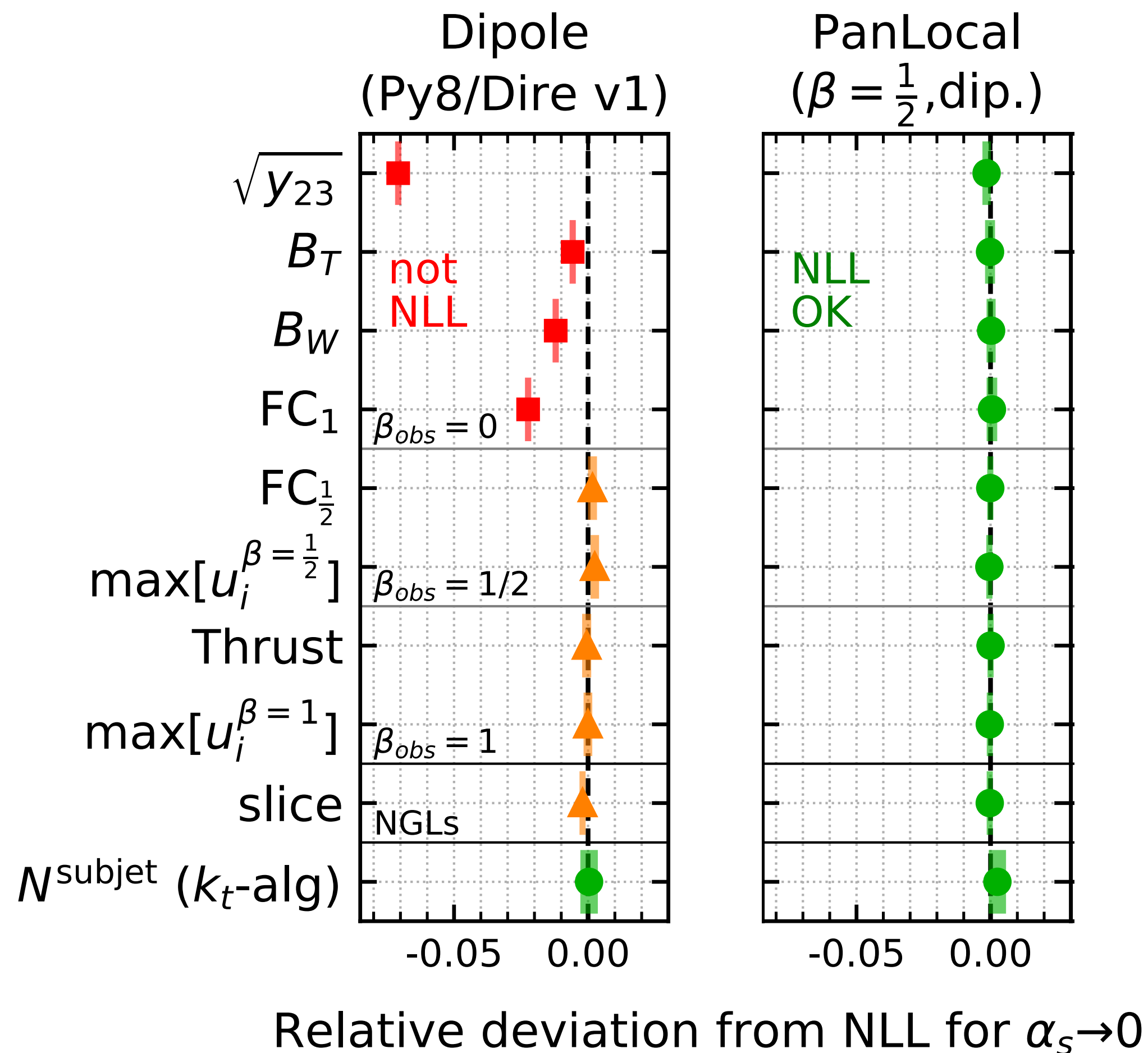


# Step 3c: test new showers against NLL calculations — for many observables

*Dasgupta, Dreyer, Hamilton,  
Monni, GPS, Soyez,  
2002.11114  
(Phys.Rev.Lett.)*

**standard  
parton  
showers**

**new “PanScales” parton showers, designed  
specifically to achieve NLL accuracy**

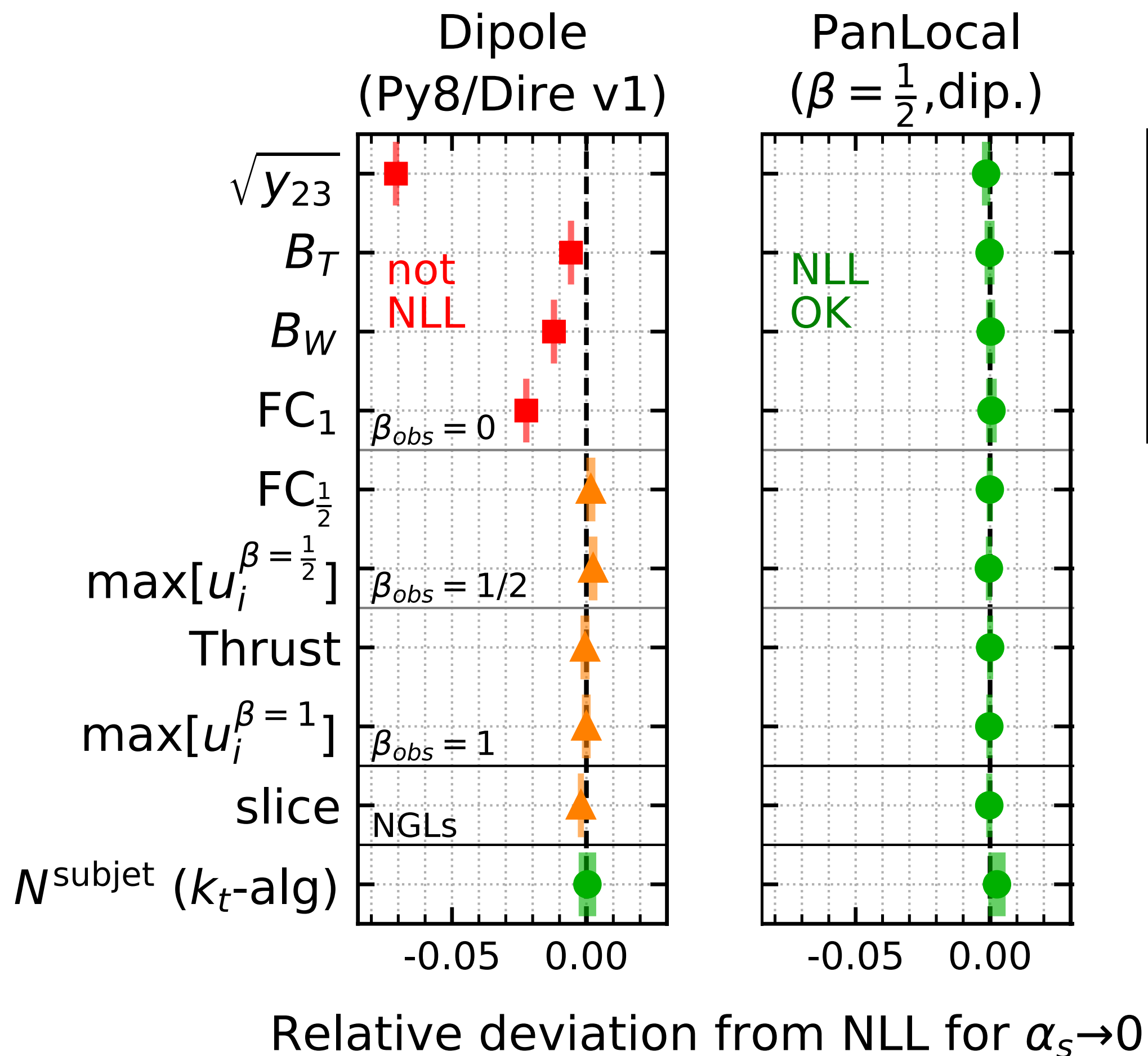


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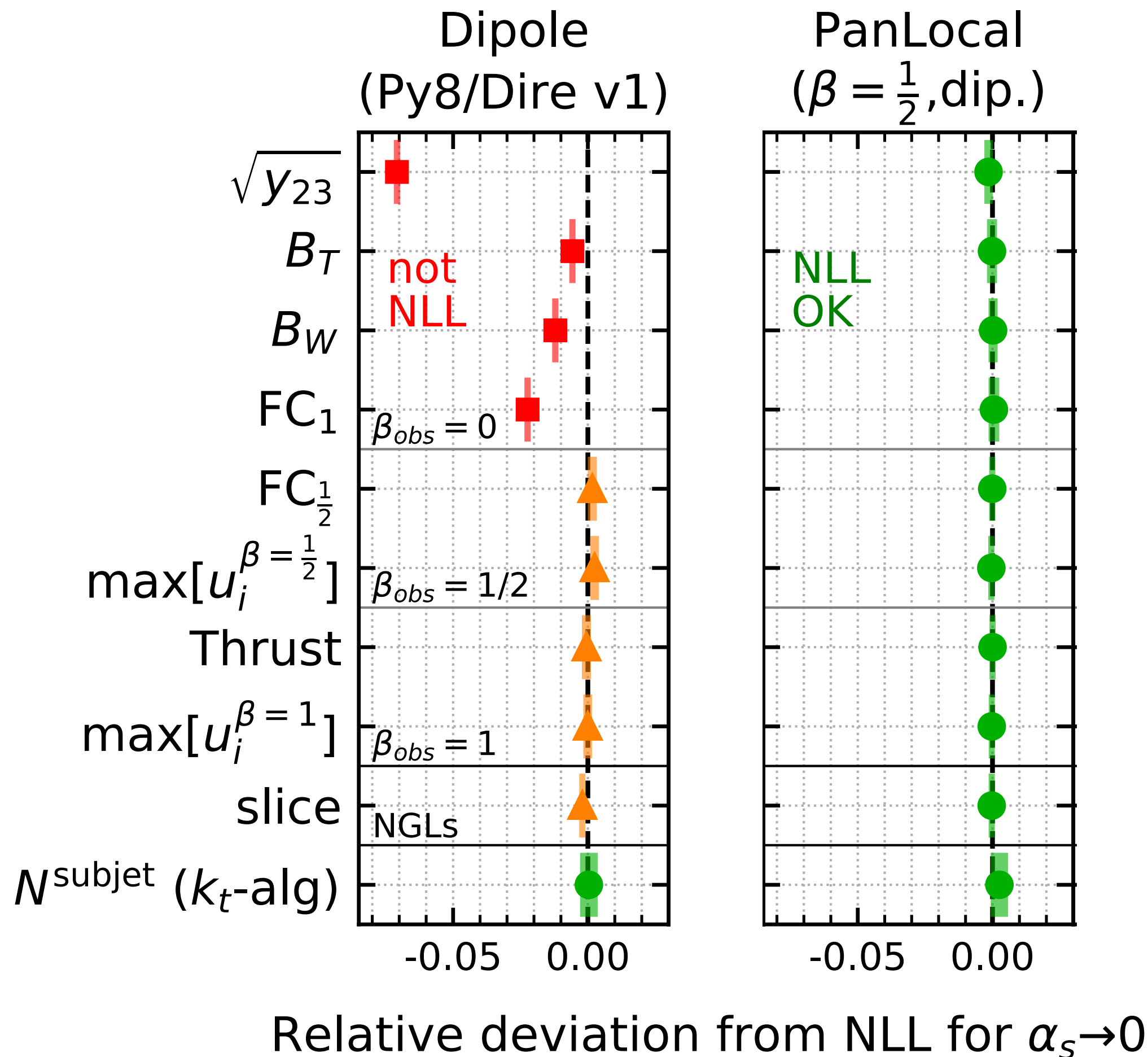
Event shapes sensitive to transverse momentum  
(jet broadenings, jet clustering transitions)

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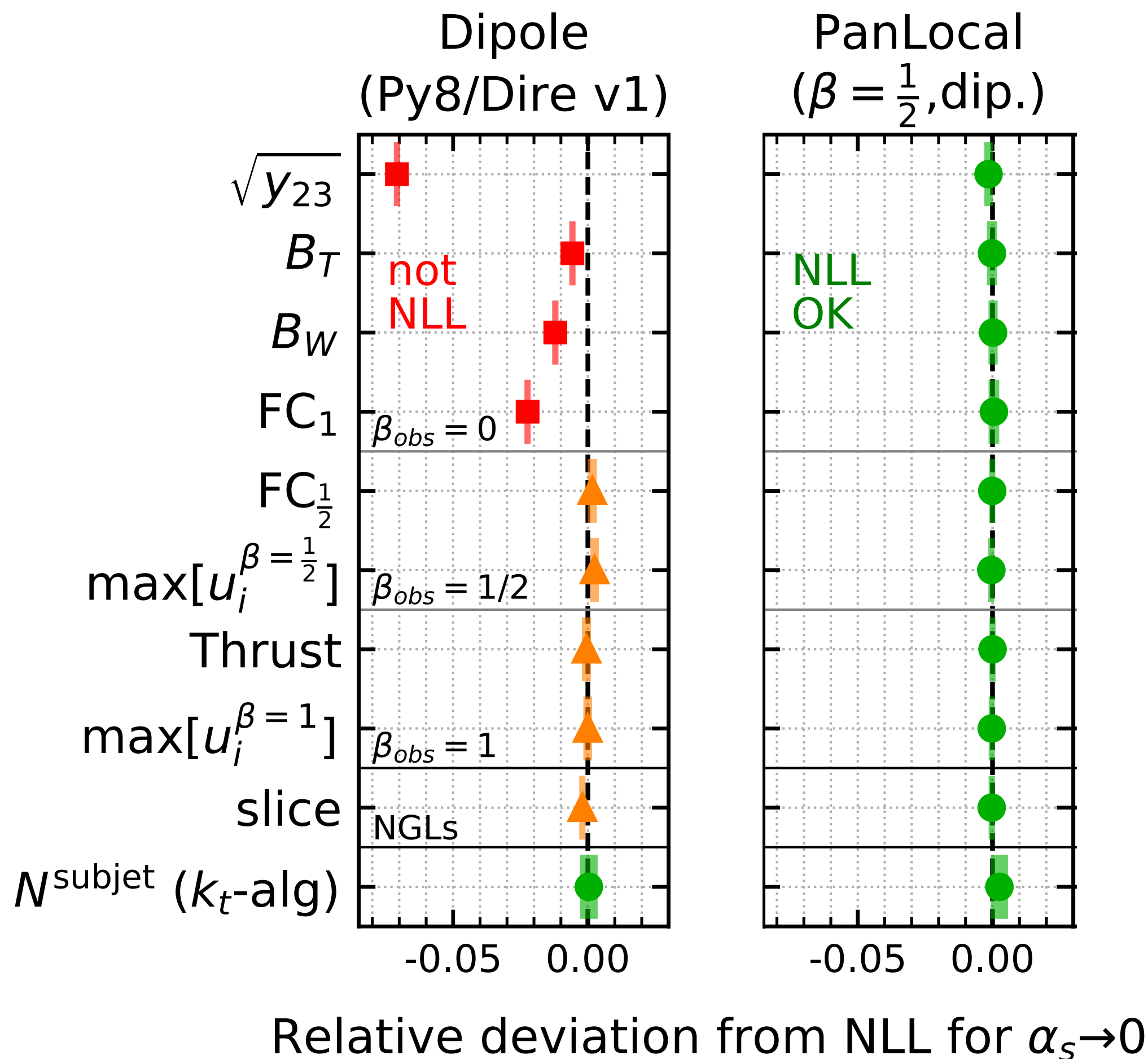
Event shapes like thrust

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Event shapes sensitive to transverse momentum  
(jet broadenings, jet clustering transitions)

Event shapes that probe  $p_t e^{-0.5|\eta|}$   
(like  $\beta = 0.5$  ordering variable)

Event shapes like thrust

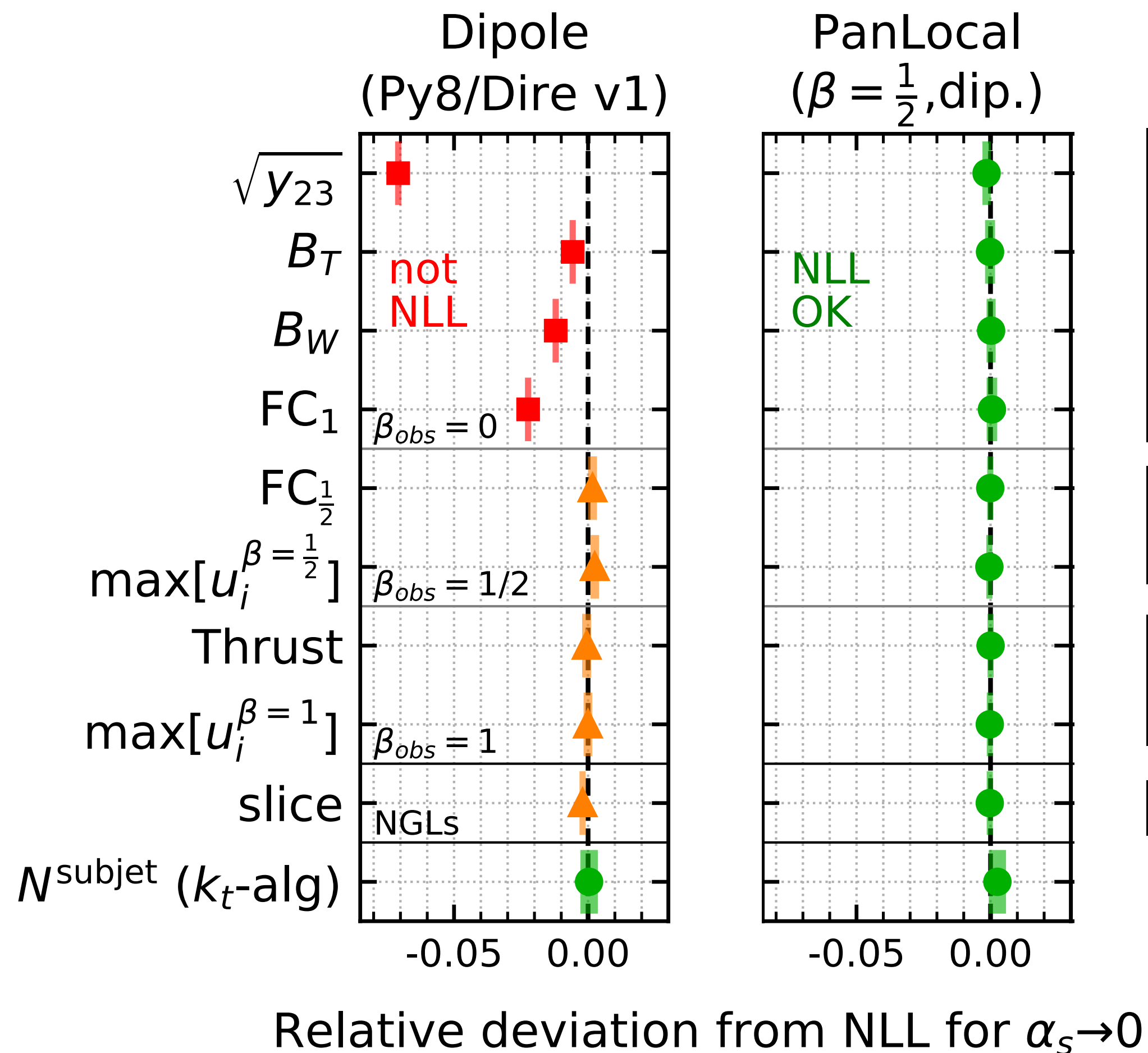


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showers**

**new “PanScales” parton showers, designed  
specifically to achieve NLL accuracy**



Event shapes sensitive to transverse momentum  
(jet broadenings, jet clustering transitions)

Event shapes that probe  $p_t e^{-0.5|\eta|}$   
(like  $\beta = 0.5$  ordering variable)

Event shapes like thrust

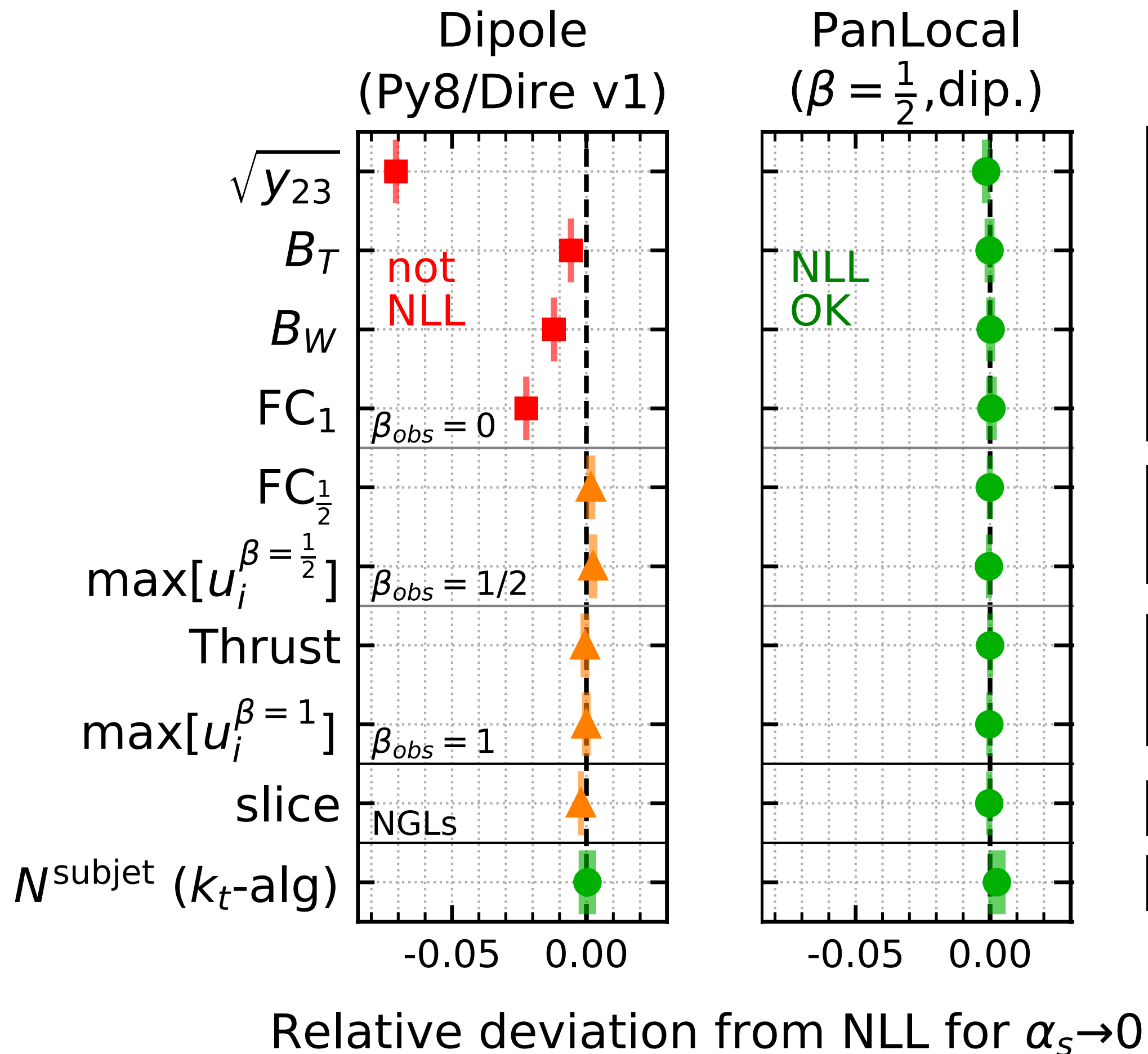
probe of non-global logarithms

# Step 3c: test new showers against NLL calculations — for many observables

*Dasgupta, Dreyer, Hamilton,  
Monni, GPS, Soyez,  
2002.11114  
(Phys.Rev.Lett.)*

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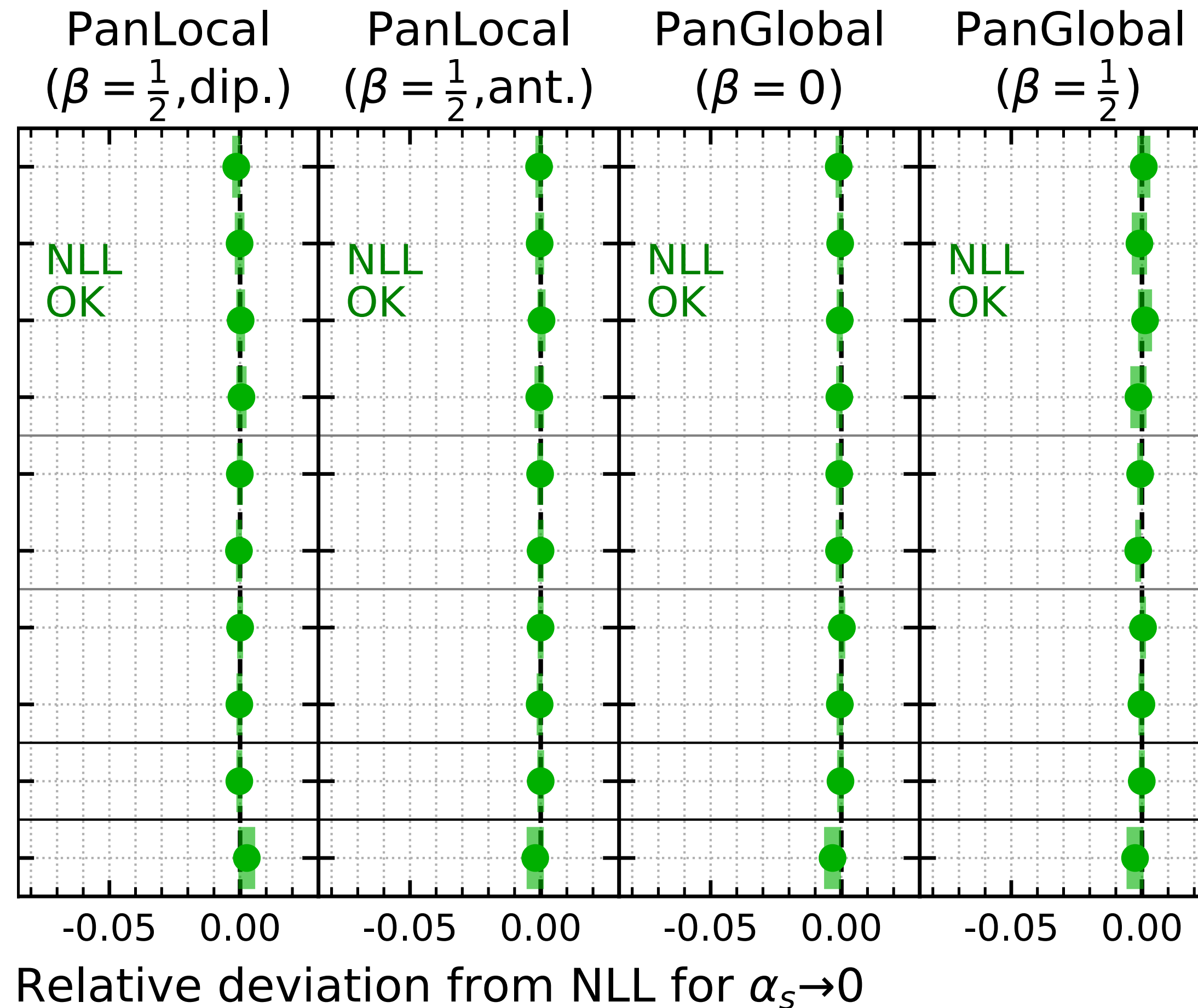
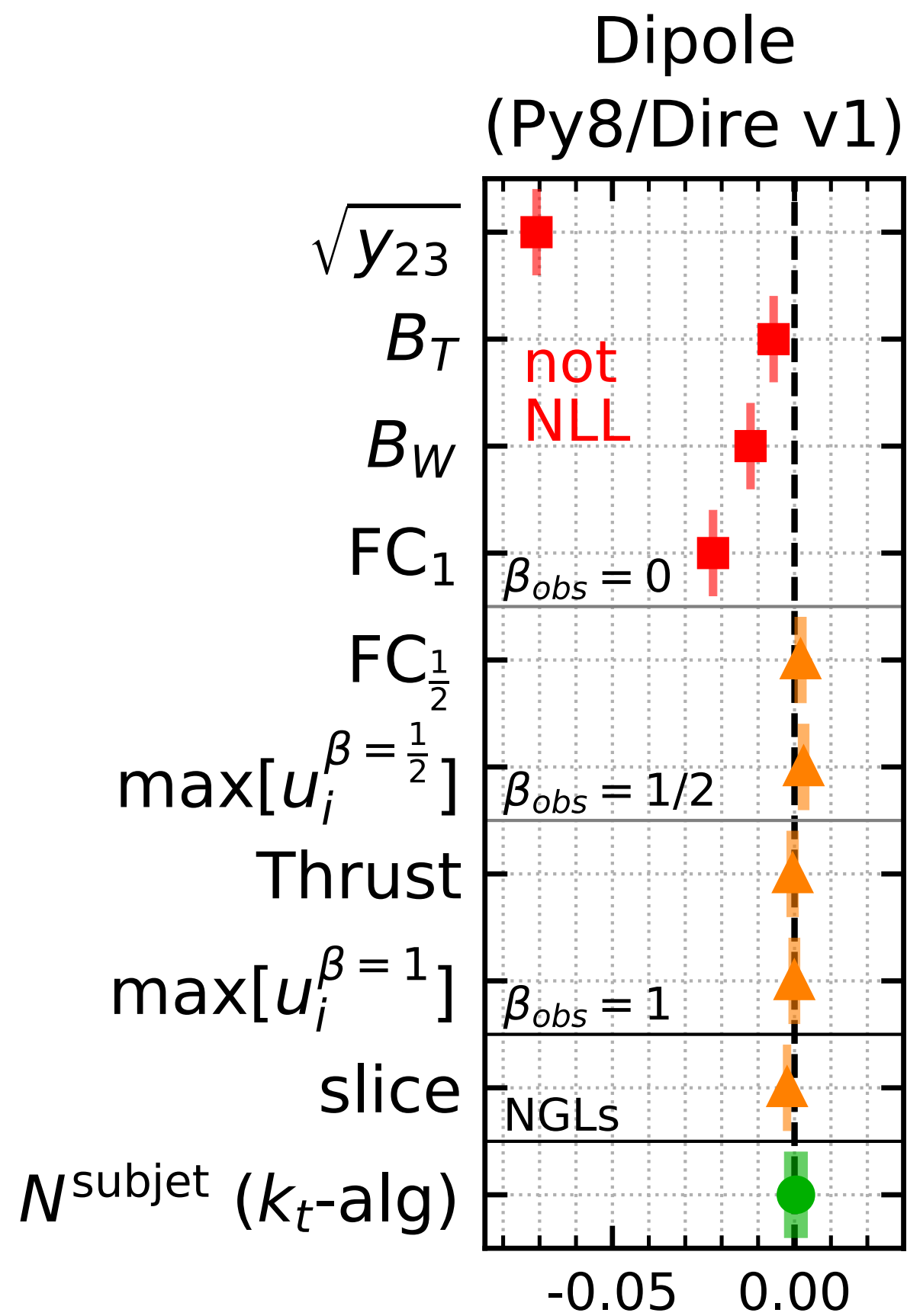
standard jet multiplicity (probe of full recursive  
shower structure)

# Step 3c: test new showers against NLL calculations — for many observables

**standard  
parton  
showers**

**new “PanScales” parton showers, designed  
specifically to achieve NLL accuracy**

*Dasgupta, Dreyer, Hamilton,  
Monni, GPS, Soyez,  
2002.11114  
(Phys.Rev.Lett.)*



*All PanScales shower  
that are expected to  
agree with NLL pass  
these tests*

*(Standard dipole  
showers don't)*

*see also Bewick, Ferrario Ravasio,  
Richardson and Seymour  
1904.11866, Forshaw, Holguin  
& Plätzer, 2003.06400  
and Nagy & Soper, 2011.04777*

# Next steps beyond proof of concept NLL final-state shower

---

**Towards a complete  $e^+e^-$   
NLL shower**

**Including initial hadrons**

**Going beyond NLL**

**Public code**



# Next steps beyond proof of concept NLL final-state shower

---

**Towards a complete  $e^+e^-$   
NLL shower**

## Colour

Standard dipole showers have wrong subleading-colour terms at LL

## Spin

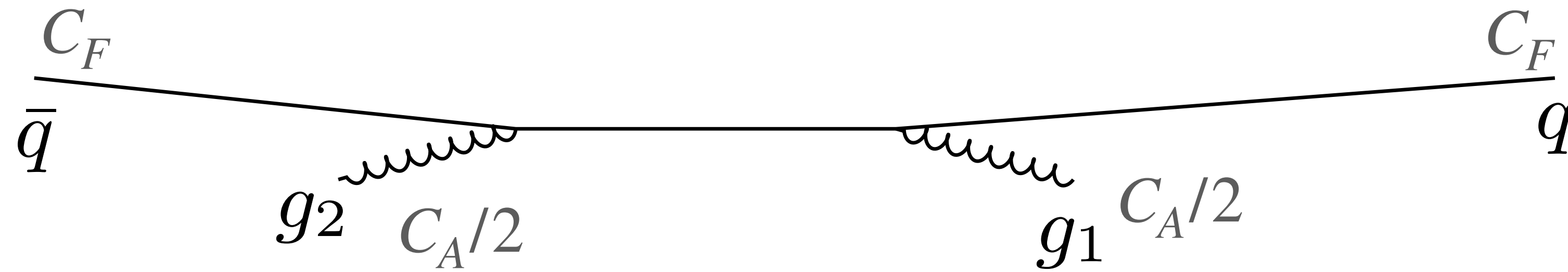
Our NLL matrix-element condition means that we need spin correlations

Heavy quarks  
Also needed for phenomenology

**Matching to hard matrix elements**  
Needed for phenomenology, must be done in way that retains NLL accuracy

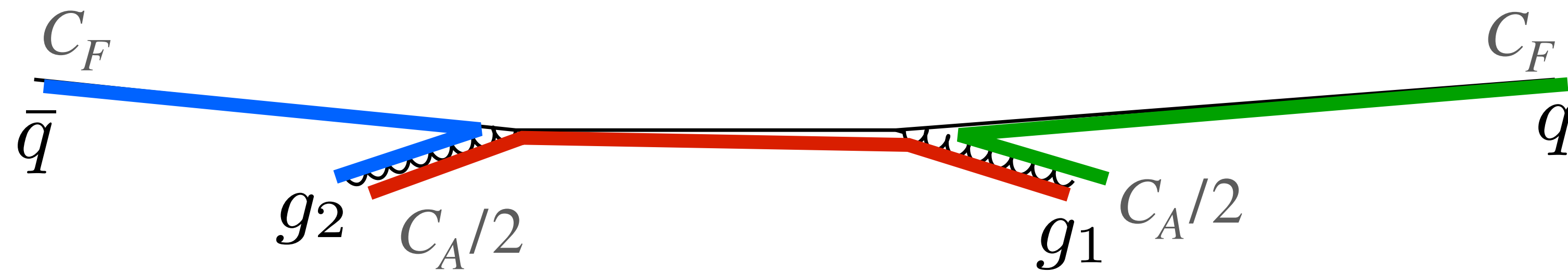
# Colour in parton showers: leading colour and beyond

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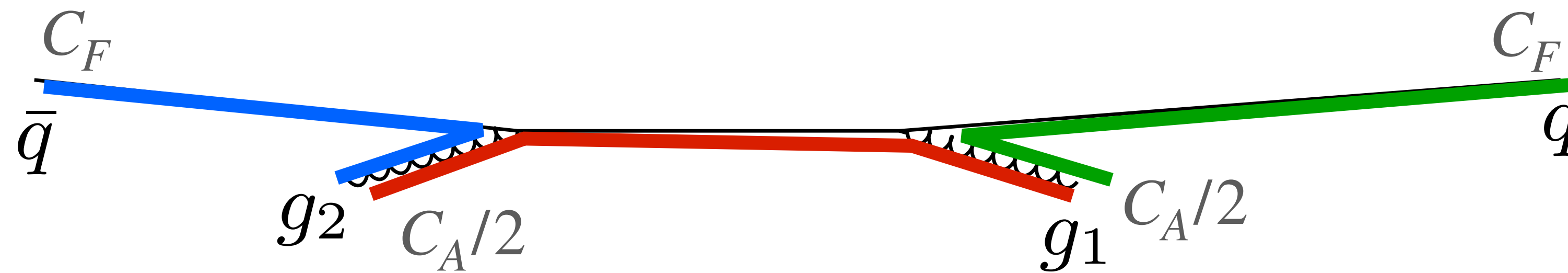
Most showers (and all NLL candidates) use concept of colour dipoles, valid when squared number of colours,  $N_C^2 = 9 \gg 1$

# Colour in parton showers: leading colour and beyond



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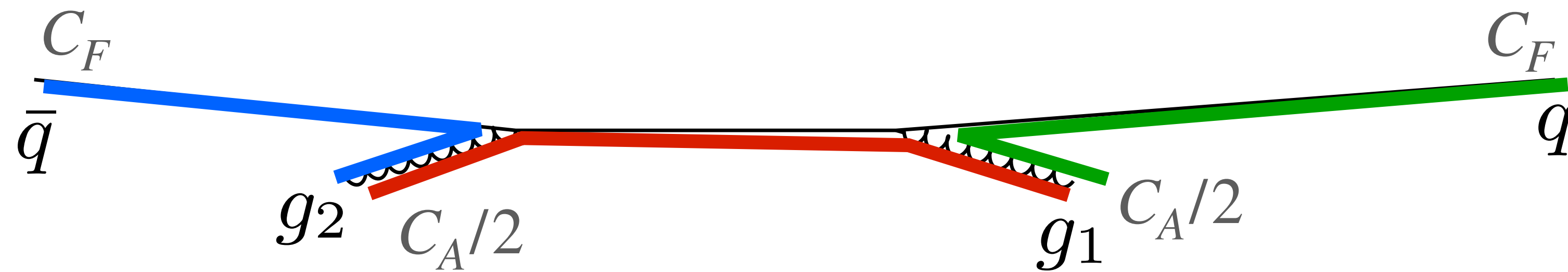


Most showers (and all NLL candidates) use concept of colour dipoles, valid when squared number of colours,  $N_C^2 = 9 \gg 1$

- ▶ Large- $N_C$  means that each dipole radiates with colour factor  $C_A/2 = N_C/2$
- ▶ Standard showers replace  $C_A/2 \rightarrow C_F = N_C/2 - 1/2N_C$  for each half that ends in a  $q$  (“Colour Factor from Emitter” – CFFE)



# Colour in parton showers: leading colour and beyond



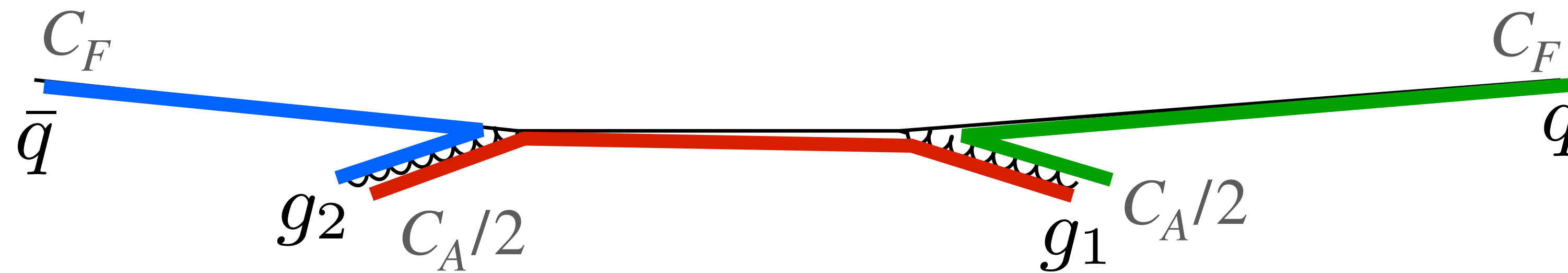
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## Approach 1

Solve the complete colour problem, as  $1/N_C^2$  expansion (Nagy & Soper [1908.11420](#) + ..., de Angelis Forshaw & Plätzer [2007.09648](#) + ...)

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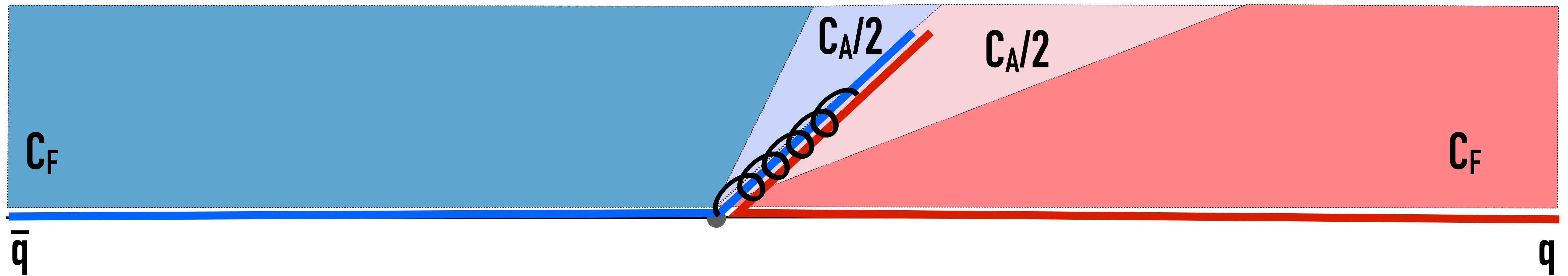
## Approach 2

Solve the problem as it matters for logarithmic accuracy (see also Holguin, Forshaw & Plätzer, [2011.15087](#))

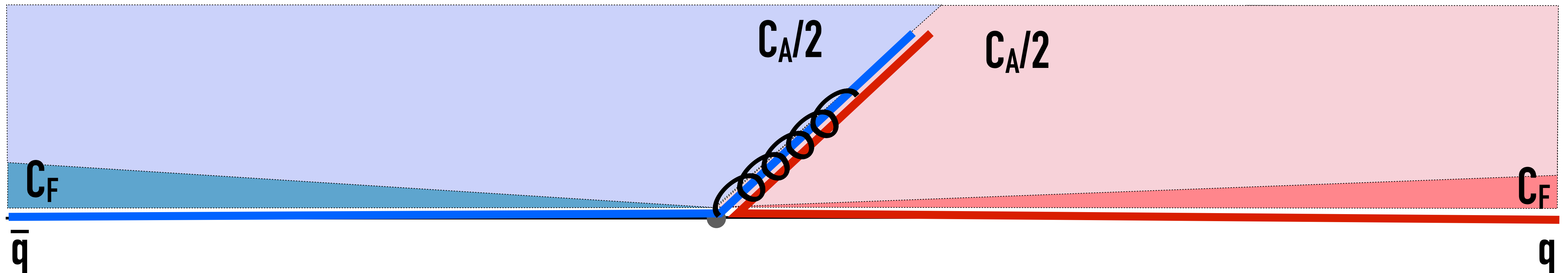
# Angular-ordered v. standard dipole colour

Dasgupta, Dreyer, Hamilton,  
Monni & GPS [1805.09327](#)

## Correct physical (angular-ordered) picture



## Standard dipole showers – colour factor from emitter (CFFE)

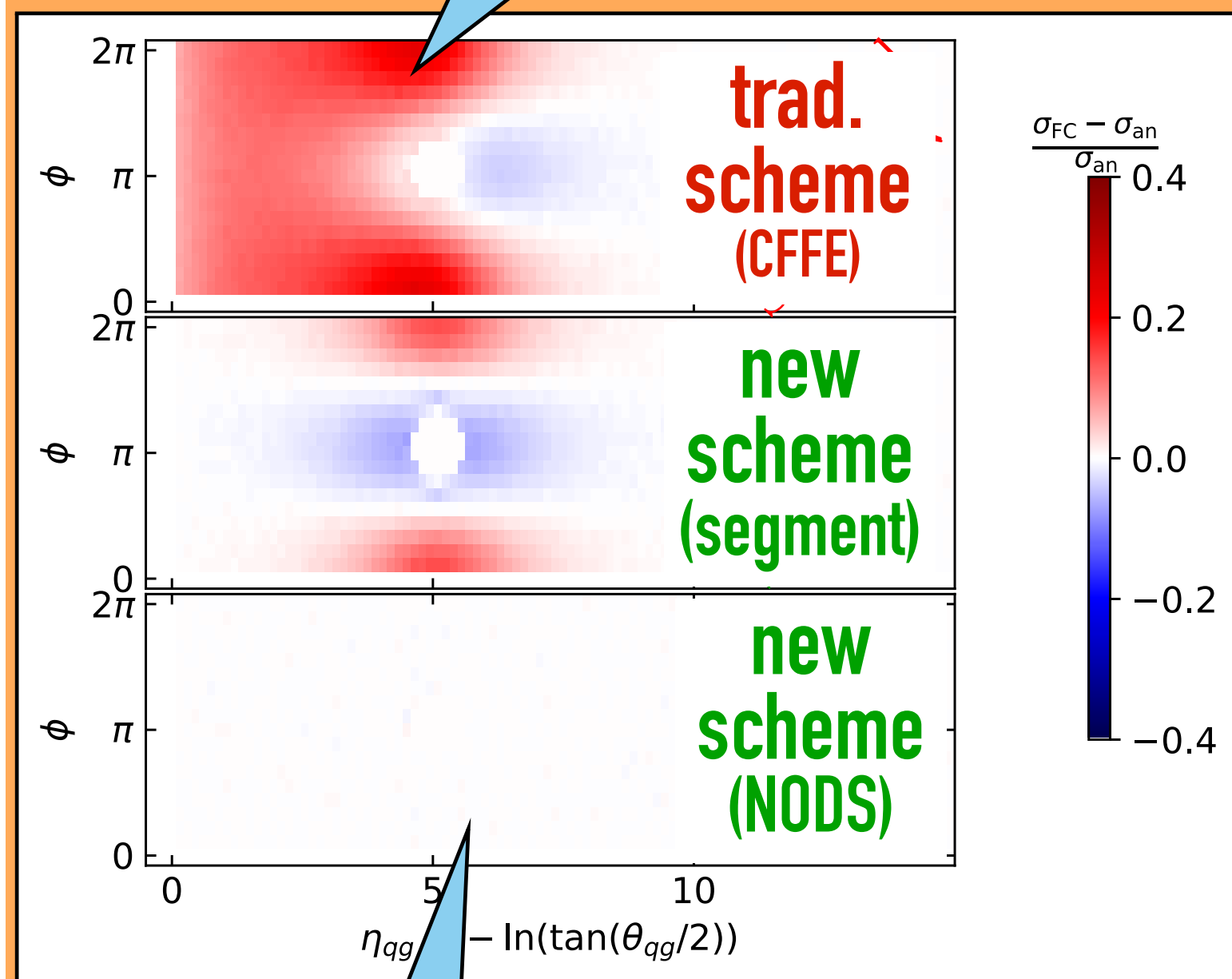


# New simple, fast colour algorithms: segment & NODS

Hamilton, Medves, GPS,  
Scyboz & Soyez, [2011.10054](#)

- Evaluate correctness based on how well schemes reproduce known matrix element:  
 $q\bar{q}g_1 \rightarrow q\bar{q}g_1 + g$  example

Wrong colour factor in log enhanced region

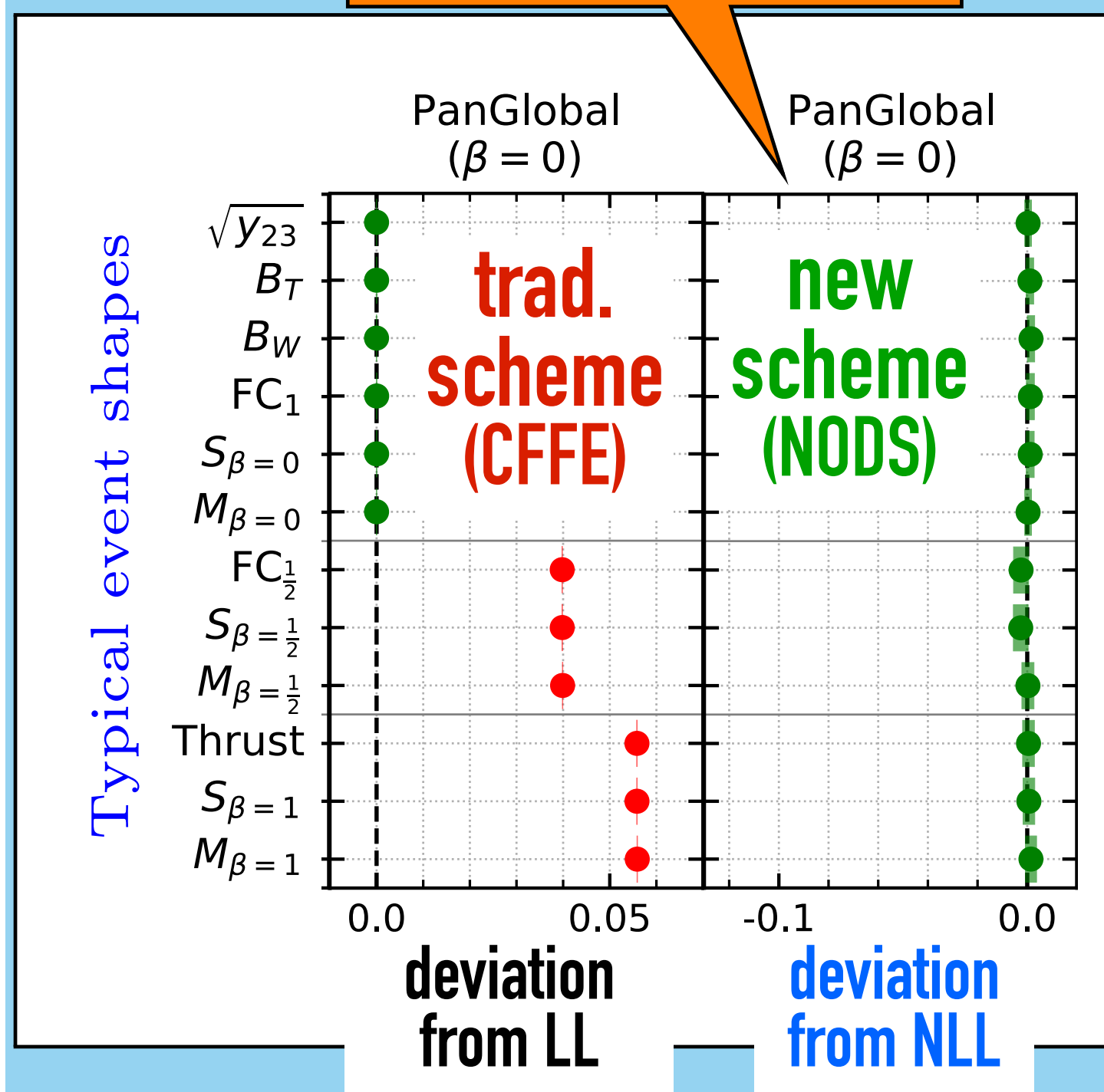


Right colour factor

Slide from Rok Medves LHCP poster

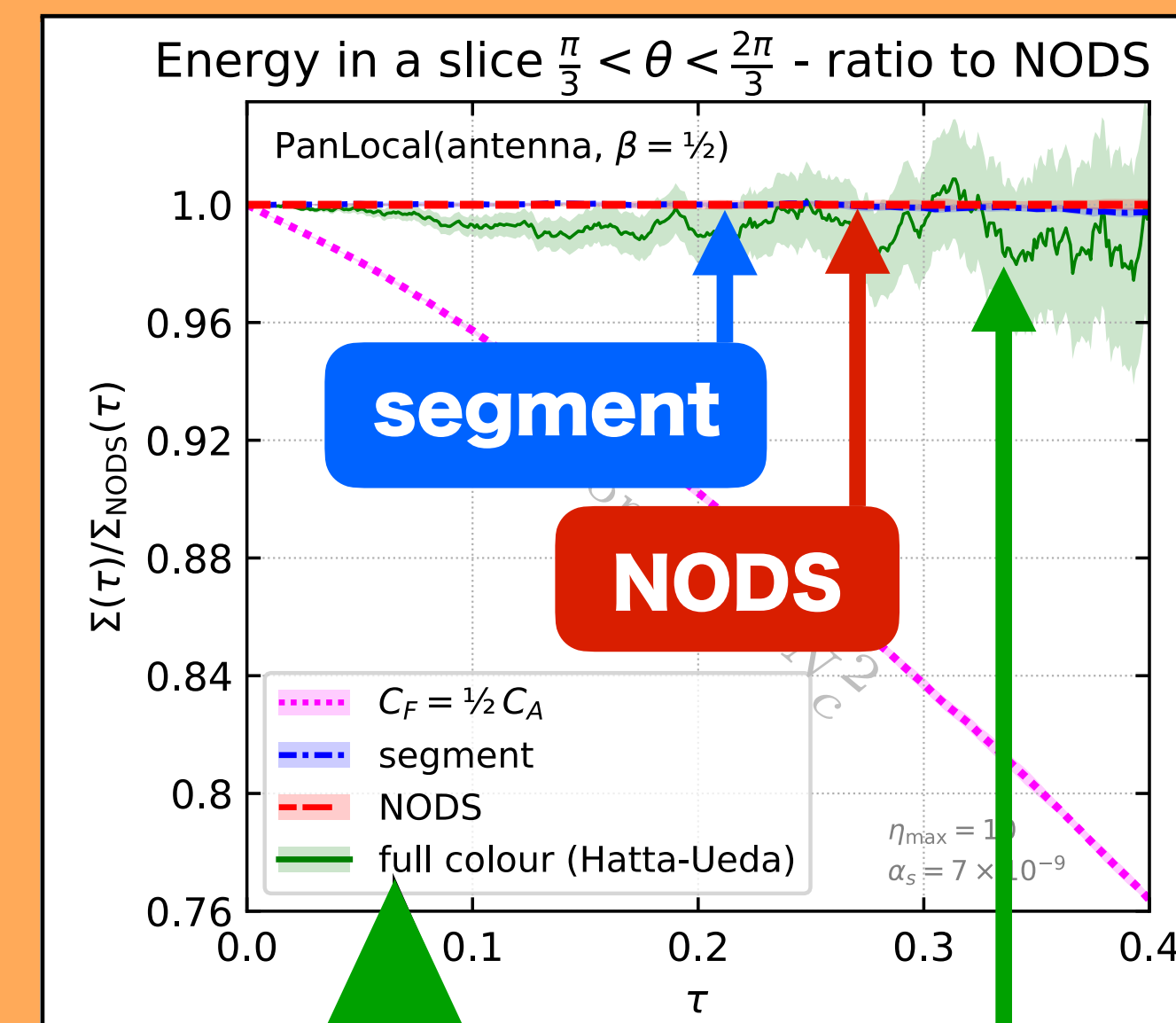
- Algorithms reproduce NLL resummation

Accurate shower = accurate full colour



- LL: Resums terms  $\alpha_s^n L^{n+1}$
- NLL: Resums terms  $\alpha_s^n L^n$

- Testing non-global observables: Radiation into rapidity slice



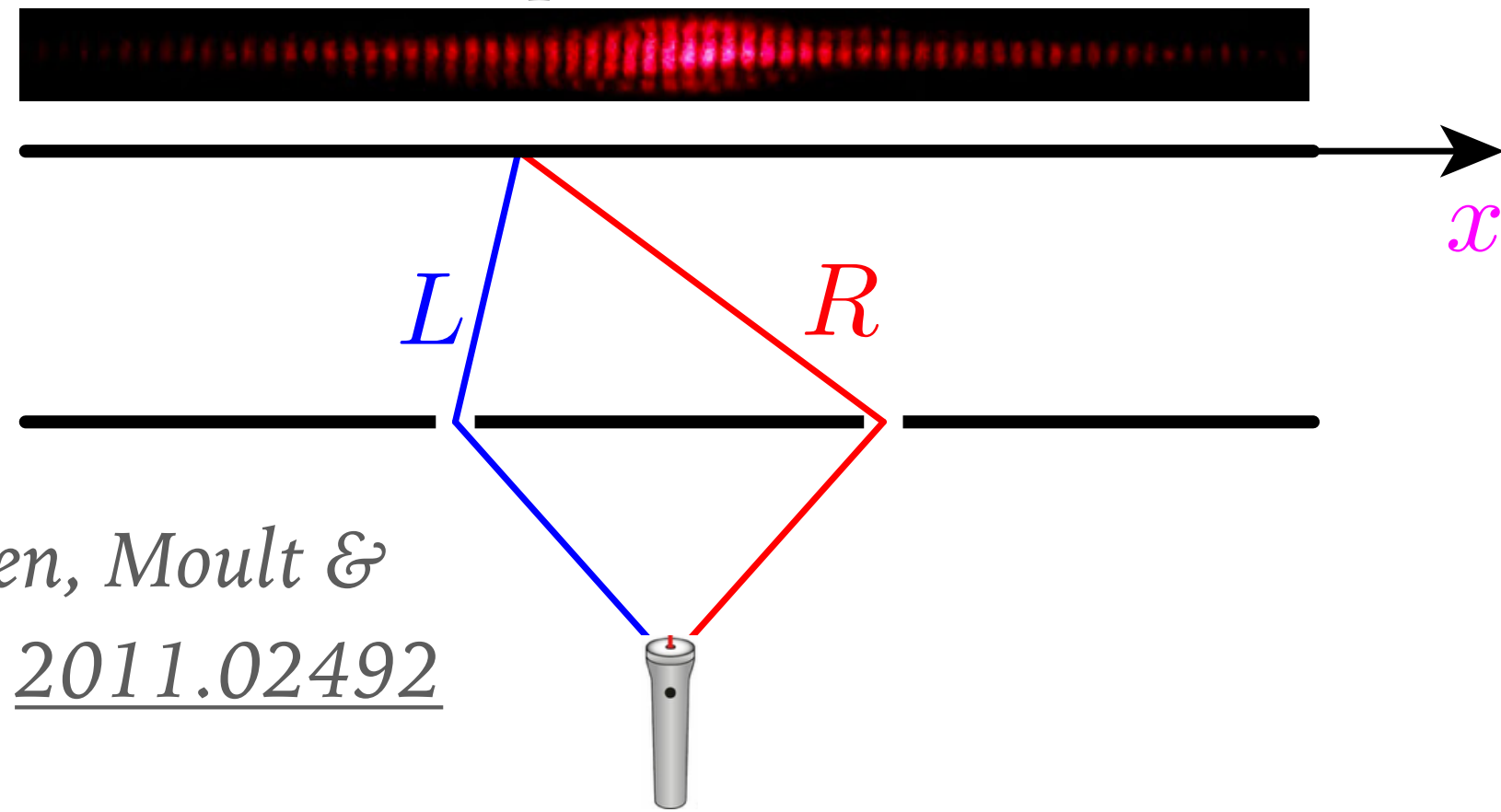
Full-colour calculation by Hatta & Ueda, [1304.6930, 2011.04154](#)

- NODS/Segment schemes don't reproduce full-colour NLL for non-global logarithms. **Open question:** why do they come so close numerically?



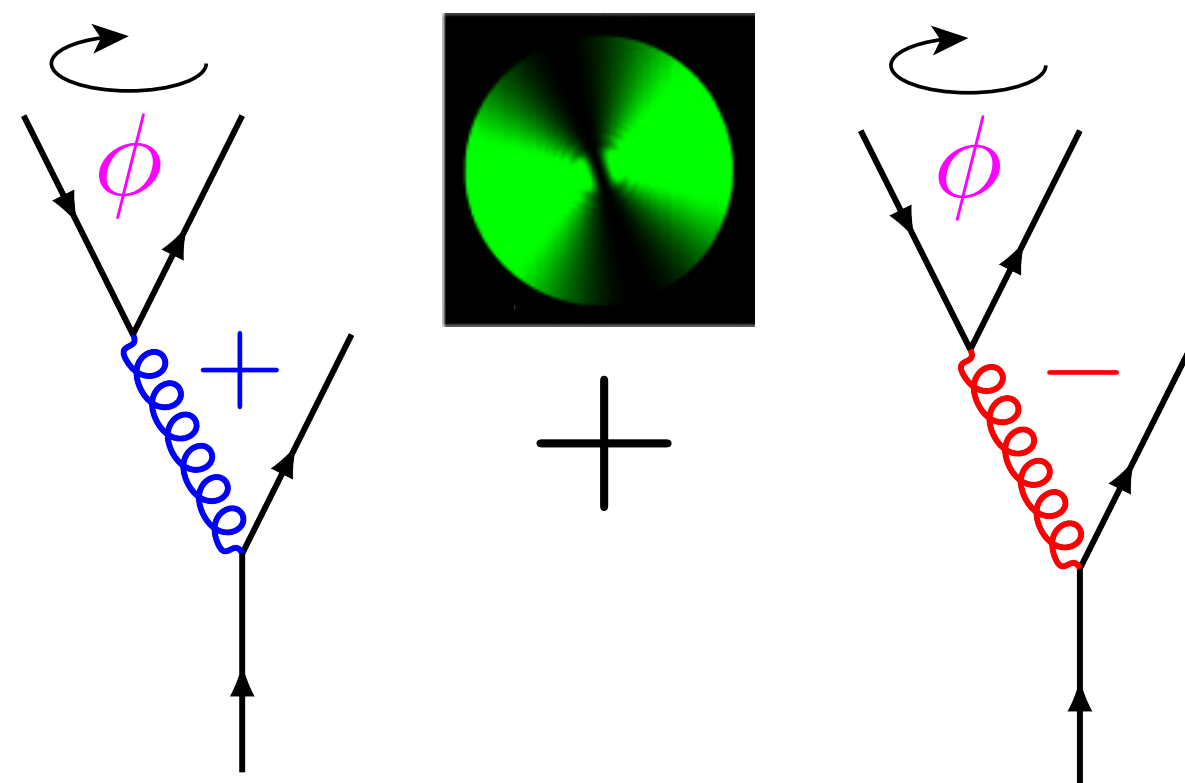
# Collinear spin in parton showers (last but one of NLL ingredients)

Position Space Double Slit



Chen, Moul &  
Zhu, [2011.02492](#)

Spin Space Double Slit



**Quantum mechanical interference  
in otherwise quasi-classical regime**

Algorithm for spin interference in collinear part of parton showers introduced long ago by Collins (1988)

A standard part of Herwig angular ordered showers, which are excellent for collinear regime, but can't do soft sector at NLL (cf. Banfi, Corcella & Dasgupta [hep-ph/0612282](#))

Recoil in normal dipole showers may break the spin correlations (cf. Richardson and Webster, [1807.01955](#))

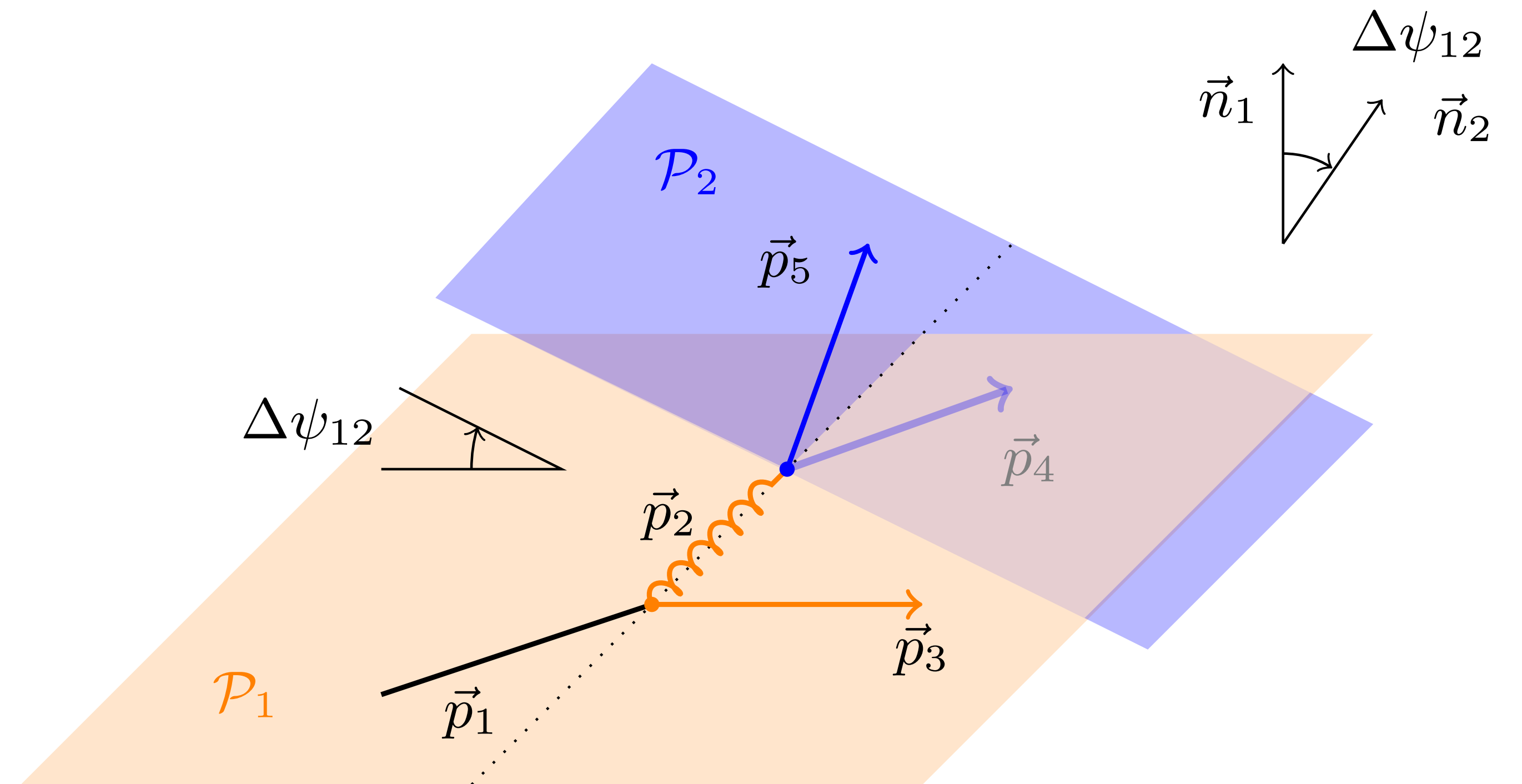
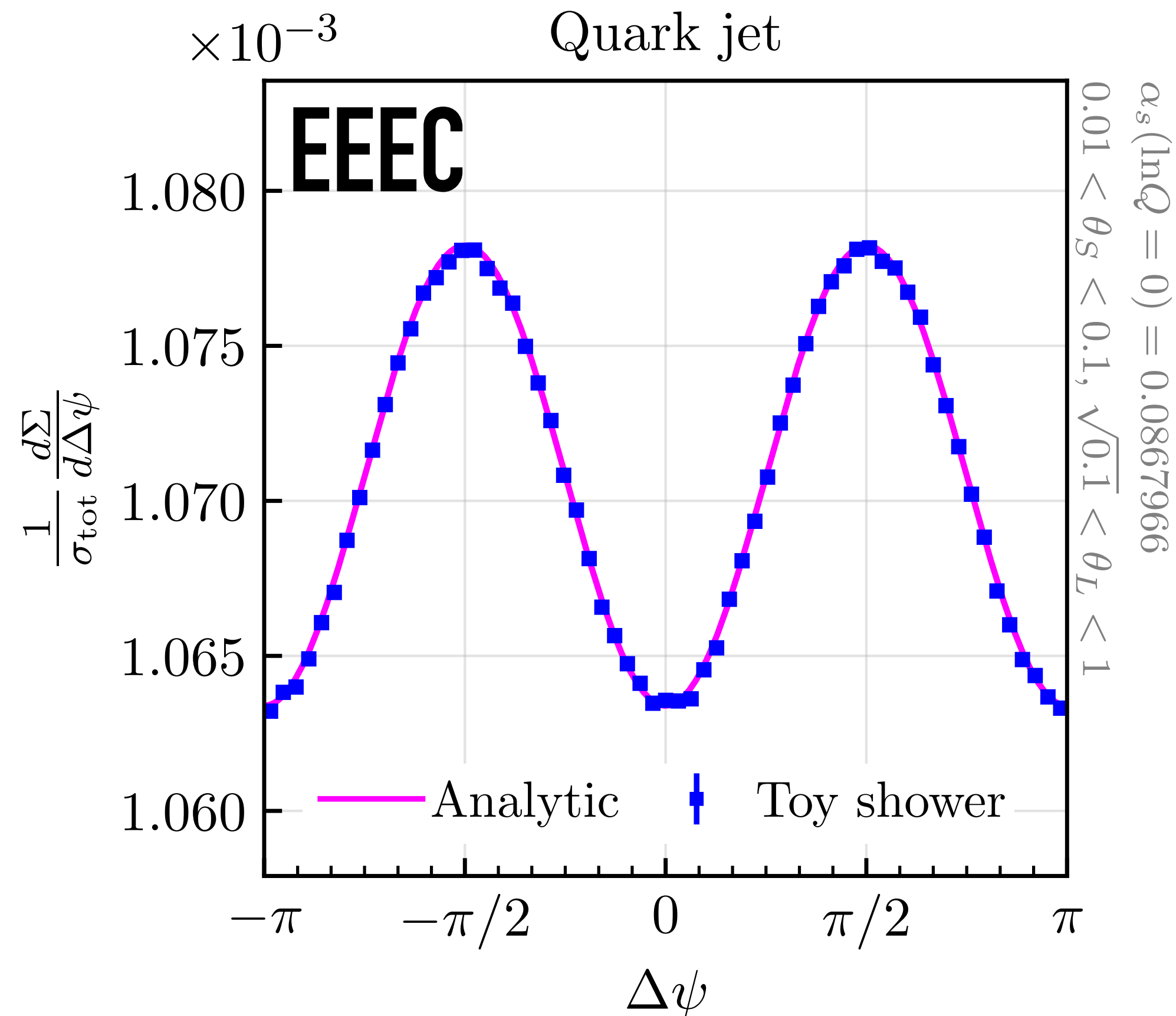
But Collins algorithm and PanScales showers should be compatible.

# To test spin in shower, you need **observables** and **reference resummations**

Energy-energy-energy correlations (EEEEC), resummed analytically (Chen, Moult & Zhu)

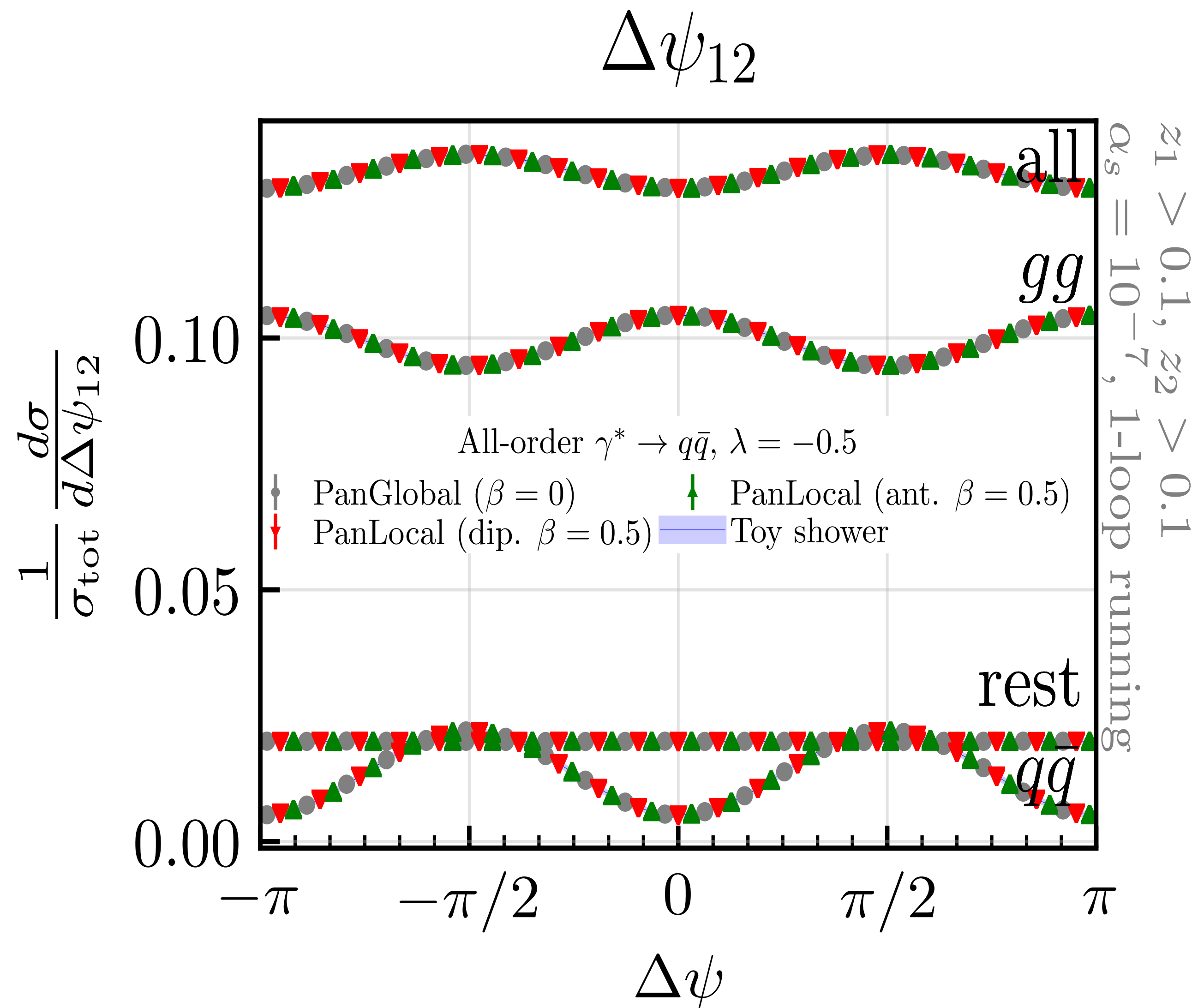
Lund declustering ( $\Delta\psi_{12}, \Delta\psi_{11'}$ ), resummed numerically with “toy shower”

(extending unpolarized Microjets code from Dasgupta, Dreyer, GPS, Soyez [1411.5182](#))



Karlberg, GPS, Scyboz & Verheyen, [2103.16526](#)

# Spin correlations in full shower



magnitude of spin correlation effects

EEEEC	-0.008
$\Delta\psi_{12}$ , $z_1, z_2 > 0.1$	-0.025
$\Delta\psi_{12}$ , $z_1 > 0.1$ , $z_2 > 0.3$	-0.042

Lund declustering  $\Delta\psi_{12}$  offers interesting prospects for experimental measurements of spin-correlation effects in jets

Karlberg, GPS, Scyboz & Verheyen, [2103.16526](#)

# Next steps beyond proof of concept NLL final-state shower

---

## Underlying Calculations

We need (a) reference results and (b) understanding of NNLL logs in soft & collinear limits

**Going beyond NLL**

...

...

Other groups' work (prior to our NLL understanding): Jadach et al [1103.5015](#) & [1503.06849](#), Li & Skands [1611.00013](#), Höche & Prestel [1705.00742](#), +Krauss [1705.00982](#), +Dulat [1805.03757](#),



# Next steps beyond proof of concept NLL final-state shower

---

## Underlying Calculations

We need (a) reference results  
and (b) understanding of NNLL logs in  
**soft** & **collinear** limits

## Groomed jet mass as a direct probe of collinear parton dynamics

Anderle, Dasgupta, El-Menoufi,  
Guzzi, Helliwell, [2007.10355](#)

[see also SCET work, Frye, Larkoski,  
Schwartz & Yan, [1603.09338](#) + ...]

## Next-to-leading non-global logarithms in QCD

Banfi, Dreyer and Monni,  
[2104.06416](#)

# Conclusions

# conclusions

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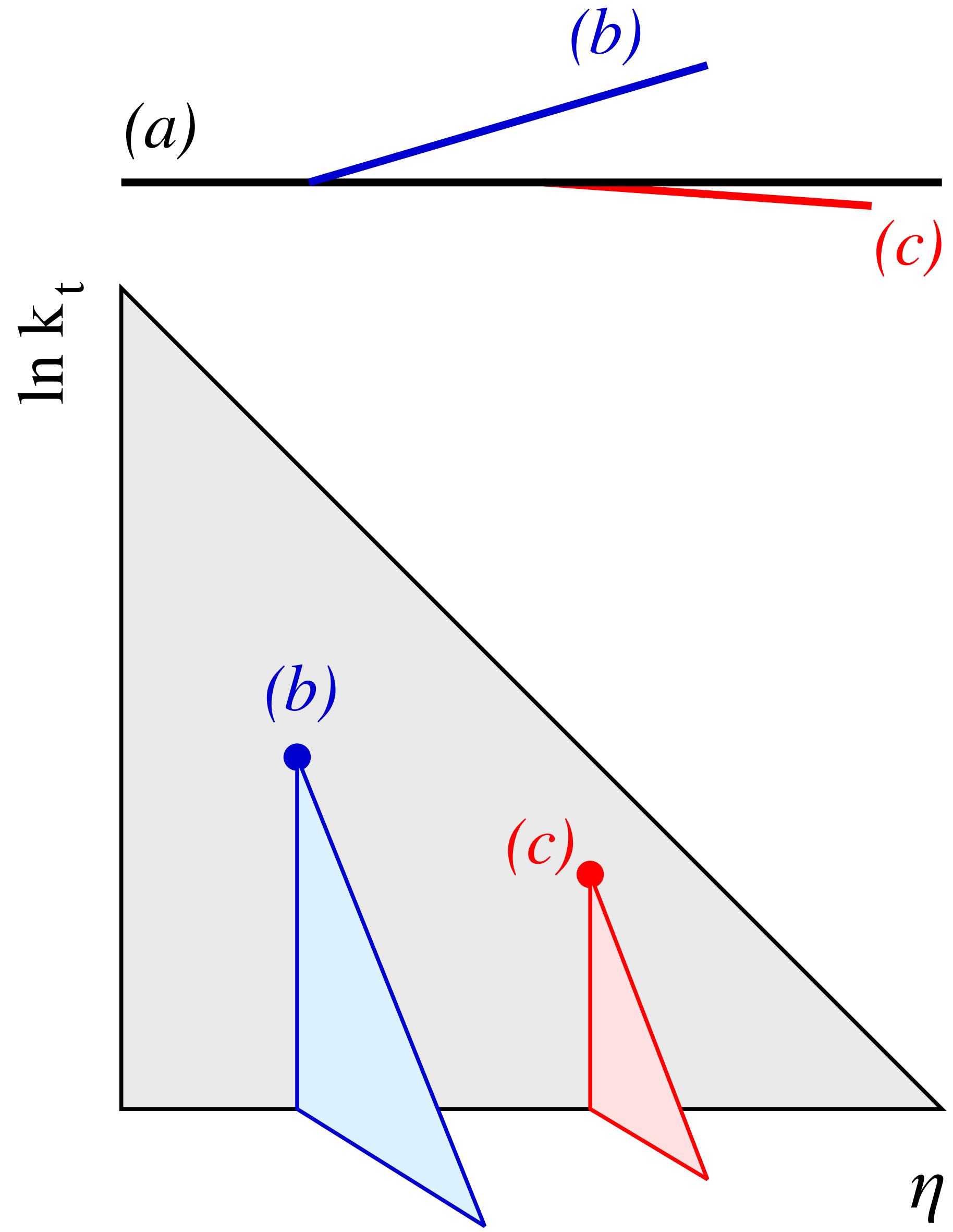
- Parton showers (and event generators in general), and their predictions of the fine structure of events, are an essential part of LHC's very broad physics programme
- Despite their central role, understanding of their accuracy has been elusive
- Minimal baseline for progress beyond 1980's technology is to achieve NLL accuracy  
≡ control of terms  $(\alpha_s L)^n$
- We've demonstrated leading-colour NLL is possible, full colour can be included at LL, (and at NLL for most observables), spin correlations fit in nicely (so far only for final-state showers)
- Overall message:  
**The parton shower part of event generators can be brought under theoretical control, by systematically addressing each of the physical effects that is relevant in different (logarithmic) phase space regions.**

**BACKUP**

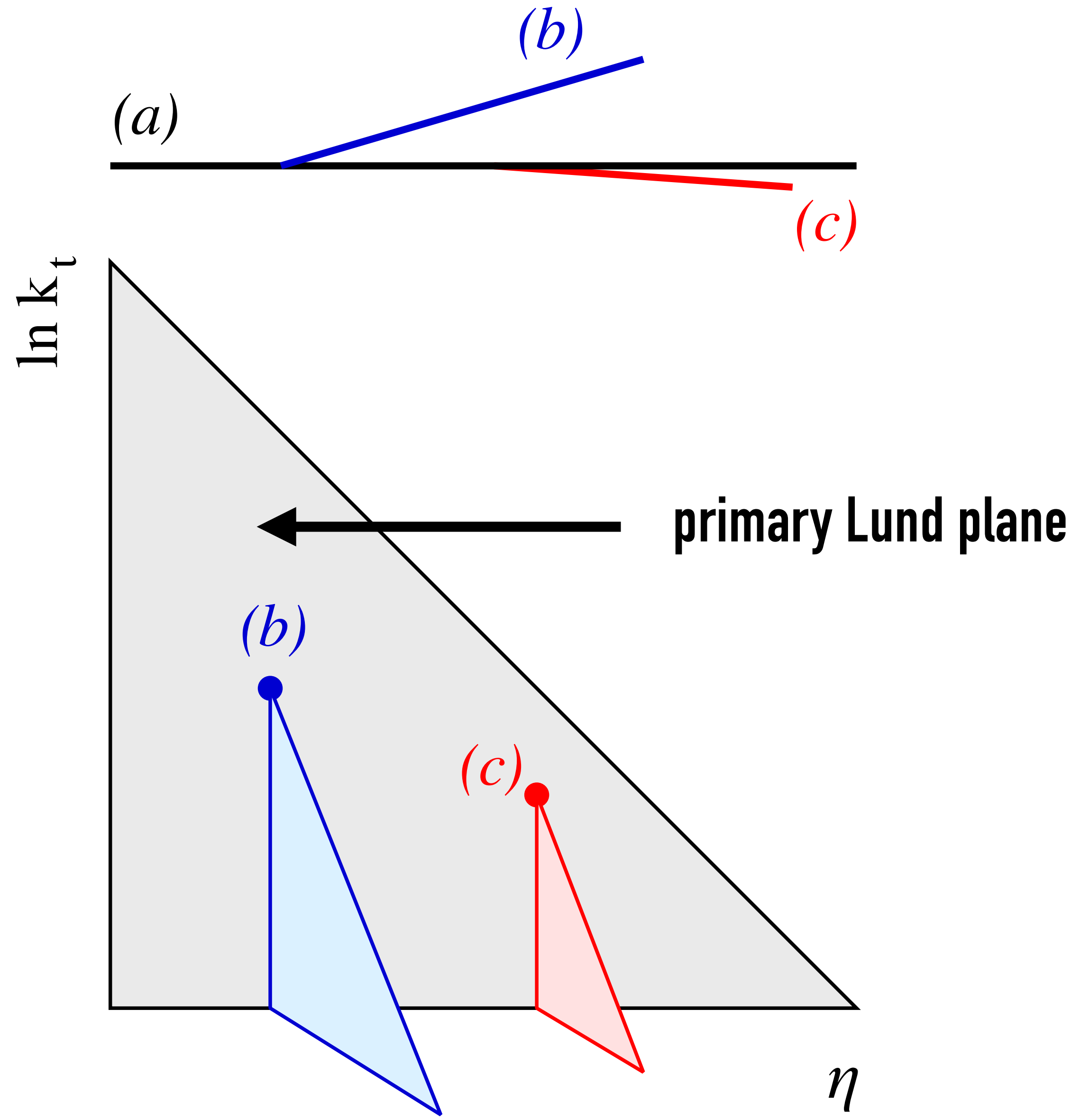


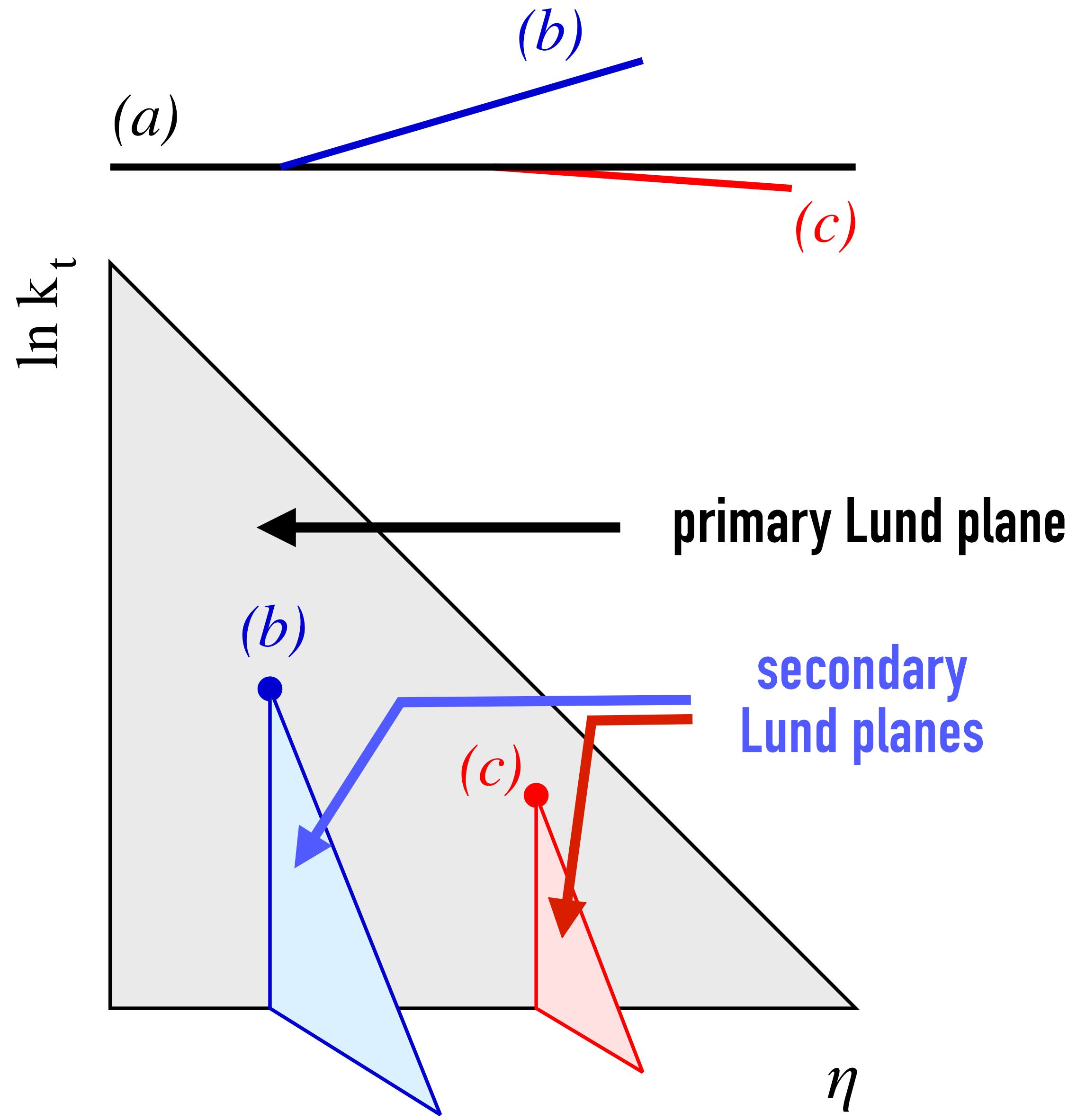
LUND DIAGRAM

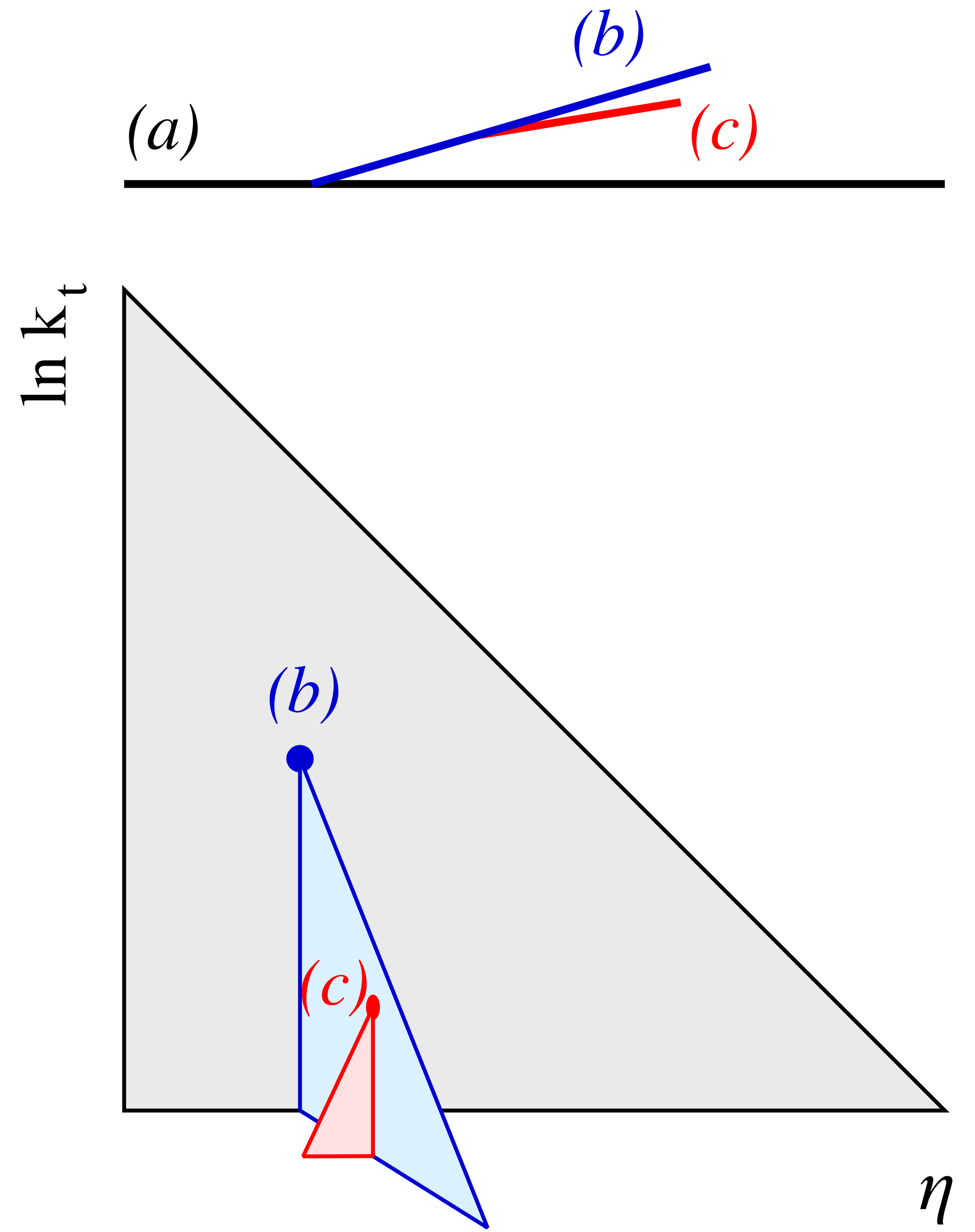
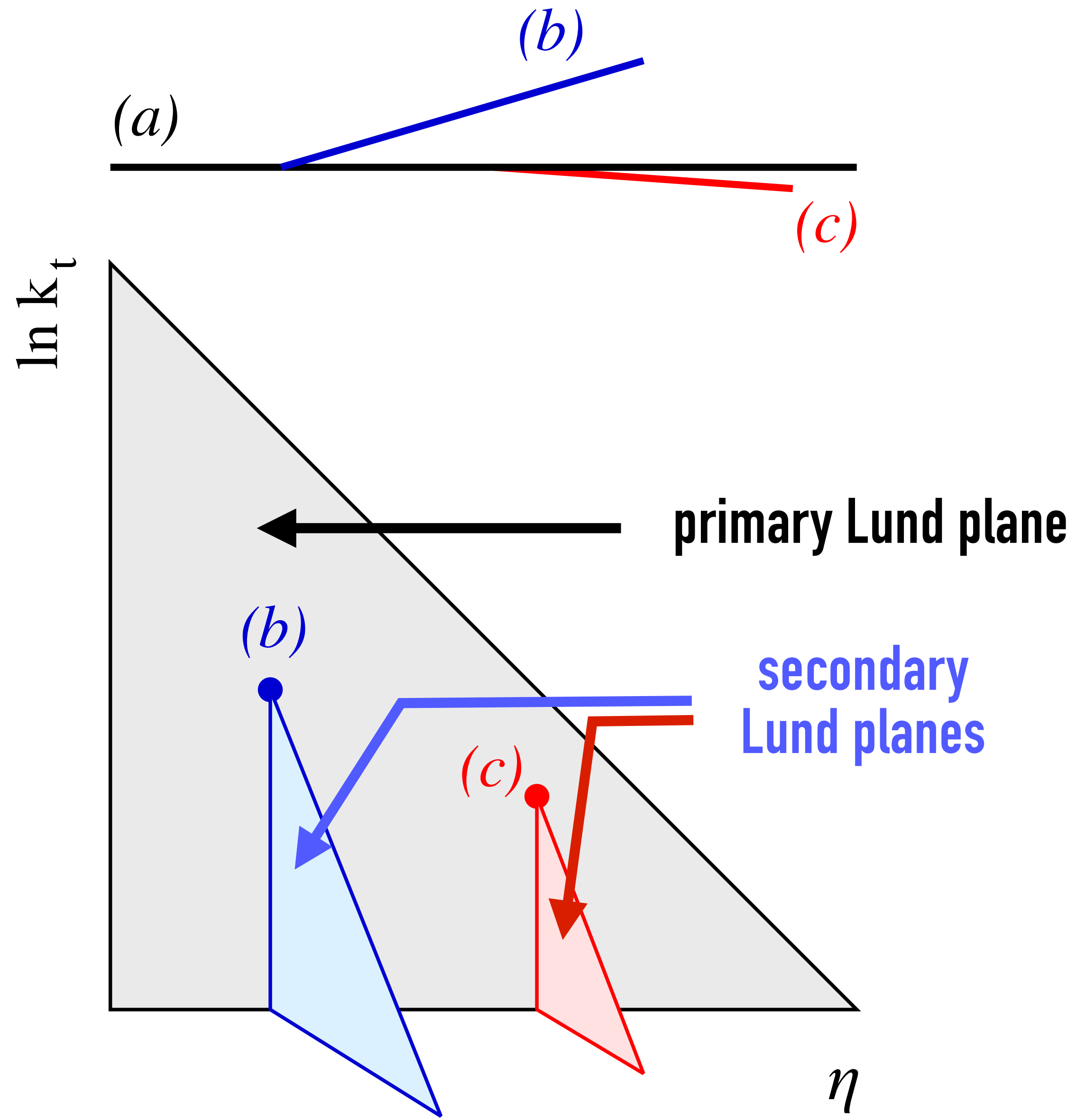
JET



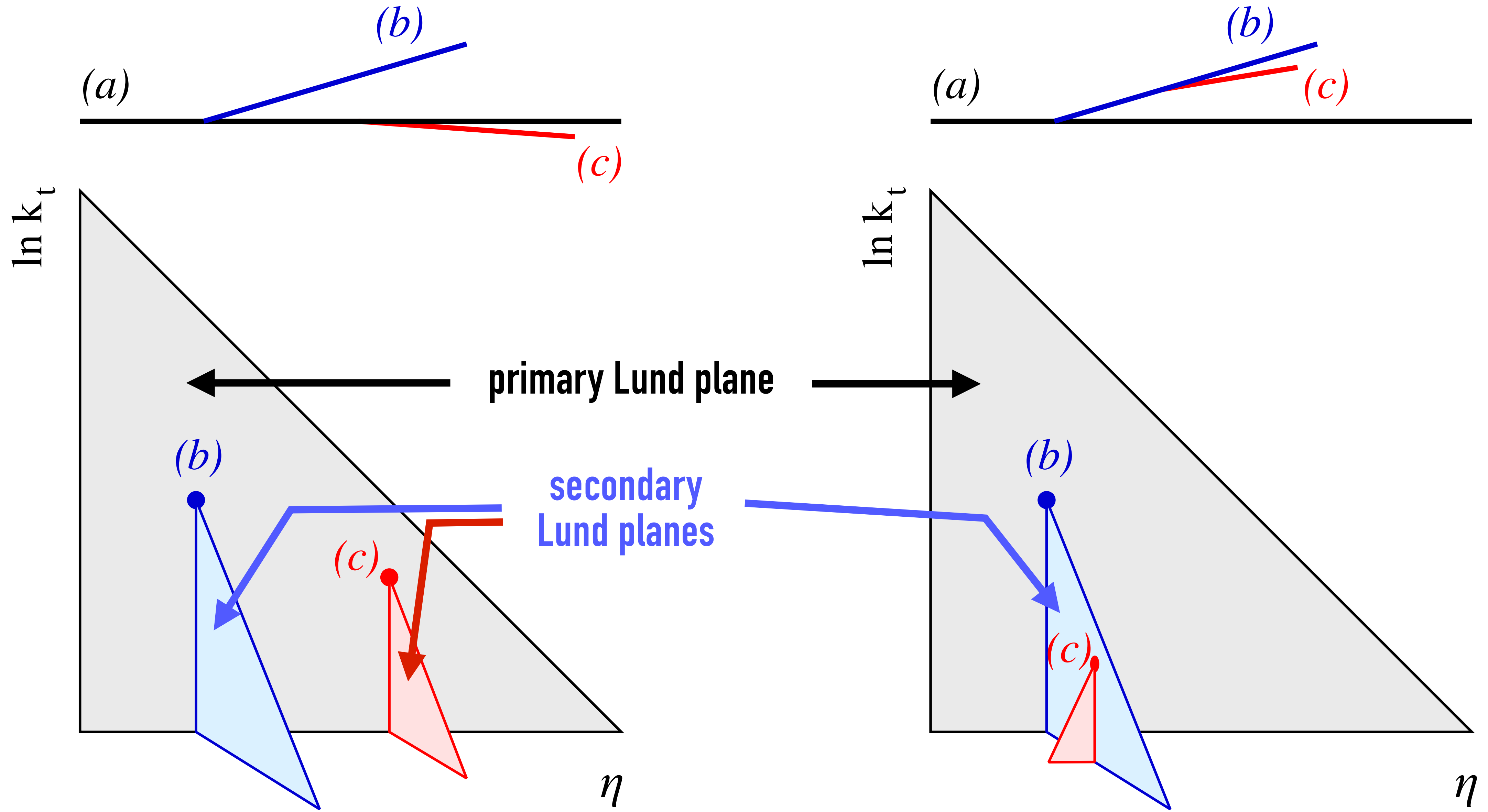
**LUND DIAGRAM**

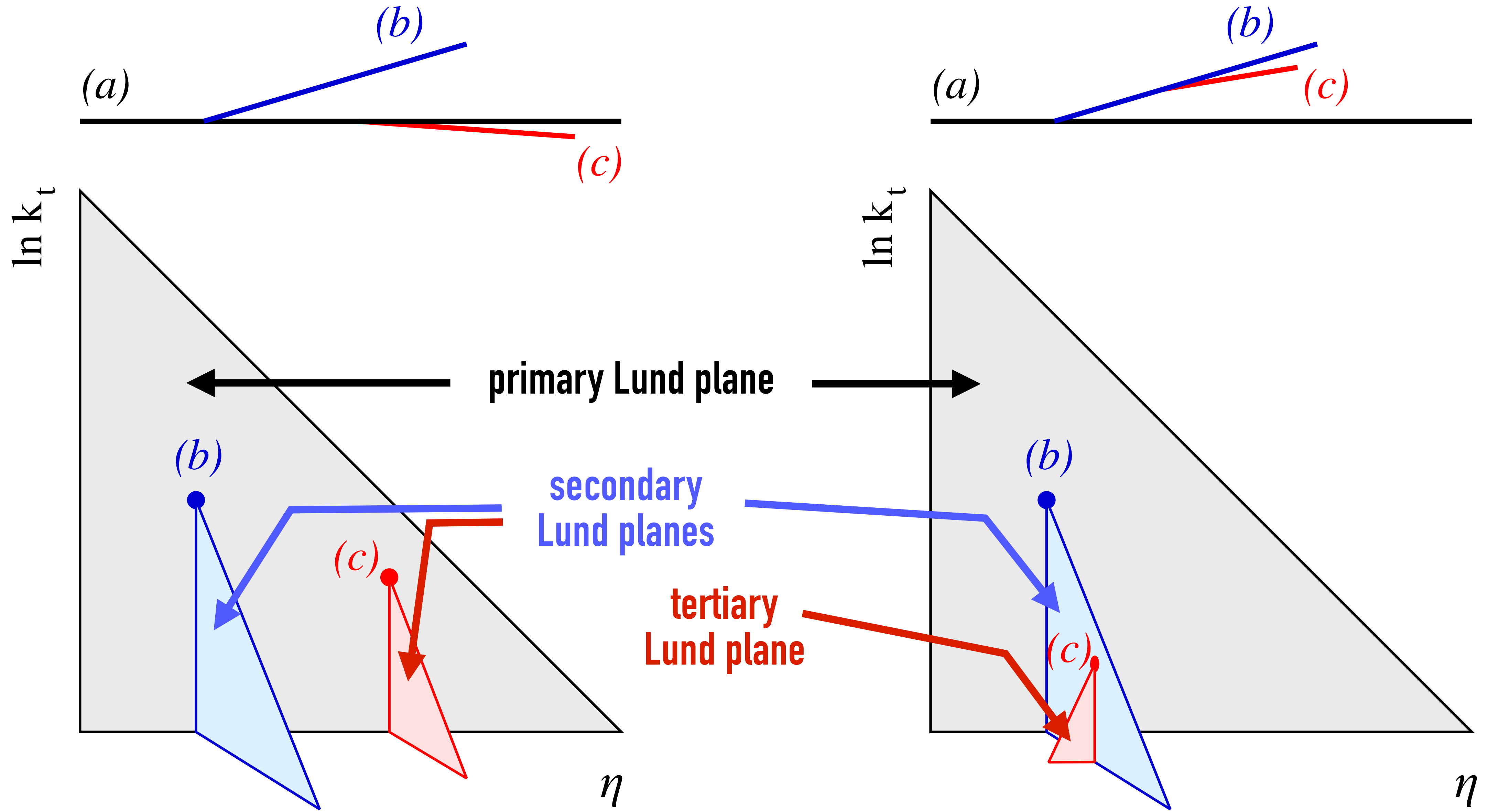








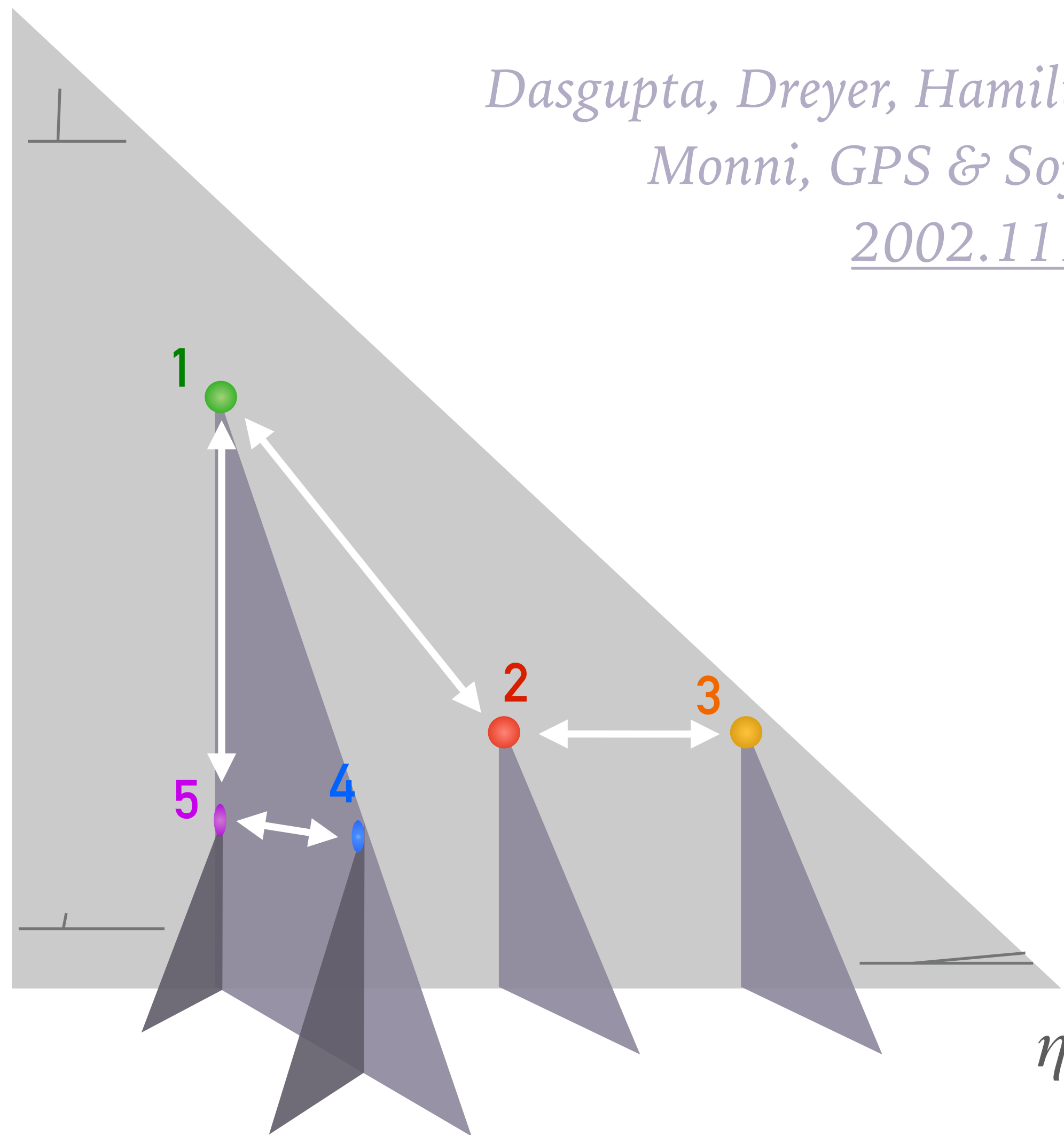




# When do we require effective shower $|M^2|$ to be correct?

$\ln p_t$

*Dasgupta, Dreyer, Hamilton,  
Monni, GPS & Soyez,  
[2002.11114](#)*

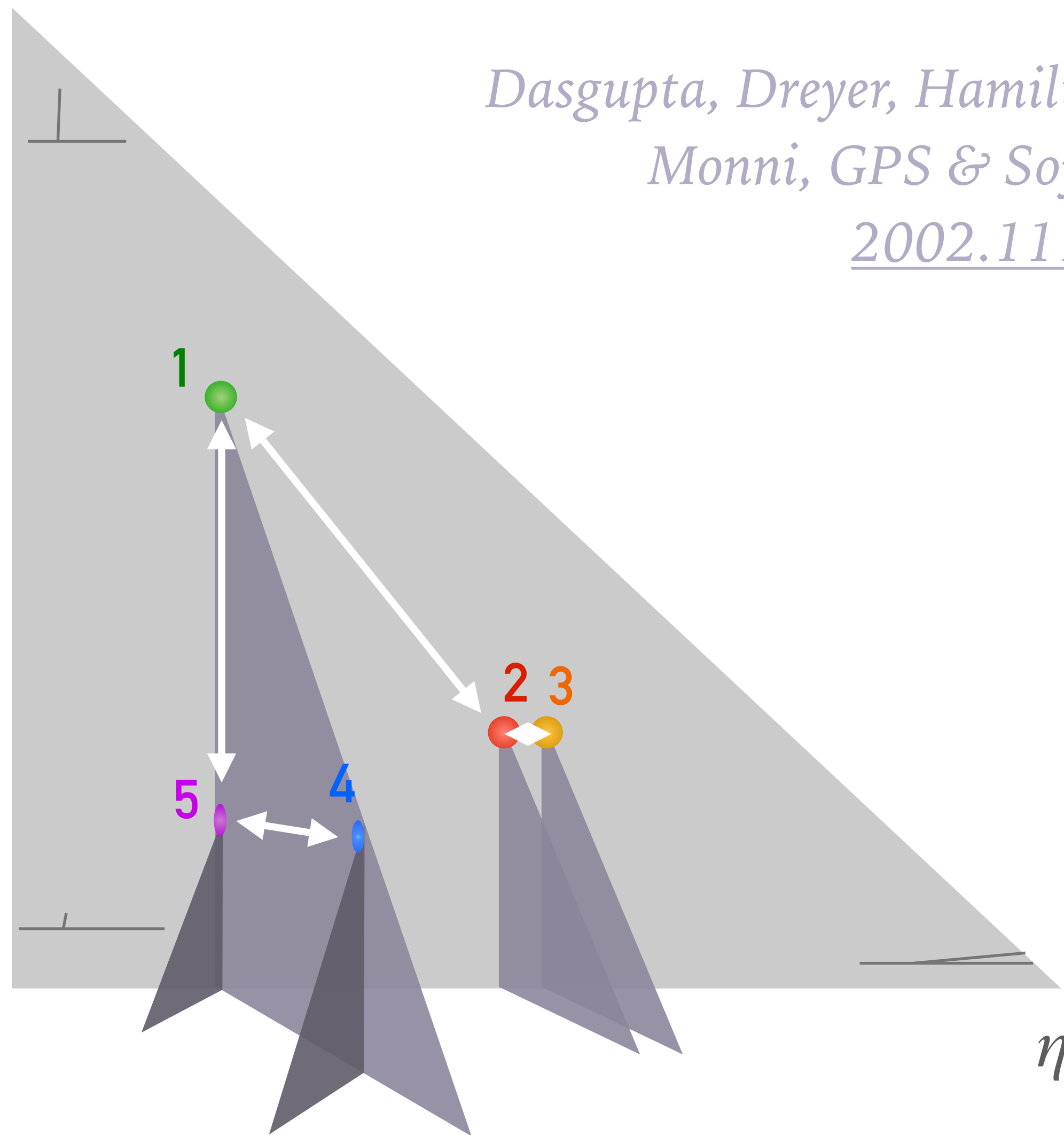


- a shower with simple  $1 \rightarrow 2$  or  $2 \rightarrow 3$  splittings can't reproduce full matrix element
- but QCD has amazing factorisation properties — simplifications in presence of energy or angular ordering
- **we should be able to reproduce  $|M^2|$  when all emissions well separated in Lund diagram**  
 $d_{12} \gg 1, d_{23} \gg 1, d_{15} \gg 1, \text{ etc.}$

# When do we require effective shower $|M^2|$ to be correct?

$\ln p_t$

*Dasgupta, Dreyer, Hamilton,  
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- a shower with simple  $1 \rightarrow 2$  or  $2 \rightarrow 3$  splittings can't reproduce full matrix element
- but QCD has amazing factorisation properties — simplifications in presence of energy or angular ordering
- **we are allowed to make a mistake (by  $\mathcal{O}(1)$  factor) when a pair is close by, e.g.  $d_{23} \sim 1$**



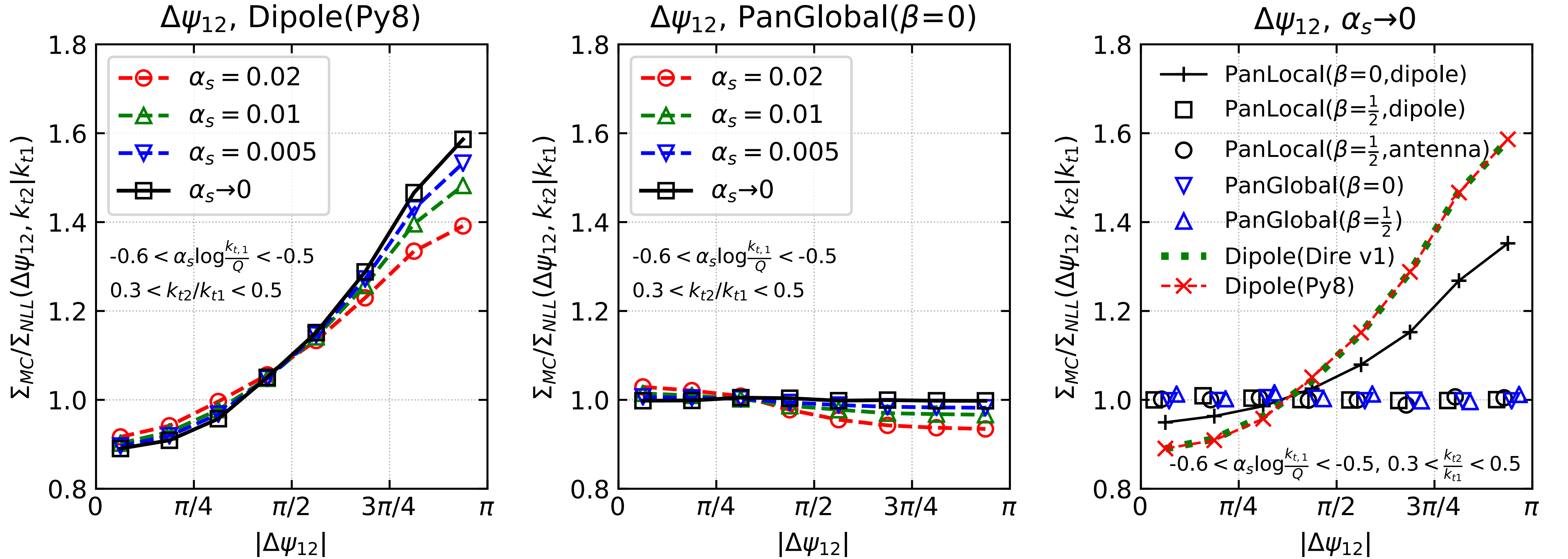


FIG. 1. Left: distribution for the difference in azimuthal angle between the two highest- $k_t$  primary Lund declusterings in the Pythia8 dipole shower algorithm, normalised to the NLL result [53], [51]§ 4; successively smaller  $\alpha_s$  values keep fixed  $\alpha_s \ln k_{t1}$ . Middle: the same for the PanGlobal( $\beta = 0$ ) shower. Right: the  $\alpha_s \rightarrow 0$  limit of the ratio for multiple showers. This observable directly tests part of our NLL (squared) matrix-element correctness condition. A unit value for the ratio signals success.

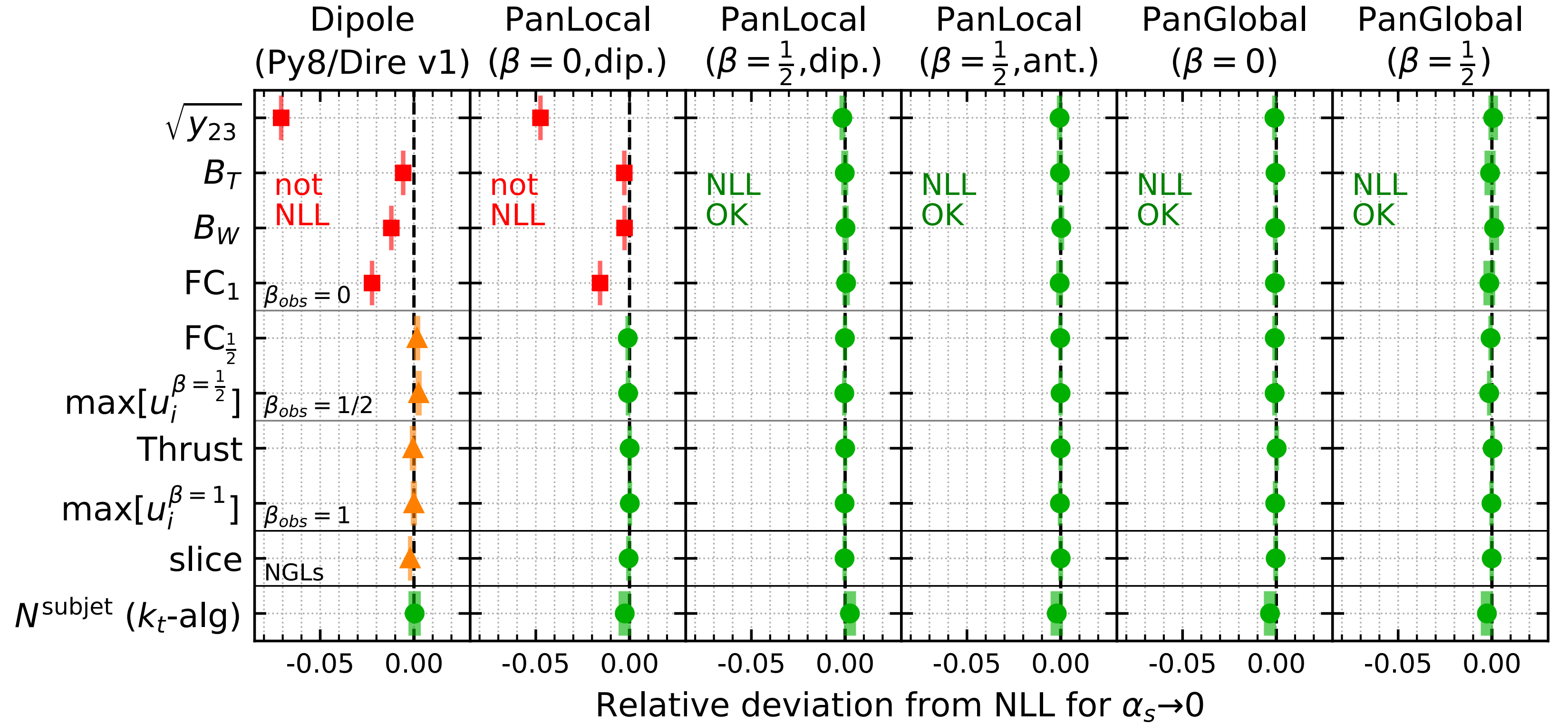
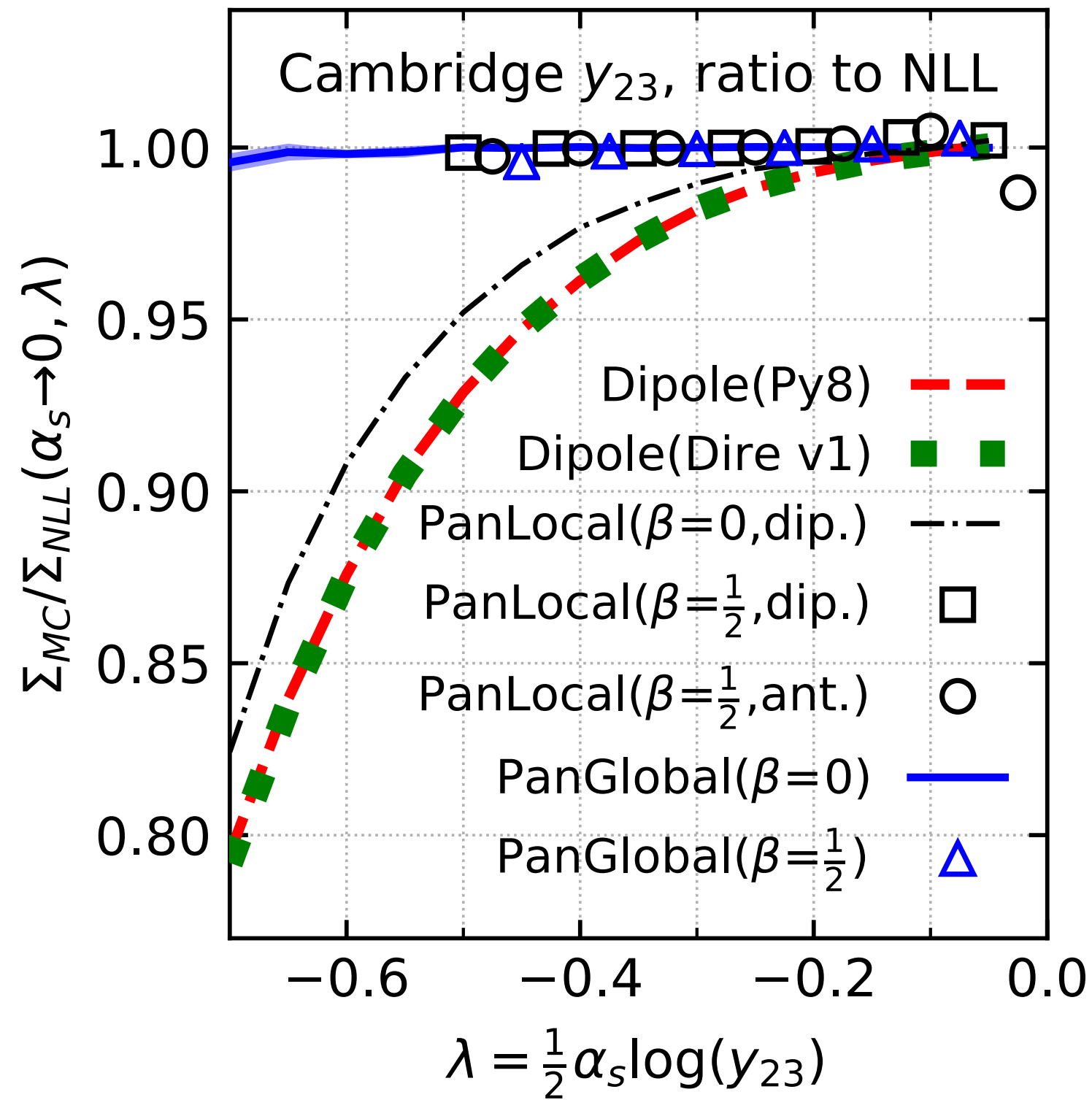


FIG. 2. Left: ratio of the cumulative  $y_{23}$  distribution from several showers divided by the NLL answer, as a function of  $\alpha_s \ln y_{23}/2$ , for  $\alpha_s \rightarrow 0$ . Right: summary of deviations from NLL for many shower/observable combinations (either  $\Sigma_{\text{shower}}(\alpha_s \rightarrow 0, \alpha_s L = -0.5)/\Sigma_{\text{NLL}} - 1$  or  $(N_{\text{shower}}^{\text{subject}}(\alpha_s \rightarrow 0, \alpha_s L^2 = 5)/N_{\text{NLL}}^{\text{subject}} - 1)/\sqrt{\alpha_s}$ ). Red squares indicate clear NLL failure; amber triangles indicate NLL fixed-order failure that is masked at all orders; green circles indicate that all NLL tests passed.

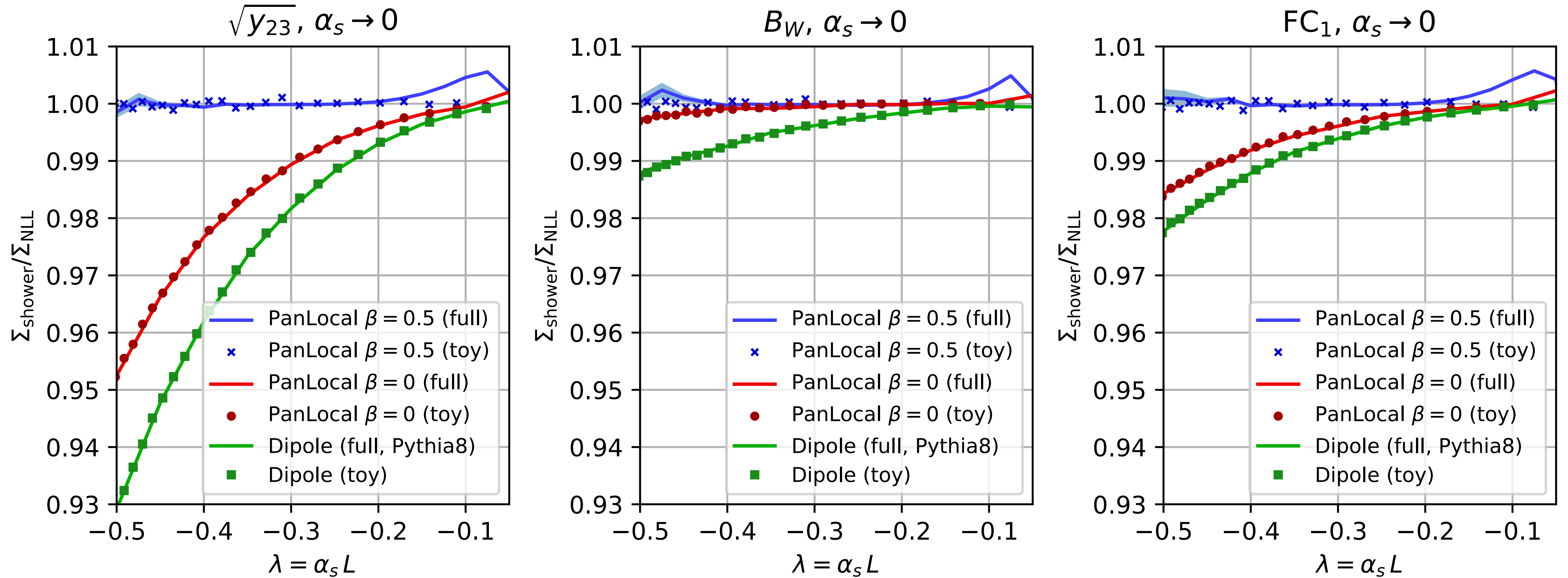


FIG. 3. Comparison of the ratio  $\Sigma_{\text{shower}}/\Sigma_{\text{NLL}}$  between the toy shower and the full shower for three reference observables ( $\sqrt{y_{23}}$ ,  $B_W$  and  $FC_1$ ), in the limit  $\alpha_s \rightarrow 0$ , as a function of  $\alpha_s L$ . For the full showers the figure shows the ratio of the shower prediction to the full NLL result, while for the toy shower it shows the ratio to the CAESAR-like toy shower. Three full showers are shown in each plot, each compared to the corresponding toy shower. The PanLocal full showers are shown in their dipole variants (identical conclusions hold for the antenna variant). Small (0.5%) issues at  $\lambda \gtrsim -0.1$  are a consequence of the fact that for the largest of the  $\alpha_s$  values used in the extrapolation, the corresponding  $L$  values do not quite satisfy  $e^L \ll 1$ .



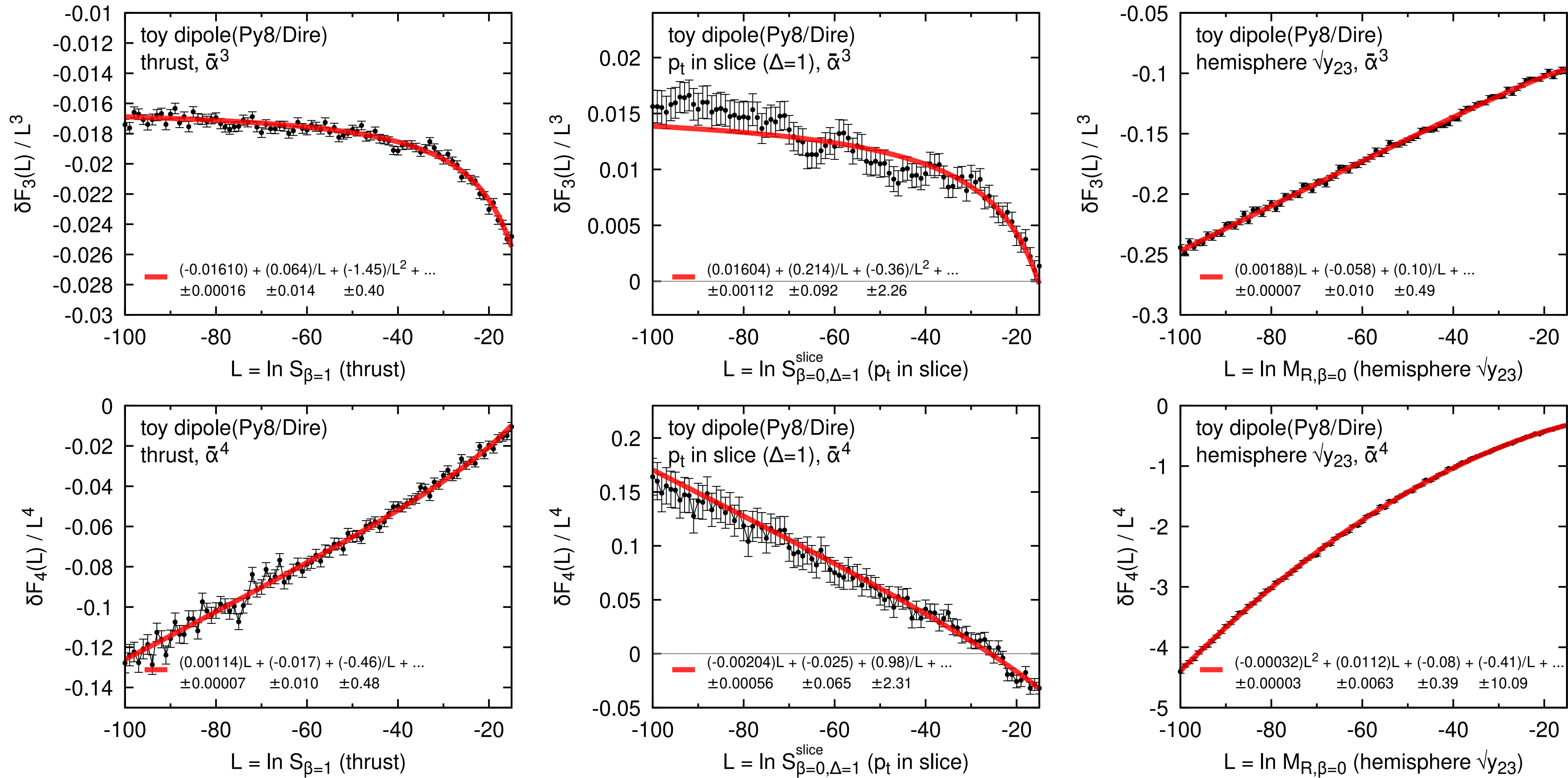


FIG. 4. Fixed order results from the toy implementation of the standard dipole showers. The plots show the difference between the toy dipole shower and the (NLL-correct) CAESAR results for the  $F_n$  coefficient of  $\bar{\alpha}^n$  in the expansion of Eq. (33), divided by  $L^n$ . For an NLL-correct shower, the results should tend to zero for large negative  $L$ . The first row shows the result of  $n = 3$ , the second row that of  $n = 4$ . The columns correspond to different observables (thrust, slice transverse momentum and hemisphere  $\sqrt{y_{23}}$ ). Observe how the results tend to constants (NLL discrepancy) or demonstrate a linear or even quadratic dependence on  $L$  (super-leading logarithms). The coefficients have been fitted taking into account correlations between points, and we include powers down to  $L^{-3}$  in the fit of  $\delta F_n/L^n$ . The fit range is from  $-100$  to  $-5$  and the quoted error includes both the (statistical) fit uncertainty and the difference in coefficients obtained with the range  $[-100, -10]$  (added in quadrature).



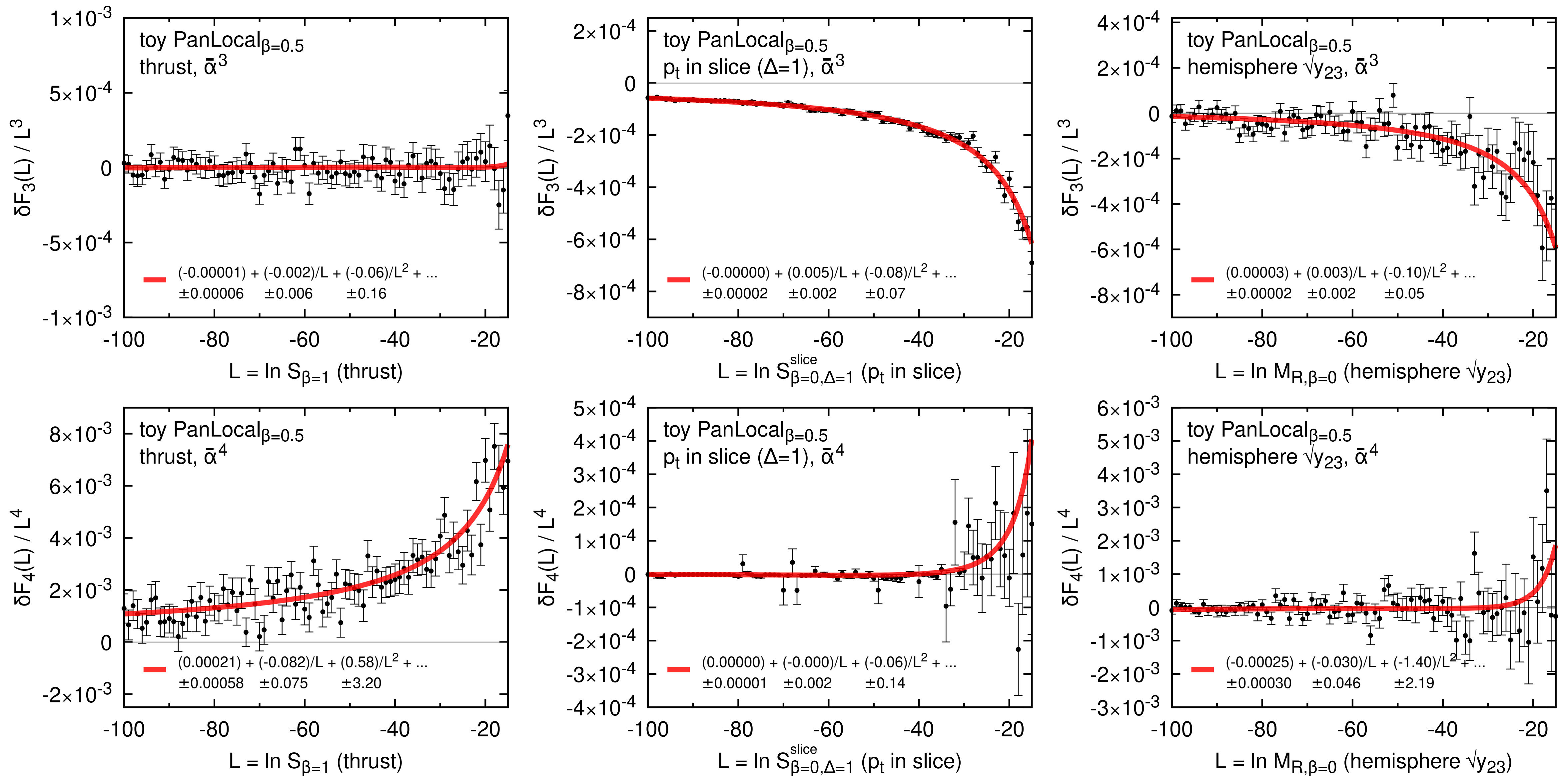


FIG. 5. Analogue of Fig. 4, demonstrating the absence of NLL (or super-leading) issues at fixed order in the toy version of the PanLocal  $\beta = 0.5$  shower. At order  $\bar{\alpha}^4$ , we include fit terms down to  $L^{-4}$ .

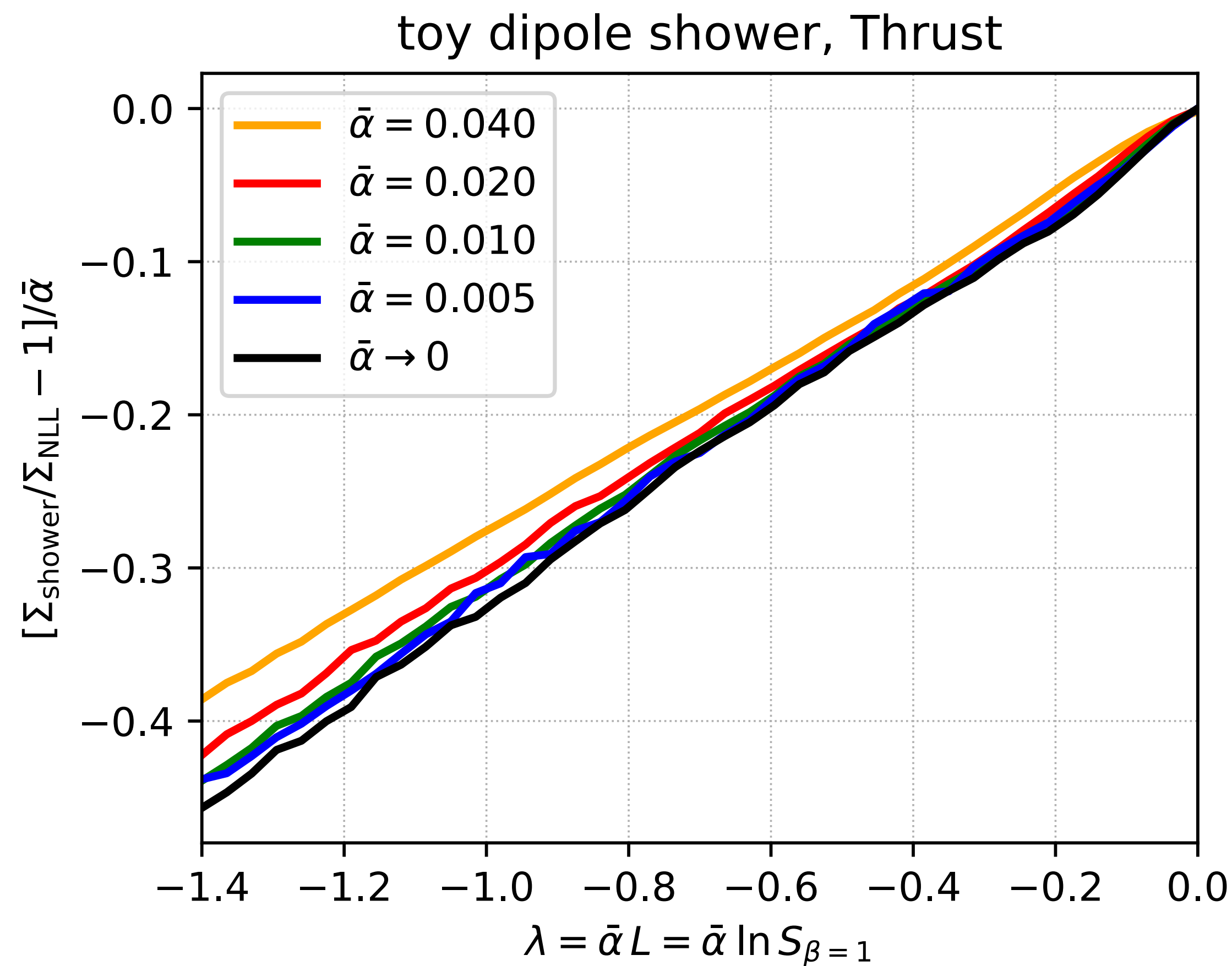
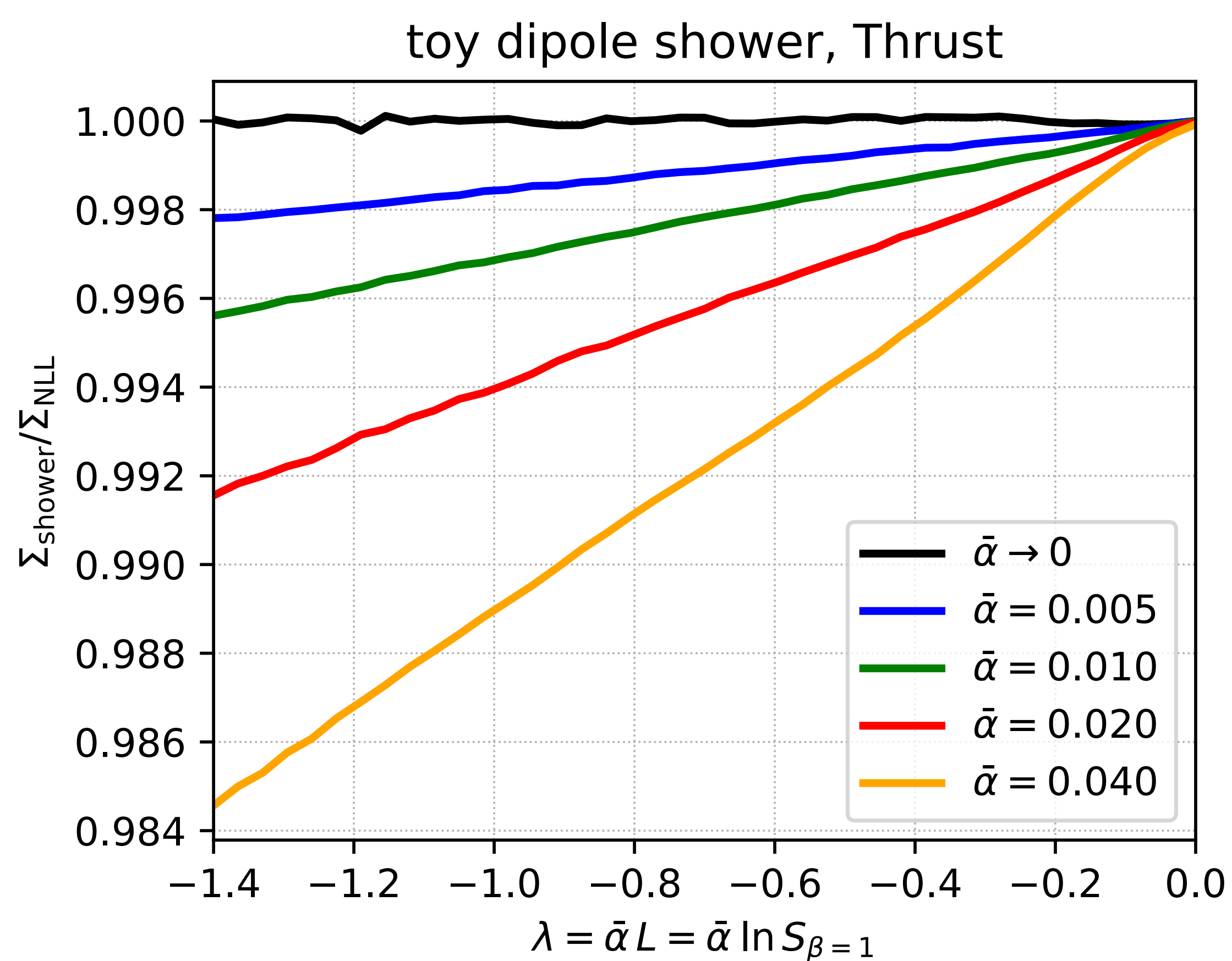


FIG. 7. Toy-shower all-order result for the thrust ( $S_{\beta=1}$ , Eq. (25)). Left:  $\Sigma_{\text{dipole}}/\Sigma_{\text{NLL}}$ , where the NLL result is given by running the CAESAR version of the shower. Four values of  $\bar{\alpha}$  are shown, together with the extrapolation to  $\bar{\alpha} = 0$ , showing that the all-order dipole-shower result (in our usual limit of fixed  $\bar{\alpha}L$  and  $\bar{\alpha} \rightarrow 0$ ) is consistent with the NLL result, despite the super-leading logarithmic terms that are visible in Fig. 4. Right:  $(\Sigma_{\text{dipole}}/\Sigma_{\text{NLL}} - 1)/\bar{\alpha}$ , again for three values of  $\bar{\alpha}$  and the extrapolation to  $\bar{\alpha} = 0$ . The fact that these curves converge is a sign that the all-order (toy) dipole-shower discrepancy with respect to NLL behaves as a term that vanishes proportionally to  $\bar{\alpha}$ , i.e. as an NNLL term. The results here involve fixed coupling, i.e. they do not include a correction of the form of Eq. (30).

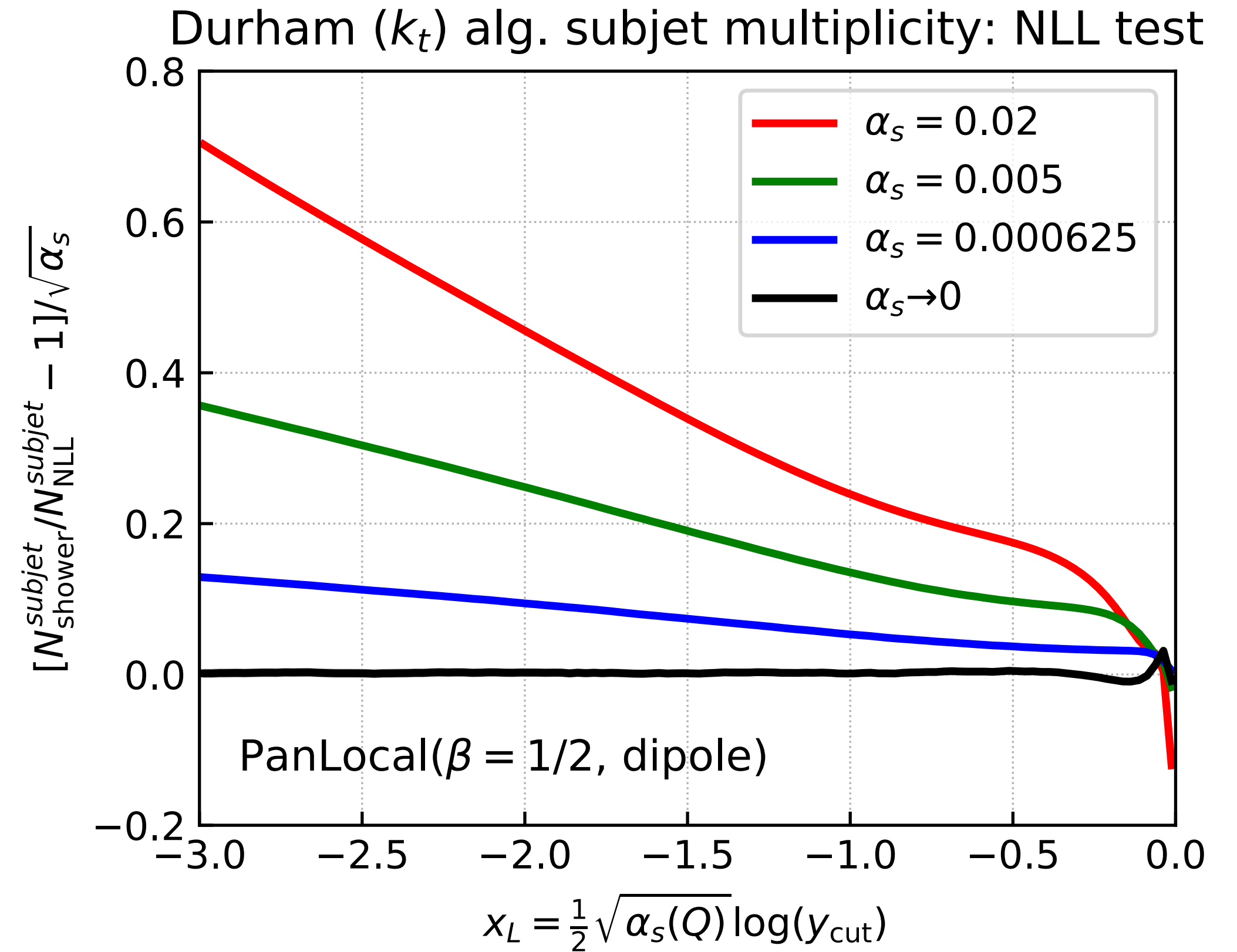
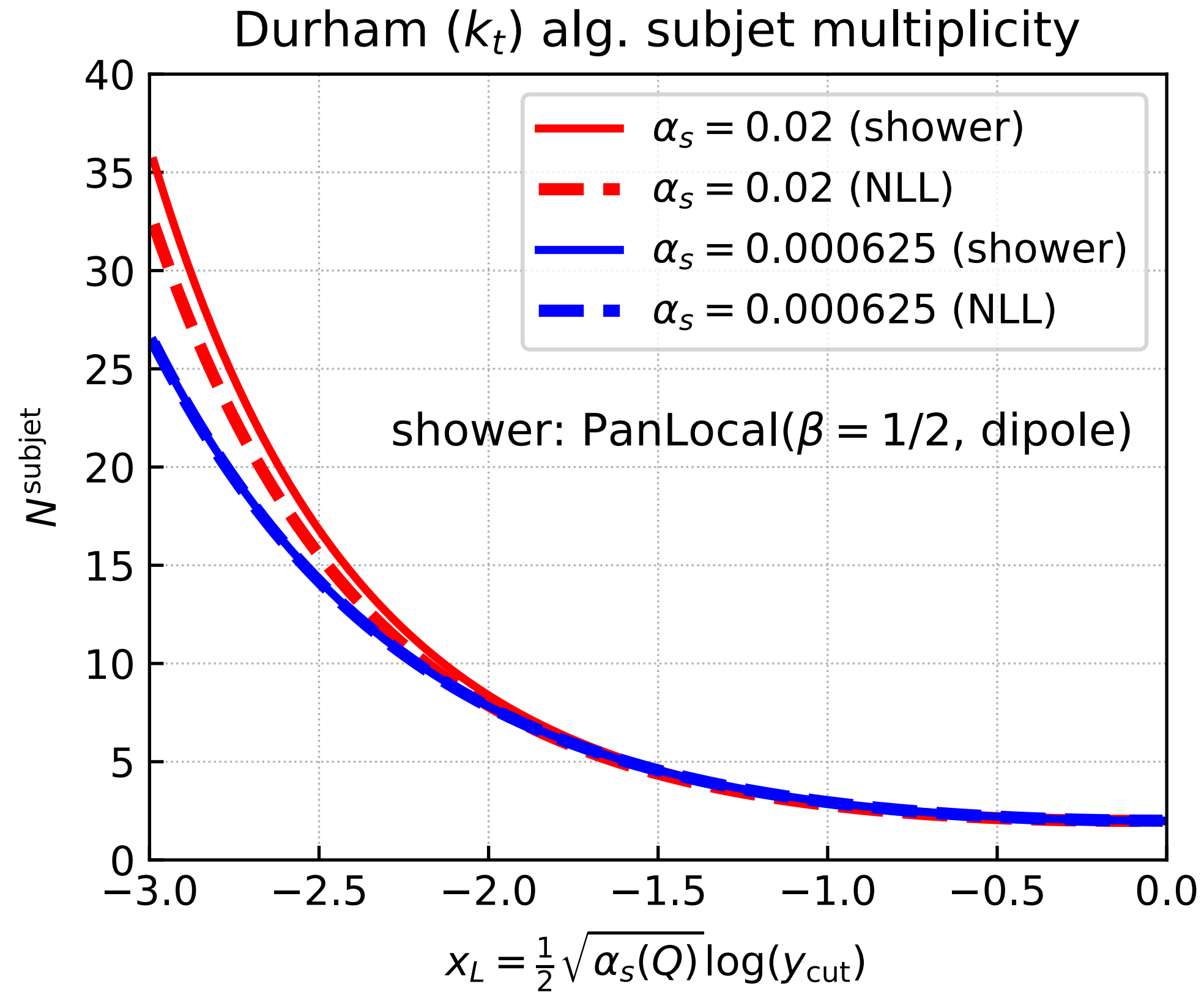
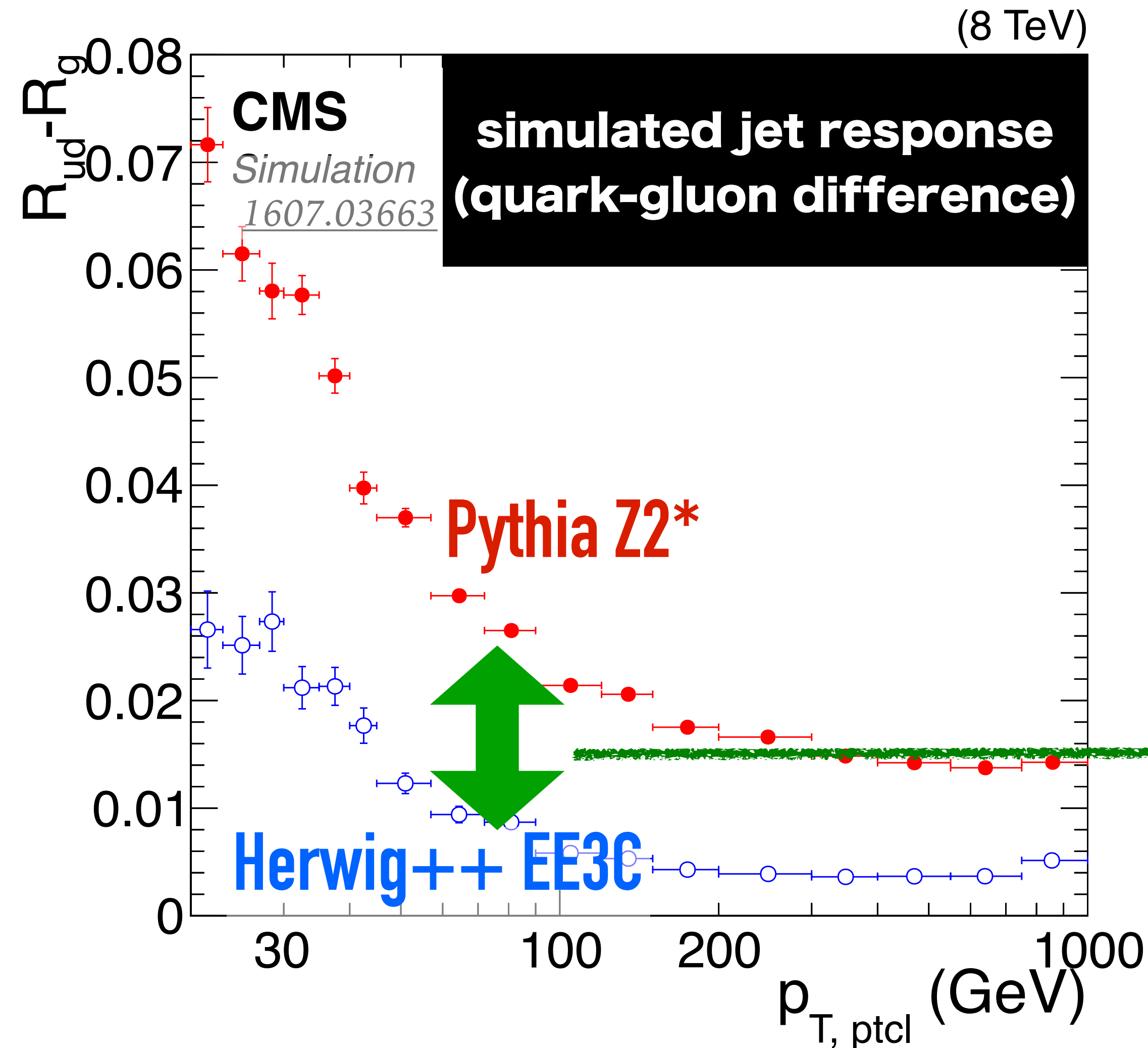
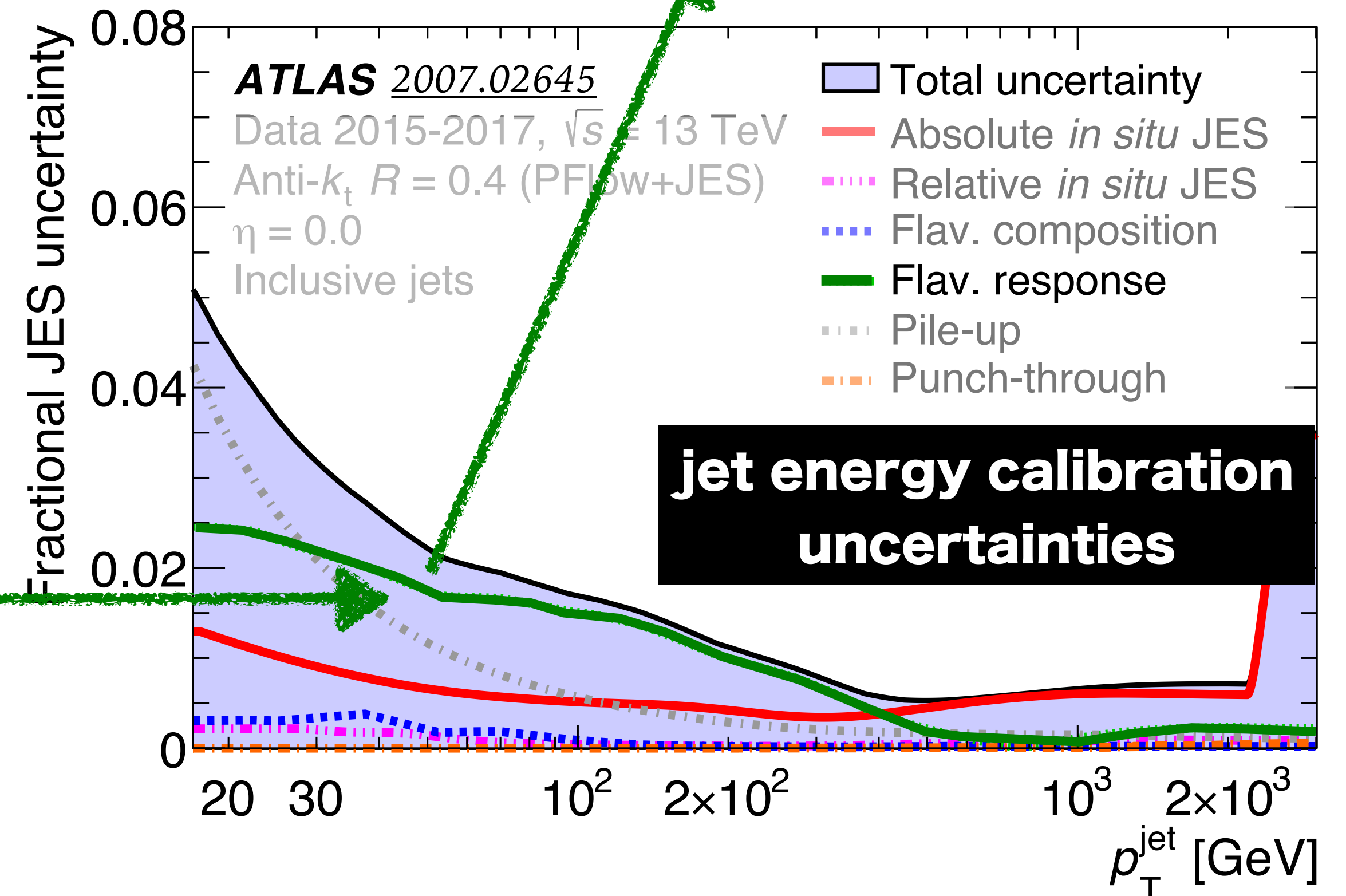


FIG. 8. Checks of the  $k_t$  algorithm subjet multiplicity. Left: the multiplicity as a function of  $\frac{1}{2} \sqrt{\alpha_s(Q)} \ln y_{\text{cut}}$ , comparing the PanLocal  $\beta = 0.5$  shower (dipole variant) with the NLL prediction, for two choices of  $\alpha_s$ . Right: Eq. (50) for the same shower, for several  $\alpha_s$  values, together with the  $\alpha_s \rightarrow 0$  limit.

# But imperfections matter: e.g. for jet energy calibration (affects ~1500 papers)

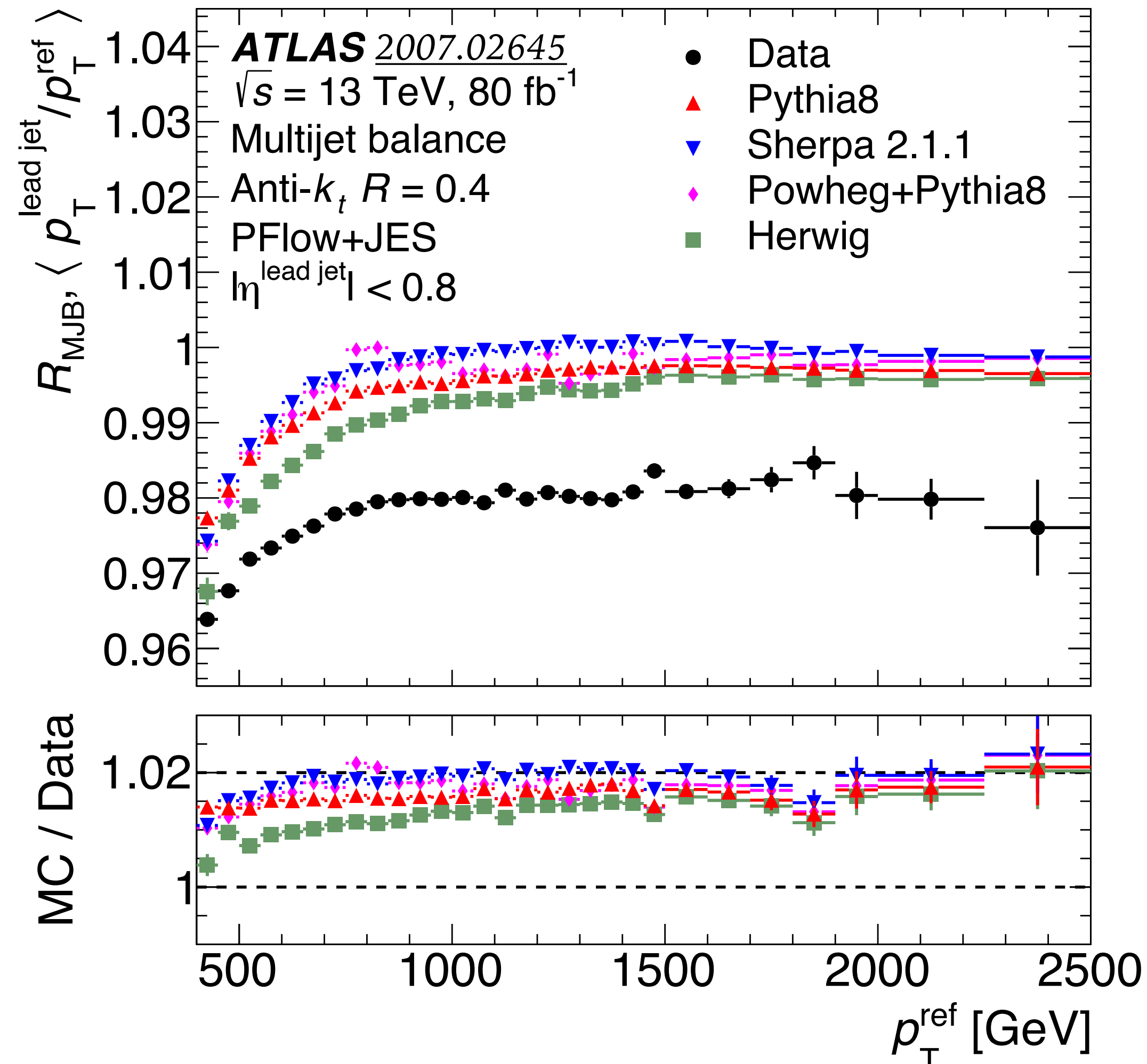
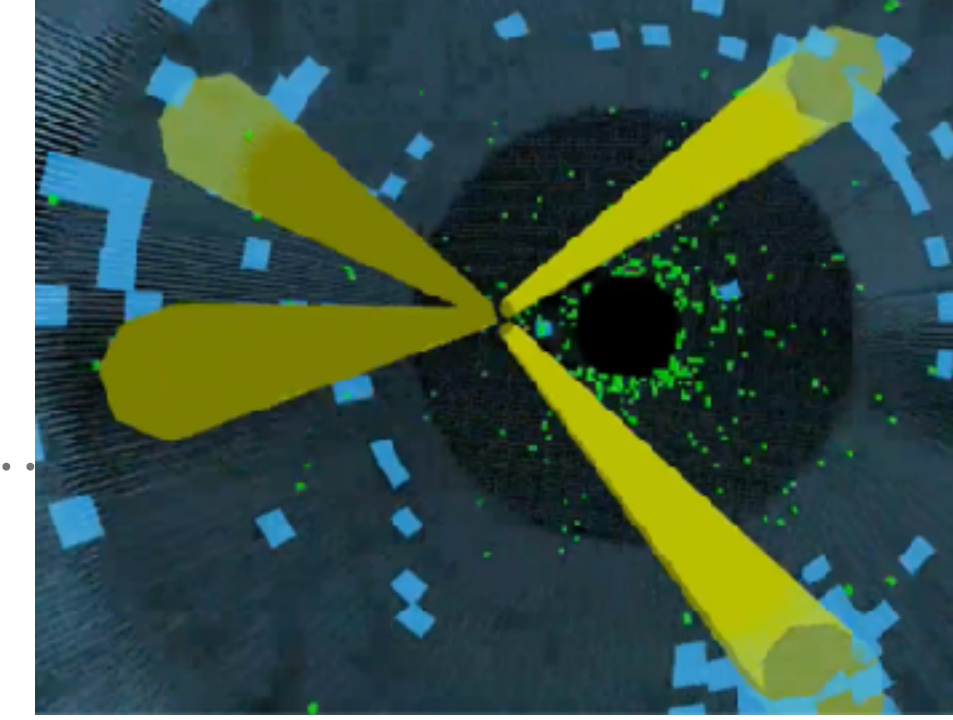


Largest uncertainty source is poor understanding of [parton shower simulations of] quark v. gluon-induced jet responses





# Fundamental experimental calibrations (jets)



*MJB = multi-jet balance*